

OMB Number: 1810-0761
Expiration Date: 01/31/2022

U.S. Department of Education

Application for Funding under the American Rescue Plan Act Education for Homeless Children and Youth (ARP-HCY)

Second Disbursement (ARP Homeless II)

CFDA Number: 84.425W



Paperwork Burden Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1810-0761. Public reporting burden for this collection of information is estimated to average 22 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. The obligation to respond to this collection is required to obtain or retain benefit under Section 2001 of the American Rescue Plan (ARP) Act of 2021. If you have any comments concerning the accuracy of the time estimate, suggestions for improving this individual collection, or if you have comments or concerns regarding the status of your individual form, application, or survey, please contact Deborah Spitz, Office of School Support and Accountability, Office of Elementary and Secondary Education, U.S. Department of Education, 400 Maryland Avenue, SW, Washington, DC 20202-6450, or HomelessEd@ed.gov, directly.

PROGRAM BACKGROUND INFORMATION

Purpose

On March 11, 2021, President Biden signed into law the American Rescue Plan (ARP) Act of 2021. The ARP Act included an unprecedented \$800 million reservation within the Elementary and Secondary School Emergency Relief (ESSER) Fund to support the specific and urgent needs of homeless children and youth in recognition of the extraordinary impact of the coronavirus disease 2019 (COVID-19) pandemic on students experiencing homelessness. The Department is administering these funds through the ARP Homeless Children and Youth (ARP-HCY) Fund (see Appendix A for the full text of the relevant sections of section 2001 of the ARP Act).

The purposes of the ARP-HCY Fund are to help States and local educational agencies (LEAs) identify homeless children and youth, provide wraparound services that address the multiple effects of the COVID-19 pandemic on homeless children and youth, and ensure that homeless children and youth are able to attend school and participate fully in school activities.

As a result of the COVID-19 pandemic, students experiencing homelessness are increasingly under-identified, as learning outside of school building settings likely impeded the critical role of educators and staff in schools and LEAs to properly identify students, and these students have remained underserved by schools, LEAs, and States. New ARP Act funding to support students experiencing homelessness has tremendous potential to expand the systems in your State to identify and support the needs of homeless children and youth.

Eligibility

Each of the 50 States, the District of Columbia, and the Commonwealth of Puerto Rico.

Funding

The Department will award a total of \$799,000,000 to State educational agencies (SEAs) in proportion to each State's share of funds received under part A of title I of the Elementary and Secondary Education Act of 1965 (ESEA) in the most recent fiscal year.

As described in Appendix B, the first disbursement of these funds, 25 percent of the total amount, was provided on April 26, 2021 (hereafter referred to as ARP Homeless I). The remainder of the State's allocation (ARP Homeless II) will be disbursed upon receipt and approval of this application.

For ARP Homeless II, States may reserve up to 25% of their allocation for State uses and must distribute at least 75% of their allocation to LEAs via a formula that uses the LEA's allocation under part A of title I of the ESEA and the number of identified homeless children and youth in either school year 2018-19 or 2019-20, whichever number is greater. Additional parameters are described in Appendix A.

Timeline

Funds appropriated under section 2001 of the ARP Act are available initially through September 30, 2023. Under section 421(b) of the General Education Provisions Act, funds not obligated and expended prior to the beginning of the fiscal year following the fiscal year for which funds were appropriated remain available for obligation and expenditure for an additional year. Accordingly, funds appropriated under the ARP-HCY program will be available until September 30, 2024. The deadline for drawing down or expending obligated funds is 120 days later, or January 31, 2025 (see 2 C.F.R. § 200.344(b)).

Uses of Funds

Funding under this program must be used for the purposes of identifying homeless children and youth and providing homeless children and youth with (A) wrap-around services in light of the challenges of COVID–19; and (B) assistance needed to enable homeless children and youth to attend school and participate fully in school activities.

Funding provided under ARP Homeless II must follow the final requirements at <https://oese.ed.gov/files/2021/07/EO-12866-OESE-FY-21-NFR-ARP-HCY-with-disclaimer.docx>.

Furthermore, funding under the ARP Homeless I and II programs must adhere to the allowable uses of funds described in Title VII-B of the McKinney-Vento Homeless Assistance Act (McKinney-Vento Act). Under section 723(d) of the McKinney-Vento Act, LEAs may use subgrant funds for activities that support the purposes of the program, including:

- (1) The provision of tutoring, supplemental instruction, and enriched educational services that are linked to the achievement of the same challenging State academic standards as the State establishes for other children and youths.
- (2) The provision of expedited evaluations of the strengths and needs of homeless children and youths, including needs and eligibility for programs and services (such as educational programs for gifted and talented students, children with disabilities, and English learners, services provided under title I of the ESEA, 20 U.S.C. 6301 et seq., or similar State or local programs, programs in career and technical education, and school nutrition programs).
- (3) Professional development and other activities for educators and specialized instructional support personnel that are designed to heighten the understanding and sensitivity of such personnel to the needs of homeless children and youths, the rights of such children and youths under this part, and the specific educational needs of runaway and homeless youths.
- (4) The provision of referral services to homeless children and youths for medical, dental, mental, and other health services.
- (5) The provision of assistance to defray the excess cost of transportation for students under section 722(g)(4)(A) of the McKinney-Vento Act, not otherwise provided through Federal, State, or local funding, where necessary to enable students to attend the school selected under section 732(g)(3) of the McKinney-Vento Act.

