U.S. Department of Education
Office of Elementary and Secondary Education
Expanding Opportunity Through Quality Charter School Programs
Washington, D.C. 20202-5970

Fiscal Year (FY) 2022

Application for New Awards Under the Expanding Opportunity Through Quality Charter Schools Program (CSP) – National Dissemination Grants

ALN 84.282T

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Paperwork Burden Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is: 1810-0006. Public reporting burden for this collection of information is estimated to average 40 hours per response, including the time for reviewing instructions, searching existing data resources, gathering and maintaining the data needed, and completing and reviewing the collection of information. The obligation to respond to this collection is required to obtain or retain benefit (Elementary and Secondary Education Act of 1965, as amended by the Every Student Succeeds Act (ESEA) (20 U.S.C. 7221-7221j)). If you have any comments concerning the accuracy of the time estimate, suggestions for improving this individual collection, or if you have comments or concerns regarding the status of your individual form, application or survey, please contact (Charter School Programs, U.S. Department of Education, Office of Elementary and Secondary Education , 400 Maryland Ave SW Washington, DC 20202/charterschools@ed.gov) directly.

If you have comments or concerns regarding the status of your individual submission of this form, write directly to: Charter School Programs, U.S. Department of Education, 400 Maryland Avenue, SW, Washington D.C. 20202-5970. [Note: Please do not return the completed application to this address.]
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Dear Applicant:

Thank you for your interest in applying for a fiscal year (FY) 2022 grant under the U.S. Department of Education’s (Department’s) Expanding Opportunity Through High-Quality Charter School Programs (CSP)—National Dissemination Grants competition. For FY 2022, up to $440 million is available to support the continued development of high-quality charter schools and the dissemination of charter school best practices throughout the nation. Approximately $4.8 million of these funds are dedicated to this grant competition. The CSP is authorized under Title IV, Part C of the Elementary and Secondary Education Act of 1965, as amended by the Every Student Succeeds Act (ESEA) (20 U.S.C. 7221–7221j).

The purpose of the National Dissemination Grant competition (Assistance Listing Numbers (ALN) 84.282T) is to award grants to provide funds on a competitive basis to support efforts by organizations to support the charter school sector by disseminating best practices of national significance regarding charter schools, including the development, identification, or expansion of such practices. The Notice Inviting Applications (NIA) for this competition was published in the Federal Register on July 22, 2022 (87 FR43802)

Please read the document in the attached application package entitled Grants.gov Submission Procedures and Tips for Applicants, which describes the submission procedures to ensure that your application is received in a timely and acceptable manner. Applications for funds under the FY 2022 National Dissemination Grants competition (ALN 84.282T) must be submitted electronically using the governmentwide Grants.gov Apply site at www.Grants.gov on or before the deadline date. The electronic submission of applications is required; therefore, you must submit an electronic application unless you follow the procedures in the Common Instructions for Applicants to Department of Education Discretionary Grant Programs (common instructions) that was published in the Federal Register and is available at https://www.federalregister.gov/documents/2021/12/27/2021-27979/common-instructions-for-applicants-to-department-of-education-discretionary-grant-programs.

Please note that the deadline for submission of a grant application under this competition is 11:59:59 p.m., Eastern Time, on Monday, August 22, 2022. Applications submitted late will not be accepted. The Grants.gov site works differently than the Department’s e-Application System. We strongly encourage you to familiarize yourself with Grants.gov and strongly recommend that you register and submit several days before the deadline. Please note that prospective applicants must register with Grants.gov and the System for Award Management (SAM) as well as obtain several authorizations in order to submit an application. This registration process can take weeks, so we recommend that you begin the process well in advance of the application deadline date.
If you have any questions about the program after reviewing the application package, please call or e-mail: NDFY22Competition@ed.gov. For questions or problems in navigating the Grants.gov system, please call the Grants.gov helpdesk at 1-800-518-4726.

We look forward to receiving your application and appreciate your efforts in increasing the national understanding of the charter school model and expanding the number of high-quality charter schools across the Nation.

Sincerely,

/s/

Anna Hinton, PhD
Director
Charter School Programs
Program Background Information

Program Overview

Program Office: Office of Elementary and Secondary Education (OESE)

Program: Expanding Opportunity Through Quality Charter Schools Program (CSP)—National Dissemination Grants

ALN: ALN 84.282T

Program Type: Discretionary/Competitive Grants

Also Known As: National Dissemination Grants, CSP National Dissemination Grants, National Dissemination

Program Description: The purpose of CSP National Dissemination Grants (CFDA 84.282T) is to award grants to provide funds on a competitive basis to support efforts by organizations to support the charter school sector by disseminating best practices of national significance regarding charter schools, including the development, identification, or expansion of such practices.

Eligibility Information: Organizations that may apply for this competition include but are not limited to State educational agencies (SEAs); State Governors; authorized public chartering agencies; charter school support organizations (as defined in the Notice Inviting Applications (NIA)); and public and private nonprofit organizations that operate, manage, or support charter schools.

Entities may apply as a partnership or consortium and, if so applying, must comply with the requirements for group applications set forth in 34 CFR 75.127-129.

To review previously successful applications, go to: https://oese.ed.gov/offices/office-of-discretionary-grants-support-services/charter-school-programs/expanding-opportunity-through-quality-charter-schools-program-csp-national-dissemination-grants/awards/

To review the legislation authorizing the use of federal funds under the Charter Schools Program, in addition to guidance documents, go to: https://oese.ed.gov/offices/office-of-discretionary-grants-support-services/charter-school-programs/expanding-opportunity-through-quality-charter-schools-program-csp-national-dissemination-grants/funding-and-legislation/

Competition Highlights

Purpose: To award grants to provide funds on a competitive basis to support efforts by prospective applicants to support the charter school sector and increase the number of high-quality charter schools available to our Nation’s students by disseminating best practices regarding charter schools.
Application available: July 22, 2022
Webinar for potential applicants: July 29, 2022, 2:00-3:30 PM ET
Deadline for transmittal of applications: August 22, 2022
Awards expected to be announced by: September 30, 2022


Applicants should be sure to review the full text of the NIA as they complete their applications, as additional information, which is not included in this application package and is necessary for submission is included in the NIA.

Electronic Submission:
All applications for grants under this competition must be received on or before 11:59:59 p.m. on August 22, 2022, Eastern Time. Your application must be fully uploaded and submitted and must be date and time stamped by the Grants.gov system no later than 11:59:59 p.m., Eastern Time, on the application deadline date. Late applications will not be accepted. The Department is required to enforce the established deadline to ensure fairness to all applicants. No changes or additions to an application will be accepted after the deadline date and time.

An applicant may check the status of its application(s), any time after submission, by using the "Track My Application" feature available from the upper navigation on the Grants.gov site. Applicants may also check the status of a submission by logging into their Grants.gov account using the Applicant Login. After logging in, an applicant should click on the "Check Application Status" link on the left-hand menu. Applicants should be careful that they download the correct application package for FY 22 CSP National Dissemination Grants (ALN 84.282T) and that they submit their applications under the correct CSP grant competition (ALN 84.282T). Your application will be reviewed for the competition under which it is submitted, and only applications that are successfully submitted by the established deadline will be submitted for peer review.

FY 2022 CSP National Dissemination Grants Application Package
Please note that the application package for FY 2022 CSP National Dissemination Grants (ALN 84.282T) is for applicants to download and use as a guide only. Applicants should reference the NIA for complete information.

Pre-application Technical Assistance Webinar: The Department will hold a webinar to provide technical assistance to interested applicants. Detailed information regarding this webinar will be provided on the Charter School Programs, National Dissemination webpage at: https://oese.ed.gov/offices/office-of-discretionary-grants-support-services/charter-school-programs/expanding-opportunity-through-quality-charter-schools-program-csp-national-
dissemination-grants/. A recording of this webinar will also be available on this webpage following the session. Pre-recorded technical assistance webinars on various topics (e.g., budget, logic models, performance measures) will also be posted to this webpage.

**Apply: All applications must be submitted electronically via Grants.gov.**

To submit an application on the Grants.gov website, hover over the “Applicant” tab. Select the “Apply for Grants” option, then click on “Download a Grant Application Package.” Type in the ALN (84.282) or the Funding Opportunity Number (ED-GRANTS-072222-001). Click “Download Package.” For assistance with the use of the Grants.gov system, please contact the Grants.gov help desk, at (800) 518-4726, or email support@grants.gov.
Application Submission Procedures

The deadline for submission of applications for CSP National Dissemination Grants through Grants.gov is August 22, 2022 at 11:59:59 p.m. Eastern Time.

Application Transmittal Instructions

Attention Applicants: This program requires the electronic submission of applications. Specific requirements and instructions can be found in the Federal Register notice announcing this grant competition. Please note that you must follow the Application Submission Instructions as described in the Federal Register notice for this competition.

We will reject your application if you submit it in paper format unless, as described in the common instructions, you follow the procedures outlined for a paper submission, which requires submission of a written notification to the Department no later than 14 calendar days before the application deadline date.

Applications Submitted Electronically

Applications for grants under this program must be submitted electronically using the Governmentwide Grants.gov Apply site at http://www.Grants.gov. Through this site, you will be able to download a copy of the application package, complete it offline, and then upload and submit your application. You may not e-mail an electronic copy of a grant application to us.

Your application must be fully uploaded and submitted and must be date and time stamped by the Grants.gov system no later than 11:59:59 p.m., Eastern Time, on the application deadline date. Except as otherwise noted in Federal Register notice for this competition, we will not consider your application if it is date and time stamped by the Grants.gov system later than 11:59:59 p.m., Eastern Time, on the application deadline date.

You should review and follow the Grants.gov Submission Procedures and Tips for Applicants for submitting an application through Grants.gov that are included in this application package to ensure that you submit your application in a timely manner to the Grants.gov system.

On December 31, 2017, Grants.gov retired the Legacy PDF format for submitting grant applications. A Grants.gov applicant must apply online using Workspace, a shared environment where members of a grant team may simultaneously access and edit different web forms within an application. An applicant can create an individual Workspace for each application notice and establish for that application a collaborative application package that allows more than one person in the applicant’s organization to work concurrently on an application. The Grants.gov system also enables the applicant to reuse forms from previous submissions, check them in and out to complete them, and submit the application package. For access to further instructions on how to apply using Grants.gov, refer to: www.grants.gov/web/grants/applicants/apply-for-grants.html.
You may access the electronic grant applications at www.Grants.gov. You must search for the downloadable application package for this competition by the ALN. Do not include the ALN’s alpha suffix in your search (e.g., search for 84.282 not 84.282T).

Please note the following:

- You must attach any narrative sections of your application as files in either .pdf (Portable Document Format) or Microsoft Word. Although applicants have the option of uploading any narrative sections and all other attachments to their application in either PDF or Microsoft Word, we recommend applicants submit all documents as read-only flattened PDFs, meaning any fillable PDF files must be saved and submitted as non-fillable PDF files and not as interactive or fillable PDF files, to better ensure applications are processed in a more timely, accurate, and efficient manner. If you choose to submit your application in Microsoft Word, you may do so using any version of Microsoft Word (i.e., a document ending in a .doc or .docx extension).

- Grants.gov cannot process an application that includes two or more files that have the same name within a grant submission.

- When attaching files, applicants should limit the size of their file names. Lengthy file names could result in difficulties with opening and processing your application. We recommend your file names be less than 50 characters. The amount of time it can take to upload an application will vary depending on a variety of factors, including the size of the application and the speed of your Internet connection. Therefore, we strongly recommend that you do not wait until the application deadline date to begin the submission process through Grants.gov.

- If you are experiencing problems submitting your application through Grants.gov, please contact the Grants.gov Support Desk, toll free, at 1-800-518-4726. You must obtain a Grants.gov Support Desk Case Number and must keep a record of it.

According to the instructions found in the Federal Register notice, only those that follow the procedures for an exception to the electronic submission requirement may submit an application via U.S. mail, commercial carrier or by hand delivery.

Submission of Paper Applications by Mail:
If you follow the procedures for an exception to the electronic submission requirement, you may mail (through the U.S. Postal Service or a commercial carrier) your application to the Department. You must mail the original and two copies of your application, on or before the application deadline date, to the Department at the following address:

U.S. Department of Education
OFO/G5 Functional Application Team
Mail Stop 5C231
Attention: (ALN 84.282T)
400 Maryland Avenue SW
Washington, DC 20202-4260
You must show proof of mailing consisting of one of the following:

1) A legibly dated U.S. Postal Service postmark.
2) A legible mail receipt with the date of mailing stamped by the U.S. Postal Service.
3) A dated shipping label, invoice, or receipt from a commercial carrier.
4) Any other proof of mailing acceptable to the Secretary of the U.S. Department of Education.

If you mail your application through the U.S. Postal Service, we do not accept either of the following as proof of mailing:

1) A private metered postmark.
2) A mail receipt that is not dated by the U.S. Postal Service.

If your application is postmarked after the application deadline date, we will not consider your application.

**Note:** The U.S. Postal Service does not uniformly provide a dated postmark. Before relying on this method, you should check with your local post office.

**Note for Mail Delivery of Paper Applications:**

If you mail your application to the Department--

1) You must indicate on the envelope and in Item 11 of the SF 424 the ALN, including suffix letter, if any, of the competition under which you are submitting your application; and
2) The G5 Functional Application Team will mail to you a notification of receipt of your grant application. If you do not receive this notification within 15 business days from the application deadline date, you should contact the person listed in the FOR FURTHER INFORMATION CONTACT section of the NIA.

