Introduction
The American Rescue Plan Elementary and Secondary School Emergency Relief (‘‘ARP ESSER’’ Fund, authorized under the American Rescue Plan (‘‘ARP’’) Act of 2021, provides nearly $122 billion to States to support the Nation’s schools in safely reopening and sustaining safe operations of schools while meeting the academic, social, emotional, and mental health needs of students resulting from the coronavirus disease 2019 (‘‘COVID-19’’) pandemic. It is particularly important that ARP ESSER funding will enable States and local educational agencies (‘‘LEAs’’), and more directly schools, to support students who have been most severely impacted by the COVID-19 pandemic and are likely to have suffered the most because of longstanding inequities in our communities and schools that have been exacerbated by the COVID-19 pandemic.

The U.S. Department of Education (‘‘Department’’) is committed to working in partnership with States so that these unprecedented resources are quickly put to work to ensure students have sustained access to in-person instruction and that the resources are used to provide the effective support students need as they persist through and recover from the impacts of the COVID-19 pandemic. The thoughtful and timely use of these funds will have a lasting impact on our Nation’s schools and help to address the inequities in resources, services, and opportunities available to our students.

This template presents an opportunity for States to share their plans for the use of ARP ESSER funds with the public. The Department must approve a State educational agency’s (‘‘SEA’s’’) plan in order to make the State’s remaining ARP ESSER allocation available for use. Please note that the Department intends to issue ARP ESSER reporting requirements separately.

Instructions
Each SEA must provide descriptions and other information that address each requirement listed below. An SEA may use this template or another format as long as every item and element is addressed in the SEA’s response. Throughout this document, questions that refer to an SEA’s ARP ESSER funding are referencing the total allocation to be received by the SEA, including that which it allocates to its LEAs.

Each SEA must submit to the Department by June 7, 2021, either: (1) its ARP ESSER plan or (2) the State requirements that preclude submission of the plan by that date and a date by which it will be able to submit its complete ARP ESSER plan.

To submit the SEA’s plan, please email the plan to your Program Officer at [State].OESE@ed.gov (e.g., Alabama.OESE@ed.gov).

In order to ensure transparency, the Department will post each plan on the Department’s website when it is received and will indicate each plan’s approval status.

This template also allows States to fulfill the requirement of the Coronavirus Response and Relief Supplemental Appropriations (‘‘CRRSA’’) Act ESSER II 6-month reporting requirement in section 313(f) of the CRRSA Act.
Cover Page

Grantee and Contact Information

ARP ESSER PR Award Number (e.g., S425U2100XX): S425U210015

SEA Contact: Michaela Miller, Deputy Superintendent

Telephone: 360-725-6000

Email address: Michaela.miller@k12.wa.us

By signing this document, I agree to each of the assurances listed in Appendix C and further assure that:

To the best of my knowledge and belief, all information and data included in this plan are true and correct.

<table>
<thead>
<tr>
<th>Chief State School Officer or Authorized Representative (Printed Name)</th>
<th>Date:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Chris Reykdal</td>
<td>June 7, 2021</td>
</tr>
</tbody>
</table>

Signature of Authorized SEA Representative

[Signature]

Date: June 7, 2021
A. Describing the State’s Current Status and Needs
The Department recognizes the extraordinary efforts made by States, LEAs, and educators to support students during the COVID-19 pandemic. In this section, SEAs will describe the progress they have made, the priorities and student needs guiding their ARP ESSER funding decisions, and their current and projected operating status.

1. Progress and Promising Practices: Provide your assessment of the top 2-3 strategies that have been most effective in supporting the needs of students in your State during the COVID-19 pandemic, especially for students most impacted by the COVID-19 pandemic. Please include, if applicable, how your State will submit and encourage its LEAs to submit lessons learned and best practices to the Department’s Safer Schools and Campuses Best Practices Clearinghouse so that they can be shared with other States and LEAs.

During Washington’s 2021 Legislative Session, legislators acted quickly by passing House Bill 1368, a January early action bill, which addressed the CRRSA / ESSER II funding for school districts. The bill required school districts to submit two plans. By March 1, 2021, school districts submitted school building reopening plans to the Office of Superintendent of Public Instruction (OSPI) that detailed their plans to expand in-person learning and provided data, by grade level, of students receiving different modes of learning (in-person, hybrid, remote). School districts were also required to submit Academic and Student Well-being Recovery Plans by June 1, 2021. This plan required more detailed information from school districts, including identification of diagnostic assessment tools by grade level, student learning and well-being gaps, as well as additional instruction and well-being support and extracurricular opportunities. The top strategies that have been most effective in supporting the needs of students in Washington state have been embedded in our Recovery Plan requirements, including:

- School district equity analysis tool
- Analysis of data by student group
- Educator professional learning and support to address the needs of students most impacted by the COVID-19 pandemic

As of the submission of this template, about 91% of school districts have met the June 1 deadline for their Academic and Student Well-being Recovery Plan. OSPI will be reviewing all school districts’ plans and providing feedback, when necessary. We will create and publish a summary of plans including the strategies districts plan to employ for summer 2021 and the 2021–22 school year. All school districts were required to have school board approval and must publish their plans on their district public-facing websites.

2. Overall Priorities: Provide your assessment of the top 2-3 issues currently facing students and schools across your State as a result of or in response to the COVID-19 pandemic including, to the extent possible, data illustrating why these are the most critical and/or most widespread issues facing schools and students.

OSPI has reviewed the data that we currently have access to at the state level, including a COVID-19 Student Survey, attendance data, and high school transcription data for the first half
of the 2020–21 school year. The district-level Academic and Student Well-Being Recovery Plans will provide additional information useful to the state in looking toward short and long-term recovery for students and schools. The top three issues currently facing students and schools across Washington state as a result of the COVID-19 pandemic are:

- Student and staff well-being
- Engagement and Attendance
- Acceleration of learning opportunities

**Student and Staff Well-Being**

In collaboration with Washington State Department of Health, Washington State Health Care Authority, and the University of Washington, in early spring 2021, OSPI released the COVID-19 Student Survey, an optional survey districts could use to gauge academic and well-being factors with middle and high school students. Districts opting-in for the survey received aggregate building and grade-level data on their students. OSPI received state-level data of student responses. Approximately 32,600 middle school students and 32,000 high school students participated in the COVID-19 Student Survey. It should be noted that this data collection did not include a statistically representative sample of students, as schools and students opted in. Therefore, the statewide data are transferable, but not generalizable.

In this data collection, responding students identified the following as the top issues they faced during the 2020–21 school year:

- About 69% of responding middle and high school students said they felt school was more challenging during the pandemic.
- About 41% of responding middle and high school students said they felt their grades were worse this year (2020–21) as compared to the last school year (2019–20).
- About 52% of responding middle school students and 68% of responding high school students said they felt they learned less this year compared to last year.
- About 45% of responding middle school students and 58% of responding high school students said they felt sad, depressed, or hopeless most days over the last 12 months.
- About 16% of responding middle school students and 23% of responding high school students said they sought mental health services this year.

**Engagement and Attendance**

- In the middle school grades, the average monthly number of absences has increased by 60%, from 1.8 to 2.9 absences.
- Among some student groups, middle school absences have nearly doubled or more:
  - English learners (2.1 absences in January 2020 compared to 5.0 in January 2021)
  - Students who are low-income (2.2 absences in January 2020 compared to 4.2 absences in January 2021)
- Students who are migratory (2.1 absences in January 2020 compared to 5.3 absences in January 2021)

**Acceleration of Learning Opportunities**

- In the first term of the 2020–21 school year, 25% of high school students had at least one course where they didn’t earn credit (received an F, No Credit, or Incomplete).
- Some student groups experienced disproportionate loss of credit (an F, No Credit, or Incomplete) in the first term of the 2020–21 school year:
  - The rate was 48.8% for students who are American Indian/Alaska Native
  - The rate was 46% for students who are English learners
  - The rate was nearly 40% for students who are low-income

We will also better understand the current situation for students in our state through the Academic and Well-being Recovery Plans submitted by school districts in early June. Currently, about 91% of school districts have submitted these to OSPI. OSPI will review plans in the coming weeks.

3. **Identifying Needs of Underserved Students**: Describe your State’s 2-3 highest priority academic, social, emotional, and/or mental health needs for the remainder of the 2020-2021 school year (if applicable) and for the 2021-2022 school year related to the impact of the COVID-19 pandemic on each of the following student groups:
   i. Students from low-income families,
   ii. Students from each racial or ethnic group (e.g., identifying disparities and focusing on underserved student groups by race or ethnicity),
   iii. Gender (e.g., identifying disparities and focusing on underserved student groups by gender),
   iv. English learners,
   v. Children with disabilities (including infants, toddlers, children, and youth with disabilities eligible under the Individuals with Disabilities Education Act (“IDEA”)),
   vi. Students experiencing homelessness,
   vii. Children and youth in foster care,
   viii. Migratory students, and
   ix. Other groups disproportionately impacted by the pandemic that have been identified by the SEA (e.g., youth involved in the criminal justice system, students who have missed the most in-person instruction during the 2019-2020 and 2020-2021 school years, students who did not consistently participate in remote instruction when offered during school building closures, and LGBTQ+ students).
To the extent possible, this description should include data on indicators such as estimates of the academic impact of lost instructional time,¹ chronic absenteeism, student engagement, and social-emotional well-being.

Complete the table below, adding rows as necessary, or provide a narrative description.

**Table A1. Indicators of Academic Impact by Student Group**

<table>
<thead>
<tr>
<th>Student Group</th>
<th>Enrollment (Jan 2021)</th>
<th>Average Absences per Student per Month (Jan. 2021)</th>
<th>Average Absences per Student per Month (Jan. 2020)</th>
<th>Percentage of High School Students with at least One Course Resulting in No Credit (Fall 2020)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Low-income/Eligible for Free and Reduced-Price Meals</td>
<td>487,781</td>
<td>3.3</td>
<td>2.3</td>
<td>39.0%</td>
</tr>
<tr>
<td>American Indian/Alaska Native</td>
<td>14,355</td>
<td>4.7</td>
<td>3.1</td>
<td>48.8%</td>
</tr>
<tr>
<td>Asian</td>
<td>91,167</td>
<td>1.1</td>
<td>1.2</td>
<td>9.6%</td>
</tr>
<tr>
<td>Black/African American</td>
<td>50,264</td>
<td>2.7</td>
<td>2.4</td>
<td>26.6%</td>
</tr>
<tr>
<td>Hispanic/Latino of any race(s)</td>
<td>270,499</td>
<td>3.3</td>
<td>2.2</td>
<td>38.8%</td>
</tr>
<tr>
<td>Native Hawaiian/Other Pacific Islander</td>
<td>13,691</td>
<td>3.9</td>
<td>3.0</td>
<td>42.6%</td>
</tr>
<tr>
<td>Two or More Races</td>
<td>96,317</td>
<td>2.2</td>
<td>1.9</td>
<td>24.1%</td>
</tr>
<tr>
<td>White</td>
<td>555,112</td>
<td>1.9</td>
<td>1.7</td>
<td>20.5%</td>
</tr>
<tr>
<td>Female</td>
<td>527,835</td>
<td>2.1</td>
<td>1.9</td>
<td>22.0%</td>
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<tr>
<td>Gender X</td>
<td>1,613</td>
<td>2.4</td>
<td>3.9</td>
<td>47.5%</td>
</tr>
<tr>
<td>Male</td>
<td>562,002</td>
<td>2.4</td>
<td>1.8</td>
<td>27.8%</td>
</tr>
<tr>
<td>English Language Learners</td>
<td>132,784</td>
<td>3.1</td>
<td>2.1</td>
<td>46.2%</td>
</tr>
<tr>
<td>Students with Disabilities</td>
<td>156,967</td>
<td>2.9</td>
<td>2.2</td>
<td>33.5%</td>
</tr>
<tr>
<td>Experiencing Homelessness</td>
<td>28,019</td>
<td>4.9</td>
<td>3.7</td>
<td>*</td>
</tr>
</tbody>
</table>

¹ For the purposes of the plan, “academic impact of lost instructional time” refers to “learning loss” experienced by students as a result of the COVID-19 pandemic, as referenced in the ARP Act and the CRRSA Act.
* Data not available at time of report.

**Highest Priority Needs by Student Group**

**Students from Low-Income Families**

Students from low-income families faced disproportionate access to state and federal resources throughout the pandemic resulting in a negative impact on overall student academic engagement. Significant impacts were noted in the areas of decreases in regular attendance, limited access to technology, limited access to support staff and teachers within and beyond the school day, and the inability to engage fully or consistently due to the home learning environment requiring multiple demands on the student while parents and/or guardians worked.

**Students from Each Racial or Ethnic Background used by the State for Reporting Purposes**

Refer to the table above for each racial and ethnic student group and data regarding absences and percentage of students with at least one course resulting in no credit.