- (6) The provision of developmentally appropriate early childhood education programs, not otherwise provided through Federal, State, or local funding, for preschool-aged homeless children.
- (7) The provision of services and assistance to attract, engage, and retain homeless children and youths, particularly homeless children and youths who are not enrolled in school, in public school programs and services provided to non-homeless children and youths.
- (8) The provision for homeless children and youths of before- and after-school, mentoring, and summer programs in which a teacher or other qualified individual provides tutoring, homework assistance, and supervision of educational activities.
- (9) If necessary, the payment of fees and other costs associated with tracking, obtaining, and transferring records necessary to enroll homeless children and youths in school, including birth certificates, immunization or other required health records, academic records, guardianship records, and evaluations for special programs or services.
- (10) The provision of education and training to the parents and guardians of homeless children and youths about the rights of, and resources available to, such children and youths, and other activities designed to increase the meaningful involvement of parents and guardians of homeless children or youths in the education of such children or youths.
- (11) The development of coordination between schools and agencies providing services to homeless children and youths, as described in section 722(g)(5) of the McKinney-Vento Act.
- (12) The provision of specialized instructional support services (including violence prevention counseling) and referrals for such services.
- (13) Activities to address the particular needs of homeless children and youths that may arise from domestic violence and parental mental health or substance abuse problems.
- (14) The adaptation of space and purchase of supplies for any nonschool facilities made available under section 723(a)(2) of the McKinney-Vento Act to provide services under section 723(d) of the McKinney-Vento Act.
- (15) The provision of school supplies, including those supplies to be distributed at shelters or temporary housing facilities, or other appropriate locations.
- (16) The provision of other extraordinary or emergency assistance needed to enable homeless children and youths to attend school and participate fully in school activities.

Activities under both ARP Homeless I and II may include any expenses necessary to facilitate the identification, enrollment, retention, or educational success of homeless children and youth in order to enable homeless children and youth to attend school and participate fully in school activities, such as:

- providing wraparound services (which could be provided in collaboration with and/or through contracts with community-based organizations, and could include academic supports, trauma-informed care, social-emotional support, and mental health services);

- purchasing needed supplies (e.g., personal protective equipment, eyeglasses, school supplies, personal care items);
- providing transportation to enable homeless children and youth to attend school and participate fully in school activities;
- purchasing cell phones or other technological devices for unaccompanied, homeless children and youth to enable such children and youth to attend school and fully participate in school activities;
- providing access to reliable, high-speed internet for students through the purchase of internet-connected devices/equipment, mobile hotspots, wireless service plans, or installation of Community Wi-Fi Hotspots (e.g., at homeless shelters), especially in underserved communities;
- paying for short-term, temporary housing (e.g., a few days in a motel) when such emergency housing is the only reasonable option for COVID-safe temporary housing and when necessary to enable homeless children and youth to attend school and participate fully in school activities (including summer school); and
- providing store cards/prepaid debit cards to purchase materials necessary for students to participate fully in school activities.

LEAs must ensure that all costs are reasonable and necessary and that these uses of funds align with the purpose of, and other requirements in, the McKinney-Vento Act. In addition, when considering funding decisions, we want to emphasize that section 723(d)(16) of the McKinney-Vento Act allows the use of funds for “other extraordinary or emergency assistance needed to enable homeless children and youths to attend school and participate fully in school activities.” Accordingly, when considering funding decisions, LEAs should analyze the needs of students experiencing homelessness in light of the COVID-19 pandemic and its extraordinary impact.

Contact

Email: HomelessEd@ed.gov

APPLICATION INSTRUCTIONS

GENERAL INSTRUCTIONS

To receive the State's allocation under the ARP-HCY Fund, the SEA must submit a signed PDF of this Application, by email, to the U.S. Department of Education (Department) at HomelessEd@ed.gov within 14 days of publication of this Application. The Application must include the following:

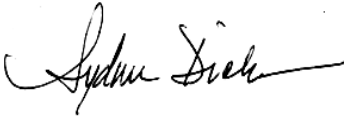
- A completed cover sheet that includes the signature of the SEA's authorized representative. (*Part A*)
- Programmatic, fiscal, and reporting assurances. (*Part B*)
- Other assurances and certifications. (*Part C*)

APPENDICES

Appendix A – Authorizing Statutes and Regulations

Appendix B – State Allocation Data

**AMERICAN RESCUE PLAN – EDUCATION FOR HOMELESS CHILDREN AND YOUTH
PART A: APPLICATION COVER SHEET
(CFDA 84.425W)**

<p>Legal Name (State Educational Agency): Utah State Board of Education</p>	<p>DUNS Number: 029999372</p>
<p>Address (Street Number and Name, City, State, Zip Code): 250 East 500 South, P.O. Box 144200 Salt Lake City, UT, 84114-4200</p>	<p>Contact Information for State Program Representative: Name: Jeffrey Ojeda Position & Office: McKinney-Vento Homeless Education Specialist Street Number and Name, City, State, Zip Code: 250 East 500 South, P.O. Box 144200 Salt Lake City, UT, 84114-4200 Telephone: 801-538-7945 Email address: Jeffrey.Ojeda@schools.utah.gov</p>
<p>To the best of my knowledge and belief, all of the information and data in this application are true and correct. I acknowledge and agree that the failure to comply with all Assurances and Certifications in this application or any applicable law or regulation may result in liability under the False Claims Act, 31 U.S.C. § 3729, et seq.; OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485; and 18 U.S.C. § 1001, as appropriate.</p>	
<p>Chief State School Officer or Authorized Representative (Typed Name): Dr. Sydnee Dickson</p>	<p>Telephone: (801) 538-7510</p>
<p>Signature of Chief State School Officer or Authorized Representative: </p>	<p>Date: October 27, 2021</p>

AMERICAN RESCUE PLAN – EDUCATION FOR HOMELESS CHILDREN AND YOUTH PART B: PROGRAMMATIC, FISCAL, AND REPORTING ASSURANCES

The Chief State School Officer or his/her authorized representative assures the following:

- a. Funds awarded under this application (ARP Homeless II) will adhere to the final requirements at <https://oese.ed.gov/files/2021/07/EO-12866-OESE-FY-21-NFR-ARP-HCY-with-disclaimer.docx>.
- b. The SEA will award at least 75% of its total allocation to LEAs, based on the formula set out in the final requirements. The funds remaining after subgrants are made to LEAs may be used by the Office of the State Coordinator for the Education of Homeless Children and Youth for State-level activities as reflected in sections 722(f) and (g) of the McKinney-Vento Act.
- c. The SEA will comply with, and ensure that LEAs comply with, all reporting requirements at such time and in such manner and containing such information as the Secretary may reasonably require, including but not limited to the requirements under the McKinney-Vento Act, and including but not limited to numbers of students experiencing homelessness identified and supported through ARP-HCY funding, and specific details about supports and services received by students.
- d. Within 60 days from publication of this application, the SEA will submit a plan to HomelessED@ed.gov that contains the following information:

i. For ARP Homeless I:

- 1. How the SEA has used or will use up to 25 percent of funds awarded under ARP Homeless I for State-level activities to provide training, technical assistance, capacity-building, and engagement at the State and LEA levels, including support to LEAs to increase access to summer programming in 2021. This must include how the SEA has supported LEAs to plan for and provide wrap-around services, in collaboration with State and local agencies for immediate needs this spring 2021, and how it will support LEAs for the school year 2021-2022. This should include the extent to which the SEA has or plans to build capacity in LEAs that have not received an EHCY subgrant in the past in order to ensure that these LEAs are well-prepared to utilize the funds provided in ARP Homeless II;**

The Utah State Board of Education will be distributing all of the ARP Homeless I funds to eligible LEAs. The state will not be withholding any additional funds related to the actions articulated in the prompt.

USBE already has an existing network with LEA and state leaders through the McKinney-Vento community. This is the primary mechanism for providing training, technical assistance, capacity-building, and engagement with Utah's educational entities that work together to address students experiencing homelessness.

USBE has supported LEAs to plan for and provide wrap-around services, in collaboration with State and local agencies to address immediate needs starting in March 2020 through weekly office hours to provide one-on-one support aside from the regular scheduled professional development from the SEA. The technical assistance provided

allowed for the SEA and LEAs to work collaboratively to meet the immediate needs of homeless students and families. In many cases, it provided the impetus to work directly with community partners.

To address the needs of students and families experiencing homelessness, LEAs are tasked with identifying as many students as possible who meet the eligibility definition of McKinney-Vento. While school registration is a valuable tool for this identification, the reality is that many students are found during the academic year and are referred because of in-class behavior, self-identification, and peer referral. When schools moved to remote learning, it removed several of the ways that staff find students throughout the year.

- With students experiencing homelessness coming together to form protective clusters during the pandemic, local and state staff now know where these areas are and how to best approach them. Allowing school personnel to travel to such locations (where travel hasn't been restricted) would allow for the means to identify and keep track of students experiencing homelessness.
- Communication between other agencies will help support students experiencing homelessness. Since FY21, the USBE has an agreement with the Utah Homeless information system to be able to allow liaisons to see client records and help ensure that students in need have both educational as well as community support.
- The USBE works with community partners at every level of local government to meet the needs of students experiencing homelessness. From the Governor's homeless Interagency Coordinating Council to various city and community-based organizations, as well as early education and post k-12 organizations and institutions of higher education.
- LEAs are asked to:
 - Review data from multiple sources and assess needs
 - Develop a specific plan to address those needs, including the needs of each student group
 - Braid funds as appropriate to meet the needs of all students.

As a result of those biweekly meetings, USBE has been able to provide state support for needs as they exist in each community. For example, in the community of Tooele, Utah, when the city closed down all public facilities, it became apparent that in doing so they had closed all public restrooms and means for hygiene for homeless students. This was brought to the attention of city leadership, who in talking with SEA and LEA personnel, realized the need for basic services and put out portable sinks and restrooms for homeless communities.

Noting these existing efforts, USBE is committed to providing these services and technical support as the state and LEAs move forward with the ARP HCY I and II funding opportunities.

The Utah State Board of Education (USBE) provided fall state-wide training on October 20, 2021, for all LEAs and liaisons throughout the state. This meeting also served as a way to do a needs assessment so that further training can be developed and delivered to

all LEAs. The fall training was recorded and is made available to all LEAs regardless of whether they received the ARP-HCY I funds or not.

ARP-HCY II funds are currently being set up in our Utah Grants management system so that LEAs may apply for the grant. Previously, in August 2021, The USBE requested letters of intent for the ARP-HCY II be sent in to the USBE to help determine how best to manage the funds. This then provided data to create a base formula to be used for the LEAs who are interested in receiving those funds (whether McKinney-Vento funded LEAs or not).

2. How the SEA has used or will use its funds for State-level activities to provide support to LEAs to increase access to summer programming and plan for and provide wraparound services for summer 2021, in collaboration with State and local agencies and other community-based organizations; and

USBE does not intend to withhold any of the allowable funding for state-level activities from ARP Homeless I. In working with our LEAs, it is clear that the needs are at a local level, and that the federal funding will be most effective if activated through our local leaders.

USBE already has an existing network with LEA and state leaders through the McKinney-Vento community. This has been the primary mechanism for providing support, including fostering conversations about how LEAs can increase access to summer programming and plan for and provide wraparound services for summer 2021, in collaboration with State and local agencies and other community-based organizations. The majority of Utah's LEAs that provided these services were using McKinney-Vento funding braided with COVID-19 relief funding to be able to expand on existing partnerships to provide solutions for students.

In addition, USBE has committed other resources to support summer and afterschool programming, which may include wraparound services for summer 2021 and beyond through the administration of the ARP ESSER Afterschool and Summer funding. This is a competitive grant opportunity. Students experiencing homelessness are a specific targeted population for services, as described below.

USBE ARP ESSER Afterschool and Summer competitive grant.

The Utah State Board of Education (USBE) is working to align the two funding streams for evidence-based summer learning and evidenced-based afterschool programming from the ARP ESSER state award into a competitive grant application process. This decision was made with input from community leaders, who noted that the foundational partnerships between LEAs and Community Based Organizations (CBOs) were strongest if it was a year-round effort to support students and families. By aligning these two efforts, USBE is creating the conditions to build more sustainable and long-term collaborations beyond the timeframe of the ARP ESSER awards. This will ultimately better serve students and families with services and supports that align with school and enrichment programs.