**Grants.gov Submission Procedures and Tips for Applicants**

To facilitate your use of Grants.gov, this document includes important submission procedures you need to be aware of to ensure your application is received in a timely manner and accepted by the Department.

**Browser Support**

The latest versions of Microsoft Internet Explorer (IE), Mozilla Firefox, Google Chrome, and Apple Safari are supported for use with Grants.gov. However, these web browsers undergo frequent changes and updates, so we recommend you have the latest version when using Grants.gov. Legacy versions of these web browsers may be functional, but you may experience issues.

For additional information or updates, please see the Grants.gov Browser information in the Applicant FAQs: [http://www.grants.gov/web/grants/applicants/applicant-faqs.html#browser](http://www.grants.gov/web/grants/applicants/applicant-faqs.html#browser)
ATTENTION – Workspace, Adobe Forms and PDF Files Required

Grants.gov applicants can apply online using Workspace. Workspace is a shared, online environment where members of a grant team may simultaneously access and edit different web forms within an application. For each funding opportunity announcement (FOA), you can create individual instances of a workspace.

Below is an overview of applying on Grants.gov. For access to complete instructions on how to apply for opportunities, refer to: https://www.grants.gov/web/grants/applicants/workspace-overview.html

1) Create a Workspace: Creating a workspace allows you to complete it online and route it through your organization for review before submitting.

2) Complete a Workspace: Add participants to the workspace to work on the application together, complete all the required forms online or by downloading PDF versions, and check for errors before submission. The Workspace progress bar will display the state of your application process as you apply. As you apply using Workspace, you may click the blue question mark icon near the upper-right corner of each page to access context-sensitive help.

   a. Adobe Reader: If you decide not to apply by filling out web forms you can download individual PDF forms in Workspace. The individual PDF forms can be downloaded and saved to your local device storage, network drive(s), or external drives, then accessed through Adobe Reader. NOTE: Visit the Adobe Software Compatibility page on Grants.gov to download the appropriate version of the software at: https://www.grants.gov/web/grants/applicants/adobe-software-compatibility.html

   b. Mandatory Fields in Forms: In the forms, you will note fields marked with an asterisk and a different background color. These fields are mandatory fields that must be completed to successfully submit your application.

   c. Complete SF-424 Fields First: The forms are designed to fill in common required fields across other forms, such as the applicant name, address, and UEI Number. Once it is completed, the information will transfer to the other forms.

3) Submit a Workspace: An application may be submitted through workspace by clicking the Sign and Submit button on the Manage Workspace page, under the Forms tab. Grants.gov recommends submitting your application package at least 24-48 hours prior to the close date to provide you with time to correct any potential technical issues that may disrupt the application submission.
4) Track a Workspace Submission: After successfully submitting a workspace application, a Grants.gov Tracking Number (GRANTXXXXXXXXX) is automatically assigned to the application. The number will be listed on the Confirmation page that is generated after submission. Using the tracking number, access the Track My Application page under the Applicants tab or the Details tab in the submitted workspace.

For additional training resources, including video tutorials, refer to https://www.grants.gov/web/grants/applicants/applicant-training.html

Helpful Reminders

1) REGISTER EARLY – Grants.gov registration involves many steps including registration on SAM (www.sam.gov) which may take approximately one week to complete, but could take upwards of several weeks to complete, depending upon the completeness and accuracy of the data entered into the SAM database by an applicant. You may begin working on your application while completing the registration process, but you cannot submit an application until all of the Registration steps are complete. Please note that once your SAM registration is active, it will take 24-48 hours for the information to be available in Grants.gov, and before you can submit an application through Grants.gov. For detailed information on the Registration Steps, please go to: http://www.grants.gov/web/grants/register.html [Note: Your organization will need to update its SAM registration annually.]

Primary information about SAM is available at www.sam.gov. However, to further assist you with obtaining and registering your UEI number and TIN in SAM or updating your existing SAM account the Department has prepared a SAM.gov Tip Sheet which you can find at: http://www2.ed.gov/fund/grant/apply/sam-faqs.html

2) SUBMIT EARLY – We strongly recommend that you do not wait until the last day to submit your application. Grants.gov will put a date/time stamp on your application and then process it after it is fully uploaded. The time it takes to upload an application will vary depending on a number of factors including the size of the application and the speed of your Internet connection, and the time it takes Grants.gov to process the application will vary as well. If Grants.gov rejects your application (see step three below), you will need to resubmit successfully to Grants.gov before 11:59:59 p.m. Eastern Time on the deadline date.

Note: To submit successfully, you must provide the UEI number on your application that was used when you registered as an Authorized Organization Representative (AOR) on Grants.gov. This UEI number is typically the same number used when your organization registered with the SAM. If you do not enter the same UEI number on your application as the UEI you registered with, Grants.gov will reject your application.

3) VERIFY SUBMISSION IS OK – You will want to verify that Grants.gov received your application submission on time and that it was validated successfully. To see the date/time your application was received, login to Grants.gov and click on the Track My
Application link. For a successful submission, the date/time received should be earlier than 11:59:59 p.m. Eastern Time, on the deadline date, AND the application status should be: Validated, Received by Agency, or Agency Tracking Number Assigned. Once the Department receives your application from Grants.gov, an Agency Tracking Number (PR/award number) will be assigned to your application and will be available for viewing on Grants.gov’s Track My Application link.

If the date/time received is later than 11:59:59 p.m. Eastern Time, on the deadline date, your application is late. If your application has a status of “Received” it is still awaiting validation by Grants.gov. Once validation is complete, the status will either change to “Validated” or “Rejected with Errors.” If the status is “Rejected with Errors,” your application has not been received successfully. Some of the reasons Grants.gov may reject an application can be found on the Grants.gov site: [http://www.grants.gov/web/grants/applicants/encountering-error-messages.html](http://www.grants.gov/web/grants/applicants/encountering-error-messages.html). For more detailed information on troubleshooting Adobe errors, you can review the Adobe Software Tip Sheet at: [http://www.grants.gov/web/grants/applicants/adobe-software-compatibility.html](http://www.grants.gov/web/grants/applicants/adobe-software-compatibility.html). If you discover your application is late or has been rejected, please see the instructions below. Note: You will receive a series of confirmations both online and via e-mail about the status of your application. Please do not rely solely on e-mail to confirm whether your application has been received timely and validated successfully.

**Submission Problems – What should you do?**

If you have problems submitting to Grants.gov before the closing date, please contact Grants.gov Customer Support at 1-800-518-4726 or email at: [mailto:support@grants.gov](mailto:support@grants.gov) or access the Grants.gov Self-Service Knowledge Base web portal at: Error! Hyperlink reference not valid.

If electronic submission is required, you must submit an electronic application before 11:59:59 p.m., Eastern Time, unless you follow the procedures in the Federal Register notice for an exception to the electronic submission requirement and submit, no later than two weeks before the application deadline date, a written statement to the Department that you intend to submit a paper application. If electronic submission is optional and you have problems that you are unable to resolve before the deadline date and time for electronic applications, please follow the transmittal instructions for hard copy applications in the Federal Register notice and get a hard copy application postmarked by midnight on the deadline date. (See the Federal Register notice for detailed instructions.)

**Helpful Hints When Working with Grants.gov**

Please note, once you download an application from Grants.gov, you will be working offline and saving data on your computer. Please be sure to note where you are saving the Grants.gov file on your computer. You will need to logon to Grants.gov to upload and submit the application. **You must provide the UEI number on your application that was used when you registered as an Authorized Organization Representative (AOR) on Grants.gov.**

Please go to [http://www.grants.gov/web/grants/support.html](http://www.grants.gov/web/grants/support.html) for help with Grants.gov. For additional tips related to submitting grant applications, please refer to the Grants.gov Applicant
FAQs found at this Grants.gov link: http://www.grants.gov/web/grants/applicants/applicant-faqs.html

Dial-Up Internet Connections

When using a dial up connection to upload and submit your application, it can take significantly longer than when you are connected to the Internet with a high-speed connection, e.g. cable modem/DSL/T1. While times will vary depending upon the size of your application, it can take a few minutes to a few hours to complete your grant submission using a dial up connection. **If you do not have access to a high-speed connection and electronic submission is required, you may want to consider following the instructions in the Federal Register notice to obtain an exception to the electronic submission requirement no later than two weeks before the application deadline date.** (See the Federal Register notice for detailed instructions.)

Attaching Files – Additional Tips

Please note the following tips related to attaching files to your application, especially the requirement that applicants **only include read-only, flattened .PDF files** or Microsoft Word documents in their application:

- Ensure that you attach **.PDF files only** or Microsoft Word files for any attachments to your application, and any PDFs must be in a **read-only, flattened format** (meaning any fillable documents must be saved and submitted as non-fillable PDF files). PDF files and Microsoft Word files are the only Department-approved file type accepted as detailed in the common instructions. Applicants must submit individual PDF files only when attaching files to their application. Specifically, the Department will not accept any attachments that contain files within a file, such as PDF Portfolio files, or an interactive or fillable PDF file. Any attachments uploaded that are not PDF files or are password protected files will not be read.

- Grants.gov cannot process an application that includes two or more files that have the same name within a grant submission. Therefore, each file uploaded to your application package should have a unique file name.

- When attaching files, applicants should follow the guidelines established by Grants.gov on the size and content of file names. Uploaded file names must be fewer than 50 characters, and, in general, applicants should not use any special characters. However, Grants.gov does allow for the following UTF-8 characters when naming your attachments: A-Z, a-z, 0-9, underscore, hyphen, space, period, parenthesis, curly braces, square brackets, ampersand, tilde, exclamation point, comma, semi colon, apostrophe, at sign, number sign, dollar sign, percent sign, plus sign, and equal sign. Applications submitted that do not comply with the Grants.gov guidelines will be rejected at Grants.gov and not forwarded to the Department.

- Applicants should limit the size of their file attachments. Documents submitted that contain graphics and/or scanned material often greatly increase the size of the file attachments and can result in difficulties opening the files. For reference, the average
Submitting Applications with Adobe Reader Software

The Department, working with Grants.gov, is currently using Adobe Reader software exclusively and applications submitted to Grants.gov for the Department will be posted using Adobe forms.

Please note: The compatible version of Adobe Reader is **required** for viewing, editing and submitting PDF forms through Grants.gov. Applicants should confirm the compatibility of their Adobe Reader version **before** downloading the application. To ensure applicants have a version of Adobe Reader on their computer that is compatible with Grants.gov, applicants are encouraged to use the test package provided by Grants.gov that can be accessed at http://www.grants.gov/web/grants/applicants/adobe-software-compatibility.html.

**Important issues to consider:**

- If the applicant opened or edited the application package with any software other than a compatible version of Adobe Reader, the application package may contain errors that will be transferred to the new package even if you later download the compatible Adobe Reader version.

- Applicants **cannot** copy and paste data from a package initially opened or edited with an incompatible version of Adobe Reader and will need to download an **entirely new package** using the compatible version of Adobe Reader.

- Some applicants using an incompatible version of Adobe Reader **may have trouble** opening and viewing the application package while others may find they can open, view and complete the application package but **may not be able to submit** the application package through Grants.gov.

- Grants.gov **does not** guarantee to support versions of Adobe Reader that are not compatible with Grants.gov.

- Any and all edits made to the Adobe Reader application package **must** be made with a compatible version of Adobe Reader.

- If more than one person is entering text into a Workspace PDF form, the same version of Adobe Reader software should be used by each person. Check the version number of the Adobe software on each user's computer to make sure the versions match. Using different versions of Adobe Reader may cause submission and/or save errors – even if each version is individually compatible with Grants.gov.

**For your convenience, a compatible version of Adobe Reader is available for free download at** [http://www.grants.gov/web/grants/applicants/adobe-software-compatibility.html](http://www.grants.gov/web/grants/applicants/adobe-software-compatibility.html).

We strongly recommend that you review the information on computer and operating system compatibility with Adobe available at [http://www.grants.gov/web/grants/applicants/adobe-software-compatibility.html](http://www.grants.gov/web/grants/applicants/adobe-software-compatibility.html) before downloading, completing or submitting your application.

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discretionary grant application package with all attachments is less than 5 MB. Therefore, you may want to check the total size of your package before submission.
Applicants are reminded that they should submit their application a day or two in advance of the closing date as detailed in the Federal Register Notice. If you have any questions regarding this matter please email the Grants.gov Contact Center at support@grants.gov or call 1-800-518-4726.
Application Instructions

Electronic Application Format

Applications for grants under this competition must be submitted electronically, unless you qualify for an exception to the electronic submission requirement in accordance with the instructions in this application package.

In accordance with the Education Department General Administrative Regulations (EDGAR), 34 CFR 75.216 (b) and (c), an application will not be evaluated for funding if the applicant does not comply with all of the procedural rules that govern the submission of the application or the application does not contain the information required under the program.

Important note: Applications submitted to Grants.gov for the Department will be posted using Adobe forms. Therefore, applicants will need to download a compatible version of Adobe reader (see Grants.gov for compatible versions).

Information on computer and operating system compatibility with Adobe and links to download the latest version is available on Grants.gov. Also, please review the Submitting Applications with Adobe Reader Software and Grants.gov Submission Procedures and Tips for Applicants forms found within this package for further information and guidance related to this requirement.