**Students by Gender**

Looking specifically at differences in gender, male and female trend lines run parallel over the course of the last six years, including an increase in Regular Attendance and Ninth Grade on Track. The figures also show continued disparities for male students with completing ninth grade on track for graduation and with dual credit enrollment.

**Students who are English Learners**

Students who are multilingual/English learners and their families faced disproportionate access to state and federal resources throughout the pandemic, resulting in a negative impact on overall student academic engagement. Anecdotal evidence from various local education agencies (LEAs) as well as from state advisory groups such as the Bilingual Education Advisory Committee and the Migrant State Committee reported significant impacts in the areas of regular attendance, limited access to support staff and teachers within and beyond the school day, and the inability to engage due to the home learning environment requiring multiple demands on the student while parents and/or guardians worked.

For the 2020–21 school year, the English Language Proficiency Assessment and WIDA Alternate Assessment are being administered. As of March 2021, roughly 40% of the English Language Learners had completed the assessment. Since the assessment data was incomplete in 2019–20 and results for 2020–21 show a significant number of families waiving the assessment, it’s highly unlikely we will have sufficient data to support comparative analysis.

Based on the data available, including anecdotal, the top three priorities for multilingual/English learners are support in **attendance**, additional opportunities for **credit accrual** both during the
summer and school year, and consistent staffing that has qualified credentials in Bilingual Education.

**Students with Disabilities**

OSPI has general supervisory responsibility for children with disabilities ages 3–21 (IDEA Part B). Children with disabilities ages 0–2 are served by a partner state agency, the Division of Children, Youth, and Families (DCYF) (IDEA Part C).

Data on the needs of Washington’s children with disabilities (3–21) were collected through ongoing reports from local education agencies (LEAs) to OSPI, federal reporting, dispute resolution, monitoring, discussions with the state Special Education Advisory Committee panel (SEAC), public comment, surveys, and anecdotal information provided by families, advocates, educators, and school and district leaders. Analysis of the data demonstrated the following priorities for the remainder of the 2020–21 school year, summer, and the 2021–22 school year related to the impact of the COVID-19 pandemic:

1. Inclusionary practices, including the training and implementation of Universal Design for Learning (UDL), Assistive Technology (AT), and Individualized Education Programs (IEPs) developed to support students with disabilities access and progress in general education curriculum and classrooms, with supplemental specially designed instruction.
2. Progress Monitoring, data-based decision making, and reviewing educational benefit.
3. Recovery services, compensatory education, learning acceleration, and effective specially designed instruction.

OSPI works in partnership with DCYF, who administers the Early Support for Infant and Toddlers (ESIT) Part C program. The ESIT priorities to address the needs of children with disabilities, ages birth–2, are:

- Workforce support and stabilization including addressing issues related to staff retention and culturally appropriate evidence based coaching practices.
- Family support and stabilization including addressing basic needs and technology costs.
- Supporting the mental health needs of both families and service providers including recruitment of a more diverse, representative infant-family mental health workforce.
- Enhancing public awareness regarding the importance of early intervention to support the early identification of all eligible children.

**Students Experiencing Homelessness**

Students experiencing homelessness were disproportionately impacted by the health, safety, economic, and educational consequences of the COVID-19 pandemic. Objective and anecdotal data show disproportionate decreases in enrollment, identification, attendance, and academic achievement for this student group. Likely due to housing instability and low income, students experiencing homelessness struggled more with remote learning than their peers, due in part to poor access to broadband connectivity and lack of access to electronic devices. Remote learning and physical distancing requirements also created significant challenges for school districts to meet the identification and service requirements of the federal McKinney-Vento Homeless Assistant Act.
Based on a review of student-level data available to OSPI, information from LEAs, and feedback from community-based partners serving students and families experiencing homelessness, the top needs for students experiencing homelessness focus on stable enrollment and identification by LEAs, and ongoing support for consistent attendance and academic engagement. Specific examples include increased human capacity at most schools (e.g., homeless liaisons), increased availability of trauma-informed educators to provide academic engagement, learning acceleration, and wraparound services in partnership with the community-based support organizations (housing, employment services, behavioral health supports), and timely access to transportation to/from school.

Children and Youth in Foster Care

Students in foster care were also disproportionately impacted by the health, safety, economic, and educational consequences of the COVID-19 pandemic. Objective and anecdotal data show disproportionate decreases in enrollment, identification, attendance, and academic achievement for this student group. Washington’s child welfare system, like the public education system, faced significant challenges fulfilling its vital role. The combined result led to less placement stability and poorer communication between caseworkers, foster families, and educators about the needs of students in foster care.

Based on a review of student-level data available to OSPI, information from LEAs, and feedback from community-based partners serving students living in foster care, the top needs for this student group focus on stable enrollment and identification by LEAs, and ongoing support for consistent attendance and academic engagement. Specific examples include increased human capacity at most schools (e.g., foster care liaisons), increased availability of trauma-informed educators to provide academic engagement, learning acceleration, and wraparound services in partnership with the community-based support organizations (child welfare, community-based service organizations, behavioral health supports), and timely access to transportation to/from school.

Students who are Migratory

Students who are migratory and their families faced disproportionate access to state and federal resources throughout the pandemic – resulting in a negative impact on their overall academic engagement. Anecdotal evidence from various LEAs accepting a Title I, Part C Migrant Education grant suggests that students who are migratory temporarily placed their academic goals on hold because of the need to help their families recover the substantial loss in wages. Migratory students, for example, reported opting for temporarily, and in some cases permanently, engaging in seasonal agricultural work, mobility, caring for siblings in the home, or taking on other responsibilities in the absence of a parent at the home. Migratory high school students experienced the largest changes in attendance, grades, and credit accrual.

From a sample of LEAs with high migratory student enrollment, where migratory students were enrolled in 14,249 courses at the start of the 2020–21 school year, 33% averaged a failing rate, necessitating intervention toward credit recovery and accrual. Moreover, preliminary absence
data suggests that 13.6% of migratory students had more than two absences per month, on average, compared to their non-migrant student counterparts (10.6%) in Washington state.

In addition, migratory students in Washington state have been identified as needing substantial social-emotional support. According to the 2018 Healthy Youth Survey, 47.7% of migratory students reported experiencing depression, 24.2% reported experiencing bullying, and 28.9% reported contemplating suicide. The 2020–21 COVID Student Survey data is still being collected on the overall impact of COVID-19, and data statewide suggests that the need for increased behavioral health supports is further exacerbated due to the loss or near loss of a family member due to illness or related to the negative impacts of COVID-19 such as social isolation and the limited ability to access additional help from their social networks.

The top three priorities for students who are migratory include additional credit accrual opportunities to recover what may have been lost. Opportunities to reengage with teachers and counselors due to the reduction of hours staff were available during remote learning and social-emotional supports specific to behavioral health interventions.

4. **Understanding the Impact of the COVID-19 Pandemic:** Describe how the SEA will support its LEAs in identifying the extent of the impact of the COVID-19 pandemic on student learning and student well-being, including identifying the groups of students most impacted by the pandemic. Where possible, please identify the data sources the SEA will suggest its LEAs use in thoughtfully diagnosing areas of need, including data on the academic, social, emotional, and mental health impacts of lost instructional time.

Washington’s Legislature passed House Bill 1368 early in the 2021 Legislative Session that required local education agencies (LEAs) to submit an Academic and Student Well-Being Recovery Plan by June 1, 2021. The plans must address student needs resulting from school building closures and extended time in remote learning due to the COVID-19 pandemic. OSPI will review and either approve the submitted plans or request additional information from LEAs. Approval of the plan is required before ARP ESSER funds will be released for LEA use.

Specifically, LEAs must address the following elements in their Academic and Student Well-being Recovery Plan, using the OSPI provided template:

a. Identification of specific diagnostic assessments tools by grade level; identification of student learning and well-being gaps; and focus of additional time, supports, and/or extracurricular activities for students most impacted.

b. Inclusion of the following student groups in all data included in the Plan: American Indian/Alaska Native; Asian; Black/African American; Hispanic/Latino of any race(s); Native Hawaiian/Other Pacific Islander; Two or More Races; White; English Learners; Students Experiencing Poverty; Students with Disabilities; Students Experiencing Homelessness; and Students in Foster Care.

c. Students’ learning recovery (including addressing the needs of the student groups identified above), specifically identifying and correcting disproportionate impacts
resulting from the school building closures and extended time in remote learning due to the COVID-19 pandemic.

d. Provision of additional instruction, student well-being support, and extracurricular opportunities based on an assessment of student needs (academic and well-being).

e. Use of an equity analysis tool in the development of the plan.

f. Approval of the plan by the LEA’s school board or authorizing body (e.g., public posting, provide opportunity for public comment as federal requirement).

g. Posting of the LEA’s plan on their public-facing website, making it accessible for those with disabilities and those in the community whose primary language is one other than English.

OSPI provided guidance to LEAs on potential diagnostic assessments to assist in understanding the impact on students. OSPI also developed Tableau dashboards that are available to school districts. OSPI’s guidance document with these assessments is available on the OSPI website.

At this time, OSPI is reviewing each LEA’s plan and will soon be able to further identify student groups most impacted by the pandemic. The June 1 submission of LEAs’ plans is the first phase of three allowing LEAs to engage in and plan for continuous improvement cycles, and time to design and implement powerful and equitable systems to support student needs and plan for long-term system changes. All three phases are iterations of one singular plan in which the LEA builds an initial plan, collects data, monitors progress, makes adjustments, and implements deep, sustained improvements over time.

- Phase 1—June 2021: Initial LEA plan for academic and student well-being recovery and acceleration strategies to be implemented for the summer and early fall of 2021.
- Phase 2—November 2021: Review and analyze student data from the implemented Phase 1 strategies/interventions for each student group identified. Reflect and build on learning. Adjust and begin longer-term planning of recovery and acceleration strategies and interventions for implementation over the winter and throughout the 2021–22 school year. Continue to collect data.
- Phase 3—April 2022: Continue improvement cycle for strategies and interventions implemented in Phases 1 and 2 by reviewing and analyzing the collected data to inform next steps and engage in long-term sustained strategies for the next school year and beyond (2022–23+) (e.g., moving to a balanced calendar, implementing standards-based grading, or project-based learning).
5. **School Operating Status:** It is essential to have data on how students are learning in order to support the goals of access and equity, especially for student groups that have been disproportionately impacted by the COVID-19 pandemic. Describe the current status of data collection on operational status and mode of instruction of all schools in your State. This description must include:

i. A description of to what extent, and how frequently, the State collects now and will collect in the future data for all schools in your State on:

a. Mode of instruction: The number of schools in your State that are offering fully remote or online-only instruction; both remote/online and in-person instruction (hybrid model); and/or full-time in-person instruction;

b. Enrollment: Student enrollment for all students and disaggregated for each of the student groups described in A.3.i-viii for each mode of instruction; and

c. Attendance: Student attendance for all students and disaggregated for each of the student groups described in A.3.i-viii for each mode of instruction.

a) Mode of instruction: OSPI has been gathering information on a weekly basis regarding districts’ instructional models. At the district level and by grade band (elementary, middle, high school), they report whether they were traditional in-person, partial in-person for all students (hybrid), in-person for some students either via small groups or phased in for some grade levels, or 100% remote learning. These data have been reported publicly on the OSPI website weekly since January 18, 2021. The data are at the district level, not at the school level. We fully expect that schools will be operating full-time in-person for the 2021–22 school year. Therefore, in fall 2021, there is no additional data collection planned around type of instruction because. If a district is offering a remote/online option, student enrollment in that option would be captured in our regular student-level data collection.

b) Enrollment by mode of instruction: OSPI has detailed district enrollment data as well as information about which instructional model is offered at the district, and these two pieces of information can be linked. However, some students and families have elected to continue remote learning even after in-person instruction was offered, and OSPI does not currently have detail regarding which students opted to continue remote instruction. Therefore, the state-level enrollment data would be reflective of the district’s learning modality generally and would not be representative of individual students’ learning modalities. Between June and August 2021, OSPI plans to gather a point-in-time indicator of which students opted to continue remote learning. Those data will allow a table of enrollment by student group by mode of instruction for the 2021-22 school year. There is no additional data collection planned for fall 2021 for model of instruction because it is the expectation that all LEAs return to traditional in-person learning. If an LEA is offering a remote/online option, student enrollment in that option would be captured in our regular student-level data collection.
c) Attendance by mode of instruction: Similar to (b) above, OSPI has detailed absence information by student, student group, and school. However, some students and families have elected to continue remote learning and OSPI does not currently have that information. Therefore, absence data would be more indicative of the school district’s learning modality rather than that of specific students. With the student-level flag indicating who opted to continue remote learning, OSPI will be able to compile absence information by modality in addition to student group.

ii. The data described in A.5.i.a. and b. using the template in Appendix A (and to the extent available, the data described in A.5.i.c.) for the most recent time period available. Please note that this data can be submitted separately within 14 calendar days after a State submits this plan. The SEA must also make this data publicly available on its website as soon as possible but no later than June 21, 2021, and regularly provide updated available information on its website. The Department will periodically review data listed in A.5.i on SEA websites.