The application will include the LEAs or CBOs plan to implement evidence-based activities to serve students targeted for support (listed in Part D, 2ii.), the specific needs

of the community, and alignment with other federal and state funds. Applicants must include a description of the academic, social, emotional, and mental health activities utilizing evidence-based curriculum or practices meeting Every Student Succeeds Act (ESSA) criteria for evidence-based interventions listed below:

- Has research from studies using a well-implemented experimental or quasi-experimental design (meets ESSA Tier 1 or 2 evidence)
- Has research showing promising evidence from a well-implemented, correlational study that statistically controls for selection bias (meets ESSA Tier 3 evidence)
- Has rationale demonstrating the intervention is likely to improve student outcomes, and an effort to study the effects of the intervention is underway (meets ESSA Tier 4 evidence)

All projects must include consultation and alignment with the LEA(s) and local school(s) attended by most students.

USBE will provide applicants a list of evidence-based resources and information and hold information meeting(s) reviewing the grant application process. All funded programs must operate a minimum of six weeks (can be non-consecutive) during the summer months and a total of 20 hours per week to support the academic and social-emotional learning needs of students impacted by the pandemic. All applicants must describe how they will meet both organization and state outcomes listed below:

State Required Outcomes:

- Expansion of operations (additional hours and weeks) supported by this funding
- Number of targeted students in need who were served with additional funding (*unduplicated/distinct students served count*)
- [Quality Self-Assessment Tool](#) from the Utah Afterschool Network (UAN)
- End of grant award [observation](#) for quality summer and afterschool programs from the UAN (at the conclusion of the program)

Organization Specific Outcomes:

- A measure for reducing learning loss related to coronavirus pandemic for students served (including the list above)
- A measure to build relationships between LEAs and CBOs through the [School Alignment Rubric](#) from the UAN

To address the disproportionate impact of the COVID-19 pandemic on underserved students, USBE will hold a competitive grant process for ARP ESSER's required State set-aside funds, with programming to begin in Summer 2021. The grant will be open to both LEAs and CBOs to implement accessible summer enrichment programs focusing on evidence-based practices (utilizing ESSA evidence criteria) to support students' academic, social, emotional, and mental health needs to address unfinished learning and a targeted plan to serve students listed below:

- Students from economically disadvantaged households;
- Students from traditionally underserved communities;
- Students with disabilities;
- Students identified as needing academic support;
- Students experiencing homelessness;
- Students in foster care;

- Students who are English Learners;
- Students who have been impacted by COVID-19;
- Students who missed the most in-person instruction for 2019-2020 and 2020-2021 school years;
- Students who did not consistently participate in remote instruction when offered during school building closures;
- Students with families engaged in migratory agricultural work; and
- Students from refugee backgrounds.

USBE will provide technical support to applicants to utilize data available on the [USBE Data Gateway](#) to identify students most in need of summer learning and enrichment programs. In addition to the USBE technical support, LEAs and CBOs will be empowered to work with their LEA and school-level data, and the community needs data to further identify students in need of programming. This may include LEA attendance data from the local student information systems (SIS), local benchmarks assessments, and students in need of credit recovery. If applicants need additional support with data analysis related to serving the intended population, USBE Data and Statistics can support these data requests through our [data request form](#).

In their application, LEAs and CBOs must describe how they will identify and serve students who missed the most in-person instruction during the 2019-2020 and 2020-2021 school years and students who did not consistently participate in remote instruction when offered during school building closures will be identified and served in the program(s). Applicants could utilize LEA attendance data from the local student information systems (SIS), local benchmarks assessments, and students in need of credit recovery to identify students. Applicants must also identify and detail the evidence-based practices and curriculum to be used to serve these students to best meet their academic enrichment and social-emotional learning needs due to limited in-person and remote instruction during the COVID-19 pandemic. As described in Part D, 2ii., LEAs and CBOs will be provided any needed technical support to utilize data to identify students in need of programming.

3. **How the SEA has used or will use at least 75 percent of funds awarded under ARP Homeless I for distribution to LEAs in accordance with all requirements of EHCY. This must include the extent to which the SEA has or will use funds to supplement existing EHCY subgrants to allow for more rapid fund distribution to address the needs of students experiencing homelessness, and the extent to which the SEA analyzed and reviewed where there are significant concentrations of students and families experiencing homelessness across the State (including in LEAs that are not existing EHCY subgrantees), and how it has or will ensure that supports from ARP Homeless I can urgently reach them.**

The Utah State Board of Education is administering the ARP EHCY I funds to the following LEAs in alignment with their existing distribution of McKinney-Vento Funding:

Name of LEA	Year (XXXX) percentage of McKinney Vento Award	Total Additional Award from ARP EHCY I
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Davis	13%	\$126,253.73
Granite	9%	\$90,499.27
Iron	3%	\$31,302.02
Jordan	17%	\$175,972.67
Nebo	9%	\$87,058.74
San Juan	3%	\$34,540.16
Tooele	6%	\$61,153.62
Washington	8%	\$78,862.20
Salt Lake	9%	\$90,634.19
Ogden	5%	\$48,808.21
Logan	1%	\$11,400.95
Canyons	17%	\$171,520.23
Total		\$1,008,006.00

The provision of these funds will allow for the Utah LEAs listed above to address the needs of students experiencing homelessness.

USBE regularly analyzes and reviews where there are significant concentrations of students and families experiencing homelessness across the State (including in LEAs that are not existing Education for Homeless Children and Youth (EHCY) subgrantees) annually. The USBE Homeless/Migrant Specialist recently worked with the USBE Data and Statistics section to compile and analyze the data from the 2020-21 school year specific to students experiencing homelessness.

The USBE is administering the funds through the state’s Utah Grants Management system to be able to ensure that the support from the ARP Homeless I can rapidly reach LEAs through the primary platform that is used for both state and federal awards in Utah K-12. The use of the existing system allows for the awards to be accessed more quickly and allows the LEAs to take action at the local level to support their students experiencing homelessness.

ii. For ARP Homeless II:

1. How the SEA will use up to 25 percent of funds awarded under ARP Homeless II for State-level activities to provide training, technical assistance, capacity-building,

and engagement at the State and LEA levels, including support to LEAs to plan for and provide wrap-around services, in collaboration with State and local agencies, for the school year 2021-2022; and

The USBE will be distributing all of the ARP Homeless II funds to eligible LEAs. The state will not be withholding any additional funds related to the actions articulated in the prompt.