We strongly recommend that you review these details on www.Grants.gov before completing and submitting your application. In addition, applicants should submit their application a day or two in advance of the closing date as detailed below. If you have any questions regarding this matter please email the Grants.gov Contact Center at support@grants.gov or call 1-800-518-4726.

Note: Please do not attach any narratives, supporting files, or application components to any forms unless it is specifically required by the instructions for the individual section of the application. Although several forms accept attachments, the Department will only review materials/files attached in accordance with the instructions provided within this application package.
Electronic Application Submission Checklist

It is recommended that your electronic application be organized in the following manner and include the following parts in order to expedite the review process. Instructions for all parts and forms of the application are found either on the following pages of the application package or individually for each form on Grants.gov.

Review your electronic application to ensure you have completed the following forms and sections:

Part 1: Preliminary Documents
- Application for Federal Assistance (form SF 424)
- ED Supplemental Information for SF 424

Part 2: Budget Information
- ED Budget Information Non-Construction Programs (ED Form 524)

Part 3: ED Abstract Form
- Project Abstract

Part 4: Project Narrative Attachment Form
- Application Narrative

Part 5: Budget Narrative Attachment Form
- Budget Narrative

Part 6: Other Attachments Form
- Attachment A Individual Resumes for Project Directors & Key Personnel
- Attachment B Proprietary Information (if applicable)
- Attachment C Additional Information (if applicable)

Part 7: Assurances and Certifications
- Assurances for Non-Construction Programs (SF 424B Form)
- Disclosure of Lobbying Activities (Standard Form LLL)
- Grants.gov Lobbying Form
- General Education Provisions Act (GEPA) Requirements – Section 427 (ED GEPA427 form)

Part 8: Intergovernmental Review (Executive Order 12372)
- State Single Point of Contact (SPOC) List
Part 1: Preliminary Documents

- Application for Federal Assistance (Form SF 424)
- ED Supplemental Information for SF 424

These forms require basic identifying information about the applicant and the application. Please provide all requested applicant information (including name, address, e-mail address and UEI number).

When applying electronically via Grants.gov, you will need to ensure that the UEI number you enter on your application is the same as the UEI number your organization used when it registered with the System for Award Management.

Applicants are advised to complete the Application for Federal Assistance (Form SF 424) first. Grants.gov will automatically insert the correct ALN and program name automatically wherever needed on other forms.

NOTE: Please do not attach any narratives, supporting files, or application components to the Standard Form (SF 424). Although this form accepts attachments, the Department will only review materials/files attached in accordance with the instructions provided within this application.
## INSTRUCTIONS FOR THE SF-424

### Grants.gov Form Instructions

<table>
<thead>
<tr>
<th>Form Identifiers</th>
<th>Information</th>
</tr>
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<tbody>
<tr>
<td>Agency Owner</td>
<td>Grants.gov</td>
</tr>
<tr>
<td>Form Name</td>
<td>Application for Federal Assistance (SF-424) V4.0</td>
</tr>
<tr>
<td>OMB Number</td>
<td>4040-0004</td>
</tr>
<tr>
<td>OMB Expiration Date</td>
<td>12/31/2022</td>
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</tbody>
</table>

### Form Field Instructions

<table>
<thead>
<tr>
<th>Field Number</th>
<th>Field Name</th>
<th>Required or Optional</th>
<th>Information</th>
</tr>
</thead>
</table>
| 1.           | Type of Submission:      | Required             | Select one type of submission in accordance with agency instructions.  
- Pre-application  
- Application  
- Changed/Corrected Application - Check if this submission is to change or correct a previously submitted application. Unless requested by the agency, applicants may not use this form to submit changes after the closing date. |
<table>
<thead>
<tr>
<th>Field Number</th>
<th>Field Name</th>
<th>Required or Optional</th>
<th>Information</th>
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</table>
| 2.           | Type of Application   | Required             | Select one type of application in accordance with agency instructions.  
|              |                       |                      | - New - An application that is being submitted to an agency for the first time.  
|              |                       |                      | - Continuation - An extension for an additional funding/budget period for a project with a projected completion date. This can include renewals.  
|              |                       |                      | - Revision - Any change in the federal government’s financial obligation or contingent liability from an existing obligation. If a revision, enter the appropriate letter(s). More than one may be selected.  
|              |                       |                      | A: Increase Award  
|              |                       |                      | B: Decrease Award  
|              |                       |                      | C: Increase Duration  
|              |                       |                      | D: Decrease Duration  
|              |                       |                      | E: Other (specify)  
|              |                       |                      | AC: Increase Award, Increase Duration  
|              |                       |                      | AD: Increase Award, Decrease Duration  
|              |                       |                      | BC: Decrease Award, Increase Duration  
|              |                       |                      | BD: Decrease Award, Decrease Duration  
| 3.           | Date Received:        | Required             | Enter date if form is submitted through other means as instructed by the Federal agency. The date received is completed electronically if submitted via Grants.gov.  
| 4.           | Applicant Identifier: |                      | Enter the entity identifier assigned by the Federal agency, if any, or the applicant’s control number if applicable.  
| 5a.          | Federal Entity Identifier: |                  | Enter the number assigned to your organization by the federal agency, if any.  

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*OMB Expiration Date: 12/31/2022*
<table>
<thead>
<tr>
<th>Field Number</th>
<th>Field Name</th>
<th>Required or Optional</th>
<th>Information</th>
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</thead>
<tbody>
<tr>
<td>5b.</td>
<td>Federal Award Identifier:</td>
<td>Optional</td>
<td>For new applications, leave blank. For a continuation or revision to an existing award, enter the previously assigned federal award identifier number. If a changed/corrected application, enter the federal identifier in accordance with agency instructions.</td>
</tr>
<tr>
<td>6.</td>
<td>Date Received by State:</td>
<td>Optional</td>
<td>Leave this field blank. This date will be assigned by the state, if applicable.</td>
</tr>
<tr>
<td>7.</td>
<td>State Application Identifier:</td>
<td>Optional</td>
<td>Leave this field blank. This identifier will be assigned by the state, if applicable.</td>
</tr>
<tr>
<td>8.</td>
<td>Applicant Information:</td>
<td>Optional</td>
<td>Enter the following in accordance with agency instructions.</td>
</tr>
<tr>
<td>a. Legal Name:</td>
<td>Required</td>
<td>Required</td>
<td>Enter the legal name of the applicant that will undertake the assistance activity. This is the organization that has registered with the System for Award Management (SAM). Information on registering with SAM may be obtained by visiting SAM.gov.</td>
</tr>
<tr>
<td>b. Employer/Taxpayer Number (EIN/TIN):</td>
<td>Required</td>
<td>Required</td>
<td>Enter the employer or taxpayer identification number (EIN or TIN) as assigned by the Internal Revenue Service. If your organization is not in the US, enter 44-44444444.</td>
</tr>
<tr>
<td>c. UEI:</td>
<td>Required</td>
<td>Required</td>
<td>Enter the organization’s UEI received from SAM. The UEI is a unique 12 character organization identifier. Information on registering with System for Award Management (SAM.gov) may be obtained by visiting the Grants.gov website.</td>
</tr>
<tr>
<td>d. Address:</td>
<td>Required</td>
<td>Required</td>
<td>Enter address: Street 1 (required); City (required); County/Parish, State (required if country is US); Province; Country (required); 5-digit ZIP/Postal Code (required if country is US). If +4 does not exist for the address, enter “0000”.</td>
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<td></td>
<td>e. Organizational Unit</td>
<td>Optional</td>
<td>Enter the name of the primary organizational unit, department, or division that will undertake the assistance activity.</td>
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<tr>
<td></td>
<td>f. Name and contact information of person to be contacted on matters involving this application</td>
<td>Required</td>
<td>Enter the first and last name (required); prefix, middle name, suffix, and title. Enter organizational affiliation if affiliated with an organization other than that in 7.a. Telephone number and email (required); fax number.</td>
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<td>Field Number</td>
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<td>9.</td>
<td>Type of Applicant: Select Applicant Type</td>
<td>Required</td>
<td>Select a minimum of one applicant type or select up to three applicant types in accordance with agency instructions. If “Other” is selected, then specify Other Type of Applicant in text box.</td>
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<td>A. State Government</td>
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<td>B. County Government</td>
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<td>C. City or Township Government</td>
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<td>D. Special District Government</td>
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<td>E. Regional Organization</td>
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<td>F. U.S. Territory or Possession</td>
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<td>G. Independent School District</td>
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<td>H. Public/State Controlled Institution of Higher Education</td>
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<td>I. Indian/Native American Tribal Government (Federally Recognized)</td>
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<td>J. Indian/Native American Tribal Government (Other than Federally Recognized)</td>
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<td>K. Indian/Native American Tribally Designated Organization</td>
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<td>L. Public/Indian Housing</td>
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<td>M. Nonprofit</td>
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<td>N. Private Institution of Higher Education</td>
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<td>O. Individual</td>
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<td>P. For-Profit Organization (Other than Small Business)</td>
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<td>Q. Small Business</td>
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<td>R. Hispanic-serving Institution</td>
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<td>S. Historically Black Colleges and Universities (HBCUs)</td>
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<td></td>
<td>T. Tribally Controlled Colleges and Universities (TCCUs)</td>
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<td>U. Alaska Native and Native Hawaiian Serving Institutions</td>
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<td>V. Non-US Entity</td>
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<td>W. Other (specify)</td>
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<tr>
<td>Field Number</td>
<td>Field Name</td>
<td>Required or Optional</td>
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<tr>
<td>10.</td>
<td>Name of Federal Agency:</td>
<td>Required</td>
<td>Enter the name of the federal agency from which assistance is being requested with this application. This information is pre-populated if submitting through Grants.gov.</td>
</tr>
<tr>
<td>11.</td>
<td>Catalog Of Federal Domestic Assistance Number/Title</td>
<td>Required</td>
<td>Enter the Catalog of Federal Domestic Assistance number and title of the program under which assistance is requested, as found in the program announcement, if applicable. This information is pre-populated if using Grants.gov.</td>
</tr>
<tr>
<td>12.</td>
<td>Funding Opportunity Number/Title</td>
<td>Required</td>
<td>Enter the Funding Opportunity Number and title of the opportunity under which assistance is requested as found in the program announcement. This information is pre-populated if using Grants.gov.</td>
</tr>
<tr>
<td>13.</td>
<td>Competition Identification Number/Title:</td>
<td></td>
<td>Enter the competition identification number and title of the competition under which assistance is requested, if applicable. These fields are pre-populated by Grants.gov if provided by the federal agency.</td>
</tr>
<tr>
<td>14.</td>
<td>Areas Affected By Project:</td>
<td></td>
<td>This data element is intended for use only by programs for which the area(s) affected are likely to be different from the place(s) of performance reported on the SF-424 Project/Performance Site Location(s) Form. Add attachment to enter additional areas, if needed.</td>
</tr>
<tr>
<td>15.</td>
<td>Descriptive Title of Applicant’s Project:</td>
<td>Required</td>
<td>Enter a brief descriptive title of the project. Supporting documents may be attached if specified in agency instructions.</td>
</tr>
<tr>
<td>Field Number</td>
<td>Field Name</td>
<td>Required or Optional</td>
<td>Information</td>
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</tr>
<tr>
<td>16.</td>
<td>Congressional Districts</td>
<td>Required</td>
<td>16a. Enter the applicant’s congressional district. 16b. Enter the primary district affected by the program or project. Enter in the following format: 2 character state abbreviation – 3 characters district number, e.g., CA-005 for California 5th district, CA-012 for California 12th district, NC-103 for North Carolina’s 103rd district. If all congressional districts in a state are affected, enter “all” for the district number, e.g., MD-all for all congressional districts in Maryland. If nationwide, i.e., all districts within all states are affected, enter US-all. If the program/project is outside the US, enter 00.000. This optional data element is intended for use only by programs for which the area(s) affected are likely to be different than place(s) of performance reported on the SF-424 Project/Performance Site Location(s) form. Attach an additional list of program/project congressional districts, if needed.</td>
</tr>
<tr>
<td>17.</td>
<td>Proposed Project Start and End Dates:</td>
<td>Required</td>
<td>Enter the proposed start date and end date of the project.</td>
</tr>
<tr>
<td>18.</td>
<td>Estimated Funding:</td>
<td>Required</td>
<td>Enter the amount requested, or to be contributed during the first funding/budget period by each contributor. Value of in-kind contributions should be included on appropriate lines, as applicable. If the action will result in a dollar change to an existing award, indicate only the amount of the change. For decreases, enclose the amounts in parentheses. For zero funding, enter 0.</td>
</tr>
</tbody>
</table>

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<table>
<thead>
<tr>
<th>Field Number</th>
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<th>Information</th>
</tr>
</thead>
<tbody>
<tr>
<td>19.</td>
<td>Is Application Subject to Review by State Under Executive Order</td>
<td>Required</td>
<td>Applicants should contact the State Single Point of Contact (SPOC) for Federal Executive Order 12372 to determine whether the application is subject to the State intergovernmental review process. Select the appropriate box. If “A.” is selected, enter the date the application was submitted to the State.</td>
</tr>
<tr>
<td>20.</td>
<td>Is the Applicant Delinquent on any Federal Debt?</td>
<td>Required</td>
<td>Select the appropriate box. This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of federal debt include; but may not be limited to: delinquent audit disallowances, loans, and taxes. If yes, include an explanation in an attachment.</td>
</tr>
<tr>
<td>21.</td>
<td>Authorized Representative:</td>
<td>Required</td>
<td>To be signed and dated by the authorized representative of the applicant organization. Enter the first and last name (required); prefix, middle name, and suffix. Enter title, telephone number, fax number, and email. Fax number is not required. A copy of the governing body’s authorization for you to sign this application as the official representative must be on file in the applicant’s office. (Certain federal agencies may require that this authorization be submitted as part of the application.) If the application is submitted via Grants.gov, the signature of the authorized representative and the date signed are completed upon submission.</td>
</tr>
</tbody>
</table>
Instructions for U.S. Department of Education
Supplemental Information for the SF-424 Application for Federal Assistance

1. Project Director. Name, address, telephone and fax numbers, and e-mail and alternate email addresses of the Project Director to be contacted on matters involving this application. Enter Project Director’s level of effort (the percentage of time devoted to the grant). Items marked with an asterisk (*) are mandatory.

