

**State Educational Agency Plan for the
American Rescue Plan Outlying Areas State Educational Agencies Fund**



U.S. Department of Education

Issued: June 7, 2021

Introduction

The American Rescue Plan Outlying Areas State Educational Agencies (“ARP-OA SEA”) Fund, authorized under section 2005 of the American Rescue Plan (“ARP”) Act of 2021, Public Law 117-2, enacted on March 11, 2021, provides \$850 million for the Secretary of Education to allocate to the Outlying Areas (i.e., American Samoa, the Commonwealth of the Northern Mariana Islands, Guam, and the U.S. Virgin Islands) on the basis of their respective needs. The Secretary will allocate these funds to support safely reopening and sustaining safe operations of schools while meeting the academic, social, emotional, and mental health needs of students resulting from the coronavirus disease 2019 (“COVID-19”) pandemic. It is particularly important that ARP-OA SEA funding enable State educational agencies (“SEAs”) and local educational agencies (“LEAs”), and more directly schools, to support students who have been most severely impacted by the COVID-19 pandemic, including because of longstanding inequities in our communities and schools that have been exacerbated by the COVID-19 pandemic.

The U.S. Department of Education (“Department”) is committed to working in partnership with the Outlying Areas so that these unprecedented resources are quickly put to work to ensure students have sustained access to in-person instruction and that the resources are used to provide the effective support students need as they persist through and recover from the impacts of the COVID-19 pandemic. The thoughtful and timely use of these funds will have a lasting impact on our Nation’s schools and help to address the inequities in resources, services, and opportunities available to our Nation’s students.

In order to ensure transparency, the Department will post each ARP-OA SEA plan on the Department’s website when it is received and will indicate each plan’s approval status. Please note that the Department intends to issue ARP-OA SEA reporting requirements separately.

Instructions

Each SEA must provide descriptions and other information that address each requirement listed below. An SEA may use this template or another format as long as every item and element is addressed in the SEA’s response. Throughout this document, questions that refer to an SEA’s ARP-OA SEA funding are referencing the total allocation to be received by the SEA, including that which it allocates to its LEAs (if applicable).

Each SEA must submit to the Department by **July 23, 2021**, either: (1) its ARP-OA SEA plan or (2) the Outlying Area requirements that preclude submission of the plan by that date and a date by which it will be able to submit its complete ARP-OA SEA plan.

To submit the SEA’s plan, please email the plan to your Program Officer at ESF.Outlying@ed.gov.

State Educational Agency Plan for the American Rescue Plan Outlying Areas State Educational Agencies Fund

Contact Information and Certification

Grantee			
Grantee Name		PR Award No.	
Authorized Representative for ARP-OA SEA Fund (i.e., Chief State School Officer)			
Name			
Position			
Email		Phone	
Additional SEA Contact for ARP-OA SEA Fund			
Name			
Position			
Email		Phone	
SEA's Website			
<p>It is required that the SEA, within 30 days of receiving ARP-OA SEA funds, will develop and make publicly available on its website a plan for the safe return of in-person instruction and continuity of services consistent with the LEA plan required in section 2001(i) of the ARP Act (see Appendix B).</p> <p>Please provide the SEA's website or direct URL that the SEA will use to meet this requirement.</p>			
URL			
Certification			
<p>The SEA's representative signed the assurances in Appendix A when signing the grant award notification ("GAN") for the ARP-OA SEA award on April 8, 2021. By signing this document, I further assure that, to the best of my knowledge and belief, all information and data included in this plan are true and correct.</p>			
Chief State School Officer or Authorized Representative (Printed Name)			
Signature of Authorized SEA Representative			Date

State Educational Agency Plan for the American Rescue Plan Outlying Areas State Educational Agencies Fund

Grantee Name _____

Summary

[Briefly describe the current needs of the SEA, LEA(s), schools, staff and students as they relate to the impact of lost instructional time and how ARP-OA SEA funds will be used to address those needs through the implementation of evidence-based interventions, such as summer learning or summer enrichment, extended day, comprehensive afterschool programs, or extended school year programs, and ensure that such interventions respond to students’ academic, social, emotional, and mental health needs and address the disproportionate impact of COVID-19 on underrepresented student subgroups (each major racial and ethnic group, economically disadvantaged students, children with disabilities, English learners, gender, migrant students, students experiencing homelessness, and children and youth in foster care), including by providing additional support to LEAs, as applicable, to fully address such impacts. The SEA should also describe how it will safely return students to in-person instruction, maximize in-person instructional time, and sustain safe in-person instruction, consistent, to the extent possible, with [Centers for Disease Control and Prevention \(CDC\) guidance](#)]