OSPI will not have all the data by June 21, 2021. OSPI will post available data and will update the website as more data are processed.

iii. To the extent available, a description of the planned operational status and mode of instruction for the State and its LEAs for Summer 2021 and for the 2021-2022 school year.

OSPI will not be collecting summer 2021 operational status and mode of instruction. For the 2021–22 school year, OSPI does not intend to collect school/district level mode of instruction data, given the expectation that schools will be operating fully in-person. Students that choose remote learning will be enrolled in schools offering that specifically, either through their district or through a multi-district program.

Safely Reopening Schools and Sustaining their Safe Operations

The Department recognizes that safely reopening schools and sustaining their safe operations to maximize in-person instruction is essential for student learning and student well-being, and especially for being able to address the educational inequities that have been worsened by the COVID-19 pandemic. In this section, SEAs will describe how they will support their LEAs in this vital area.

6. Support for LEAs: Describe how the SEA will support its LEAs in safely returning to in-person instruction and sustaining the safe operation of schools. This description must include:

i. How the SEA will support its LEAs implementing, to the greatest extent practicable, prevention and mitigation policies in line with the most up-to-date guidance from the Centers for Disease Control and
Prevention ("CDC") for the reopening and operation of school facilities to effectively maintain the health and safety of students, educators, and other staff;

Complete the table below, adding rows as necessary, or provide a narrative description.

Table B1.

<table>
<thead>
<tr>
<th>Mitigation strategy</th>
<th>SEA response</th>
</tr>
</thead>
<tbody>
<tr>
<td>Universal and correct wearing of masks</td>
<td></td>
</tr>
<tr>
<td>Physical distancing (e.g., including use of cohorts/podding)</td>
<td>See Narrative description below</td>
</tr>
<tr>
<td>Handwashing and respiratory etiquette</td>
<td>See Narrative description below</td>
</tr>
<tr>
<td>Cleaning and maintaining healthy facilities, including improving ventilation</td>
<td>See Narrative description below</td>
</tr>
<tr>
<td>Contact tracing in combination with isolation and quarantine, in collaboration with the State, local, territorial, or Tribal health departments</td>
<td>See Narrative description below</td>
</tr>
<tr>
<td>Diagnostic and screening testing</td>
<td>See Narrative description below</td>
</tr>
<tr>
<td>Efforts to provide vaccinations to educators, other staff, and students, if eligible</td>
<td>See Narrative description below</td>
</tr>
<tr>
<td>Appropriate accommodations for children with disabilities with respect to the health and safety policies</td>
<td>See Narrative description below</td>
</tr>
</tbody>
</table>

**SEA support for LEAs to implement COVID-19 prevention and mitigation strategies:**

From the beginning of the pandemic, OSPI has worked closely with the Washington State Department of Health (DOH) to develop and disseminate clear requirements and guidelines for implementing non-pharmaceutical prevention and mitigation strategies for COVID-19, including use of masks/face coverings, physical distancing, handwashing and respiratory etiquette, cleaning and ventilation, and contact tracing, in combination with isolation and quarantine. These requirements and guidelines meet or exceed CDC guidance, and are distributed directly to all LEAs, and are posted to OSPI’s website and the Department of Health (DOH) website.

OSPI partnered with DOH and local health jurisdictions to ensure all LEAs are aware of available diagnostic and screening testing resources in local communities. Working with these
and other partners, we piloted school-based testing strategies in approximately 1/3 of LEAs over the course of the past 6 months. Availability of this initiative will be expanded to all LEAs for the 2021–22 school year.

OSPI has strongly advocated for vaccination for all educators, school staff, eligible students, and their families. Working with a healthcare system with statewide scope (Kaiser Permanente), OSPI brokered easy access to vaccination for school staff and students, which augmented local community vaccination initiatives.

Information on appropriate accommodations for children with disabilities is included in all COVID-19 health and safety policies, requirements, and guidelines.

ii. Any Statewide plans, policies, estimated timelines, and specific milestones related to reopening and operation of school facilities, including any mechanisms the SEA will use to track, monitor, or enforce their implementation;

Under Washington State Emergency Proclamation of the Governor 21-05, dated March 15, 2021, all LEAs were required to offer at least 30% of their average weekly instructional hours as on-campus, in-person instruction for all K–12 students who wish to attend in-person by April 19, 2021.

OSPI has notified all LEAs of the expectation that all students will be offered full-time, on-campus, in-person instruction beginning in the 2021–22 school year.

OSPI gathers weekly information from LEAs regarding their currently-deployed learning models and the number of students accessing these models, including in-person, hybrid, or remote learning.

iii. To what extent the SEA and its LEAs consult with Federal, State, and local health officials. This description should include, if available, whether the SEA and its LEAs have received support for screening testing from their State or local health department based on funding awarded by the CDC; and

OSPI and LEAs are in constant consultation with state and local health officials. OSPI and LEAs have received extensive support for screening testing from the Washington State Department of Health based on funding awarded by the CDC.

iv. Any guidance, professional learning, and technical assistance opportunities the SEA will make available to its LEAs.

OSPI has, and will continue to, provide guidance, professional learning, and technical assistance to LEAs regarding strategies for workplace safety requirements, public health and safety, and educational delivery. These resources are distributed to LEAs on a regular basis and are posted to
the OSPI website for easy access by LEAs, educators, families, and students.

7. Safe Return to In-Person Instruction and Continuity of Services Plans: Describe how the SEA will ensure that its LEAs that receive ARP ESSER funds meet the requirements in section 2001(i) of the ARP Act and the requirements relating to the ARP ESSER funds published in the Federal Register and available at https://oese.ed.gov/offices/american-rescue-plan/american-rescue-plan-elementary-and-secondary-school-emergency-relief/ (ARP ESSER requirements) to either: (a) within 30 days of receipt of the funds, develop and make publicly available on the LEA’s website a plan for the safe return to in-person instruction and continuity of services, or (b) have developed and made publicly available on the LEA’s website such a plan that meets statutory requirements before the enactment of the ARP Act, including:

   i. How the SEA will ensure that each LEA plan includes, or will be modified to include, the extent to which it has adopted policies and a description of any such policies on each of the strategies listed in table B1;
   
   ii. How the SEA will ensure that each LEA plan describes how it will ensure continuity of services including but not limited to services to address the students’ academic needs, and students’ and staff social, emotional, mental health, and other needs, which may include student health and food services;
   
   iii. How the SEA will ensure that the LEA periodically reviews, no less frequently than every six months for the duration of the ARP ESSER grant period (i.e., through September 30, 2023),² and revises as appropriate, its plan, and how the SEA will ensure that the LEA seeks public input, and takes such input into account on (1) whether revisions are necessary and, if so, (2) the revisions to the plan; and
   
   iv. Describe, to the extent the SEA collects it, information about LEA implementation, to the greatest extent practicable, of each element of the most up-to-date CDC guidance listed in table B1 and its LEAs’ needs for support and technical assistance to implement strategies consistent, to the greatest extent practicable, with relevant CDC guidance.

All LEAs are required to submit a plan that meets these requirements by June 1, 2021. LEAs will not receive ARP ESSER funding until their plans are approved. These plans are iterative and OSPI will follow-up with districts periodically throughout the 2021–22 school year to update information.

² ARP ESSER funds are subject to the Tydings amendment in section 421(b) of the General Education Provisions Act, 20 U.S.C. 1225(b), and are therefore available to SEAs and LEAs for obligation through September 30, 2024. Review and revisions of these plans, if necessary, are not required during the Tydings period.
For the 2021–22 school year, LEAs will provide updates to their plans in three phases. All three phases are iterations of one singular plan in which the LEA builds an initial plan, collects data, monitors progress, makes adjustments, and implements deep, sustained improvements over time.

- **Phase 1**—June 2021: Initial LEA plan for academic and student well-being recovery and acceleration strategies to be implemented for the summer and early fall of 2021.
- **Phase 2**—November 2021: Review and analyze student data from the implemented Phase 1 strategies/interventions for each student group identified. Reflect and build on learning. Adjust and begin longer-term planning of recovery and acceleration strategies/interventions for implementation over the winter and throughout the school year 2021–22. Continue to collect data.
- **Phase 3**—April 2022: Continue improvement cycle for strategies/interventions implemented in Phases 1 and 2 by reviewing and analyzing the collected data to inform next steps and engage in long-term sustained strategies for the next school year and beyond (2022–23+) (e.g., moving to a balanced calendar, implementing standards-based grading, or project based learning).

OSPI will review each plan submitted. Plans not meeting the requirements set by OSPI and the Washington State Legislature will be returned to the LEA for additional information.

For children with disabilities, in addition to the activities described above, OSPI will ensure implementation of LEA plans through the provision of technical assistance and support, and will revise the general supervision monitoring process to include review of progress reports and multiple years of student IEPs to ensure progress. In addition, OSPI will review the extent to which recovery services were discussed, documented, and implemented, as well as how the decisions regarding recovery services were made. OSPI will continue to interview students, parents and families, and service providers regarding the provision of special education and related services to students. OSPI will continue to review language access for families whose primary language is not English, including documentation of interpreters attending IEP and evaluation meetings.

**Continuous Improvement Cycles**

The Washington LEA Academic and Student Well-being Recovery Plan is an iterative process for LEAs to plan with the end in mind and may be implemented in three phases based on student needs identified through diagnostic assessments. The use of a Multi-Tiered System of Supports (MTSS) framework will assist LEAs in developing and refining their plans. “Plan, Do, Study, Act” (PDSA) cycles support LEAs in learning quickly to determine what strategies and interventions to:

- Adapt and/or adjust for greater outcomes,
- Adopt when they are leading to improvement, and
- Abandon when they are not resulting in improvement.

To facilitate learning and improving, LEAs will be asked to prioritize and identify recovery and acceleration strategies and interventions on the LEA Academic and Student Well-being Recovery Plan Template. This is an opportunity to learn and support the differing approaches
The Plan, Do, Study, Act Cycle

**PLAN:**
- Objective
- Predictions
- Plan to carry out the cycle (who, what, where, when)
- Plan for data collection

**ACT:**
- What changes are to be made?
- Next cycle?

**DO:**
- Carry out the plan
- Document observations
- Record data

**STUDY:**
- Analyze data
- Compare results to predictions
- Summarize what was learned

B. Planning for the Use and Coordination of ARP ESSER Funds
The Department recognizes that seeking input from diverse stakeholders is essential to developing plans for the use of ARP ESSER funds that are responsive to the needs of students, families, and educators. In this section, SEAs will describe their plans for consultation and for coordinating the use of ARP ESSER funds with other resources to meet the needs of students.

1. **SEA Consultation:** Consistent with the ARP ESSER requirements, describe how the SEA engaged in meaningful consultation with stakeholders, and incorporated input into its plan, including, but not limited to:
   i. students;
   ii. families;
   iii. Tribes (if applicable);
   iv. civil rights organizations (including disability rights organizations);
   v. school and district administrators (including special education administrators);
   vi. superintendents;
   vii. charter school leaders (if applicable);
viii. teachers, principals, school leaders, other educators, school staff, and their unions; and

ix. stakeholders representing the interests of children with disabilities, English learners, children experiencing homelessness, children and youth in foster care, migratory students, children who are incarcerated, and other underserved students.

The description must include how the SEA provided the public the opportunity to provide input in the development of the plan, a summary of the input (including any letters of support), and how the SEA took such input into account.

OSPI regularly consults with a variety of education stakeholder groups and individuals. Since January 2021 as the agency became aware of additional federal stimulus funds for education, OSPI has continued consulting with stakeholders and partners focused on learning recovery and acceleration.

Following are some of the stakeholder groups and partners OSPI has met with over the past 6 months regarding this topic:

- Student Learning Workgroup
- Teacher and Principal Evaluation Project steering committee
- Special Education Advisory Council
- Educational Service Districts
- Regional and district Special Education Directors
- Open Doors for Multicultural Families
- Partnership for Action Voices for Empowerment (PAVE)
- Roots for Inclusion
- Inclusion for All
- Office of the Education Ombuds
- The ARC of WA
- Investing in Student Potential Coalition
- WA Autism Alliance
- Disability Rights WA
- Black Joy Consortium for Reimagining Education
- Challenge Seattle
- Washington Federation of Independent Schools
- Washington State Native American Education Advisory Committee (WSNAEAC)
- Migrant State Advisory Committee (SAC)
- Bilingual Education Advisory Committee (BEAC)
- Graduation: A Team Effort (GATE) Partnership Advisory Committee
- Association of Washington School Principals
- Washington Association of School Administrators
- Washington State School Directors’ Association
- Washington Education Association
- Committee of Practitioners
Data on the needs of Washington’s students with disabilities (ages 3–21) were collected through ongoing reports from LEAs to OSPI, federal reporting, dispute resolution, monitoring, discussions with the state Special Education Advisory Committee (SEAC), public comment, surveys, and anecdotal information provided by families, advocates, educators, and school and district leaders.