2. New Potential Grantee or Novice Applicant. If applicable, for (a), check “Yes” if you meet the definition for new potential grantees or novice applicants specified in the program competition’s notice inviting applications (NIA) and included on the attached page entitled “Definitions for U.S. Department of Education Supplemental Information for the SF-424.” By checking “Yes” the applicant certifies that it meets the new potential grantee or novice applicant requirements. Check “No” if you do not meet the definition for new potential grantees or novice applicants. For (b), if the program competition NIA is giving competitive preference points for new potential grantees or novice applicants, indicate how many points you are claiming for your application. The NIA will indicate how many are available depending on the design of the competition. Some competitions may provide more than one category of new potential grantees with differing levels of points.

3. Qualified Opportunity Zones. If applicable, provide the Qualified Opportunity Zones (QOZ) census tract number(s) if the NIA includes a QOZ Priority in which you propose to either provide services in QOZ(s) or are located in a QOZ.


4a. If Not Human Subjects Research. Check “No” if research activities involving human subjects are not planned at any time during the proposed project period. The remaining parts of Item 3 are then not applicable.

4a. If Human Subjects Research. Check “Yes” if research activities involving human subjects are planned at any time during the proposed project period, either at the applicant organization or at any other performance site or collaborating institution. Check “Yes” even if the research is exempt from the regulations for the protection of human subjects. (See I. B. “Exemptions” in attached page entitled “Definitions for U.S. Department of Education Supplemental Information for the SF-424 Application for Federal Assistance.”)

4b. If Human Subjects Research is Exempt from the Human Subjects Regulations. Check “Yes” if all the research activities proposed are designated to be exempt from the regulations. Check the exemption number(s) corresponding to one or more of the eight exemption categories (Regulation revised in 2018 and became effective in 2019) listed in I. B. “Exemptions.” In addition, follow the instructions in II. A. “Exempt Research Narrative” in the attached page entitled “Definitions for U.S. Department of Education Supplemental Information for the SF-424 Application for Federal Assistance.”

4b. If Human Subjects Research is Not Exempt from Human Subjects Regulations. Check “No” if some or all of the planned research activities are covered (not exempt). In addition, follow the instructions in II. B. “Nonexempt Research Narrative” in the attached page entitled “Definitions for U.S. Department of Education Supplemental Information for the SF-424 Application for Federal Assistance.”

4b. Human Subjects Assurance Number. If the applicant has an approved Federal Wide Assurance (FWA) on file with the Office for Human Research Protections (OHRP), U.S. Department of Health and Human Services, that covers the specific activity, insert the number in the space provided. (A list of current FWAs is available at: http://ohrp.nih.gov/search/search.aspx?styp=bsc.) If the applicant does not have an approved assurance on file with OHRP, enter “None.” In this case, the applicant, by signature on the SF-424, is declaring that it will comply with 34 CFR part 97 and proceed to obtain the human subjects assurance upon request by the designated ED official. If the application is recommended/selected for funding, the designated ED official will request that the applicant obtain the assurance within 30 days after the specific formal request.

**Note about Institutional Review Board Approval.** ED does not require certification of Institutional Review Board approval with the application. However, if an application that involves non-exempt human subjects research is recommended/selected for funding, the designated ED official will request that the applicant obtain and send the certification to ED within 30 days after the formal request. **No** covered human subjects research can be conducted until the study has ED clearance for protection of human subjects in research.

**Public Burden Statement:**
According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. Public reporting burden for this collection of information is estimated to average 20 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. The obligation to respond to this collection is required to obtain or retain benefit (20 USC 3474 General Education Provisions Act). Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the U.S. Department of Education, 400 Maryland Ave., SW, Washington, DC 20210-4537 or email **ICDocketMgr@ed.gov** and reference the OMB Control Number 1894-0007. Note: Please do not return the completed ED SF 424 Supplemental Form to this address.
Definitions for U.S. Department of Education
Supplemental Information for the SF-424 Application for Federal Assistance

Definitions:

NEW POTENTIAL GRANTEE OR NOVICE APPLICANT

**New Potential Grantee:** The definition of New Potential Grantee is set in the program competition’s Notice Inviting Applications (NIA). The New Potential Grantee priority is from the Department’s Administrative Priorities for Discretionary Grant Programs published in the Federal Register March 9, 2020 (85 FR 13640).

**Novice Applicant:** For discretionary grant programs, novice applicant means any applicant for a grant from ED that—

- Has never received a grant or subgrant under the program from which it seeks funding;

- Has never been a member of a group application, submitted in accordance with 34 CFR 75.127-75.129, that received a grant under the program from which it seeks funding; and

- Has not had an active discretionary grant from the Federal government in the five years before the deadline date for applications under the program. For the purposes of this requirement, a grant is active until the end of the grant’s project or funding period, including any extensions of those periods that extend the grantee’s authority to obligate funds.

In the case of a group application submitted in accordance with 34 CFR 75.127-75.129, a group includes only parties that meet the requirements listed above. The Novice Applicant priority is from 34 CFR 75.225, and it must be included in the program competition NIA to be claimed on the form.

QUALIFIED OPPORTUNITY ZONES

**Definition:** From 26 USC section 1400Z–1 of the Internal Revenue Code, which, in relevant part, defines “qualified opportunity zone” as “a population census tract that is a low-income community that is designated as a qualified opportunity zone.”

The Qualified Opportunity Zones priority is from the Department’s Final Priority for Discretionary Grant Programs published in the Federal Register November 27, 2019 (84 FR 65300).

**Note:** The Treasury Department has created a website of Opportunity Zones Resources that includes a searchable map: https://www.cdfifund.gov/Pages/Opportunity-Zones.aspx.

PROTECTION OF HUMAN SUBJECTS IN RESEARCH

I. Definitions and Exemptions

**A. Definitions.**

A research activity involves human subjects if the activity is research, as defined in the Department’s regulations, and the research activity will involve use of human subjects, as defined in the regulations.

—**Research**

The ED Regulations for the Protection of Human Subjects, Title 34, Code of Federal Regulations, Part 97, define research as “a systematic investigation, including research development, testing and evaluation, designed to develop or contribute to generalizable knowledge. Activities which meet this definition constitute research whether or not they are conducted or supported under a program that is considered research for other purposes. For example, some demonstration and service programs may include research activities.”

—**Human Subject**

The regulations define human subject as “a living individual about whom an investigator (whether professional or student) conducting research obtains (i) information or biospecimens through intervention or interaction with the individual and uses, studies, or analyzes the information or biospecimens, or (ii) obtains, uses, studies, analyzes, or generate identifiable private information or identifiable biospecimens.”

If an activity involves obtaining information about a living person by manipulating that person or that person’s environment, as might occur when a new instructional technique is tested, or by communicating or interacting with
the individual, as occurs with surveys and interviews, the
definition of human subject is met. If an activity involves
obtaining private information about a living person in such a
way that the information can be directly or indirectly linked to
that individual, the definition of human subject is met.

Private information includes information about behavior that
occurs in a context in which an individual can reasonably
expect that no observation or recording is taking place, and
information which has been provided for specific purposes by
an individual and which the individual can reasonably expect
will not be made public (for example, a school health record).

B. Exemptions.

Research activities in which the only involvement of human
subjects will be in one or more of the following eight categories
of exemptions are not covered by the regulations:

(1) Research conducted in established or commonly
accepted educational settings, that specifically involves normal
educational practices that are not likely to adversely impact
students’ opportunity to learn required educational content or
the assessment of educators who provide instruction. This
includes most research on regular and special education
instructional strategies, and research on the effectiveness of or
the comparison among instructional techniques, curricula, or
classroom management methods. If an educational practice is
being introduced to the site and is not widely used for similar
populations, it is not covered by this exemption.

(2) Research that only includes interactions involving
educational tests (cognitive, diagnostic, aptitude,
achievement), survey procedures, interview procedures or
observation of public behavior (including visual or auditory
recordings) if at least one of the following criteria is met: (i) the
information obtained is recorded by the investigator in such a
manner that the identity of the human subjects cannot readily be
ascertained, directly or through identifiers linked to the
subjects; (ii) Any disclosure of the human subjects’ responses
outside the research would not reasonably place the subjects
at risk of criminal or civil liability or be damaging to the subject’
financial standing, employability, educational advancement or
reputation; or (C) The information obtained is recorded by the
investigator in such a manner that the identity of the human
subjects can readily be ascertained, directly or through
identifiers linked to the subjects, and an IRB conducts a limited
IRB review to make the determination required by 34 CFR
97.111(a)(7).

For the purpose of this provision, benign behavioral
interventions are brief in duration, harmless, painless, not
physically invasive, not likely to have a significant adverse
lasting impact on the subjects, and the investigator has no
reason to think the subjects will find the interventions offensive
or embarrassing. Provided all such criteria are met, examples
of such benign behavioral interventions would include having
the subject play an online game, having them solve puzzles
under various noise conditions, or having them decide how to
allocate a nominal amount of received cash between
themselves and someone else.

If the research involves deceiving the subjects regarding the
nature or purposes of the research, this exemption is not
applicable unless the subject authorizes the deception through
a prospective agreement to participate in research in
circumstances in which the subject is informed that he or she
will be unaware of or misled regarding the nature or purposes
of the research.

(3) Research involving benign behavioral interventions in
conjunction with the collection of information from an adult
subject through verbal or written responses (including data
entry) or audiovisual recording if the subject prospectively
agrees to the intervention and information collection and at
least one of the following criteria is met: (A) The information
obtained is recorded by the investigator in such a manner that
the identity of the human subjects cannot readily be
ascertained directly or through identifiers linked to the
subjects; (B) Any disclosure of the human subjects’ responses
outside the research would not reasonably place the subjects
at risk of criminal or civil liability or be damaging to the subject’
financial standing, employability, educational advancement or
reputation; or (C) The information obtained is recorded by the
investigator in such a manner that the identity of the human
subjects can readily be ascertained, directly or through
identifiers linked to the subjects, and an IRB conducts a limited
IRB review to make the determination required by 34 CFR
97.111(a)(7).

If the research involves children, exemption 2 applies only to
research involving educational tests and observations of
public behavior when the investigator(s) do not participate in
the activities being observed.
Information, which may include information about biospecimens, is recorded by the investigator in such a manner that the identity of the human subjects cannot readily be ascertained directly or through identifiers linked to the subjects, the investigator does not contact the subjects, and the investigator will not re-identify subjects; (iii) the research involves only information collection and analysis involving the investigators’ use of identifiable health information when that use is regulated under 45 CFR parts 160 and 164, subparts A and E, for the purposes of “health care operations” or “research” as those terms are defined at 45 CFR 164.501 or for “public health activities and purposes” as described under 45 CFR 164.512 (b); or (iv) The research is conducted by, or on behalf of, a Federal department or agency using government-generated or government-collected information obtained for nonresearch activities, if the research generates identifiable private information that is or will be maintained on information technology that is subject to and in compliance with section 208(b) of the E-Government Act of 2002, 44 USC 3501 note, if all of the identifiable private information collected, used or generated as part of the activity will be maintained in systems of records subject to the Privacy Act of 1974, 5 USC 552a, and, if applicable, the information used in the research was collected subject to the Paperwork Reduction Act of 1995, 44 USC 3501 et seq.

(5) Research and demonstration projects that are conducted or supported by a Federal department or agency, or otherwise subject to the approval of department or agency heads (or the approval of the heads of bureaus or other subordinate agencies that have been delegated authority to conduct the research and demonstration projects), and that are designed to study, evaluate, improve, or otherwise examine public benefit or service programs, including procedures for obtaining benefits or services under those programs, possible changes in or alternative to those programs or procedures, or possible changes in methods or levels of payment for benefits or services under those programs. Such projects include, but are not limited to, internal studies by Federal employees, and studies under contracts or consulting arrangements, cooperative agreements, or grants. Exempt projects also include waivers of otherwise mandatory requirements using authorities such as sections 1115 and 1115A of the Social Security Act as amended.

Each Federal department or agency conducting or supporting the research and demonstration projects must establish, on a publicly accessible Federal website or in such other manner as the department or agency head may determine, a list of the research and demonstration projects that the Federal department or agency conducts or supports under this provision. The research or demonstration project must be published on this list prior to commencing the research involving human subjects.

(6) Taste and food quality evaluation and consumer acceptance studies, (a) if wholesome foods without additives are consumed or (b) if a food is consumed that contains a food ingredient at or below the level and for a use found to be safe, or agricultural chemical or environmental contaminant at or below the level found to be safe, by the Food and Drug Administration or approved by the Environmental Protection Agency or the Food Safety and Inspection Service of the U.S. Department of Agriculture.