Uses of Funds

In implementing this grant, the grantee plans to (please check all that apply):

- Directly fund projects, events, or activities (ex: staff trainings, construction projects, community outreach programs)
- Fund salaries or stipends (ex: supplement staff salaries, hire temporary staff, provide stipends or trainings)
- Directly purchase equipment, goods, or supplies (ex: computers for distance learning, cleaning supplies, face coverings)
- Make subgrants to LEAs or contracts with qualifying subrecipients or vendors
- Other use of funds (please specify): _____

Proposed Budget

Total ARP-OA SEA Award \$ _____ .00

In the chart below, enter the total amount in each category for all expenses funded by the ARP-OA SEA grant.

Budget Categories	Costs
1. Personnel	\$
2. Fringe Benefits	\$
3. Travel	\$
4. Equipment	\$
5. Supplies	\$
6. Contractual	\$
7. Construction	\$
8. Other	\$
9. Total Direct Costs (lines 1-8)	\$

10. Indirect Costs	\$
11. Training Stipends	\$
12. Total Costs (lines 9-11)	\$

Maximizing Use of Funds to Support Students

Returning to/Maximizing In-Person Instruction

The Department recognizes that safely reopening schools and sustaining their safe operations to maximize in-person instruction is essential for student learning and student well-being, and especially for being able to address the educational inequities that have been worsened by the COVID-19 pandemic. In this section, SEAs will describe the project, events, or activities they will implement to safely reopen schools and/or maximize in-person instruction.

Project, Event or Activity Name	Description	Goal(s) or Outcome(s)	Timeline for Implementation
<i>[Name of the project, event, or activity]</i>	<i>[Briefly describe the project, event, or activity]</i>	<i>[Briefly describe the goals or outcomes related to the proposed project, event, or activity, including how it will respond to students' academic, social, emotional, and mental health needs and address the disproportionate impact of COVID-19 on underrepresented student subgroups]</i>	<i>[Give the month and year the proposed project, event, or activity will start and end OR the amount of time required to plan, implement, and/or complete it]</i>
Project Monitoring/Evaluation			
<i>[Briefly describe how the grantee will monitor or evaluate this project to ensure it meets its projected goal or outcomes]</i>			
Description of Expense	Purpose	Budget Category <i>(personnel, fringe, equipment, etc.)</i>	Cost <i>(in dollars)</i>
<i>[List each expense associated with the project, event, or activity]</i>	<i>[Briefly describe the purpose of the expense]</i>	<i>[List one budget category for each expense]</i>	
TOTAL PROJECTED COST			

[To add more projects, events, or activities, copy the table above and paste it below.]

Addressing Academic Impact of Lost Instructional Time

The Department recognizes that Outlying Areas have an extraordinary opportunity to address the disproportionate impact of the COVID-19 pandemic on underserved students. The SEA is **required to use not less than twenty percent** of its ARP-OA SEA allocation to carry out, directly or through subgrants to LEAs or through contracts, activities to address the academic impact of lost instructional time by supporting the implementation of evidence-based interventions, such as summer learning or summer enrichment, extended day, comprehensive afterschool programs, or extended school year programs. SEAs must also ensure that such interventions respond to students’ academic, social, emotional, and mental health needs and address the disproportionate impact of COVID-19 on underrepresented student subgroups (each major racial and ethnic group, economically disadvantaged students, children with disabilities, English learners, gender, migrant students, students experiencing homelessness, and children and youth in foster care), including by providing additional support to LEAs, as applicable, to fully address such impacts.

In this section, SEAs will describe their plan to address the academic impact of lost instructional time:

Project, Event, or Activity Name	Description	Goal(s) or Outcome(s)	Timeline for Implementation
Project Monitoring/Evaluation			
Description of Expense	Purpose	Budget Category <i>(personnel, fringe, equipment, etc.)</i>	Cost <i>(in dollars)</i>
TOTAL PROJECTED COST			

[To add more projects, events, or activities, copy the table above and paste it below.]

Evidence-Based Summer Learning and Enrichment Programs

The SEA will use a portion of its ARP-OA SEA allocation to carry out, directly or through subgrants to LEAs or through contracts, the implementation of evidence-based summer learning and enrichment programs, and ensure such programs respond to students’ academic, social, emotional, and mental health needs and address the disproportionate impact of COVID-19 on underrepresented student subgroups (each major racial and ethnic group, economically disadvantaged students, children with disabilities, English learners, gender, migrant students, students experiencing homelessness, and children and youth in foster care).