USBE already has an existing network with LEA and state leaders through the McKinney-Vento community. This is the primary mechanism for providing training, technical assistance, capacity-building, and engagement with Utah's educational entities that work together to address students experiencing homelessness. Please see our narrative response to ARP Homeless I, question one for further information.

- 2. How the SEA will use at least 75 percent of funds awarded under ARP Homeless II for distribution to LEAs in accordance with the final requirements. This must include a description of how the SEA will distribute funds in accordance with the required formula to address the needs of students experiencing homelessness and the extent to which the SEA has encouraged or will encourage the creation of consortia for those LEAs that may not meet the required minimum formula amount.**

The USBE is committed to following the federal formula distribution requirements and guidance for ARP Homeless II.

USBE Financial Operations staff has worked to create a distribution based on the Title I, Part A 2019 allocations. In addition to the projected distributions, USBE is requesting a letter of intent from all eligible LEAs to confirm their interest in receiving ARP HCY II funds. This will allow for the agency to appropriately plan for the distributions in the Utah Grants Management system, while also supporting the development of consortia for the LEAs that do not meet the \$5,000 minimum award threshold. These consortia will be supported and organized in alignment with existing Title III consortia as is possible. The letter of intent from each LEA is due August 20, 2021.

The USBE is currently reviewing the needs assessment collected (10/20/21) to support LEAs in providing wrap-around services in collaboration with state level activities. This includes combining work with HUD, the Governors Committee on Homelessness, Inter-agency Coordinating Council, Early childhood Services, United Way, Department of Workforce Services, and any other organization that may help provide wrap-around services for students. USBE is encouraging LEAs to work with community-based organizations in new and inventive collaborations that help meet the needs of McKinney-Vento students by reviewing ARP-HCY II funding applications and recognizing and awarding LEA plans that incorporate these services with community organizations that can help extend the current services within the LEA. While Utah is not reserving funds for State-level activities from this funding source, it will use ESSER homeless funds to meet this critical need. Training will continue throughout the year from the USBE as needed and/or requested from all LEAs.

For both ARP Homeless I and ARP Homeless II:

- 1. How the SEA will ensure that ARP-HCY supplements the support and services provided with ARP ESSER funds and does not replace the use of ARP ESSER funds to support the needs of students experiencing homelessness;**

The USBE will include an assurance in the LEA application for the ARP-HCY funds that states that the LEA will not use these funds to replace existing commitments from the LEA ARP ESSER award to support the needs of students experiencing homelessness.

- 2. The extent to which the SEA will use its State-level activities funds to award subgrants or contracts to community-based organizations that are well-positioned to identify children and youth experiencing homelessness in historically underserved populations such as rural children and youth, Tribal children and youth, students of color, children and youth with disabilities, English learners, LGBTQ+ youth, and pregnant, parenting, or caregiving students experiencing homelessness, and connect them to educationally-related support and wraparound services; and**

USBE will not be awarding subgrants or contracts to community-based organizations, as the state is not withholding any funding from the LEA distribution.

Instead, USBE will be recommending that each LEA dedicate at least 20% of their local award in ARP HCY II to partner with community-based organizations that are well-positioned to identify children and youth experiencing homelessness in historically underserved populations such as rural children and youth, Tribal children and youth, students of color, children and youth with disabilities, English learners, LGBTQ+ youth, and pregnant, parenting, or caregiving students experiencing homelessness, and connect them to educationally-related support and wraparound services. This recommendation is called out in the LEA application and seeks a specific plan and budget in alignment with these use cases.

In working with our LEAs, it is clear that the needs are at a local level, and that the federal funding will be most effective if activated through our local leaders. By allowing the local leaders to develop these partnerships, we will engage more community-based organizations throughout the state.

The USBE released Arp-HCY I funds to LEAs in September 2021. LEAs receiving McKenny-Vento grants received 100% of the ARP-HCY I funds. As part of the application required for the ARP-HCY I funds, LEAs were required to create a plan explaining how they would reach out to CBOs to improve relationships, and in turn, services for students. LEAs are currently completing the application process for ARP-HCY I funds with some LEAs already having completed the process.

ARP-HCY II funds are currently being set up in the Utah grants management system (as of 10/26/21). It has taken longer to set up because the applications were made available to all LEAs in the state. Some LEAs needed to form consortiums to reach the \$5000 minimum allocation required under the grant. It has taken additional time to work through this with LEAs. To date, Utah is closing that process and creating the grants and applications in the

Utah Grants management system. As part of the application process, LEAs must deliberately create a plan that includes their collaboration with CBOs and how they will create stronger working ties to better track and meet student needs. The purpose of the required plans is for LEAs to outline how they will meet the needs of historically underserved children and youth.

3. How the SEA will encourage LEAs to award contracts to community-based organizations to help identify and support historically underserved populations experiencing homelessness.

USBE will be recommending that each LEA dedicate at least 20% of their local award in ARP HCY II to partner with community-based organizations that are well-positioned to identify children and youth experiencing homelessness in historically underserved populations such as rural children and youth, Tribal children and youth, students of color, children and youth with disabilities, English learners, LGBTQ+ youth, and pregnant, parenting, or caregiving students experiencing homelessness, and connect them to educationally-related support and wraparound services. This recommendation is called out in the LEA application and seeks a specific plan and budget in alignment with these use cases.

In working with our LEAs, it is clear that the needs are at a local level, and that the federal funding will be most effective if activated through our local leaders. By allowing the local leaders to develop these partnerships, we will engage more community-based organizations throughout the state.

Additional requested information:

To date (10-26-210, the USBE has awarded 100% of the ARP-HCY I funds. These funds were awarded in August/September of 2021 through the Utah Grants management system.

ARP-HCY II funds are currently being finalized and awards for the funds are being set up through the Utah Grants management system. The USBE expects to complete the loading of this grant and its required applications and funding in November 2021. The \$5000 minimum and setting up of consortiums took additional time to complete and kept us from getting the ARP-HCY II funding out sooner.

Chief State School Officer or Authorized Representative (Typed Name): Dr. Sydnee Dickson	
Signature:	Date:

AMERICAN RESCUE PLAN – EDUCATION FOR HOMELESS CHILDREN AND YOUTH PART C: OTHER ASSURANCES AND CERTIFICATIONS

The Chief State School Officer or his/her authorized representative assures or certifies the following:

- a. The SEA will conduct all its operations so that no person shall be excluded from participation in, be denied the benefits of, or be subject to discrimination under the ARP-HCY program or activity based on race, color, national origin (including a person’s limited English proficiency or English learner status and a person’s actual or perceived shared ancestry or ethnic characteristics), sex (including sexual orientation and gender identity), age, or disability. These non-discrimination obligations arise under Federal civil rights laws, including but not limited to Title VI of the Civil Rights Act of 1964, Title IX of the Education Amendments Act of 1972, section 504 of the Rehabilitation Act of 1973, and the Age Discrimination Act of 1975. In addition, the SEA must comply with all regulations, guidelines, and standards issued by the Department under any of these statutes.
- b. The State will comply with all applicable assurances in OMB Standard Forms 424B and D (Assurances for Non-Construction and Construction Programs), including the assurances relating to the legal authority to apply for assistance; access to records; conflict of interest; merit systems; nondiscrimination; Hatch Act provisions; labor standards; flood hazards; historic preservation; protection of human subjects; animal welfare; lead-based paint; Single Audit Act; and the general agreement to comply with all applicable Federal laws, executive orders, and regulations.
- c. With respect to the certification regarding lobbying in Department Form 80-0013, no Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making or renewal of Federal grants under this program; the State will complete and submit Standard Form-LLL, “Disclosure Form to Report Lobbying,” when required (34 CFR part 82, Appendix B); and the State will require the full certification, as set forth in 34 CFR part 82, Appendix A, in the award documents for all subawards at all tiers.
- d. Any LEA receiving funding under this program will have on file with the State a set of assurances that meets the requirements of section 442 of the General Education Provisions Act (GEPA), 20 U.S.C. 1232e.
- e. To the extent applicable, an LEA will include in its local application a description of how the LEA will comply with the requirements of section 427 of GEPA, 20 U.S.C. 1228a.
- f. The description must include information on the steps the LEA proposes to take to permit students, teachers, and other program beneficiaries to overcome barriers (including barriers based on gender, race, color, national origin, disability, and age) that impede access to, or participation in, the program.
- g. The SEA will comply with the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance) requirements in Subpart D—Post Federal Award Requirements (2 CFR §§ 200.300-200.345) and Subpart E—Cost Principles (2 CFR §§ 200.400-200.475) to ensure that LEAs, including charter schools that are LEAs, are

using ARP-HCY funds for purposes that are reasonable, necessary, and allocable under the ARP Act and the McKinney-Vento Act of 1987, 42 U.S.C. §§ 11431-11435.

- h. The State and other entities will comply with the provisions of all applicable acts, regulations, and assurances; the provisions of the Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 75, 76, 77, 81, 82, 84, 86, 97, 98, and 99; the OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485; and the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474.

Chief State School Officer or Authorized Representative (Typed Name): Dr. Sydnee Dickson	
Signature:	Date:

Appendix A: Relevant Excerpts from ARP Section 2001 and McKinney-Vento

ARP Section 2001 – Elementary and Secondary School Emergency Relief Fund

- (a) **IN GENERAL.**—In addition to amounts otherwise available through the Education Stabilization Fund, there is appropriated to the Department of Education for fiscal year 2021, out of any money in the Treasury not otherwise appropriated, \$122,774,800,000, to remain available through September 30, 2023, to carry out this section.
- (b) **GRANTS.**—From funds provided under subsection (a), the Secretary shall—(1) use \$800,000,000 for the purposes of identifying homeless children and youth and providing homeless children and youth with—
 - (A) wrap-around services in light of the challenges of COVID–19; and
 - (B) assistance needed to enable homeless children and youth to attend school and participate fully in school activities; and(2) from the remaining amounts, make grants to each State educational agency in accordance with this section.

Relevant McKinney-Vento Act Requirements

§722. Grants for State and local activities for the education of homeless children and youths

(e) State and local subgrants

(1) Minimum disbursements by States

From the sums made available each year to a State through grants under subsection (a) to carry out this part, the State educational agency shall distribute not less than 75 percent in subgrants to local educational agencies for the purposes of carrying out section 723, except that States funded at the minimum level set forth in subsection (c)(1) shall distribute not less than 50 percent in subgrants to local educational agencies for the purposes of carrying out section 723.

(2) Use by State educational agency

A State educational agency may use the grant funds remaining after the State educational agency distributes subgrants under paragraph (1) to conduct activities under subsection (f) directly or through grants or contracts.

(f) Functions of the Office of the Coordinator

The Coordinator for Education of Homeless Children and Youths established in each State shall—

- (1) gather and make publicly available reliable, valid, and comprehensive information on—
 - (A) the number of homeless children and youths identified in the State, which shall be posted annually on the State educational agency's website;
 - (B) the nature and extent of the problems homeless children and youths have in gaining access to public preschool programs and to public elementary schools and secondary schools;
 - (C) the difficulties in identifying the special needs and barriers to the participation and achievement of such children and youths;
 - (D) any progress made by the State educational agency and local educational agencies in the State in addressing such problems and difficulties; and
 - (E) the success of the programs under this part in identifying homeless children and youths and allowing such children and youths to enroll in, attend, and succeed in school;
- (2) develop and carry out the State plan described in subsection (g);
- (3) collect data for and transmit to the Secretary, at such time and in such manner as the Secretary may reasonably require, a report containing information necessary to assess the educational needs

of homeless children and youths within the State, including data necessary for the Secretary to fulfill the responsibilities under section 724(h);

(4) in order to improve the provision of comprehensive education and related services to homeless children and youths and their families, coordinate activities and collaborate with—

(A) educators, including teachers, special education personnel, administrators, and child development and preschool program personnel;

(B) providers of services to homeless children and youths and their families, including public and private child welfare and social services agencies, law enforcement agencies, juvenile and family courts, agencies providing mental health services, domestic violence agencies, child care providers, runaway and homeless youth centers, and providers of services and programs funded under the Runaway and Homeless Youth Act (42 U.S.C. 5701 et seq.); ¹

(C) providers of emergency, transitional, and permanent housing to homeless children and youths, and their families, including public housing agencies, shelter operators, operators of transitional housing facilities, and providers of transitional living programs for homeless youths;

(D) local educational agency liaisons designated under subsection (g)(1)(J)(ii) for homeless children and youths; and

(E) community organizations and groups representing homeless children and youths and their families;

(5) provide technical assistance to and conduct monitoring of local educational agencies in coordination with local educational agency liaisons designated under subsection (g)(1)(J)(ii), to ensure that local educational agencies comply with the requirements of subsection (e)(3) and paragraphs (3) through (7) of subsection (g);

(6) provide professional development opportunities for local educational agency personnel and the local educational agency liaison designated under subsection (g)(1)(J)(ii) to assist such personnel and liaison in identifying and meeting the needs of homeless children and youths, and provide training on the definitions of terms related to homelessness specified in sections 103, 401, and 725 to the liaison; and

(7) respond to inquiries from parents and guardians of homeless children and youths, and (in the case of unaccompanied youths) such youths, to ensure that each child or youth who is the subject of such an inquiry receives the full protections and services provided by this part.

§723. Local educational agency subgrants for the education of homeless children and youths

(c) Awards

(1) In general

The State educational agency shall, in accordance with the requirements of this part and from amounts made available to it under section 726, make competitive subgrants to local educational agencies that submit applications under subsection [723](b). Such subgrants shall be awarded on the basis of the need of such agencies for assistance under this part and the quality of the applications submitted.

(2) Need

In determining need under paragraph (1), the State educational agency may consider the number of homeless children and youths enrolled in early childhood education and other preschool programs, elementary schools, and secondary schools, within the area served by the local educational agency, and shall consider the needs of such children and youths and the ability of the local educational agency to meet such needs. The State educational agency may also consider the following:

- (A) The extent to which the proposed use of funds will facilitate the identification, enrollment, retention, and educational success of homeless children and youths.
- (B) The extent to which the application reflects coordination with other local and State agencies that serve homeless children and youths.
- (C) The extent to which the applicant exhibits in the application and in current practice (as of the date of submission of the application) a commitment to education for all homeless children and youths.
- (D) Such other criteria as the State agency determines appropriate.

(3) Quality

In determining the quality of applications under paragraph (1), the State educational agency shall consider the following:

- (A) The applicant's needs assessment under subsection (b)(1) and the likelihood that the program presented in the application will meet such needs.
- (B) The types, intensity, and coordination of the services to be provided under the program.
- (C) The extent to which the applicant will promote meaningful involvement of parents or guardians of homeless children or youths in the education of their children.
- (D) The extent to which homeless children and youths will be integrated into the regular education program.
- (E) The quality of the applicant's evaluation plan for the program.
- (F) The extent to which services provided under this part will be coordinated with other services available to homeless children and youths and their families.
- (G) The extent to which the local educational agency will use the subgrant to leverage resources, including by maximizing nonsubgrant funding for the position of the liaison described in section 722(g)(1)(J)(ii) and the provision of transportation.
- (H) How the local educational agency will use funds to serve homeless children and youths under section 1113(c)(3) of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 6313(c)(3)).
- (I) The extent to which the applicant's program meets such other measures as the State educational agency considers indicative of a high-quality program, such as the extent to which the local educational agency will provide case management or related services to unaccompanied youths.

(d) Authorized activities

A local educational agency may use funds awarded under this section for activities that carry out the purpose of this part, including the following:

- (1) The provision of tutoring, supplemental instruction, and enriched educational services that are linked to the achievement of the same challenging State academic standards as the State establishes for other children and youths.
- (2) The provision of expedited evaluations of the strengths and needs of homeless children and youths, including needs and eligibility for programs and services (such as educational programs for gifted and talented students, children with disabilities, and English learners, services provided under title I of the Elementary and Secondary Education Act of 1965 [20 U.S.C. 6301 et seq.] or similar State or local programs, programs in career and technical education, and school nutrition programs).
- (3) Professional development and other activities for educators and specialized instructional support personnel that are designed to heighten the understanding and sensitivity of such personnel to the

needs of homeless children and youths, the rights of such children and youths under this part, and the specific educational needs of runaway and homeless youths.

(4) The provision of referral services to homeless children and youths for medical, dental, mental, and other health services.

(5) The provision of assistance to defray the excess cost of transportation for students under section 711(g)(4)(A), not otherwise provided through Federal, State, or local funding, where necessary to enable students to attend the school selected under section 722(g)(3).

(6) The provision of developmentally appropriate early childhood education programs, not otherwise provided through Federal, State, or local funding, for preschool-aged homeless children.

(7) The provision of services and assistance to attract, engage, and retain homeless children and youths, particularly homeless children and youths who are not enrolled in school, in public school programs and services provided to non-homeless children and youths.

(8) The provision for homeless children and youths of before- and after-school, mentoring, and summer programs in which a teacher or other qualified individual provides tutoring, homework assistance, and supervision of educational activities.

(9) If necessary, the payment of fees and other costs associated with tracking, obtaining, and transferring records necessary to enroll homeless children and youths in school, including birth certificates, immunization or other required health records, academic records, guardianship records, and evaluations for special programs or services.

(10) The provision of education and training to the parents and guardians of homeless children and youths about the rights of, and resources available to, such children and youths, and other activities designed to increase the meaningful involvement of parents and guardians of homeless children or youths in the education of such children or youths.

(11) The development of coordination between schools and agencies providing services to homeless children and youths, as described in section 722(g)(5).

(12) The provision of specialized instructional support services (including violence prevention counseling) and referrals for such services.