(7) Storage or Maintenance for Secondary Research for which Broad Consent is required. Storage or maintenance of identifiable private information or identifiable biospecimens for potential secondary research use if an IRB conducts a limited IRB review and makes the determinations requires by 34 CFR 97.111(a)(8).

(8) Secondary Research for which Broad Consent is Required. Research involving the use of identifiable private information or identifiable biospecimens for secondary research use if the following criteria are met: (i) Broad Consent for the storage, maintenance and secondary research use of the identifiable private information or identifiable biospecimens was obtained in accordance with 34 CFR 97.116(a)-(d); (ii) Documentation of informed consent or waiver of documentation of consent was obtained in accordance with 34 CFR 97.117. (iii) an IRB conducts a limited IRB review and makes the determination that the research to be conducted is within the scope of the broad consent referenced in paragraph (d)(8)(i) of this section; and (iv) The investigator does not prevent an investigator from abiding by any legal requirements to return individual research results.

II. Instructions for Exempt and Nonexempt Human Subjects Research Narratives

If the applicant marked “Yes” for Item 3.b. of the U.S. Department of Education Supplemental Information for the SF-424 form, the applicant must attach a human subjects “exempt research” or “nonexempt research” narrative to the U.S. Department of Education Supplemental Information for the SF-424 form. If you have multiple projects, include information about each, labeling the responses as to the project they address. For applications that include multiple research projects this can be done in a single narrative or in more than one narrative as appropriate.

A. Exempt Research Narrative.

If you marked “Yes” for item 3.b. and designated exemption numbers(s), attach the “exempt research” narrative to the U.S. Department of Education Supplemental Information for the SF-424 form. The narrative must contain sufficient information
about the involvement of human subjects in the proposed research to allow a determination by ED that the designated exemption(s) are appropriate. The narrative must be succinct.

B. Nonexempt Research Narrative.

If you marked “No” for item 3.b. you must attach the “nonexempt research” narrative to the U.S. Department of Education Supplemental Information for the SF-424 form. The narrative must address the following seven points. Although no specific page limitation applies to this section of the application, be succinct.

(1) Human Subjects Involvement and Characteristics:
Provide a detailed description of the proposed involvement of human subjects. Describe the characteristics of the subject population, including their anticipated number, age range, and health status. Identify the criteria for inclusion or exclusion of any subpopulation. Explain the rationale for the involvement of special classes of subjects, such as children, children with disabilities, adults with disabilities, persons with mental disabilities, pregnant women, prisoners, institutionalized individuals, or others who are likely to be vulnerable.

(2) Sources of Materials:
Identify the sources of research material obtained from individually identifiable living human subjects in the form of specimens, records, or data. Indicate whether the material or data will be obtained specifically for research purposes or whether use will be made of existing specimens, records, or data.

(3) Recruitment and Informed Consent:
Describe plans for the recruitment of subjects and the consent procedures to be followed. Include the circumstances under which consent will be sought and obtained, who will seek it, the nature of the information to be provided to prospective subjects, and the method of documenting consent. State if the IRB has authorized a modification or waiver of the elements of consent or the requirement for documentation of consent.

(4) Potential Risks:
Describe potential risks (physical, psychological, social, legal, or other) and assess their likelihood and seriousness. Where appropriate, describe alternative treatments and procedures that might be advantageous to the subjects.

(5) Protection Against Risk:
Describe the procedures for protecting against or minimizing potential risks, including risks to confidentiality, and assess their likely effectiveness. Where appropriate, discuss provisions for ensuring necessary medical or professional intervention in the event of adverse effects to the subjects. Also, where appropriate, describe the provisions for monitoring the data collected to ensure the safety of the subjects.

(6) Importance of the Knowledge to be Gained:
Discuss the importance of the knowledge gained or to be gained as a result of the proposed research. Discuss why the risks to subjects are reasonable in relation to the anticipated benefits to subjects and in relation to the importance of the knowledge that may reasonably be expected to result.

(7) Collaborating Site(s):
If research involving human subjects will take place at collaborating site(s) or other performance site(s), name the sites and briefly describe their involvement or role in the research.


NOTE: The State Applicant Identifier on the SF-424 form is for State Use only. Please complete it on the SF-424 form in the upper right corner of the form (if applicable).
Part 2: Budget Information

- ED Budget Information Non-Construction Programs (ED Form 524)

This part of your application contains information about the Federal funding you are requesting. Remember that you must provide all requested budget information for each year of the project (up to 36 months) and the total column in order to be considered for Federal funding. Specific instructions for completing the budget forms are provided within this application package.

Instructions for completing ED Form 524 Section A:

Name of Institution/Organization: Enter the name of the applicant in the space provided.

Personnel (line 1): Enter project personnel salaries and wages only. Include fees and expenses for consultants on line 6.

Fringe Benefits (line 2): The institution’s normal fringe benefits contribution may be charged to the program. Leave this line blank if fringe benefits applicable to direct salaries and wages are treated as part of the indirect cost.

Travel (line 3): Indicate the travel costs of employees and participants only. Include travel of persons such as consultants on line 6.

Equipment (line 4): Indicate the cost of tangible, non-expendable personal property that has a usefulness greater than one year and acquisition costs that are the lesser of the capitalization level established by the applicant entity for financial statement purposes or $5,000 per article. Lower limits may be established to maintain consistency with the applicant’s policy.

Supplies (line 5): Show all tangible, expendable personal property. Direct supplies and materials differ from equipment in that they are consumable, expendable, and of a relatively low unit cost. Supplies purchased with grant funds should directly benefit the grant project and be necessary for achieving the goals of the project.

Contractual (line 6): The contractual category should include all costs specifically incurred with actions that the applicant takes in conjunction with an established internal procurement system. Include consultant fees, expenses, and travel costs in this category if the consultant’s services are obtained through a written binding agreement or contract.

Construction (line 7): Not applicable.

Other (line 8): Indicate all direct costs not covered on lines 1-6. For example, include costs such as space rental, required fees, honoraria and travel (where a contract is not in place for services), training, and communication and printing costs. Do not include costs that are included in the indirect cost rate.

Total Direct Costs (line 9): The sum of lines 1-8.
Indirect Costs (line 10): Indicate the applicant’s approved indirect cost rate, per sections 75.560-75.564 of EDGAR. If an applicant does not have an approved indirect cost rate agreement with a cognizant Federal agency, the applicant must apply to the Department for a temporary indirect cost rate if it wishes to charge indirect costs to the grant. For more information, go to the Department's website at: [http://www.ed.gov/about/offices/list/ocfo/fipao/icgindex.html](http://www.ed.gov/about/offices/list/ocfo/fipao/icgindex.html).

Training Stipends (line 11): The training stipend line item only pertains to costs associated with long term training programs and college or university coursework, not workshops or short-term training supported by this program.

Total Cost (line 12): This should equal to sum of lines 9-11 (total direct costs + indirect + stipends). The sum for column one, labeled Project Year 1 (a), should also be equal to item 15a on the application cover sheet (SF Form 424).
Instructions for ED 524

General Instructions
This form is used to apply to individual U.S. Department of Education (ED) discretionary grant programs. Unless directed otherwise, provide the same budget information for each year of the multi-year funding request. Pay attention to applicable program specific instructions, if attached. You may access the Education Department General Administrative Regulations cited within these instructions at: https://www.ecfr.gov/cgi-bin/text-idx?SID=6214841a79953f26c5c230d6b70a1&mc=true#text-ecfr02/2CFR75_main_02.tpl. You may access requirements from 2 CFR 200, “Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards” cited within these instructions at: https://www.ecfr.gov/cgi-bin/text-idx?SID=6214841a79953f26c5c230d6b70a1&mc=true#text-ecfr02/2CFR75_main_02.tpl.

You must consult with your Business Office prior to submitting this form.

Section A - Budget Summary
U.S. Department of Education Funds
All applicants must complete Section A and provide a break-down by the applicable budget categories shown in lines 1-11.

Lines 1-11, columns (a)-(e): For each project year for which funding is requested, show the total amount requested for each applicable budget category.

Lines 1-11, column (f): Show the multi-year total for each budget category. If funding is requested for only one project year, leave this column blank.

Line 13, columns (a)-(e): Show the total budget request for each project year for which funding is requested.

Indirect Cost Information: If you are requesting reimbursement for indirect costs on line 10 the indirect cost rate to be charged to the grant must be entered in the applicable field on line 10, and the following information is to be completed by your Business Office.

(1): Indicate whether or not your organization has an Indirect Cost Rate Agreement that was approved by the Federal government. If you checked “no,” ED generally will authorize grantees to use a temporary rate of 10 percent of budgeted salaries and wages (complete (4) of this section when using the temporary rate) subject to the following limitations:
   (a) The grantee must submit an indirect cost proposal to its cognizant agency within 90 days after ED issues a grant award notification; and
   (b) if after the 90-day period, the grantee has not submitted an indirect cost proposal to its cognizant agency, the grantee may not charge its grant for indirect costs until it has negotiated an indirect cost rate agreement with its cognizant agency.

(2): If you checked “yes” in (1), provide a copy of your Indirect Cost Rate Agreement and indicate in (2) the beginning and ending dates covered by the Indirect Cost Rate Agreement. In addition, indicate whether ED, another Federal agency (Other) or State agency issued the approved agreement. If you check “Other,” specify the name of the Federal or other agency that issued the approved agreement.

(3): If you check “no” in (1), indicate in (3) if you want to use the de minimis rate of 10 percent of MTDC (see 2CFR § 200.68). If you use the de minimis rate, you are subject to the provisions in 2 CFR § 200.414(f). Note, you may only use the 10 percent de minimis rate if you are a first-time Federal grant recipient, and you do not have an Approved Indirect Cost Rate Agreement. You may not use the de minimis rate if you are a State, Local government, or Indian Tribe, or if your grant is funded under a training rate or restricted rate program.

(5): If you are applying for a grant under a Restricted Rate Program (34 CFR 75.563 or 75.563), indicate whether you are using a restricted indirect cost rate that is included on your approved Indirect Cost Rate Agreement, or whether you are using a restricted indirect cost rate that complies with 34 CFR 75.564(c)(2). Note: State or Local government agencies may not use the provision for a restricted indirect cost rate specified in 34 CFR 75.564(c)(2). Check only one response. Leave blank, if this item is not applicable.

(6): For Training Rate Programs, ED regulations limit non-governmental entities to the recovery of indirect costs on training grants to the grantee’s actual indirect costs, as determined by its negotiated rate agreement, or 8 percent of a MTDC, whichever is lower (see EDGAR § 75.562(l)(4)). The 8 percent limit also applies to cost-type contracts under grants, if these contracts are for training as defined in EDGAR § 75.562(a). If a non-governmental entity that receives a grant under a training grant program does not have an approved indirect cost rate and wants to recover indirect costs, it may use a temporary rate of 10 percent of budgeted direct salaries and wages, but it must submit an indirect cost rate proposal to its cognizant agency for indirect costs within 90 days after ED issues the GAN. After the 90-day period, the government entity may not charge its grant for indirect costs until it has negotiated an indirect cost rate agreement.

Section B - Budget Summary
Non-Federal Funds
If you are required to provide or volunteer to provide cost-sharing or matching funds or other non-Federal resources to the project, these should be shown for each applicable budget category on lines 1-11 of Section B.

Lines 1-11, columns (a)-(e): For each project year, for which matching funds or other contributions are provided, show the total contribution for each applicable budget category.

Lines 1-11, column (f): Show the multi-year total for each budget category. If non-Federal contributions are provided for only one year, leave this column blank.

Line 12, columns (a)-(e): Show the total matching or other contribution for each project year.

Line 12, column (f): Show the total amount to be contributed for all years of the multi-year project. If non-Federal contributions are provided for only one year, leave this space blank.
Section C - Budget Narrative [Attach separate sheet(s)]
Pay attention to applicable program specific instructions, if attached.

1. Provide an itemized budget breakdown, and justification by project year, for each budget category listed in Sections A and B. For grant projects that will be divided into two or more separately budgeted major activities or sub-projects, show for each budget category of a project year the breakdown of the specific expenses attributable to each sub-project or activity.

2. For non-Federal funds or resources listed in Section B that are used to meet a cost-sharing or matching requirement or provided as a voluntary cost-sharing or matching commitment, you must include:
   a. The specific costs or contributions by budget category;
   b. The source of the costs or contributions; and
   c. In the case of third-party in-kind contributions, a description of how the value was determined for the donated or contributed goods or services.

[Please review cost sharing and matching regulations found in 2 CFR 200.306.]

3. If applicable to this program, provide the rate and base on which fringe benefits are calculated.

4. If you are requesting reimbursement for indirect costs on line 10, this information is to be completed by your Business Office. Specify the estimated amount of the base to which the indirect cost rate is applied and the total indirect expense. Depending on the grant program to which you are applying and/or your approved Indirect Cost Rate Agreement, some direct cost budget categories in your grant application budget may not be included in the base and multiplied by your indirect cost rate. For example, you must multiply the indirect cost rates of “Training grants” (34 CFR 75.562) and grants under programs with “Supplement not Supplant” requirements (“Restricted Rate” programs) by a “modified total direct cost” (MTDC) base (34 CFR 75.563 or 75.563). Please indicate which costs are included and which costs are excluded from the base to which the indirect cost rate is applied.