In this section, SEAs will describe the projects or activities supported by ARP-OA SEA funds to implement evidence-based summer enrichment programs:

Project, Event, or Activity Name	Description	Goal(s) or Outcome(s)	Timeline for Implementation

Project Monitoring/Evaluation			
Description of Expense	Purpose	Budget Category <i>(personnel, fringe, equipment, etc.)</i>	Cost <i>(in dollars)</i>
TOTAL PROJECTED COST			

[To add more projects, events, or activities, copy the table above and paste it below.]

Evidence-Based Comprehensive Afterschool Programs

The SEA will use a portion of its ARP-OA SEA allocation to carry out, directly or through subgrants to LEAs or through contracts, the implementation of evidence-based comprehensive afterschool programs, and ensure such programs respond to students’ academic, social, emotional, and mental health needs and address the disproportionate impact of COVID-19 on underrepresented student subgroups (each major racial and ethnic group, economically disadvantaged students, children with disabilities, English learners, gender, migrant students, students experiencing homelessness, and children and youth in foster care).

In this section, SEAs will describe the projects or activities supported by ARP-OA SEA funds to implement evidence-based extended day, comprehensive afterschool programs:

Project, Event, or Activity Name	Description	Goal(s) or Outcome(s)	Timeline for Implementation
Project Monitoring/Evaluation			
Description of Expense	Purpose	Budget Category <i>(personnel, fringe, equipment, etc.)</i>	Cost <i>(in dollars)</i>
TOTAL PROJECTED COST			

[To add more projects, events, or activities, copy the table above and paste it below.]

Other Programs to Address the Academic Impact of Lost Instructional Time (Optional)

In this section, SEAs will describe any additional projects or activities supported by ARP-OA SEA funds that were not covered in the previous categories:

Project, Event, or Activity Name	Description	Goal(s) or Outcome(s)	Timeline for Implementation
Project Monitoring/Evaluation			
Description of Expense	Purpose	Budget Category <i>(personnel, fringe, equipment, etc.)</i>	Cost <i>(in dollars)</i>
TOTAL PROJECTED COST			

[To add more projects, events, or activities, copy the table above and paste it below.]

Administrative Costs and Emergency Needs

The SEA may reserve a reasonable and necessary amount of its ARP-OA SEA allocation for administrative costs and the remainder for emergency needs, as determined by the SEA, to address issues related to the COVID-19 pandemic, which may be addressed directly by the SEA or through subgrants to LEAs or contracts.

In this section the SEA will describe the anticipated administrative and emergency expenses associated with implementing the ARP-OA SEA Fund. If the SEA plans to reserve funds for emergency needs to address issues responding to the COVID-19 pandemic, describe the anticipated use of those funds, including the extent to which these funds will build the SEA’s capacity to ensure students’ and staff’s health and safety; to meet students’ academic, social, emotional, and mental health needs; and to implement evidence-based interventions:

Description of Expense	Purpose	Budget Category <i>(personnel, fringe, equipment, etc.)</i>	Cost <i>(in dollars)</i>
TOTAL PROJECTED COST			

[To add more projects, events or activities, copy the table above and paste it below.]

Appendix A: ARP-OA SEA Grant Conditions

PART A: PROGRAMMATIC, FISCAL, AND REPORTING ASSURANCES

In accepting the funds made available under this GAN, the Chief State School Officer assures the

following:

1. The State educational agency (“SEA”), or a local educational agency (“LEA”) that receives a subgrant, will use ARP-OA SEA funds for activities allowable under section 2001(e) and section 2001(f) of the ARP Act, Public Law 117-2, enacted on March 11, 2021.
2. The SEA will submit to the U.S. Department of Education (“Department”) for approval, within 90 days of receiving ARP-OA SEA funds, an ARP-OA SEA Plan to include:
 - How the SEA will use funds for activities to address the academic impact of lost instructional time by supporting the implementation of evidence-based interventions, such as summer learning or summer enrichment, extended day, comprehensive afterschool programs, or extended school year programs, and ensure that such interventions respond to students’ academic, social, emotional, and mental health needs and address the disproportionate impact of COVID-19 on student subgroups (each major racial and ethnic group, economically disadvantaged students, children with disabilities, English learners, gender, migrant students, students experiencing homelessness, and children and youth in foster care); and
 - How the SEA will safely return students to in-person instruction, maximize in-person instructional time, and sustain safe in-person instruction, consistent, to the extent possible, with [Centers for Disease Control and Prevention \(CDC\) guidance](#).
3. The SEA will use not less than twenty percent of its ARP-OA SEA allocation to carry out, directly or through subgrants to LEAs or through contracts, activities to address the academic impact of lost instructional time by supporting the implementation of evidence-based interventions, such as summer learning or summer enrichment, extended day, comprehensive afterschool programs, or extended school year programs, and ensure that such interventions respond to students’ academic, social, and emotional needs and address the disproportionate impact of COVID-19 on student subgroups (each major racial and ethnic group, economically disadvantaged students, children with disabilities, English learners, gender, migrant students, students experiencing homelessness, and children and youth in foster care), including by providing additional support to LEAs, as applicable, to fully address such impacts.
4. The SEA will use a portion of its ARP-OA SEA allocation to carry out, directly or through subgrants to LEAs or through contracts, the implementation of evidence-based summer enrichment programs, and ensure such programs respond to students’ academic, social, and emotional needs and address the disproportionate impact of COVID-19 on underrepresented student subgroups (each major racial and ethnic group, economically disadvantaged students, children with disabilities, English learners, gender, migrant students, students experiencing homelessness, and children and youth in foster care).
5. The SEA will use a portion of its ARP-OA SEA allocation to carry out, directly or through subgrants to LEAs or through contracts, the implementation of evidence-based comprehensive afterschool programs, and ensure such programs respond to students’ academic, social, and emotional needs and address the disproportionate impact of COVID-19 on underrepresented student subgroups (each major racial and ethnic group, economically disadvantaged students, children with disabilities, English learners, gender, migrant students, students experiencing homelessness, and children and youth in foster care).

6. The SEA may reserve a reasonable and necessary amount of its ARP-OA SEA allocation for administrative costs and emergency needs, as determined by the SEA, to address issues related to the COVID-19 pandemic, which may be addressed through the use of grants or contracts or for direct use by the SEA.
7. The SEA will ensure that ARP-OA SEA funds are used for activities allowable under section 2001(e) of the ARP Act.
8. The SEA will ensure that equitable services, as determined through timely and meaningful consultation with non-public school officials, will be provided to students and teachers in non-public elementary and secondary schools in the same manner as provided under section 8501 of the Elementary and Secondary Education Act of 1965.
 - The SEA will ensure that a public agency will maintain control of ESF-SEA funds for services and assistance provided to a non-public school.
 - The SEA will ensure that a public agency will have title to materials, equipment, and property purchased with ESF-SEA funds.
 - The SEA will ensure that services to a non-public school with ESF-SEA funds will be provided by a public agency directly, or through contract with another public or private entity.
9. The SEA will comply with the maintenance of effort provision in section 2004(a)(1) of the ARP Act, absent a waiver by the Secretary pursuant to section 2004(a)(2) of the ARP Act.
10. The SEA, within 30 days of receiving ARP-OA SEA funds, will develop and make publicly available on its website a plan for the safe return of in-person instruction and continuity of services consistent with the LEA plan required in section 2001(i) of the ARP Act.
11. The SEA will comply with all reporting requirements at such time, in such manner, and containing such information as the Secretary may reasonably require, including on matters such as:
 - how the Outlying Area is developing strategies and implementing public health protocols including, to the greatest extent practicable, policies and plans in line with the current CDC guidance relating to addressing the effect of the COVID-19 pandemic in schools;
 - data on each school's mode of instruction (remote, hybrid, or in-person) and conditions;
 - Outlying Area uses of funds to meet students' academic, social, emotional, and mental health needs, including through summer enrichment programming and other evidence-based interventions;
 - Outlying Area uses of funds to sustain and support access to early childhood education programs;
 - impacts and outcomes (disaggregated by student subgroup) through use of ARP-OA SEA funding (e.g. quantitative and qualitative results of ARP-OA SEA funding, including on personnel, student learning, and budgeting at the school and district level);
 - student data (disaggregated by student subgroup) related to how the COVID-19 pandemic has affected instruction and learning;
 - requirements under the Federal Financial Accountability Transparency Act; and
 - additional reporting requirements as may be necessary to ensure accountability and transparency with respect to ARP-OA SEA funds.

12. Records pertaining to the ARP-OA SEA award under 2 C.F.R. § 200.334 and 34 C.F.R. § 76.730, including financial records related to use of grant funds, will be retained separately from other grant funds, including funds that an SEA receives under the Coronavirus Aid, Relief, and Economic Security (“CARES”) Act, Public Law 116-136, enacted on March 27, 2020, and the Coronavirus Response and Relief Supplemental Appropriations (“CRRSA”) Act, 2021, Public Law 116-260, enacted on December 27, 2020. The SEA will ensure that it and every subrecipient of ARP-OA SEA funds will cooperate with any examination of records with respect to such funds by making records available for inspection, production, and examination and by making authorized individuals available for interview and examination, upon the request of (i) the Department and/or its Inspector General; or (ii) any other federal department, agency, or commission in the lawful exercise of its jurisdiction and authority.
13. The ARP-OA SEA funds will be obligated by the SEA and subgrantees no later than September 30, 2024.
14. Until such time as the SEA’s ARP-OA plan (referenced in Item 1 above) is approved, the SEA will notify the Department prior to drawing down ARP Act funds.
15. The SEA will comply with the Fiscal Year 2020 Department-wide Specific Conditions incorporated in the Grant Award Notification and will also comply with the Fiscal Year 2021 Department-wide Specific Conditions.

PART B: OTHER ASSURANCES AND CERTIFICATIONS

In accepting the funds made available under this GAN, the Chief State School Officer assures or certifies the following with respect to ARP-OA SEA Fund awards:

1. The SEA will comply with all applicable assurances in OMB Standard Forms 424B and D (Assurances for Non-Construction and Construction Programs), including the assurances relating to the legal authority to apply for assistance; access to records; conflict of interest; merit systems; nondiscrimination; Hatch Act provisions; labor standards; flood hazards; historic preservation; protection of human subjects; animal welfare; lead-based paint; Single Audit Act; and the general agreement to comply with all applicable Federal laws, executive orders, and regulations.
2. With respect to the certification regarding lobbying in Department Form 80-0013, no Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making or renewal of Federal grants under this program; the SEA will complete and submit Standard Form-LLL, “Disclosure Form to Report Lobbying,” when required (34 C.F.R. Part 82, Appendix B); and the SEA will require the full certification, as set forth in 34 C.F.R. Part 82, Appendix A, in the award documents for all subawards at all tiers.
3. Any LEA receiving funding under this program will have on file with the SEA a set of assurances that meets the requirements of section 442 of the General Education Provisions Act (GEPA) (20 U.S.C. 1232e).
4. To the extent applicable, entities that receive funding will comply with the requirements of section 427 of GEPA (20 U.S.C. 1228a).

5. The SEA and other entities will comply with the *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance) requirements in Subpart D—Post Federal Award Requirements (2 CFR §§ 200.300-200.345) and Subpart E—Cost Principles (2 CFR §§ 200.400-200.475) to ensure that ARP-OA funds are being used for purposes that are reasonable, necessary, and allocable under the ARP Act.
6. The SEA and other entities will comply with the provisions of all applicable acts, regulations, and assurances; the following provisions of Education Department General Administrative Regulations (“EDGAR”), 34 CFR parts 76, 77, 81, 82, 84, 97, 98, and 99; the OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485; and the Uniform Guidance in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474.

Privacy Act Statement

Authority: Section 2005 of the American Rescue Plan Act of 2021 authorizes the collection of this information.

Purpose: The U.S. Department of Education (“ED”) will use this information to obtain the contact information of the individuals responsible for implementing ARP-OA SEA programs. The information collected will be used by ED staff who need the information to provide oversight and support to the Outlying Areas.

Routine Uses: Among those disclosures permitted under 5 U.S.C. 552a(b) of the Privacy Act, information contained in this system may be disclosed outside of ED as a routine use pursuant to 5 U.S.C. 552a(b)(3) when the disclosure is compatible with the purpose for which the records were collected and the routine use is published in the applicable system of records notice. The routine uses are detailed in the system of records notice titled [Education’s Central Automated Processing System \(EDCAPS\)](#) (18-04-04), which system of records notice may be updated by ED in the future to include new or modified routine uses. While ED intends to make publicly available each ARP-OA SEA implementation plan on ED’s website, ED will only do so with appropriate redactions rules applied. The following Personally Identifiable Information will be collected, but will be redacted prior to posting on ED’s website: name, email, phone, and address.

Disclosure: Furnishing this information is required under the ARP-OA SEA grant terms and conditions that were agreed to upon receipt of ARP-OA SEA funds. If this information is not provided, ED may take additional steps to ensure compliance with all grant terms and conditions, including additional grant award conditions and increased monitoring of grantee program implementation.