(13) Activities to address the particular needs of homeless children and youths that may arise from domestic violence and parental mental health or substance abuse problems.

(14) The adaptation of space and purchase of supplies for any nonschool facilities made available under subsection [723](a)(2) to provide services under this subsection.

(15) The provision of school supplies, including those supplies to be distributed at shelters or temporary housing facilities, or other appropriate locations.

(16) The provision of other extraordinary or emergency assistance needed to enable homeless children and youths to attend school and participate fully in school activities.

(Pub. L. 100–77, title VII, §723, as added [Pub. L. 107–110, title X, §1032, Jan. 8, 2002, 115 Stat. 2001](#); amended [Pub. L. 114–95, title IX, §9103, Dec. 10, 2015, 129 Stat. 2134](#).)

Appendix B: State Allocation Data (updated June 2021)

Under section 2001(c) of the American Rescue Plan Act of 2021, the amount of each grant shall be allocated by the Secretary to each State in the same proportion as each State received under part A of title I of the Elementary and Secondary Education Act of 1965 in the most recent fiscal year.

SEA	Revised Total ARP Homeless Allocation	ARP Homeless I (Unchanged) (25 percent ¹)	Revised ARP Homeless II (75 percent ¹)
Total	800,000,000		
National Activities	1,000,000		
Amount to SEAs	799,000,000	199,750,000	599,250,000
ALABAMA	13,239,031	3,308,135	9,930,896
ALASKA	2,350,009	587,431	1,762,578
ARIZONA	16,922,395	4,228,531	12,693,864
ARKANSAS	8,213,312	2,052,328	6,160,984
CALIFORNIA	98,757,695	24,677,307	74,080,388
COLORADO	7,643,776	1,910,019	5,733,757
CONNECTICUT	7,247,850	1,811,091	5,436,759
DELAWARE	2,691,098	672,632	2,018,466
DISTRICT OF COLUMBIA	2,531,300	632,646	1,898,654
FLORIDA	46,127,238	11,526,067	34,601,171
GEORGIA	27,849,370	6,958,912	20,890,458
HAWAII	2,701,880	675,243	2,026,637
IDAHO	2,882,705	720,464	2,162,241
ILLINOIS	33,129,062	8,278,217	24,850,845
INDIANA	13,072,898	3,266,643	9,806,255
IOWA	5,075,905	1,268,374	3,807,531
KANSAS	5,443,402	1,360,194	4,083,208
KENTUCKY	13,281,817	3,414,094	9,867,723
LOUISIANA	17,075,605	4,266,793	12,808,812
MAINE	2,694,822	673,564	2,021,258
MARYLAND	12,787,274	3,195,247	9,592,027
MASSACHUSETTS	11,994,087	2,997,079	8,997,008
MICHIGAN	24,378,753	6,091,723	18,287,030
MINNESOTA	8,655,053	2,162,734	6,492,319
MISSISSIPPI	10,664,254	2,664,754	7,999,500
MISSOURI	12,822,529	3,204,078	9,618,451
MONTANA	2,502,430	625,607	1,876,823
NEBRASKA	3,577,701	893,998	2,683,703
NEVADA	7,025,680	1,755,540	5,270,140
NEW HAMPSHIRE	2,296,237	573,993	1,722,244
NEW JERSEY	18,118,225	4,527,381	13,590,844
NEW MEXICO	6,416,504	1,603,335	4,813,169
NEW YORK	58,910,436	14,720,327	44,190,109
NORTH CAROLINA	23,588,229	5,894,156	17,694,073
NORTH DAKOTA	1,999,979	499,915	1,500,064
OHIO	29,308,662	7,323,606	21,985,056
OKLAHOMA	9,788,535	2,445,941	7,342,594

OREGON	7,346,860	1,835,834	5,511,026
PENNSYLVANIA	32,748,656	8,183,177	24,565,479
PUERTO RICO	19,438,068	4,857,120	14,580,948
RHODE ISLAND	2,719,153	679,643	2,039,510
SOUTH CAROLINA	13,841,864	3,458,766	10,383,098
SOUTH DAKOTA	2,502,430	625,607	1,876,823
TENNESSEE	16,303,363	4,073,839	12,229,524
TEXAS	81,388,454	20,337,095	61,051,359
UTAH	4,033,829	1,008,006	3,025,823
VERMONT	1,868,242	466,994	1,401,248
VIRGINIA	13,825,002	3,454,572	10,370,430
WASHINGTON	12,140,633	3,033,718	9,106,915
WEST VIRGINIA	4,990,123	1,246,924	3,743,199
WISCONSIN	10,097,813	2,523,241	7,574,572
WYOMING	1,989,772	497,365	1,492,407

Appendix C: Privacy Act Statement

Authority: Section 2001 of the American Rescue Plan Act of 2021 authorizes the collection of this information.

Purpose: The U.S. Department of Education (Department) will use this information to obtain the contact information of the individuals responsible for implementing ARP-HCY programs in SEAs. The information collected will be used by Department staff who need the information to provide oversight and support to the States.

Routine Uses: Among those disclosures permitted under 5 U.S.C. § 552a(b) of the Privacy Act, information contained in this system may be disclosed outside of the Department as a routine use pursuant to 5 U.S.C. 552a(b)(3) when the disclosure is compatible with the purpose for which the records were collected and the routine use is published in the applicable system of records notice. The routine uses are detailed in the system of records notice titled [Education's Central Automated Processing System \(EDCAPS\)](#) (18-04-04), which system of records notice may be updated by ED in the future to include new or modified routine uses. While the Department intends to make publicly available each ARP-HCY SEA implementation plan on the Department's website, the Department will only do so with appropriate redactions rules applied. The following Personally Identifiable Information will be collected but will be redacted prior to posting on the Department's website: name, email, phone, and address.

Disclosure: Furnishing this information is required under the ARP-HCY grant terms and conditions that were agreed to upon receipt of ARP-HCY funds. If this information is not provided, ED may take additional steps to ensure compliance with all grant terms and conditions, including additional grant award conditions and increased monitoring of grantee program implementation.