When calculating indirect costs (line 10) for "Training grants" or grants under "Restricted Rate" programs, you must refer to the information and examples on ED's website at: [http://www.ed.gov/fund/grant/apply/appforms/appforms.html](http://www.ed.gov/fund/grant/apply/appforms/appforms.html).

You may also contact (202) 377-3838 for additional information regarding calculating indirect cost rates or general indirect cost rate information.

5. Provide other explanations or comments you deem necessary.

If Applicable Section D - Budget Summary
Limitation on Administrative Expenses.

If your program is subject to an administrative cost cap (as indicated Section III.2.C of the program’s Notice Inviting Applications (NIA)), fill out this form as follows:

1. On the top of the page, list the percentage cap on administrative costs, and indicate whether your administrative cost cap applies to both indirect and direct costs, or only direct costs (from Section III.2.C of the program’s NIA).

2. If the cost cap applies to both indirect and direct costs:
   (a) Fill out the entire table noting your administrative costs, including line 8. Line 8 is taken from Section A, line 10. For lines 1-6, these are only direct administrative costs; do not include in lines 1-6 any costs included in your indirect cost rate. If your program has a matching requirement (see NIA), include in lines 1-6 the administrative portions of the applicable rows from both Section A and Section B. If there is no program matching requirement, only use Section A.
   (b) Ensure that the line 10 percentage DOES NOT EXCEED the percentage cap on administrative costs. If your program does not have a matching requirement, divide line 9 by Section A line 12. If your program does have a matching requirement, to calculate line 10, divide line 9 by the sum of Section A line 12 and Section B line 12.

3. If the cost cap applies ONLY to direct costs:
   (a) Fill out the entire table noting your administrative costs, EXCLUDING line 8. Ensure that the line 10 percentage DOES NOT EXCEED the percentage cap on administrative costs. If your program does not have a matching requirement, divide line 7 by Section A line 9. If your program does have a matching requirement, to calculate line 10, divide line 7 by the sum of Section A line 9 and Section B line 9).

Paperwork Burden Statement
According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1894-0006. The time required to complete this information collection is estimated to average of 40 hours per response, including the time to review instructions, search existing data sources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to U.S. Department of Education, Washington, D.C. 20202-4537. If you have comments or concerns regarding the status of your individual submission of this form, write directly to the Office of Finance and Operations, Office of Acquisitions and Grants Administration, Grants Policy and Training Division, U.S. Department of Education, 400 Maryland Avenue, S.W., Washington, D.C. 20202.
Part 3: ED Abstract Form

This section should be attached as a single document to the ED Abstract Form in accordance with the instructions found on Grants.gov and should be organized in the following manner and include the following parts in order to expedite the review process.

Ensure that you only attach the Department-approved file types detailed in the Federal Register application notice (read-only, non-modifiable .pdf files). Also, do not upload any password-protected files to your application.

Please note that Grants.gov cannot process an application that includes two or more files that have the same name within a grant submission.

When attaching files, applicants should limit the size of their file names. Lengthy file names could result in difficulties with opening and processing your application. We recommend your file names be less than 50 characters.

☐ Project Abstract

The project abstract should not exceed two double spaced pages and should include a concise description of the following information:

- Absolute priority
- Project title
- Project objectives and activities
- Proposed project outcomes

Note: Grants.gov may include a note that indicates that the project abstract may not exceed one page; however, an abstract of more than one page may be uploaded.
Part 4: Project Narrative Attachment Form

This section should be attached as a single document to the Project Narrative Attachment Form in accordance with the instructions found on Grants.gov and should be organized in the following manner and include the following parts in order to expedite the review process.

Ensure that you only attach the Department-approved file types detailed in the common instructions. Also, do not upload any password-protected files to your application.

When attaching files, applicants should limit the size of their file names. Lengthy file names could result in difficulties with opening and processing your application. We recommend your file names be less than 50 characters.

- Table of Contents
  The Table of Contents shows where and how the important sections of your proposal are organized and should not exceed one double spaced page.

- Application Narrative
  The Application Narrative responds to the selection criteria found in this application package and should follow the order of the selection criteria.

Applicants applying for CSP grant funds must address an absolute priority, the application requirements, and the selection criteria. An applicant may choose to respond to the application requirements in the context of its responses to the selection criteria but should note its location accordingly.

Priorities
The FY 2022 National Dissemination Grants Competition includes three absolute priorities. The priorities will apply to grants awarded under this competition in FY 2022 and any subsequent year in which we make awards from the list of unfunded applications from this competition. While the Priorities information on the next page is provided for applicants’ convenience, applicants should be sure to review the full NIA for this competition in the Federal Register. The priorities are explained in detail in the NIA.

Selection Criteria
The Project Narrative should describe the project that an applicant would carry out if funded and include the eligible applicant’s response to the selection criteria since the application will be evaluated and scored against these criteria. The maximum possible score for each criterion is indicated in the NIA.

Application Requirements
The FY 2022 National Dissemination Grants Competition NIA includes application requirements. Applicants are required to respond to all application requirements within the project narrative. These Application Requirements are explained in detail in the NIA.

Formatting
A “page” is 8.5” x 11”, on one side only, with 1” margins at the top, bottom, and both sides. Page numbers and an identifier may be within the 1” margin. Double-space (no more than three lines per vertical inch) all text in the application narrative, including titles, headings, footnotes, quotations, and references. Use a font size that is either 12-point or larger or no smaller than 10 pitch. Use one of the following fonts: Times New Roman, Courier, Courier New, or Arial.

**Page Limits**

We recommend that applicants limit the Project Narrative to **50 pages, double-spaced, and number the pages consecutively**. Please provide any charts, graphs, citations, or examples in the project narrative. Refer to the Federal Register Notice for additional application submission requirements. The Table of Contents and attachments do not count against the recommended page limit.

To facilitate the review of the application, please organize your Project Narrative in the following order and include a Table of Contents.

- Absolute Priority
- Selection Criteria
- Application Requirements

**Absolute Priorities**

*Although a project may address more than one of these priorities, an applicant must clearly identify in its application the specific absolute priority under which it wishes to be considered for funding.*

**Absolute Priority 1 – Addressing the Impact of COVID-19 on Students, Educators, and Faculty**

Projects that are designed to address the impacts of the COVID–19 pandemic, including impacts that extend beyond the duration of the pandemic itself, on the students most impacted by the pandemic, with a focus on underserved students and the educators who serve them, through one or more of the following priority areas:

(a) Conducting community asset mapping and needs assessments that may include an assessment of the extent to which students, including subgroups of students, have become disengaged from learning, including students not participating in in-person or remote instruction, and specific strategies for reengaging and supporting students and their families;

(b) Providing resources and supports to meet the basic, fundamental, health and safety needs of students and educators;

(c) Addressing students’ social, emotional, mental health, and academic needs through approaches that are inclusive with regard to race, ethnicity, culture, language, and disability status; or

(d) Using evidence-based instructional approaches and supports, such as professional development, coaching, ongoing support for educators, high quality tutoring, expanded access to rigorous coursework and content across K–12, and expanded learning time to accelerate learning for students in ways that ensure all students have the opportunity to
successfully meet challenging academic content standards without contributing to tracking or remedial courses.

**Absolute Priority 2 – Supporting a Diverse Educator Workforce and Professional Growth To Strengthen Student Learning**

Projects that are designed to increase the proportion of well-prepared, diverse, and effective educators serving students, with a focus on underserved students, through building or expanding high poverty school districts’ capacity to hire, support, and retain an effective and diverse educator workforce, through providing opportunities for educators to be involved in the design and implementation of local and district wide initiatives that advance systemic changes.

Note: Applicants responding to this Absolute Priority may develop, identify, expand, and disseminate information on best practices for those charter schools that are their own districts.

**Absolute Priority 3 – Strengthening Cross-Agency Coordination and Community Engagement To Advance Systemic Change**

Projects that are designed take a systemic evidence-based approach to improving outcomes for underserved students in one or more of the following priority areas:

   (a) Conducting community needs and asset mapping to identify existing programs and initiatives that can be leveraged, and new programs and initiatives that need to be developed and implemented, to advance systemic change;

   (b) Establishing cross-agency partnerships, or community-based partnerships with local nonprofit organizations, businesses, philanthropic organizations, or others, to meet family well-being needs;

   (c) Identifying, documenting, and disseminating policies, strategies, and best practices on effective approaches to creating systemic change through cross-agency or community-based coordination and collaboration; or

   (d) Expanding or improving parent and family engagement.
Selection Criteria
The maximum score for all selection criteria under 84.282T is 100 points. The points or weights assigned to each criterion are indicated in parentheses. Non-Federal peer reviewers will review each application. They will be asked to evaluate and score each program narrative against the following selection criteria below. Applicants should review the NIA for the full text of each criterion.

Selection Criteria for National Dissemination Grants (ALN 84.282T)

(a) Significance of the proposed project (up to 30 points).

The Secretary considers the significance of the proposed project. In determining the significance of the proposed project, the Secretary considers the following factors:

(1) The national significance of the proposed project (15 points); and;

(2) The extent to which the proposed project is likely to build local capacity to provide, improve, or expand services that address the needs of the target population (15 points).

(b) Quality of the project design (up to 40 points).

The Secretary considers the quality of the design of the proposed project. In determining the quality of the design of the proposed project, the Secretary considers the following factors:

(1) The extent to which the proposed project demonstrates a rationale (as defined in 34 CFR 77.1(c)) (10 points);

(2) The extent to which the goals, objectives, and outcomes to be achieved by the proposed project are clearly specified and measurable (10 points);

(3) The extent to which the proposed project represents an exceptional approach to the priority or priorities established for the competition (10 points); and

(4) The mechanisms the applicant will use to broadly disseminate information on its project so as to support further development or replication (10 points).

(c) Quality of the Management Plan and Adequacy of Resources (up to 20 points).

The Secretary considers the quality of the management plan and adequacy of resources for the proposed project. In determining the quality of the management plan and adequacy of resources for the proposed project, the Secretary considers the following factors:

(1) The adequacy of the management plan to achieve the objectives of the proposed project on time and within budget, including clearly defined responsibilities, timelines, and milestones for accomplishing project tasks (10 points); and

(2) The extent to which the costs are reasonable in relation to the objectives, design, and potential significance of the proposed project (10 points).
(d) **Quality of Project Personnel (up to 10 points).**

The Secretary considers the quality of the personnel who will carry out the proposed project. In determining the quality of project personnel, the Secretary considers the following factors:

(1) The extent to which the applicant encourages applications for employment from persons who are members of groups that have traditionally been underrepresented based on race, color, national origin, gender, age, or disability (3 points);

(2) The qualifications, including relevant training and experience, of the project director or principal investigator (4 points); and

(3) The qualifications, including relevant training and experience, of key project personnel (3 points).

**Review and Selection Process:** We remind potential applicants that in reviewing applications in any discretionary grant competition, the Secretary may consider, under 34 CFR 75.217(d)(3), the past performance of the applicant in carrying out a previous award, such as the applicant’s use of funds, achievement of project objectives, and compliance with grant conditions. The Secretary may also consider whether the applicant failed to submit a timely performance report or submitted a report of unacceptable quality.

In addition, in making a competitive grant award, the Secretary requires various assurances, including those applicable to Federal civil rights laws that prohibit discrimination in programs or activities receiving Federal financial assistance from the Department (34 CFR 100.4, 104.5, 106.4, 108.8, and 110.23).

**Risk Assessment and Special Conditions:** Consistent with 2 CFR 200.206, before awarding grants under this competition the Department conducts a review of the risks posed by applicants. Under 2 CFR 200.208, the Secretary may impose special conditions, and under 2 CFR 3474.10, in appropriate circumstances, high-risk conditions on a grant if the applicant or grantee is not financially stable; has a history of unsatisfactory performance; has a financial or other management system that does not meet the standards in 2 CFR part 200, subpart D; has not fulfilled the conditions of a prior grant; or is otherwise not responsible.

**Integrity and Performance System:** If you are selected under this competition to receive an award that over the course of the project period may exceed the simplified acquisition threshold (currently $250,000), under 2 CFR 200.206(a)(2) we must make a judgment about your integrity, business ethics, and record of performance under Federal awards--that is, the risk posed by you as an applicant--before we make an award. In doing so, we must consider any information about you that is in the integrity and performance system (currently referred to as the Federal Awardee Performance and Integrity Information System (FAPIIS)), accessible through the System for Award Management. You may review and comment on any information about yourself that a Federal agency previously entered and that is currently in FAPIIS.

Please note that, if the total value of your currently active grants, cooperative agreements, and procurement contracts from the Federal Government exceeds $10,000,000, the reporting requirements in 2 CFR part 200, Appendix XII, require you to report certain integrity information to
FAPIIS semiannually. Please review the requirements in 2 CFR part 200, Appendix XII, if this grant plus all the other Federal funds you receive exceed $10,000,000.

Suggested Point Ranges for Rating Applicant Responses to the Selection Criteria

**This document will be provided to peer reviewers to assist in the reviewing and scoring of the eligible applicant’s response to the selection criteria. It is included here for the applicants’ reference.**

All applicants are required to respond to each of the selection criteria in the NIA published in the Federal Register on July 22, 2022. Please assess applications based on the selection criteria. No outside factors, such as personal knowledge of past performance, or subjective judgments about what an application should contain may be considered. The application should be a comprehensive design for the proposed project and desired outcomes. Therefore, it is imperative that reviewers read the application in its entirety to determine the overall quality of the proposed project and the quality of the applicant’s response to each selection criterion.