In addition to regular consultation with stakeholder groups, OSPI is collecting information from stakeholders on their priorities for ARP ESSER funds through an online survey. The survey will be open through the summer and OSPI will review the data to inform decisions on learning recovery interests and priorities focused on the most highly impacted student populations. In addition, OSPI plans to conduct two webinars over the summer to provide an update on the Washington LEA Academic and Student Well-being Recovery Plans, the COVID-19 Student Survey, and the 2021 Legislative Session and request input as we continue to plan for future recovery efforts.

2. **Coordinating Funds: Describe to what extent the SEA has and will coordinate Federal COVID-19 pandemic funding and other Federal funding.** This description must include:
   i. How the SEA and its LEAs 1) are using or have used prior to the submission of this plan and 2) plan to use following submission of this plan, Federal COVID-19 funding under the Coronavirus Aid, Relief, and Economic Security (“CARES”) Act and the CRRSA Act to support a safe return to and safely maximize in-person instruction, sustain these operations safely, and address the disproportionate impact of the COVID-19 pandemic on individual student groups (including students from low-income families, children with disabilities, English learners, racial or ethnic minorities, students experiencing homelessness, children and youth in foster care, and migratory students);

   *Complete the table below or provide a narrative description.*

**Table C1.**

<table>
<thead>
<tr>
<th>Funding source</th>
<th>Prior/current SEA and LEA uses (including funding amounts, if applicable)</th>
<th>Planned SEA and LEA uses (including funding amounts, if applicable)</th>
</tr>
</thead>
<tbody>
<tr>
<td>ESSER I (CARES Act)</td>
<td>The LEA allocations for ESSER I are more than 80% expended. OSPI holds budget detail of those expenditures, as school districts will report actuals in their yearend reporting.</td>
<td>The SEA portion of ESSER I has been fully obligated and will be drawn down at a rate of use based on the specific recipient of funds. The SEA portion has been obligated in part as follows:</td>
</tr>
<tr>
<td>Funding source</td>
<td>Prior/current SEA and LEA uses (including funding amounts, if applicable)</td>
<td>Planned SEA and LEA uses (including funding amounts, if applicable)</td>
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</tbody>
</table>
|                          | OSPI is currently using a portion of the SEA funds for the following purposes:  
• SEA admin  
• Non-Title I school district allocations  
• Changes to school district fiscal reporting systems  
• Grants to community-based organizations to support school-aged children in learning and well-being recovery  
• Internet connectivity for students and families who are low-income                                                                 | • SEA Admin: $286,285  
• Non-Title I school district allocation: $433,785  
• Changes to School District Fiscal Reporting System: $55,000  
• LEA use of ESSER—Will be reported in detail in the financial reporting system at the end of the school year. Current information held by the SEA represents budgeted, not actual, expenditures. |
| GEER I (CARES Act)        | 1. The portion of these funds dedicated to K–12 were spent on electronic devices in the fall of 2020 so districts could achieve a 1:1 student-to-device ratio.  
2. The EANS funds are available for private schools to claim.                                                                                                                      | 1. The full $24,000,000 that was provided for this purpose was spent by March 2021 after the product ordered by districts in the fall was received.  
2. None of these dollars have been claimed by private schools. OSPI is seeking other funding mechanisms to meet the federal guidelines.                                               |
| ESSER II (CRRSA Act)      | The state Legislature did not approve SEA admin out of ESSER II funds.  
School districts have drawn down $16 million of these funds since they were made available in March.                                                                                | SEA admin from ESSER II was not allowed.  
LEA use of ESSER II will be reported in detail in the financial reporting system at the end of the school year. Current information held by OSPI represents budgeted, not actual, expenditures.                                      |
### Funding source

<table>
<thead>
<tr>
<th>Prior/current SEA and LEA uses (including funding amounts, if applicable)</th>
<th>Planned SEA and LEA uses (including funding amounts, if applicable)</th>
</tr>
</thead>
<tbody>
<tr>
<td>GEER II (CRRSA Act)</td>
<td>The EANS II program has not yet been initiated by the state.</td>
</tr>
</tbody>
</table>

ii. To what extent ESSER I and ESSER II funds have been awarded to LEAs and, if funds have not yet been made available to LEAs, when they will be. In addition, please provide any available information on the total dollar amounts of ESSER I and ESSER II funds that have been obligated but not expended by the SEA and its LEAs, including whether the SEA is able to track LEA obligations.

| ESSER I (CARES Act) total funding | $216,892,447 |
| ESSER I (CARES Act) available to LEAs | $195,414,884 |
| ESSER I (CARES Act) claimed by LEAs | $155,425,569 |

All SEA set-aside funds have been obligated, but not all have been expended through May 2021.

| ESSER II (CRRSA Act) total funding | $824,852,290 |
| ESSER II (CRRSA Act) available to LEAs | $743,042,470 |
| ESSER II (CRSSA Act) claimed by LEAs | $16,656,656 |

The Washington State Legislature recently passed a budget that had most of the ESSER II SEA set-aside targeted towards specific investments. Our agency is currently going through the implementation of that budget.

| GEER I (CARES Act) total award | $24,000,000 |
| GEER I (CARES Act) claimed by LEAs | $24,000,000 |

These funds were provided to eligible school districts as a reimbursement for 1:1 computer device purchases in fall 2020.

The SEA is not able to track LEA obligations of these funds.

iii. In supporting LEAs as they plan for the safe return to and continuity of in-person instruction and for meeting the academic, social, emotional, and mental health needs of students resulting from the COVID-19 pandemic, the extent to which the SEA is also using other Federal funding sources including but not limited to under the Elementary and Secondary Education Act of 1965 (“ESEA”), IDEA, Workforce Innovation and Opportunity Act (“WIOA”), funding for child nutrition services, and McKinney-Vento Homeless Assistance
McKinney-Vento Homeless Assistance Act funds have been awarded to LEAs with high need for additional support for students experiencing homelessness. OSPI uses state set-aside funds under this Act to provide training and technical assistance to all LEAs, and has added specific focus during the course of the pandemic on identification of students experiencing homelessness and providing supports intended to improve enrollment and attendance. The agency intends to use the funds to support the needs of students experiencing homelessness provided by section 2001(b)(1) of the ARP Act to augment direct supports in these high-need LEAs, to increase community-based supports through funded partnerships with community-based organizations, and to increase capacity for all LEAs to successfully serve students experiencing homelessness.

IDEA state-level admin funds and statewide activity funds are also being used to support the academic, social-emotional and mental health needs of students with disabilities through the development and provisions of technical assistance, professional development, and LEA supports.

Title I, Part A funds continue to support students that are affected by the pandemic. This includes funds for staff positions, including personnel to support student well-being. Funds also support expanded services, including before and after school academic and behavioral interventions.

Title I, Part C funds also support students affected by the pandemic. This includes services specific to summer programming and after school programing to support accelerated learning opportunities as well as recovery.

C. Maximizing State-Level Funds to Support Students
The Department recognizes that States have an extraordinary opportunity to address the disproportionate impact of the COVID-19 pandemic on underserved students through the ARP Act’s required State set-asides to address the academic impact of lost instructional time, provide summer learning and enrichment programs, and provide comprehensive afterschool programs. In this section, SEAs will describe their evidence-based strategies for these resources.

1. Academic Impact of Lost Instructional Time: Describe how the SEA will use the funds it reserves under section 2001(f)(1) of the ARP Act (totaling not less than 5 percent of the State’s total allocation of ARP ESSER funds) on evidence-based interventions to address the academic impact of lost instructional time by supporting the implementation of evidence-based interventions, such as summer learning or summer enrichment, extended day, comprehensive afterschool

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3 Please note that the needs of students experiencing homelessness must be addressed (along with the other groups disproportionately impacted by the COVID-19 pandemic) through the use of the ARP ESSER SEA reservations and the required LEA reservation for the academic impact of lost instructional time; the funding provided to support the needs of students experiencing homelessness by section 2001(b)(1) of the ARP Act is in addition to the supports and services provided with ARP ESSER funds.
programs, or extended school year programs, and ensure that such interventions respond to students’ academic, social, emotional, and mental health needs. The description must include:

i. A description of the evidence-based interventions (e.g., providing intensive or high-dosage tutoring, accelerating learning) the SEA has selected, and the extent to which the SEA will evaluate the impact of those interventions on an ongoing basis to understand if they are working;

With just a month since our state Legislature adjourned and a week since Washington’s Governor signed the biennial state budget, OSPI is in the implementation planning process for identifying evidence-based interventions for students. Decisions will be made in on an ongoing basis as the agency works with school districts and consults with internal and external partners and stakeholders.

ii. How the evidence-based interventions will specifically address the disproportionate impact of COVID-19 on certain groups of students, including each of the student groups listed in question A.3.i.-viii. When possible, please indicate which data sources the SEA will use to determine the impact of lost instructional time; and

OSPI has identified and included a broad resource of evidence-based interventions for students group disproportionately impacted within OSPI’s Academic and Student Well-Being Planning Guide. The data sources the agency will use are a combination of secondary student transcription data, attendance, and district-level data from the Washington LEA Academic and Student Well-Being Recovery Plan. In addition, we are planning to administer the federally required statewide assessments in math, English language arts, and science this fall.

iii. The extent to which the SEA will use funds it reserves to identify and engage 1) students who have missed the most in-person instruction during the 2019-2020 and 2020-2021 school years; and 2) students who did not consistently participate in remote instruction when offered during school building closures.

School districts have just submitted their Academic and Well-being Recovery Plans. OSPI will soon review and analyze the plan submissions, and the agency anticipates better understanding students who missed the most in-person instruction and were disproportionately impacted. OSPI will also analyze the attendance data submitted to the state and continue to identify programs and grants that would benefit students most impacted by school building closures.

2. **Evidence-Based Summer Learning and Enrichment Programs**: Describe how the SEA will use the funds it reserves under section 2001(f)(2) of the ARP Act (totaling not less than 1 percent of the State’s total allocation of ARP ESSER funds) for evidence-based summer learning and enrichment programs, including those that begin in Summer 2021, and ensure such programs respond to students’
OSPI will contract with two organizations for summer learning and enrichment programs. Both contractors have statewide reach and can pivot efficiently to provide direct services to students in the summer of 2021 and 2022.

The first contractor is School’s Out Washington. They have experience partnering with a state agency to administer youth development grant programs, and will serve as an intermediary to the state to develop and administer grants to community-based organizations who provide direct services to school-aged youth, prioritizing programs that promote students connecting socially, engaging in physical activity, and also supporting families who have struggled with child care needs. The contractor will utilize a community-based review process and conduct extensive outreach and application assistance.

The Contractor is required to report to OSPI how programs respond to students’ academic, social, and emotional needs; are geographically diverse; and address the disproportionate impacts of the pandemic on different student groups, including:

- each major racial and ethnic group,
- students experiencing poverty,
- students with disabilities,
- English proficiency status,
- gender,
- students who are migratory,
- students experiencing homelessness, and
- children and youth in foster care.

The second contract is with the Association of Washington Cities in partnership with the Recreation and Parks Association. This contractor has experience coordinating statewide efforts around city and county summer youth development programs, will administer a grant program across city and county parks and recreation programs to provide direct services to school-aged youth, and will prioritize programs that promote students connecting socially, engaging in physical activity, and also supporting families who have struggled with child care needs. Grants will be used to support the expansion of current programs, as well as the creation of new programs—especially in areas that do not have access to programming, and additional recruitment and communication efforts to communities without programs, or geared toward youth who have historically not participated in programming.
The Contractor is required to report to OSPI how programs respond to students’ academic, social, and emotional needs; are geographically diverse; and address the disproportionate impacts of the pandemic on different student groups, including:

- each major racial and ethnic group,
- students experiencing poverty,
- students with disabilities,
- English proficiency status,
- gender,
- students who are migratory,
- students experiencing homelessness, and
- children and youth in foster care.

ii. How the evidence-based programs will specifically address the disproportionate impact of COVID-19 on certain groups of students, including each of the student groups listed in question A.3. i.--viii. When possible, please indicate which data sources the SEA will use to identify students most in need of summer learning and enrichment programs; and

As part of their contract with OSPI, both contractors will need to meet specific deliverables. One deliverable, specifically, requires the contractors to collect data on students served in the programs and the impact of their evidence-based programs on students’ social, emotional, and academic outcomes.

iii. The extent to which the SEA will use funds it reserves to identify and engage 1) students who have missed the most in-person instruction during the 2019-2020 and 2020-2021 school years; and 2) students who did not consistently participate in remote instruction when offered during school building closures.

As part of their contract with OSPI, both contractors will collect and provide data on how they identified and recruited students to their programs. These outreach efforts will be in addition to more traditional ways students come to their programs, and may include going to specific communities and neighborhoods within their cities, advertising via online social media, and other advertising opportunities through schools and other community-based organizations.

3. Evidence-Based Comprehensive Afterschool Programs: Describe how the SEA will use the funds it reserves under section 2001(f)(3) of the ARP Act (totaling not less than 1 percent of the State’s total allocation of ARP ESSER funds) for evidence-based comprehensive afterschool programs (including, for example, before-school programming), and ensure such programs respond to students’ academic, social, emotional, and mental health needs. The description must include:

i. A description of the evidence-based programs (e.g., including partnerships with community-based organizations) the SEA has
selected, and the extent to which the SEA will evaluate the impact of those programs;

At this time, OSPI is in the beginning phases of implementation planning for before and after school programs. The agency is expanding the use of the 21st century schools grants through the federal program. This will provide resources and support to existing provider and expand the footprint across the state.

Programs that receive funding shall report to OSPI how programs respond to students’ academic, social, and emotional needs; are geographically diverse; and address the disproportionate impacts of the pandemic on different student groups, including:

- each major racial and ethnic group,
- students experiencing poverty,
- students with disabilities,
- English proficiency status,
- gender,
- students who are migratory,
- students experiencing homelessness, and
- children and youth in foster care.

ii. How the evidence-based programs will specifically address the disproportionate impact of COVID-19 on certain groups of students, including each of the student groups listed in question A.3.i.-viii. When possible, please indicate which data sources the SEA will use to identify students most in need of comprehensive afterschool programming; and

OSPI will target evidence-based programs to address the disproportionate impacts on particular student groups. Determination of services for students who have missed in-person services will be dependent on an analysis of the LEA Academic and Well-being Recovery Plans as well as the COVID-19 Student Survey. Over the summer, OSPI will be working with the University of Washington to review the data collected via the COVID-19 Student Survey, disaggregated by student group. In addition, OSPI will use the district-level data from the Academic and Well-being Recovery Plans, combined with state-level transcription and attendance data, to better understand the needs of individual student groups for comprehensive afterschool programming.

iii. the extent to which the SEA will use funds it reserves to identify and engage 1) students who have missed the most in-person instruction during the 2019–20 and 2020–21 school years; and 2) students who did not consistently participate in remote instruction when offered during school building closures.

OSPI will utilize these funds with a priority on students who have missed the most in-person instruction over the last two years. Determination of services for students who have missed in-
person services will be dependent on an analysis of the Academic and Well-being Recovery Plans. This data analysis and disaggregation by student group will assist OSPI in targeting resources, and will better position the state to invest in ongoing wraparound services for students.

4. **Emergency Needs:** If the SEA plans to reserve funds for emergency needs under section 2001(f)(4) of the ARP Act to address issues responding to the COVID-19 pandemic, describe the anticipated use of those funds, including the extent to which these funds will build SEA and LEA capacity to ensure students’ and staff’s health and safety; to meet students’ academic, social, emotional, and mental health needs; and to use ARP ESSER funds to implement evidence-based interventions.

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**D. Supporting LEAs in Planning for and Meeting Students’ Needs**
The Department recognizes that the safe return to in-person instruction must be accompanied by a focus on meeting students’ academic, social, emotional, and mental health needs, and by addressing the opportunity gaps that existed before – and were exacerbated by – the pandemic. In this section, SEAs will describe how they will support their LEAs in developing high-quality plans for LEAs’ use of ARP ESSER funds to achieve these objectives.

1. **LEA Plans for the Use of ARP ESSER Funds:** Describe what the SEA will require its LEAs to include in LEA plans consistent with the ARP ESSER requirements for the use of ARP ESSER funds, how the SEA will require such plans to be made available to the public, and the deadline by which the LEA must submit its ARP ESSER plan (which must be a reasonable timeline and should be within no later than 90 days after receiving its ARP ESSER allocation). The LEA plans must include, at a minimum:
   i. The extent to which and how the funds will be used to implement prevention and mitigation strategies that are, to the greatest extent practicable, in line with the most recent CDC guidance, in order to continuously and safely operate schools for in-person learning;
   ii. How the LEA will use the funds it reserves under section 2001(e)(1) of the ARP Act (totaling not less than 20 percent of the LEA’s total allocation of ARP ESSER funds) to address the academic impact of lost instructional time through the implementation of evidence-based interventions, such as summer learning or summer enrichment, extended day, comprehensive afterschool programs, or extended school year programs;
   iii. How the LEA will spend its remaining ARP ESSER funds consistent with section 2001(e)(2) of the ARP Act; and
   iv. How the LEA will ensure that the interventions it implements, including but not limited to the interventions under section 2001(e)(1) of the ARP Act to address the academic impact of lost instructional time, will respond to the academic, social, emotional,
and mental health needs of all students, and particularly those students disproportionately impacted by the COVID-19 pandemic, including students from low-income families, students of color, English learners, children with disabilities, students experiencing homelessness, children and youth in foster care, and migratory students.

The Washington State Legislature passed House Bill 1368 early in the 2021 Legislative Session that required school districts to submit an LEA Academic and Student Well-being Recovery Plan by June 1, 2021. LEA’s plans must address student needs resulting from school building closures and extended time in remote learning due to the COVID-19 pandemic. OSPI will review and approve the submitted Plans or request additional information from LEAs. Approval of the Plan is required before ARP ESSER funds will be released for LEA use.

Specifically, LEAs must address the following elements in their Academic and Student Well-being Recovery Plan, using the OSPI provided template:

a. Identification of specific diagnostic assessments tools by grade level; identification of student learning and well-being gaps; and focus of additional time, supports, and/or extracurricular activities for students most impacted.

b. Inclusion of the following student groups in all data included in the Plan: American Indian/Alaska Native; Asian; Black/African American; Hispanic/Latino of any race(s); Native Hawaiian/Other Pacific Islander; Two or More Races; White; English Learners; Students Experiencing Poverty; Students with Disabilities; Students Experiencing Homelessness; and Students in Foster Care.

c. Students’ learning recovery (including addressing the needs of the student groups identified above), specifically identifying and correcting disproportionate impacts resulting from the school building closures and extended time in remote learning due to the COVID-19 pandemic.

d. Provision of additional instruction, student well-being support, and extracurricular opportunities based on an assessment of student needs (academic and well-being).

e. Use of an equity analysis tool in the development of the plan.

f. Approval of the plan by the LEA’s school board or authorizing body (e.g., public posting, provide opportunity for public comment as federal requirement).

g. Posting of the LEA’s plan on their public-facing website, making it accessible for those with disabilities and those in the community whose primary language is one other than English.

OSPI provided guidance to LEAs on potential diagnostic assessments to assist in understanding the impact on students. OSPI also developed Tableau dashboards that are available to school districts. OSPI’s guidance document with these assessments is available on the OSPI website.

At this time, OSPI is reviewing each LEA’s plan and will soon be able to further identify student groups most impacted by the pandemic. The June 1 submission of LEAs’ plans is the first phase of three allowing LEAs to engage in and plan for continuous improvement cycles, and time to design and implement powerful and equitable systems to support student needs and plan for long-term system changes. All three phases are iterations of one singular plan in which the LEA
builds an initial plan, collects data, monitors progress, makes adjustments, and implements deep, sustained improvements over time.

2. **LEA Consultation:** Describe how the SEA will, in planning for the use of ARP ESSER funds, ensure that, consistent with the ARP ESSER requirements, its LEAs engage in meaningful consultation with stakeholders, including, but not limited to:
   - i. students;
   - ii. families;
   - iii. school and district administrators (including special education administrators); and
   - iv. teachers, principals, school leaders, other educators, school staff, and their unions.

   The LEA must also engage in meaningful consultation with each of the following to the extent present in or served by the LEA:
   - i. Tribes;
   - ii. civil rights organizations (including disability rights organizations); and
   - iii. stakeholders representing the interests of children with disabilities, English learners, children experiencing homelessness, children and youth in foster care, migratory students, children who are incarcerated, and other underserved students.

   The description must also include how the SEA will ensure that LEAs provide the public the opportunity to provide input in the development of the LEA’s plan for the use of ARP ESSER funds and take such input into account.

Each LEA Academic and Well-being Recovery Plan must go through a local and public review and approval process with the school district board of directors. As a local control state, each of the 295 school districts addressed this differently given the uniqueness of their students, families, and communities.

3. Describe how the SEA will support and monitor its LEAs in using ARP ESSER funds. The description must include:
   - i. How the SEA will support and monitor its LEAs’ implementation of evidence-based interventions that respond to students’ academic, social, emotional, and mental health needs, such as through summer learning or summer enrichment, extended day, comprehensive afterschool programs, or extended school year programs – including the extent to which the SEA will collect evidence of the effectiveness of interventions employed; Click here to enter text.
   - ii. How the SEA will support and monitor its LEAs in specifically addressing the disproportionate impact of the COVID-19 pandemic on certain groups of students, including each of the student groups listed in question A.3.i.-viii; and Click here to enter text.
iii. How the SEA will support and monitor its LEAs in using ARP ESSER funds to identify, reengage, and support students most likely to have experienced the impact of lost instructional time on student learning, such as:
   a. Students who have missed the most in-person instruction during the 2019-2020 and 2020-2021 school years;
   b. Students who did not consistently participate in remote instruction when offered during school building closures; and
   c. Students most at-risk of dropping out of school.

In addition to the summer learning funds allocated to school districts via the Title I methodology, OSPI will be providing funds via grants or contracts to community-based organizations that have a proven track record of success with after and extended school year programs in communities of high needs. OSPI will work with providers on reporting data that represents measures of success within the context of the contract or grant agreement.

OSPI has revised the general supervision monitoring process to include review of progress reports and multiple years of student IEPs to ensure progress, and will be reviewing the extent to which recovery services were discussed, documented, and implemented, as well as how the decisions regarding recovery services were made. OSPI will continue to interview students, parents and families, and service providers regarding the provision of special education and related services to students and review language access for families who do not speak English, including documentation of interpreters attending IEP and evaluation meetings. Additionally, dispute resolution data are tracked, and trends identified, so technical assistance and professional development is developed and provided to districts and families in an attempt to proactively address concerns and improve services to students.

OSPI continues to encourage LEAs to coordinate, combine, and braid funding to support students. This is supported by the OSPI’s guidance document, *Unlocking Federal and State Funds to Support Student Success*. OSPI’s Consolidated Program Review (CPR) monitoring cycle will continue to review LEAs for best practices and compliance. To adjust for the pandemic, CPR checklists developed by programs will be adjusted to monitor for specific pandemic-related needs, including use of ESSER funds.

In addition, OSPI will use the CPR process to ensure equitable access for all students to high-quality curriculum, instruction, and supports through a coordinated monitoring system that is a partnership between OSPI and LEAs.

4. Describe the extent to which the SEA will support its LEAs in implementing additional strategies for taking educational equity into account in expending ARP ESSER funds, including but not limited to:
   i. Allocating funding both to schools and for districtwide activities based on student need, and
   ii. Implementing an equitable and inclusive return to in-person instruction. An inclusive return to in-person instruction includes, but is not limited to, establishing policies and practices that avoid the
over-use of exclusionary discipline measures (including in- and out-of-school suspensions) and creating a positive and supportive learning environment for all students.

Where the SEA has control to make targeted investments with ARP ESSER funds, we will be doing so through the lens of educational equity, by ensuring that funds get disbursed to a wide variety of communities across the state. The goal is to benefit students in need while targeting geographic, resource, and economic diversity. Student need will be defined locally based on the specific knowledge of the student group and community. For example, some communities have held in-person instruction in Washington longer than others, which may lead to a different need of service to students in promoting a safe and inclusive return to in-person instruction.

**E. Supporting the Educator Workforce**

The Department recognizes the toll that the COVID-19 pandemic has taken on the Nation’s educators as well as students. In this section, SEAs will describe strategies for supporting and stabilizing the educator workforce and for making staffing decisions that will support students’ academic, social, emotional, and mental health needs.

1. **Supporting and Stabilizing the Educator Workforce:**
   i. Describe the extent to which the State is facing shortages of educators, education administration personnel, and other school personnel involved in safely reopening schools, and the extent to which they vary by region/type of school district and/or groups of educators (e.g., special educators and related services personnel and paraprofessionals; bilingual or English as a second language educators; science, technology, engineering, and math (“STEM”) educators; career and technical education (“CTE”) educators; early childhood educators). Cite specific data on shortages and needs where available.

   *Complete the table below, changing or adding additional rows as needed, or provide a narrative description.*

   **Table F1.**

<table>
<thead>
<tr>
<th>Area</th>
<th>Data on shortages and needs</th>
<th>Narrative description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Teachers assigned to Special Education</td>
<td>Washington State’s Professional Educator Standards Board (PESB) prepares an annual report on educator shortages by educator roles, content area, and region. <a href="https://www.pesb.wa.gov">The most recent report and data is located on the PESB website.</a></td>
<td>The SEA utilizes several strategies for supporting and stabilizing the educator workforce to support students with disabilities.</td>
</tr>
<tr>
<td></td>
<td>Data Prepared by Title II, Part A, OSPI: <em><em>Special Education</em> Teacher Shortages: 2019-20SY</em>*</td>
<td></td>
</tr>
</tbody>
</table>
### Qualification

<table>
<thead>
<tr>
<th>Qualification**</th>
<th>Unit</th>
<th>Total Count</th>
<th>Count</th>
<th>Percent</th>
</tr>
</thead>
<tbody>
<tr>
<td>Out-of-Field**</td>
<td>Teacher</td>
<td>6,977</td>
<td>578</td>
<td>8.3%</td>
</tr>
<tr>
<td></td>
<td>Section</td>
<td>39,069</td>
<td>2,584</td>
<td>6.6%</td>
</tr>
<tr>
<td>Limited Certificate***</td>
<td>Teacher</td>
<td>6,977</td>
<td>264</td>
<td>3.8%</td>
</tr>
<tr>
<td></td>
<td>Section</td>
<td>39,069</td>
<td>1501</td>
<td>3.8%</td>
</tr>
</tbody>
</table>

Data indicates a need to train, recruit, and/or hire approximately 842 additional teachers who hold full certificates with Special Education endorsements.

* The SEA defines a special education course as any course section where 100% of the students enrolled have Individualized Education Programs (IEPs).

** An Out-of-Field teacher provides instruction outside of their endorsed subject area or outside of the grade level that the endorsement is for. This is a different definition than is used in PESB’s annual Shortage Report.

*** A Limited Certificated teacher provides instruction with a limited certificate. Limited Certificates are issued under specific circumstances and for limited service. They include Intern Substitute Teacher Certificate, Nonimmigrant Exchange Teacher Certificate, Substitute Teacher Certificate, and Transitional Teacher Certificate. More information is available in Washington Administrative Code (WAC) 181-79A-231 or the OSPI Certification Office.

### Paraeducators assigned to Special Education

The SEA does not currently have a mechanism to quantitatively measure paraeducator shortages. The SEA is working to establish system-wide, course-level reporting for paraeducators; however, data currently collected on paraeducator assignments is based in employment records, which does not account for vacant/unfilled positions.

Below is a headcount and FTE total of paraeducators paid through Special Education funding in Washington state for school year 2019–20.

<table>
<thead>
<tr>
<th>Head Count</th>
<th>Total FTE</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Several programs in place currently to recruit and maintain teachers for Special Education programs are:

- **Alternative routes block grant**
- **Pre-endorsement waiver program**

Throughout the pandemic, the SEA has additional provided guidance, best practices, resources, and unique considerations for supporting students with disabilities and the educators who serve them.
disabilities in Washington State. The state Legislature is continuing their commitment to these educators by funding two professional learning days per year. They also provide funds for the creation of a training program for paraeducators on community, family, and student engagement.

Washington State’s Professional Educator Standards Board (PESB) prepares an annual report on educator shortages by educator roles, content area, and region. [The most recent report and data are available on the PESB website.](https://www.pesb.wa.us/)

Data Prepared by Title II, Part A, OSPI:

### Bilingual Education* Teacher Shortages: 2019-20SY

<table>
<thead>
<tr>
<th>Qualification</th>
<th>Unit</th>
<th>Total Count</th>
<th>Count</th>
<th>Percent</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dual Language Courses taught without a Bilingual Education Endorsement (0025, 0307, 2307, 3307)</td>
<td>Teacher</td>
<td>577</td>
<td>538</td>
<td>93.2%</td>
</tr>
<tr>
<td></td>
<td>Section</td>
<td>1951</td>
<td>1853</td>
<td>95.0%</td>
</tr>
</tbody>
</table>

*The SEA is using Dual Language course sections taught by individuals who do not hold Bilingual Education endorsements to measure shortages/needs for additional bilingual educators

The SEA supports and empowers students, educators, families, and communities through equitable access to high-quality curriculum, instruction, and supports. One of strategies is the Dual Language Initiative to provide all students in Washington access to dual language education by 2030. OSPI has been tracking which schools offer dual language education since the 2015–16 school year as the state has worked
to expand the Dual Language program. OSPI began collecting course information of dual language instruction in the 2019–20 school year to conduct educator workforce inventory for dual language education and to ensure students have equitable access to dual language instruction. OSPI has been facilitating the Dual Language Steering Committee meetings to develop supports and resources for LEAs to establish and expand dual language education (Dual Language Education and Resources).

State law (RCW 28A.180.040(2)) requires all educators funded by the Title III state supplemental funds (Transitional Bilingual Instructional Program [TBIP]) to hold an ELL and/or bilingual
English as a second language educators

Washington State’s Professional Educator Standards Board (PESB) prepares an annual report on educator shortages by educator roles, content area, and region. The most recent report and data are available on the PESB website.

Data Prepared by Title II, Part A, OSPI:

**English as a Second Language* Teacher Shortages: 2019-20SY**

<table>
<thead>
<tr>
<th>Qualification</th>
<th>Unit</th>
<th>Total Count</th>
<th>Count</th>
<th>Percent</th>
</tr>
</thead>
<tbody>
<tr>
<td>Out-of-Field Teacher</td>
<td>1,239</td>
<td>28</td>
<td>2.3%</td>
<td></td>
</tr>
<tr>
<td>Out-of-Field Section</td>
<td>4,721</td>
<td>89</td>
<td>1.9%</td>
<td></td>
</tr>
<tr>
<td>Limited Certificate Teacher</td>
<td>1,239</td>
<td>80</td>
<td>6.5%</td>
<td></td>
</tr>
<tr>
<td>Limited Certificate Section</td>
<td>4,721</td>
<td>311</td>
<td>6.6%</td>
<td></td>
</tr>
</tbody>
</table>

*OSPI is using State Course Codes 01008, 01008N, 02992, 01992N to identify ESL/ELL course sections. This is likely an underrepresentation of the number of teachers and course sections as LEA may choose to report using other state course codes, such as ELA.

Data Prepared by Title II, Part A, OSPI:

**STEM Teacher Shortages: 2019-20SY**

<table>
<thead>
<tr>
<th>Subject Area</th>
<th>Qualification</th>
<th>Unit</th>
<th>Total Count</th>
<th>Count</th>
<th>Percent</th>
</tr>
</thead>
<tbody>
<tr>
<td>Out-of-Field</td>
<td>Teacher</td>
<td>9,493</td>
<td>502</td>
<td>5.3%</td>
<td></td>
</tr>
<tr>
<td>Out-of-Field</td>
<td>Section</td>
<td>50,665</td>
<td>2,210</td>
<td>4.4%</td>
<td></td>
</tr>
</tbody>
</table>

The state Legislature and OSPI are coordinating efforts to increase the number and qualifications of computer science teachers (House Bill 1577, 2019).
<table>
<thead>
<tr>
<th>Field</th>
<th>Out-of-Field</th>
<th>Teacher</th>
<th>Section</th>
<th>Teacher</th>
<th>Section</th>
<th>Teacher</th>
<th>Limited Certificate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Life and Physical Sciences</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Limited Certificate</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Teacher</td>
<td>9,493</td>
<td>273</td>
<td>2.9%</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Section</td>
<td>50,665</td>
<td>1,233</td>
<td>2.4%</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Computer and Information Sciences</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Out-of-Field</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Teacher</td>
<td>1,347</td>
<td>183</td>
<td>13.6%</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Section</td>
<td>9,131</td>
<td>795</td>
<td>8.7%</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Limited Certificate</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Teacher</td>
<td>1,347</td>
<td>48</td>
<td>3.6%</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Section</td>
<td>9,131</td>
<td>253</td>
<td>2.8%</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Engineering and Technology</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Out-of-Field</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Teacher</td>
<td>884</td>
<td>884</td>
<td>7.4%</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Section</td>
<td>4,877</td>
<td>4,877</td>
<td>6.2%</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Limited Certificate</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Teacher</td>
<td>884</td>
<td>9</td>
<td>1.0%</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Section</td>
<td>4,877</td>
<td>28</td>
<td>0.6%</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mathematics</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Out-of-Field</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Teacher</td>
<td>12,915</td>
<td>12,915</td>
<td>6.6%</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Section</td>
<td>71,556</td>
<td>71,556</td>
<td>6.4%</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Limited Certificate</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Teacher</td>
<td>12,915</td>
<td>449</td>
<td>3.5%</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Section</td>
<td>71,556</td>
<td>2193</td>
<td>3.1%</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Elementary Curriculums*</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Out-of-Field</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Teacher</td>
<td>23,746</td>
<td>378</td>
<td>1.6%</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Section</td>
<td>102,316</td>
<td>389</td>
<td>1.6%</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Limited Certificate</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Teacher</td>
<td>23,746</td>
<td>389</td>
<td>1.6%</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Section</td>
<td>102,316</td>
<td>2,181</td>
<td>2.1%</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Science: Data indicates a need to train, recruit, and/or hire approximately 775 additional fully certificated and endorsed science teachers.
Technology: Data indicates a need to train, recruit, and/or hire approximately 231 additional fully certificated and endorsed technology teachers.

Engineering: Data indicates a need to train, recruit, and/or hire approximately 893 additional fully certificated and endorsed engineering teachers.

Mathematics: Data indicates a need to train, recruit, and/or hire approximately 767 additional fully certificated and endorsed math teachers.

Elementary Curriculum: Data indicates a need to train, recruit, and/or hire approximately

*Content Specific Elementary STEM course sections (e.g., Science – Grade 2) are included in the content group categories. Elementary Curriculum is additionally included as science, technology, and mathematics are an integral part of elementary curriculum in the state. Elementary Curriculum is defined by State Course Code WA0007.

CTE educators

Washington State’s Professional Educator Standards Board (PESB) prepares an annual report on educator shortages by educator roles, content area, and region. The most recent report and data are available on the PESB website.

Data Prepared by Title II, Part A, OSPI:

**CTE Teacher Shortages: 2019-20SY**

<table>
<thead>
<tr>
<th>Qualification</th>
<th>Unit</th>
<th>Total Count</th>
<th>Count</th>
<th>Percent</th>
</tr>
</thead>
<tbody>
<tr>
<td>Teacher holds only a Limited Certificate</td>
<td>Teacher</td>
<td>4,952</td>
<td>1,964</td>
<td>3.9%</td>
</tr>
<tr>
<td></td>
<td>Section</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

During the 2019–20 school year, 4,952 teachers in Washington state were reported as teaching one or more CTE course sections. Of the teachers providing instruction in these courses, 1,964 held only a Limited Certification. This constitutes 39.7% of CTE teachers in the state. While subject to regional variability, at the statewide level, this indicates a need to train, recruit, and/or hire approximately 1,964 additional teachers who hold full CTE certificates.
Early childhood educators

Data Prepared by Title II, Part A, OSPI:

**Early Childhood Shortages: 2019-20SY**

<table>
<thead>
<tr>
<th>Qualification</th>
<th>Unit</th>
<th>Total Count</th>
<th>Count</th>
<th>Percent</th>
</tr>
</thead>
<tbody>
<tr>
<td>Out-of-Field Teacher</td>
<td>24,240</td>
<td>824</td>
<td>3.4%</td>
<td></td>
</tr>
<tr>
<td>Section</td>
<td>140,115</td>
<td>6,132</td>
<td>4.4%</td>
<td></td>
</tr>
<tr>
<td>Limited Certificate</td>
<td>Teacher</td>
<td>24,240</td>
<td>504</td>
<td>2.1%</td>
</tr>
<tr>
<td>Section</td>
<td>140,115</td>
<td>3,357</td>
<td>2.4%</td>
<td></td>
</tr>
</tbody>
</table>

Data indicates a need to train, recruit, and/or hire approximately 1,328 additional fully certified and endorsed early childhood educators.

School counselors

Washington State’s Professional Educator Standards Board (PESB) prepares an annual report on educator shortages by educator roles, content area, and region. The most recent report and data are available on the PESB website.

Data Prepared by Title II, Part A, OSPI:

**School Counselor Shortages: 2019-20SY**

<table>
<thead>
<tr>
<th>Total Student Count</th>
<th>Count</th>
<th>Ratio (headcount)</th>
<th>FTE</th>
<th>Ratio (FTE)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1,141,108</td>
<td>2,878</td>
<td>1:396.49</td>
<td>2700.94</td>
<td>1:422.48</td>
</tr>
</tbody>
</table>

To meet the 1:250 counselor-to-student ratio recommended by the American School Counselor Association, data indicates a need to train, recruit, and/or hire 1,863.484 FTE additional school counselors throughout the state.

Social workers

Washington State’s Professional Educator Standards Board (PESB) prepares an annual report on educator shortages by

The most recent state Operating Budget increases the staffing ratios in the prototypical school funding model related to the safety and social-emotional needs of students, funding additional professional development for school staff, increasing the allocation for school principals, and adding continuous learning coaches to the prototypical model no later than the 2024–25 school year.
Data Prepared by Title II, Part A, OSPI:

### School Social Worker Shortages: 2019-20SY

<table>
<thead>
<tr>
<th>Total Student Count</th>
<th>Count</th>
<th>Ratio (Headcount)</th>
<th>FTE</th>
<th>Ratio (FTE)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1,141,108</td>
<td>168</td>
<td>1:6,792.31</td>
<td>160.341</td>
<td>1:1863.48</td>
</tr>
</tbody>
</table>

To meet the 1:250 social worker-to-student ratio recommended by the American School Counselor Association, data indicates a need to train, recruit, and/or hire 4,564.432 FTE additional school social workers throughout the state.

### Nurses

Washington State’s Professional Educator Standards Board (PESB) prepares an annual report on educator shortages by educator roles, content area, and region. The most recent report and data are available on the PESB website.

<table>
<thead>
<tr>
<th>Total Student Count</th>
<th>Count</th>
<th>Ratio (Headcount)</th>
<th>FTE</th>
<th>Ratio (FTE)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1,141,108</td>
<td>654</td>
<td>1:1,744.81</td>
<td>551.225</td>
<td>1:2070.131</td>
</tr>
</tbody>
</table>

To meet the 1:750 school nurse-to-student ratio recommended by the National Association of School Nurses, data indicates a need to train, recruit, and/or hire 970.252 FTE additional school nurses throughout the state.

### School Psychologists

Washington State’s Professional Educator Standards Board (PESB) prepares an annual report on educator shortages by educator roles, content area, and region. The most recent report and data are available on the PESB website.

<table>
<thead>
<tr>
<th>Total Student Count</th>
<th>Count</th>
<th>Ratio (Headcount)</th>
<th>FTE</th>
<th>Ratio (FTE)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1,141,108</td>
<td>1,179</td>
<td>1:967.86</td>
<td>1,076.134</td>
<td>1:1,061.37</td>
</tr>
</tbody>
</table>
To meet the 1:500 school psychologist-to-student ratio recommended by the National Association of School Psychologists, data indicates a need to train, recruit, and/or hire 1,206.082 FTE additional school psychologists.

ii. Describe how the SEA will assist its LEAs in identifying the most urgent areas of shortages or potential shortages, with particular plans for individual LEAs facing the most significant needs (e.g., by avoiding layoffs, providing high-quality professional learning opportunities, and addressing the impact of stress or trauma on educators). Include a description of how other Federal COVID-19 funding (e.g., ESSER and GEER funds under the CARES Act and CRRSA Act) have already been used to avoid layoffs during the COVID-19 pandemic.

OSPI assists LEAs in identifying the most urgent areas of shortages or potential needs through a variety of methods. Each year, the Title II, Part A program office provides ongoing technical assistance to LEAs in identifying educator placements and appropriate course assignments through the Educator Equity Data Collection Tool and utilizes iGrants, OSPI’s statewide grant system, to help LEAs to prioritize the use of Title II, Part A funds to close equity gaps through professional development, class-size reduction (including co-teaching models which aid in supporting students in special education and students who are emergent bilingual), and retention and mentoring efforts.

In addition, the state’s Professional Educator Standards Board (PESB) releases an annual Shortage Report. The methodology of PESB differs from that of OSPI’s identification of out-of-field educators as the Educator Equity Data Collection Tool currently indicates educators who are teaching out-of-endorsement according to PESB’s assignment table, out-of-grade-span according to endorsement-grade span competencies, and educators who teach special education who do not hold valid special education endorsements; whereas the PESB Shortage Report does not take into account out-of-grade-span assignments. Together, the Educator Equity Data Collection, OSPI’s public reporting on the Washington State Report Card, and PESB’s shortage report assist LEAs in identifying their most urgent shortages.

iii. Describe the actions the SEA will take to fill anticipated gaps in certified teachers for the start of the 2021-2022 school year and to what extent the SEA will further support its LEAs in expanding the educator pipeline and educator diversity while addressing the immediate needs of students disproportionately impacted by the pandemic (e.g., recruiting teaching candidates to provide high-dosage tutoring or implementing residencies for teacher candidates).
During the COVID-19 pandemic, the Professional Educator Standards Board (PESB) implemented emergency rules for certification, including emergency certificates for teachers who were unable to complete EdTPA (which was a requirement at the time for initial teacher certification, but was removed as a requirement by the 2021 Legislature) due to school building closures and emergency certificates for advanced paraeducator certificate holders. OSPI has implemented Special Education (SPED) pre-endorsement waivers, which are temporary waivers that allow educators with 20 or more credits toward their SPED endorsement to teach special education courses while they complete their coursework to address shortages in special education teachers in the state.

OSPI, PESB, and state’s Paraeducator Board have developed alternative pathways for certification, focusing particularly on the implementation of the Paraeducator Certification Program to build educator pathways, particularly for shortage areas in supporting students who have disabilities or are English learners. The Educator Effectiveness Office within OSPI has partnered with the Washington Education Association to launch the first Mentor Academy led by and for Black, Indigenous, and people of color (BIPOC) teacher-leaders statewide. It is called “Nakia Academy” and has 70 educators of color meeting in two cohorts. All participants are being paid for their time and expertise and will be connected to mentoring opportunities throughout the 2021–22 school year. OSPI’s 2021 Beginning Educator Support Team (BEST) Mentor/Coach Equity Conference has over 600 educators registered. OSPI is implementing self-reported demographic data collections for educators to better collect data, analyze, and work to increase and support a diverse workforce of educators that is reflective of the students in the state.

2. Staffing to Support Student Needs: Describe the extent to which the SEA has developed or will develop strategies and will support its LEAs in increasing student access to key support staff within school buildings, including school counselors, special education personnel, nurses, social workers, and psychologists (e.g. hiring additional personnel or freeing up these staff to focus on providing services to students).

Our most recent state budget increased funding to support students statewide via additional school counselors. LEA ESSER plans have included details on how LEAs are engaging in providing the additional supports and resources to students, including through staffing.

F. Monitoring and Measuring Progress
The Department recognizes that transparency on how ARP ESSER funds are used and their impact on the Nation’s education system is a fundamental responsibility of Federal, State, and local government. In this section, SEAs will describe how they are building capacity at the SEA and LEA levels to ensure high-quality data collection and reporting and to safeguard funds for their intended purposes.

1. Capacity for Data Collection and Reporting: It is important for an SEA to continuously monitor progress and make adjustments to its strategies, as well as to support its LEAs in making adjustments to LEA strategies, based on impact.
Describe how the SEA will ensure its capacity and the capacity of its LEAs to collect data on reporting requirements, including but not limited to the examples of reporting requirements described in the SEA’s Grant Award Notification (listed in Appendix B). Describe the SEA’s capacity and strategy to collect data from its LEAs (disaggregated by student group, where applicable), to the greatest extent practicable, including any steps the SEA will take to build its capacity in the future (which may include the use of ARP ESSER and other Federal COVID-19 pandemic funds at the SEA and LEA levels), on issues that may include the following:

i. Student learning, including the academic impact of lost instructional time during the COVID-19 pandemic;

ii. Opportunity to learn measures (e.g., chronic absenteeism; student engagement; use of exclusionary discipline; access to and participation in advanced coursework; access to technology, including educator access to professional development on the effective use of technology; access to high-quality educators; access to school counselors, social workers, nurses, and school psychologists; and results from student, parent, and/or educator surveys);

iii. Fiscal data that is comparable across the State (e.g., per-pupil expenditures at the LEA and school levels);

iv. Jobs created and retained (by position type);

v. Participation in programs funded by ARP ESSER resources (e.g., summer and afterschool programs); and

vi. Other reporting requirements reasonably required by the Secretary (please refer to Appendix B of this template; final requirements will be issued separately).

Existing data systems provide capacity and the framework to continue data collection and progress monitoring. The Comprehensive Education Data and Research System (CEDARS) is a mature system and is the primary mechanism for LEAs to provide detailed student-level data to OSPI. Data that were collected within the 2020–21 school year and that are pertinent to this plan include absences, exclusionary discipline, access to dual credit coursework, dual credit course-taking, and credits earned. These data are at the student level and therefore can be linked with student demographics and characteristics. School districts submit updated data on a regular basis throughout the school year. CEDARS collection and documentation is updated annually. The 2021–22 school year marks the first year of a new data collection on student devices and technology.

The Washington State Report Card, which summarizes CEDARS and other data, includes many of the data noted above including enrollment, regular attendance, exclusionary discipline, dual credit coursework, teacher qualification, and per pupil expenditures.

2. Monitoring and Internal Controls: Describe how the SEA will implement appropriate fiscal monitoring of and internal controls for the ARP ESSER funds
(e.g., by updating the SEA’s plan for monitoring funds and internal controls under the CARES and CRRSA Acts; addressing potential sources of waste, fraud, and abuse; conducting random audits; or other tools). In this response, please describe the SEA’s current capacity to monitor ARP ESSER; steps, if needed, to increase capacity; and any foreseeable gaps in capacity, including how the SEA will provide its LEAs with technical assistance in the anticipated areas of greatest need.

OSPI monitors multiple federally funded programs under the Elementary and Secondary Education Act (ESEA). This process fulfills OSPI's compliance monitoring requirements under Federal regulations (2 CFR 200). The Consolidated Program Review (CPR) process consists of an OSPI team reviewing LEA federal and selected state programs. The monitoring activities are designed to focus on the results of the LEA's efforts to implement critical requirements of the ESEA using available resources and flexibility within provisions.

OSPI will align subrecipient monitoring of ESSER funds with the existing CPR process by:

- Expanding the annual risk assessment to include risk factors based on awarded ESSER grant amounts and grant claim variances.
- Monitoring identified high-risk LEAs in addition to LEAs already slated to participate in the annual monitoring cycle.
- Providing technical assistance to monitoring participants to both prepare for the review and successfully exit the review process in compliance.
- Utilizing the Fiscal and Private Schools checklist to ensure compliance with allowable costs and expenditures and equitable services provisions.

These checklists will be amended as necessary to include ESSER-specific guidance and requirements. The CPR process is a supplement to ongoing monitoring OSPI conducts through the grant application, claims, and reporting process. Technical assistance is provided alongside compliance requirements during the application process in which LEAs provide a summary of how they intend to use the allocated funds. LEAs must provide a summary of how they have used the funds to support the activities they selected, and OSPI conducts an internal fiscal check on expenditures to ensure they are used according to their application. Through this grant process and the risk assessment, identified high-risk LEAs are referred to the CPR process for further compliance monitoring, either desk or on-site (when possible). At all times, OSPI works to ensure funds are expended to support the greatest needs for LEA recipients and that equitable services are provided along with timely and meaningful consultation.
Appendix A: School Operating Status and Instructional Mode Data Template

Indicate the date or time period represented by the following data.

**Table 1**

In the most recent time period available, how many schools in your State offered each mode of instruction or learning model described below? Each row should account for all schools in your State, so that, for each row, the sum of the numbers in the “offered to all students,” “offered to some students,” and “not offered” columns is equal to the number in the “all schools” column.

The district data below represent the most current information available to OSPI as of the week of May 10, 2021. Data have been collected on a weekly basis and have been at the district level, not at the school level.

**All Districts**

<table>
<thead>
<tr>
<th>Number of Districts</th>
<th>All Districts</th>
<th>Offered to all students</th>
<th>Offered to some students</th>
<th>Not offered</th>
</tr>
</thead>
<tbody>
<tr>
<td>Remote or online only</td>
<td>314</td>
<td>2</td>
<td>294</td>
<td>22</td>
</tr>
<tr>
<td>School buildings open with both remote/online and in-person instruction (hybrid)</td>
<td>314</td>
<td>290</td>
<td>0</td>
<td>24</td>
</tr>
<tr>
<td>School buildings open with full-time in-person instruction</td>
<td>314</td>
<td>104</td>
<td>208</td>
<td>2</td>
</tr>
</tbody>
</table>

The following three tables captures more specific information relative to the learning levels (elementary, middle, and high schools).

**Elementary Districts**

<table>
<thead>
<tr>
<th>Number of Districts</th>
<th>All Districts</th>
<th>Offered to all students</th>
<th>Offered to some students</th>
<th>Not offered</th>
</tr>
</thead>
<tbody>
<tr>
<td>Remote or online only</td>
<td>302</td>
<td>3</td>
<td>270</td>
<td>29</td>
</tr>
<tr>
<td>School buildings open with both remote/online and in-person instruction</td>
<td>302</td>
<td>270</td>
<td>0</td>
<td>32</td>
</tr>
<tr>
<td>School buildings open with full-time in-person instruction</td>
<td>302</td>
<td>127</td>
<td>172</td>
<td>3</td>
</tr>
</tbody>
</table>

### Middle School Districts

<table>
<thead>
<tr>
<th>Number of Districts</th>
<th>All Districts</th>
<th>Offered to all students</th>
<th>Offered to some students</th>
<th>Not offered</th>
</tr>
</thead>
<tbody>
<tr>
<td>Remote or online only</td>
<td>292</td>
<td>3</td>
<td>255</td>
<td>34</td>
</tr>
<tr>
<td>School buildings open with both remote/online and in-person instruction (hybrid)</td>
<td>292</td>
<td>255</td>
<td>0</td>
<td>37</td>
</tr>
<tr>
<td>School buildings open with full-time in-person instruction</td>
<td>292</td>
<td>103</td>
<td>186</td>
<td>3</td>
</tr>
</tbody>
</table>

### High School Districts

<table>
<thead>
<tr>
<th>Number of Districts</th>
<th>All Districts</th>
<th>Offered to all students</th>
<th>Offered to some students</th>
<th>Not offered</th>
</tr>
</thead>
<tbody>
<tr>
<td>Remote or online only</td>
<td>263</td>
<td>2</td>
<td>239</td>
<td>22</td>
</tr>
<tr>
<td>School buildings open with both remote/online and in-person instruction (hybrid)</td>
<td>263</td>
<td>239</td>
<td>0</td>
<td>24</td>
</tr>
<tr>
<td>School buildings open with full-time in-person instruction</td>
<td>263</td>
<td>86</td>
<td>175</td>
<td>2</td>
</tr>
</tbody>
</table>
Table 2

In the most recent time period available, what was the enrollment and mode of instruction for the schools in your State?

OSPI has been collecting data at the district level regarding instructional mode offerings, but does not currently have student-level detail. Due to sizeable numbers of students who opted to continue remote learning rather than attending in-person when it became available, OSPI is unable to report information by student group and mode of instruction. The agency will be gathering a student-level indicator about students who opted in to continue remote instruction, which will allow for the compilation of a table similar to the one below. Those data will be available and posted in late summer or fall 2021.

*Add or change rows as needed*

<table>
<thead>
<tr>
<th>Number of students</th>
<th>Total enrollment</th>
<th>Remote or online only</th>
<th>Both remote/online and in-person instruction (hybrid)</th>
<th>Full-time in-person instruction</th>
</tr>
</thead>
<tbody>
<tr>
<td>Students from low-income families</td>
<td>#Not available</td>
<td>n/a#</td>
<td>n/a#</td>
<td>n/a#</td>
</tr>
<tr>
<td>White, not Hispanic</td>
<td>n/a #</td>
<td>n/a #</td>
<td>n/a #</td>
<td>n/a #</td>
</tr>
<tr>
<td>Black or African American, not Hispanic</td>
<td>n/a #</td>
<td>n/a #</td>
<td>n/a #</td>
<td>n/a #</td>
</tr>
<tr>
<td>Hispanic, of any race</td>
<td>n/a #</td>
<td>n/a #</td>
<td>n/a #</td>
<td>n/a #</td>
</tr>
<tr>
<td>Asian, not Hispanic</td>
<td>n/a #</td>
<td>n/a #</td>
<td>n/a #</td>
<td>n/a #</td>
</tr>
<tr>
<td>American Indian or Alaskan</td>
<td>n/a #</td>
<td>n/a #</td>
<td>n/a #</td>
<td>n/a #</td>
</tr>
<tr>
<td>Race/Ethnicity Information</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>---------------------------</td>
<td>-----------------</td>
<td>-----------------</td>
<td>-----------------</td>
<td></td>
</tr>
<tr>
<td>Native, not Hispanic</td>
<td>n/a #</td>
<td>n/a #</td>
<td>n/a #</td>
<td></td>
</tr>
<tr>
<td>Native Hawaiian or Pacific Islander, not Hispanic</td>
<td>n/a #</td>
<td>n/a #</td>
<td>n/a #</td>
<td></td>
</tr>
<tr>
<td>Two or more races, not Hispanic</td>
<td>n/a #</td>
<td>n/a #</td>
<td>n/a #</td>
<td></td>
</tr>
<tr>
<td>Race/Ethnicity information not available</td>
<td>n/a #</td>
<td>n/a #</td>
<td>n/a #</td>
<td></td>
</tr>
<tr>
<td>English learners</td>
<td>n/a #</td>
<td>n/a #</td>
<td>n/a #</td>
<td></td>
</tr>
<tr>
<td>Children with disabilities</td>
<td>n/a #</td>
<td>n/a #</td>
<td>n/a #</td>
<td></td>
</tr>
<tr>
<td>Students experiencing homelessness</td>
<td>n/a #</td>
<td>n/a #</td>
<td>n/a #</td>
<td></td>
</tr>
<tr>
<td>Migratory students</td>
<td>n/a #</td>
<td>n/a #</td>
<td>n/a #</td>
<td></td>
</tr>
</tbody>
</table>

Appendix B: Reporting Language Included in the Grant Award Notification ("GAN")

As described in the Grant Award Notification ("GAN"), the SEA will comply with, and ensure that its LEAs comply with, all reporting requirements at such time and in such manner and
containing such information as the Secretary may reasonably require, including on matters such as:

- How the State is developing strategies and implementing public health protocols including, to the greatest extent practicable, policies and plans in line with the CDC guidance related to mitigating COVID-19 in schools;
- Overall plans and policies related to State support for return to in-person instruction and maximizing in-person instruction time, including how funds will support a return to and maximize in-person instruction time, and advance equity and inclusivity in participation in in-person instruction;
- Data on each school’s mode of instruction (fully in-person, hybrid, and fully remote) and conditions;
- SEA and LEA uses of funds to meet students’ social, emotional, and academic needs, including through summer enrichment programming and other evidence-based interventions, and how they advance equity for underserved students;
- SEA and LEA uses of funds to sustain and support access to early childhood education programs;
- Impacts and outcomes (disaggregated by student subgroup) through use of ARP ESSER funding (e.g., quantitative and qualitative results of ARP ESSER funding, including on personnel, student learning, and budgeting at the school and district level);
- Student data (disaggregated by student subgroup) related to how the COVID-19 pandemic has affected instruction and learning;
- Requirements under the Federal Financial Accountability Transparency Act (“FFATA”); and
- Additional reporting requirements as may be necessary to ensure accountability and transparency of ARP ESSER funds.
Appendix C: Assurances

By signing this document, the SEA assures all of the following:

- The SEA will conduct all its operations so that no person shall be excluded from participation in, be denied the benefits of, or be subject to discrimination under the ARP ESSER program or activity based on race, color, national origin, which includes a person’s limited English proficiency or English learner status and a person’s actual or perceived shared ancestry or ethnic characteristics; sex; age; or disability. These non-discrimination obligations arise under Federal civil rights laws, including but not limited to Title VI of the Civil Rights Act of 1964, Title IX of the Education Amendments Act of 1972, section 504 of the Rehabilitation Act of 1973, and the Age Discrimination Act of 1975. In addition, the SEA must comply with all regulations, guidelines, and standards issued by the Department under any of these statutes;

- The SEA will comply with all ARP Act and other ARP ESSER requirements and all requirements of its Grant Award Notification, including but not limited to:
  - Complying with the maintenance of effort provision in section 2004(a)(1) of the ARP Act, absent a waiver by the Secretary pursuant to section 2004(a)(2) of the ARP Act; and
  - Complying with the maintenance of equity provisions in section 2004(b) of the ARP Act, and ensuring its LEAs comply with the maintenance of equity provision in section 2004(c) of the ARP Act (please note that the Department will provide additional guidance on maintenance of equity shortly);

- The SEA will allocate ARP ESSER funds to LEAs in an expedited and timely manner and, to the extent practicable, not later than 60 days after the SEA receives ARP ESSER funds (i.e., 60 days from the date the SEA receives each portion of its ARP ESSER funds). An SEA that is not able to allocate such funds within 60 days because it is not practicable (e.g., because of pre-existing State board approval requirements) will provide an explanation to the Department within 30 days of receiving each portion of its ARP ESSER funds (submitted via email to your Program Officer at [State].OESE@ed.gov (e.g., Alabama.OESE@ed.gov)), including a description of specific actions the SEA is taking to provide ARP ESSER funds to LEAs in an expedited and timely manner and the SEA’s expected timeline for doing so;

- The SEA will implement evidence-based interventions as required under section 2001(f) of the ARP Act and ensure its LEAs implement evidence-based interventions, as required by section 2001(e)(1) of the ARP Act;

- The SEA will address the disproportionate impact of the COVID-19 pandemic on underserved students (i.e., students from low-income families, students from racial or ethnic groups (e.g., identifying disparities and focusing on underserved student groups by race or ethnicity), gender (e.g., identifying disparities and focusing on underserved student groups by gender), English learners, children with disabilities, students experiencing homelessness, children and youth in foster care, and migratory students), as required under section 2001(f) of the ARP Act, and ensure its LEAs address the disproportionate impact of the COVID-19 pandemic on underserved students (i.e., students from low-income families, students from racial or ethnic groups, gender, English learners, children with disabilities, students experiencing homelessness, children and
youth in foster care, and migratory students), as required by section 2001(e)(1) of the ARP Act; and

- The SEA will provide to the Department: (1) the URL(s) where the public can readily find data on school operating status and (2) the URL(s) for the SEA and/or LEA websites where the public can find the LEA plans for a) the safe return to in-person instruction and continuity of services required under section 2001(i) of the ARP Act, and b) use of ARP ESSER funds. SEAs should consider ensuring a standardized URL format in all cases (e.g., xxx.gov/COVIDplan).
NOTICE TO ALL APPLICANTS

The purpose of this enclosure is to inform you about a new provision in the Department of Education's General Education Provisions Act ("GEPA") that applies to applicants for new grant awards under Department programs. This provision is Section 427 of GEPA, enacted as part of the Improving America's Schools Act of 1994 (Public Law (P.L.) 103-382).

To Whom Does This Provision Apply?

Section 427 of GEPA affects applicants for new grant awards under this program. **ALL APPLICANTS FOR NEW AWARDS MUST INCLUDE INFORMATION IN THEIR APPLICATIONS TO ADDRESS THIS NEW PROVISION IN ORDER TO RECEIVE FUNDING UNDER THIS PROGRAM.**

(If this program is a State-formula grant program, a State needs to provide this description only for projects or activities that it carries out with funds reserved for State-level uses. In addition, local school districts or other eligible applicants that apply to the State for funding need to provide this description in their applications to the State for funding. The State would be responsible for ensuring that the school district or other local entity has submitted a sufficient section 427 statement as described below.)

What Does This Provision Require?

Section 427 requires each applicant for funds (other than an individual person) to include in its application a description of the steps the applicant proposes to take to ensure equitable access to, and participation in, its Federally-assisted program for students, teachers, and other program beneficiaries with special needs. This provision allows applicants discretion in developing the required description. The statute highlights six types of barriers that can impede equitable access or participation: gender, race, national origin, color, disability, or age. Based on local circumstances, you should determine whether these or other barriers may prevent your students, teachers, etc. from such access to, or participation in, the Federally-funded project or activity. The description in your application of steps to be taken to overcome these barriers need not be lengthy; you may provide a clear and succinct description of how you plan to address those barriers that are applicable to your circumstances. In addition, the information may be provided in a single narrative, or, if appropriate, may be discussed in connection with related topics in the application.

Section 427 is not intended to duplicate the requirements of civil rights statutes, but rather to ensure that, in designing their projects, applicants for Federal funds address equity concerns that may affect the ability of certain potential beneficiaries to fully participate in the project and to achieve high standards. Consistent with program requirements and its approved application, an applicant may use the Federal funds awarded to it to eliminate barriers it identifies.

What are Examples of How an Applicant Might Satisfy the Requirement of This Provision?

The following examples may help illustrate how an applicant may comply with Section 427.
(1) An applicant that proposes to carry out an adult literacy project serving, among others, adults with limited English proficiency, might describe in its application how it intends to distribute a brochure about the proposed project to such potential participants in their native language.

(2) An applicant that proposes to develop instructional materials for classroom use might describe how it will make the materials available on audio tape or in braille for students who are blind.

(3) An applicant that proposes to carry out a model science program for secondary students and is concerned that girls may be less likely than boys to enroll in the course, might indicate how it intends to conduct "outreach" efforts to girls, to encourage their enrollment.

(4) An applicant that proposes a project to increase school safety might describe the special efforts it will take to address concerns of lesbian, gay, bisexual, and transgender students, and efforts to reach out to and involve the families of LGBT students.

We recognize that many applicants may already be implementing effective steps to ensure equity of access and participation in their grant programs, and we appreciate your cooperation in responding to the requirements of this provision.

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Estimated Burden Statement for GEPA Requirements

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. Public reporting burden for this collection of information is estimated to average 3 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. The obligation to respond to this collection is required to obtain or retain benefit (Public Law 103-382). Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the U.S. Department of Education, 400 Maryland Ave., SW, Washington, DC 20210-4537 or email ICDocketMgr@ed.gov and reference the OMB Control Number 1894-0005.