The numerical scores assigned to an applicant’s response to the Selection Criteria must be consistent with the comments written. Comments and scores should reflect the same overall assessment of the quality of the response. It is important that you do not pair a negative comment with a positive score and vice versa. Comments indicate why the applicant’s response to each selection criterion is fully developed, well developed, adequately developed, poorly developed, or not addressed. Scores indicate how well or poorly the applicant responded to a selection criterion. Shown below are suggested point ranges for an evaluation of fully developed, well developed, adequately developed, poorly developed, or not addressed, for each of the selection criteria.

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<th>Maximum Point Value</th>
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<th>Adequately Developed</th>
<th>Well-Developed</th>
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<td>2</td>
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<td>22-31</td>
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Application Requirements

Applications for CSP National Dissemination Grant funds must be for activities of national significance related to the development, identification, expansion, and dissemination of information on best practices regarding charter schools consistent with the absolute priority to which the applicant is responding and that are included in the applicant’s proposed project. Applicants are expected to identify the specific costs associated with each included activity.
Part 5: Budget Narrative

This section should be attached as a single document to the Budget Narrative Attachment Form in accordance with the instructions found on Grants.gov. It should be organized in the following manner and include the following parts in order to expedite the review process.

Ensure that you only attach the Department approved file types detailed in the common instructions. Also, do not upload any password-protected files to your application.

When attaching files, applicants should limit the size of their file names. Lengthy file names could result in difficulties with opening and processing your application. We recommend your file names be less than 50 characters.

Before preparing the budget narrative, applicants should review the Federal Register notice inviting applications, the instructions for ED 524 Section C, Budget Narrative and the program statute for specific guidance and requirements. Note that applications will be evaluated according to the specific selection criteria specified in the Federal Register notice. Attachments must be in .PDF format.

The Budget Narrative Form should include the eligible applicant’s itemized budget breakdown, and justification by project year, aligned with the budget included on the ED 524 form. If applicable to this program, provide the rate and base on which fringe benefits are calculated. Please check all figures and combined totals in the budget narrative and compare the amounts with those reflected on the ED 524.

The budget should include only costs that are allowable, reasonable, and necessary for carrying out the objectives of the grant project. Rules about allowability of costs are contained both in EDGAR and in the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance) at 2 C.F.R. § 200. The Uniform guidance streamlines and consolidates government requirements for receiving and using federal awards so as to reduce administrative burden and improve outcomes. It was published in the Federal Register (79 Fed. Reg. 75871) on December 19, 2014, and became effective for new and continuation awards issued on or after December 26, 2014. Additional Information can be found in the following link: http://www2.ed.gov/policy/fund/guid/uniform-guidance/index.html

Applicants must complete ED 524 (in the standard forms section) for all budget years of the proposed project. Applicants must also provide a budget narrative that describes their proposed multiyear project activities and the costs associated with those activities as well as all costs associated with carrying out the proposed project. Section 75.112(b) of EDGAR requires applicants to present “a narrative that describes how and when, in each budget period of the project, the applicant plans to meet each objective of the project.” EDGAR may be accessed at: http://www.ed.gov/policy/fund/reg/edgarReg/edgar.html

For each line item of Section A (Federal costs) of the Budget Form (ED 524), provide detailed costs (in dollars) accompanied by a narrative justification to support your request.

Note: There is no matching requirement under this program. If an applicant shows funding in Section B, they must meet this voluntary match if awarded.
Please check all figures and combined totals in the budget narrative and compare the amounts with those reflected on the ED 524.

Funding Restrictions: Grantees must use the grant funds to support the charter school sector and increase the number of high-quality charter schools available to our Nation’s students by developing, identifying, expanding, and disseminating best practices in a charter school setting consistent with the absolute priority to which the applicant is responding and that is included in the applicant’s proposed project.

Each application must also provide a Budget Narrative (which serves to meet the requirements of ED Form 524, Section C) for requested Federal funds. The Budget Narrative for requested Federal funds should provide a justification of how the money requested for each budget item will be spent.

This section requires an itemized budget breakdown for each project year and the basis for estimating the costs of personnel salaries, benefits, project staff travel, materials and supplies, consultants and subcontracts, indirect costs and any other projected expenditures. Be sure to complete an itemized budget breakdown and narrative for each year of the proposed project (up to 36 months).

The Budget Narrative provides an opportunity for the applicant to identify the nature and amount of the proposed expenditures. The applicant should provide sufficient detail to enable reviewers and project staff to understand how requested funds will be used, how much will be expended, and the relationship between the requested funds and project activities and outcomes.
Suggested Guidelines for the Budget Narrative

In accordance with 34 CFR 75.232, Department staff perform a cost analysis of each recommended project to ensure that costs relate to the activities and objectives of the project, are reasonable, allowable and allocable. We may delete or reduce costs from the budget during this review.

To facilitate the review of your Budget Narrative, we encourage each applicant to include the following information for each year of the project:

1. Personnel
   - Provide the title and duties of each position to be compensated under this project.
   - Provide the salary for each position under this project.
   - Provide the amounts of time, such as hours or percentage of time to be expended by each position under this project.
   - Explain the importance of each position to the success of the project.
   - Provide the basis for cost estimates or computations.

2. Fringe Benefits
   - Give the fringe benefit percentages of all personnel included under Personnel.
   - Provide the rate and base on which fringe benefits are calculated.
   - Leave this line blank if fringe benefits applicable to direct salaries and wages are treated as part of the indirect costs.

3. Travel
   - Explain the purpose of the travel, how it relates to project success, how it aligns with the project goals and objectives and which program participants or staff will participate.
   - Submit an estimate for the number of trips, points of origin and destination, and purpose of travel.
   - Submit an itemized estimate of transportation and/or subsistence costs for each trip.
   - Provide the basis for cost estimates or computations.
   - Include costs for at least one project staff person (project director) to attend an annual 2-day project directors meeting in Washington, DC.

4. Equipment
   - Indicate the estimated unit cost for each item to be purchased that has usefulness greater than one year and acquisition costs that are the lesser of the capitalization level established by the applicant entity for financial statement purposes or $5,000 per article.
   - Identify each type of equipment.
   - Provide adequate justification of the need for items of equipment to be purchased.
   - Explain the purpose of the equipment, and how it relates to project success.
   - Provide the basis for cost estimates or computations.

5. Supplies
   - Provide an itemized estimate of materials and supplies by nature of expense or general category (e.g., instructional materials, office supplies, etc.).
   - Explain the purpose of the supplies and how they relate to project success.
   - Provide the basis for cost estimates or computations.
6. Contractual
- Provide the purpose and relation to project success. The contractual category should include all costs specifically incurred with actions that the applicant takes in conjunction with an established procurement system. Include consultant fees, expenses, and travel costs in this category if the consultant’s services are obtained through a written binding agreement or contract. Identify who is being contracted with, the amount of the contract (this should include a breakdown of the major service components of the contract and the costs of each portion) and an explanation of what the services are being provided by the contractor.
- Describe the products to be acquired, and/or the professional services to be provided.
- Provide a brief justification for the use of the contractors selected.
- Identify the name(s) of the contracting party, including consultants, if available.
- Provide the cost per contractor.
- Provide the amount of time that the project will be working with the contractor(s).
- For professional services contracts, provide the amounts of time to be devoted to the project, including the costs to be charged to this proposed grant award.
- Provide a brief statement that you have followed the procedures for procurement under 2 CFR 200.317-200.327.
- Provide the basis for cost estimates or computations.

7. Construction
- Not applicable.

8. Other
- Indicate all direct costs not covered on lines 1-6. This includes a breakdown of number of subgrants per year as well as the amount of each award or range, if applicable, per year. In addition, this line also could include costs such as space rental, required fees, training, and communication and printing costs. Do not include costs that are included in the indirect cost rate.
- List and identify items by major type or category (e.g., communications, printing, postage, equipment rental, etc.).
- Provide the cost per item (printing = $500, postage = $750).
- Provide the purpose for the expenditures and relation to project success.
- Provide the basis for cost estimates or computations.

9. Total Direct Costs
- The amount that is the sum of expenditures, per budget category, of lines 1-8.

10. Indirect Costs
- Identify indirect cost rate (if the applicant will charge indirect costs to the grant)
- Note: remember to provide a copy of the most recent approved indirect cost agreement in the Other Attachments form section of the application.

11. Training Stipends
- Indicate the amount of stipend, the number of grant participants receiving the stipends and the justification for the stipend. Please make sure that training stipends are placed under this line item and not under “Personnel” or “Other.”
12. Total Costs

- Sum total of direct costs, indirect costs, and stipends.
- Please provide total costs for each year of the project as well as grand total cost for the entire project (up to 36 months).
Important Information Regarding Indirect Costs

The Department reimburses grantees for its portion of indirect costs that a grantee incurs in projects funded by the CSP National Dissemination Grants (ALN 84.282T). Any grantee charging indirect costs to a grant from this program must use the indirect cost rate negotiated with its cognizant agency for indirect costs.

Note: Applicants should pay special attention to specific questions on the application budget form (U.S. Department of Education Budget Information Non-Construction Programs Form 524 Sections A, B and C) about their cognizant agency and the indirect cost rate they are using in their budget.

If an applicant selected for funding under this program has not already established a current indirect cost rate with its cognizant agency, the Department generally will authorize the grantee to use a temporary rate of 10 percent of budgeted direct salaries and wages, or a de minimis rate of 10 percent of modified total direct costs (MTDC), as defined in 2 CFR 200.68.

Use of the temporary rate of 10 percent of budgeted direct salaries and wages is subject to the following limitations:

1. The grantee must submit an indirect cost rate proposal to its cognizant agency within 90 days after the Department issues the GAN.
2. If after the 90-day period, the grantee has not submitted an indirect cost rate proposal to its cognizant agency, the grantee may not charge its grant for indirect costs (except when ED finds exceptional circumstances) until it has negotiated an indirect cost rate agreement with its cognizant agency.
3. The grantee may only recover indirect costs incurred on or after the date it submitted its indirect cost rate proposal to its cognizant agency or at the start of the of the project period, whichever of the two occurs later.
4. The total amount of funds recovered by the grantee under the federally recognized indirect cost rate is reduced by the amount of indirect costs previously recovered under the temporary indirect cost rate.
5. The grantee must obtain prior approval from the Secretary to shift direct costs to indirect costs in order to recover indirect costs at a higher negotiated indirect cost rate.
6. The grantee may not request additional funds to recover indirect costs that it cannot recover by shifting direct costs to indirect costs.

Use of the de minimis rate of 10 percent of MTDC is subject to the following limitations:

1. In accordance with 2 CFR 200.414(f), State and local governments may not use the de minimis rate; thus, this rate may only be used by institutions of higher education (IHE) and non-profit organizations.
2. A grantee that decides to use the de minimis rate of 10 percent of MTDC must use the rate for at least one fiscal year for all of its Federal awards, and may continue to use the rate indefinitely thereafter until it decides to negotiate an agreement with its cognizant agency. MTDC consists of all direct salaries and wages, applicable fringe benefits, materials and supplies, services, travel, and subawards and subcontracts up to the first $25,000 of each subaward (i.e., subgrant or subcontract). Once a grantee obtains a federally recognized indirect cost rate that is applicable to its grant, the grantee may use
that indirect cost rate to claim indirect cost reimbursement; however, the grantee is subject to the same recovery limitations identified for the 10 percent temporary rate in items (3) through (6) of this grant attachment.

Applicants should be aware that the Department is very often not the cognizant agency for its own grantees. Rather, the Department accepts, for the purpose of funding its awards, the current indirect cost rate established by the appropriate cognizant agency.

Applicants are encouraged to have an accountant calculate a proposed indirect cost rate using current information from its audited financial statements, actual cost data, or IRS Form 990. Applicants should use this proposed rate in their application materials and describe which of these methods they used to calculate the rate. Guidance related to calculating an indirect cost rate can be found on the Department’s website at:

http://www.ed.gov/about/offices/list/ocfo/fipao/icgindex.html.

Applicants with questions about using indirect cost rates under this program should contact the program contact person shown in the NIA.
Part 6: Other Attachment Form

Attach one or more documents to the Other Attachments Form in accordance with the instructions found on Grants.gov. You may provide all of the required information in a single document, or in multiple documents.

Ensure that you only attach the Education-approved file types detailed in the common instructions. Also, do not upload any password-protected files to your application.

Please note that Grants.gov cannot process an application that includes two or more files that have the same name within a grant submission.

When attaching files, applicants should limit the size of their file names. Lengthy file names could result in difficulties with opening and processing your application. We recommend your file names be less than 50 characters.

☐ Attachment A—Individual Resumes for Project Directors & Key Personnel: Provide resumes for the project director and any key project personnel identified in the application.

☐ Attachment B—Proprietary Information: Provide a list of proprietary information found in the application, if applicable.

☐ Attachment C—Additional Information: Provide any additional information needed and label Attachment C Additional Information when uploading, if applicable.

According to EDGAR 75.51(b), an applicant may show that it is a nonprofit organization by any of the following means:

a) Proof that the Internal Revenue Service currently recognizes the applicant as an organization to which contributions are tax deductible under section 501(c)(3) of the Internal Revenue Code;

b) A statement from a State taxing body or the State attorney general certifying that:

   a) The organization is a nonprofit organization operating within the State; and

   b) No part of its net earnings may lawfully benefit any private shareholder or individual;

   c) A certified copy of the applicant’s certificate of incorporation or similar document if it clearly establishes the nonprofit status of the applicant; or

   d) Any item described previously if that item applies to a State or national parent organization, together with a statement by the State or parent organization that the applicant is a local nonprofit affiliate.
Part 7: Assurances and Certifications

Be certain to complete all required assurances and certifications in Grants.gov, and include all required information in the appropriate place on each form. The assurances and certifications required for this application are:

- Assurances for Non-Construction Programs (SF 424B Form)
- Disclosure of Lobbying Activities (SF LLL Form)
- Certification Regarding Lobbying (ED 80-0013 Form)
- General Education Provisions Act (GEPA) Requirements – Section 427
## Federal Agency Form Instructions

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# Federal Agency Form Instructions

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<td>b. Initial Award</td>
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<td>Click if the Status of Federal Action is an initial award.</td>
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<td>c. Post-Award</td>
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<td>Identify the appropriate classification of this report.</td>
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<td>Check if applicable</td>
<td>If this is a follow up report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the previously submitted report by this reporting entity for this covered Federal action. This field is required.</td>
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<td>Material Change Date of Last Report</td>
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<td>Provide the information for Name and Address of Reporting Entity.</td>
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<td>Check if applicable</td>
<td>Click to designate the organization filing the report as the Prime Federal recipient.</td>
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<td>Subawardee</td>
<td>Check if applicable</td>
<td>Click to designate the organization filing the report as the SubAwardee Federal recipient. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.</td>
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<td>Tier if known:</td>
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<td>Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier.</td>
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<td>Congressional District, if known</td>
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<td>Enter the primary Congressional District of the reporting entity. Enter in the following format: 2 characters state abbreviation – 3 characters district number, e.g., CA-005 for California 5th district, CA-012 for California 12th district, NC-103 for North Carolina’s 103rd district.</td>
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<td>If Reporting Entity in No. 4 is Subaward, Enter Name and Address of Prime</td>
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<td>If Reporting Entity in No. 4 is Subaward, provide the information for the Name and Address of Prime</td>
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<td>Field Name</td>
<td>Required or Optional</td>
<td>Information</td>
</tr>
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<td>--------------</td>
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<td>---------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td></td>
<td>Congressional District, if known</td>
<td>Optional</td>
<td>Enter the Congressional District of Prime. Enter in the following format: 2 character state abbreviation – 3 characters district number, e.g., CA-005 for California 5th district, CA-012 for California 12th district, NC-103 for North Carolina’s 103rd district.</td>
</tr>
<tr>
<td>6.</td>
<td>Federal Department/Agency</td>
<td>Required</td>
<td>Enter the name of the Federal Department or Agency making the award or loan commitment. This field is required.</td>
</tr>
<tr>
<td>7.</td>
<td>CFDA Number:</td>
<td>Required</td>
<td>Enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments. Pre-populated from SF-424 if using Grants.gov.</td>
</tr>
<tr>
<td></td>
<td>CFDA Title:</td>
<td>Required</td>
<td>Enter the Federal program name or description for the covered Federal action. Pre-populated from SF-424 if using Grants.gov.</td>
</tr>
<tr>
<td>8.</td>
<td>Federal Action Number</td>
<td>Optional</td>
<td>Enter the most appropriate Federal identifying number available for the Federal action, identified in item 1 (e.g., Request for Proposal (RFP) number, invitation for Bid (IFB) number, grant announcement number, the contract, grant, or loan award number, the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., &quot;RFP-DE-90-001&quot;.</td>
</tr>
<tr>
<td>9.</td>
<td>Award Amount</td>
<td>Optional</td>
<td>For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment of the prime entity identified in item 4 or 5.</td>
</tr>
</tbody>
</table>

*OMB Number: 4040-0013*

*OMB Expiration Date: 02/28/2025*
<table>
<thead>
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<tr>
<td>10.a</td>
<td>Name And Address of Lobbying Registrant</td>
<td>Required</td>
<td>Provide the information for the Name and Address of Lobbying Registrant.</td>
</tr>
<tr>
<td></td>
<td>Prefix</td>
<td>Optional</td>
<td>Enter the prefix (e.g., Mr., Mrs., Miss), if appropriate, for the Lobbying Registrant.</td>
</tr>
<tr>
<td></td>
<td>First Name</td>
<td>Required</td>
<td>Enter the first name of Lobbying Registrant. This field is required.</td>
</tr>
<tr>
<td></td>
<td>Middle Name</td>
<td>Optional</td>
<td>Enter the middle name of Lobbying Registrant.</td>
</tr>
<tr>
<td></td>
<td>Last Name</td>
<td>Required</td>
<td>Enter the last name of Lobbying Registrant. This field is required.</td>
</tr>
<tr>
<td></td>
<td>Suffix</td>
<td>Optional</td>
<td>Enter the suffix (e.g., Jr. Sr., PhD), if appropriate, for the Lobbying Registrant.</td>
</tr>
<tr>
<td></td>
<td>Street 1</td>
<td>Required</td>
<td>Enter the first line of street address for the Lobbying Registrant.</td>
</tr>
<tr>
<td></td>
<td>Street 2</td>
<td>Optional</td>
<td>Enter the second line of street address for the Lobbying Registrant.</td>
</tr>
<tr>
<td></td>
<td>City</td>
<td>Required</td>
<td>Enter the city of the Lobbying Registrant.</td>
</tr>
<tr>
<td></td>
<td>State</td>
<td>Required</td>
<td>Select the appropriate state of the Lobbying Registrant.</td>
</tr>
<tr>
<td></td>
<td>ZIP Code</td>
<td>Required</td>
<td>Enter the Zip Code (or ZIP+4) of the Lobbying Registrant.</td>
</tr>
<tr>
<td>10.b</td>
<td>Individual Performing Services</td>
<td>Required</td>
<td>Provide the information for Individual Performing Services.</td>
</tr>
<tr>
<td></td>
<td>Prefix</td>
<td>Optional</td>
<td>Enter the prefix (e.g., Mr., Mrs., Miss), if appropriate, for the Individual Performing Services.</td>
</tr>
<tr>
<td></td>
<td>First Name</td>
<td>Required</td>
<td>Enter the first name of the Individual Performing Services. This field is required.</td>
</tr>
<tr>
<td></td>
<td>Middle Name</td>
<td>Optional</td>
<td>Enter the middle name of the Individual Performing Services.</td>
</tr>
<tr>
<td></td>
<td>Last Name</td>
<td>Required</td>
<td>Enter the last name of the Individual Performing Services. This field is required.</td>
</tr>
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<td></td>
<td>Suffix</td>
<td>Optional</td>
<td>Enter the suffix (e.g., Jr., Sr., PhD), if appropriate, for the Individual Performing Services.</td>
</tr>
<tr>
<td></td>
<td>Street 1</td>
<td>Required</td>
<td>Enter the first line of street address for the Individual Performing Services.</td>
</tr>
<tr>
<td></td>
<td>Street 2</td>
<td>Optional</td>
<td>Enter the second line of street address for the Individual Performing Services.</td>
</tr>
<tr>
<td></td>
<td>City</td>
<td>Required</td>
<td>Enter the city of the Individual Performing Services.</td>
</tr>
<tr>
<td></td>
<td>State</td>
<td>Required</td>
<td>Select the state for the address of the Individual Performing Services from this pull down menu.</td>
</tr>
<tr>
<td></td>
<td>ZIP Code</td>
<td>Required</td>
<td>Enter the Zip Code (or ZIP+4) of the Individual Performing Services.</td>
</tr>
</tbody>
</table>

11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when the transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

Signature: Required Completed by Grants.gov upon submission.

Name: Required Provide the information for the Name of the Certifying Official.

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OMB Expiration Date: 02/28/2025
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<td>Enter the prefix (e.g., Mr., Mrs., Miss), if appropriate, for the Certifying Official.</td>
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<td>First Name</td>
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<td>Enter the first name of the Certifying Official. This field is required.</td>
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<td>Middle Name</td>
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<tr>
<td></td>
<td>Title:</td>
<td>Optional</td>
<td>Enter the title of the Certifying Official.</td>
</tr>
<tr>
<td></td>
<td>Telephone No.:</td>
<td>Optional</td>
<td>Enter the telephone number of the certifying official.</td>
</tr>
<tr>
<td></td>
<td>Date:</td>
<td>Required</td>
<td>Completed by Grants.gov upon submission.</td>
</tr>
</tbody>
</table>
Instructions for Meeting the General Education Provisions Act (GEPA)  
Section 427 Requirements  

All applicants for new awards must include information in their applications to address this new provision in order to receive funding under this program.

Section 427 requires each applicant for funds (other than an individual person) to include in its application a description of the steps the applicant proposes to take to ensure equitable access to, and participation in, its Federally-assisted program for students, teachers, and other program beneficiaries with special needs.

This provision allows applicants discretion in developing the required description. The statute highlights six types of barriers that can impede equitable access or participation: gender, race, national origin, color, disability, or age.

A general statement of an applicant’s nondiscriminatory hiring policy is not sufficient to meet this requirement. Applicants must identify potential barriers and explain steps they will take to overcome these barriers.

Please review the Notice to all Applicants (included in the electronic application package in Grants.gov) for further information on meeting the provisions in the Department’s General Education Provisions Act (GEPA).

Applicants are required to address this provision by attaching a statement (not to exceed three pages) to the ED GEPA427 form that is included in the electronic application package in Grants.gov.
**Part 8: Intergovernmental Review of Federal Programs (Executive Order 12372)**

This program falls under the rubric of Executive Order 12372 (Intergovernmental Review of Federal Programs) and the regulations in 34 CFR Part 79. One of the objectives of the Executive order is to strengthen federalism—or the distribution of responsibility between localities, States, and the Federal government—by fostering intergovernmental partnerships. This idea includes supporting processes that State or local governments have devised for coordinating and reviewing proposed Federal financial grant applications.

The process for doing this requires grant applicants to contact State Single Points of Contact for information on how this works. Multi-state applicants should follow procedures specific to each state.

Further information about the State Single Point of Contact (SPOC) process and a list of names by State can be found at:


Absent specific State review programs, applicants may submit comments directly to the Department. All recommendations and comments must be mailed or hand-delivered by the date indicated in the actual application notice to the following address: The Secretary, EO 12372--ALN# 84.282T, U.S. Department of Education, room 7E200, 400 Maryland Avenue, SW., Washington, DC 20202.

Proof of mailing will be determined on the same basis as applications (see 34 CFR §75.102). Recommendations or comments may be hand-delivered until 11:59:59 p.m. Eastern Time on the closing date indicated in this notice.

**Important note:** The above address is not the same address as the one to which the applicant submits its completed applications. *Do not send applications to the above address.*

Not all states have chosen to participate in the intergovernmental review process, and therefore do not have a SPOC. If you are located in a State that does not have a SPOC, you may send application materials directly to the Department as described in the *Federal Register* notice.
Reporting and Accountability

Successful applicants with multi-year grants must submit annual performance reports demonstrating their progress in meeting approved project objectives. Grantees must also provide the most current financial and performance measure data for each year of the project.

At the end of the project period, applicants will also be required to submit a final performance report.

Reporting:

(a) If you apply for a grant under this competition, you must ensure that you have in place the necessary processes and systems to comply with the reporting requirements in 2 CFR part 170 should you receive funding under the competition. This does not apply if you have an exception under 2 CFR 170.110(b).

(b) At the end of your project period, you must submit a final performance report, including financial information, as directed by the Secretary. If you receive a multiyear award, you must submit annual performance reports that provides the most current performance and financial expenditure information as directed by the Secretary under 34 CFR 75.118. The Secretary may also require more frequent performance reports under 34 CFR 75.720(c). For specific requirements on reporting, please go to http://www.ed.gov/fund/grat/apply/appforms/appforms.html.

(c) Under 34 CFR 75.250(b), the Secretary may provide a grantee with additional funding for data collection analysis and reporting. In this case the Secretary establishes a data collection period.

Performance Measures:

Applicants must propose project-specific performance measures and performance targets consistent with the objectives of the proposed project and the project outcomes identified in the logic model. The project-specific performance measures should be sufficient to gauge progress throughout the grant period, at least on an annual basis, and to show results by the end of the grant period. Applicants must provide the following information as directed under 34 CFR 75.110(b) and (c):

(1) Performance measures. How each proposed performance measure would accurately measure the performance of the project and how the proposed performance measure would be consistent with the performance measures established for the program funding the competition.

(2) Baseline data. (i) Why each proposed baseline is valid; or (ii) If the applicant has determined that there are no established baseline data for a particular performance measure, an explanation of why there is no established baseline and of how and when,
during the project period, the applicant would establish a valid baseline for the performance measure.

(3) Performance targets. Why each proposed performance target is ambitious (as defined in this notice) yet achievable compared to the baseline for the performance measure and when, during the project period, the applicant would meet the performance target(s).

(4) Data collection and reporting. (i) The data collection and reporting methods the applicant would use and why those methods are likely to yield reliable, valid, and meaningful performance data; and (ii) The applicant’s capacity to collect and report reliable, valid, and meaningful performance data, as evidenced by high quality data collection, analysis, and reporting in other projects or research.

All grantees must submit annual performance reports with information that is responsive to these performance measures.
Legal and Regulatory Information

Notice Inviting Applications

The full text of the NIA for the FY 2022 CSP National Dissemination Grants (ALN 84.282T) competition can be found on the Federal Register at the following URL: