U.S. DEPARTMENT OF EDUCATION
BUDGET INFORMATION NON-CONSTRUCTION PROGRAMS

OMB Control Number: 1894-0008
Expiration Date: 08/31/2020

Ohio Department of Education
Budget Report for the State Reservation of the ESSER Fund

Applicants requesting funding for only one year should complete the column under "Project Year 1." Applicants requesting funding for multi-year grants should complete all applicable columns. Please read all instructions before completing form.

SECTION A - BUDGET SUMMARY U.S. DEPARTMENT OF EDUCATION FUNDS

Budget Categories	Project Year 1 (a)	Project Year 2 (b)	Project Year 3 (c)	Project Year 4 (d)	Project Year 5 (e)	Total (f)
1. Personnel						
2. Fringe Benefits						
3. Travel						
4. Equipment						
5. Supplies						
6. Contractual	1,982,452					
7. Construction						
8. Other	46,774,321					
9. Total Direct Costs (lines 1-8)	48,756,773					
10. Indirect Costs *Enter Rate Applied 11.6%	163,747					
11. Training Stipends						
12. Total Costs (lines 9-11)	48,920,520					

*Indirect Cost Information (*To Be Completed by Your Business Office*):

If you are requesting reimbursement for indirect costs on line 10, please answer the following questions:

(1) Do you have an Indirect Cost Rate Agreement approved by the Federal government? __X__Yes ____No.

(2) If yes, please provide the following information:
Period Covered by the Indirect Cost Rate Agreement: From: _07_/_01_/_2019_____ To: _06_/__30_/___2022___ (mm/dd/yyyy)
Approving Federal agency: __X__ED ____Other (please specify): _____ The Indirect Cost Rate is ____11.6_____%

(3) If this is your first Federal grant, and you do not have an approved indirect cost rate agreement, are not a State, Local government or Indian Tribe, and are not funded under a training rate program or a restricted rate program, do you want to use the de minimis rate of 10% of MTDC? ____N/A. If yes, you must comply with the requirements of 2 CFR § 200.414(f).

(4) If you do not have an approved indirect cost rate agreement, do you want to use the temporary rate of 10% of budgeted salaries and wages? ____N/A ____. If yes, you must submit a proposed indirect cost rate agreement within 90 days after the date your grant is awarded, as required by 34 CFR § 75.560.

(5) For Restricted Rate Programs (check one) -- Are you using a restricted indirect cost rate that:___ Is included in your approved Indirect Cost Rate Agreement? Or ___ Complies with 34 CFR 76.564(c)(2)? The Restricted Indirect Cost Rate is _____%

(6) For Training Rate Programs (check one) -- Are you using a rate that: ____Is based on the training rate of 8 percent of MTDC (See EDGAR § 75.562(c)(4))? Or ____Is included in your approved Indirect Cost Rate Agreement, because it is lower than the training rate of 8 percent of MTDC (See EDGAR § 75.562(c)(4)).

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Name of Institution/Organization		Applicants requesting funding for only one year should complete the column under "Project Year 1." Applicants requesting funding for multi-year grants should complete all applicable columns. Please read all instructions before completing form.			

SECTION B - BUDGET SUMMARY
NON-FEDERAL FUNDS

Budget Categories	Project Year 1 (a)	Project Year 2 (b)	Project Year 3 (c)	Project Year 4 (d)	Project Year 5 (e)	Total (f)
1. Personnel						
2. Fringe Benefits						
3. Travel						
4. Equipment						
5. Supplies						
6. Contractual						
7. Construction						
8. Other						
9. Total Direct Costs (Lines 1-8)						
10. Indirect Costs						
11. Training Stipends						
12. Total Costs (Lines 9-11)						

SECTION C – BUDGET NARRATIVE (see instructions)

The budget for Ohio's state reservation of Elementary and Secondary School Emergency Relief (ESSER) funds been developed to support project goals and objectives in four areas:

(A) Remote Learning

Remote education will be an integral part of the 2020-2021 academic school year, affecting students, educators and families across Ohio. The State plans to dedicate ESSER funds to harness the power of the state's remote education assets, use those assets to transform the

remote education experience and deploy direct supports for technology, technical assistance and professional learning to schools and districts most in need.

(B) <u>Reset and Restart Supports</u>

As schools and educators prepare for the reset and restart, Ohio will use a portion of the state reservation to directly support specific school and district needs that can impact a successful reopening effort. The Reset and Restart supports include strengthening health and safety practices, student educational experience and learning including gauging student readiness and achievement, and social-emotional health supports.

(C) <u>Pre-school and Before/After School Care Supports</u>

Ohio is committed to consistent and aligned support across licensed preschool and childcare programs, including before and after school programs. It is the goal to coordinate and enhance the CARES Act funding to provide the maximum support possible to ensure the needs of Ohio's workforce as well as the academic, social, emotional and physical needs of children are met.

(D) <u>Improve Related Existing Systems</u>

CARES Act funding will be used to improve related existing systems for the long-term benefit of Ohio's education system including such forward-looking activities as student growth modeling, expansion of early warning data systems, resource allocation strategies, and translation services.

<u>BUDGET DETAILS</u>

Each budget category is outlined below.

1) *Personnel* – The department will place the reserve for administration, ½ of 1% of the total ESSER award. Under the authority outlined in Sections 8201(a)(2) and 8203(a) of the Elementary and Secondary Education Act of 1965, as amended (ESEA), Ohio consolidates administrative funds under ESEA programs. Based on the decision by Assistant Secretary Brogan to designate ESSER as an eligible grant for the consolidated administrative fund, Ohio will also include ESSER funds in the consolidated administrative fund.

2) *Fringe Benefits* – See personnel discussion.

3) *Travel* – No Travel is budgeted.

4) *Equipment* – No Equipment is budgeted.

5) *Supplies* – No Supplies are budgeted.

6) *Contractual* – **$1,982,452** to fund Statewide activities in the following three areas:

    (A) Reset and Restart Supports - Benchmark Diagnostics

    Ohio is working with our test vendor on a suite of *optional* assessment supports for the opening of the school year. The *Restart Readiness Assessments* are designed to allow schools and districts to identify student progress early and receive actionable performance data.

    (B) Pre-school and Before/After School Care Supports- Early Childhood Licensing Specialists

    Ohio will contract with additional Early Childhood Licensing Specialists to perform inspections of ODE-licensed preschools. These contracted resources will expand the number of contractors to complete preschool and school age childcare licensing inspections to be completed when school is back in session.

(C) Improve Existing Systems

In addition to bolstering remote education and providing supports for reset and restart, the Department must also continue to enhance systems to more effectively support students, educators and schools; respond to new education delivery options; and gauge impact. ESSER funding will be used to improve existing systems for the long-term benefit of Ohio's education system including:

(1) Student Growth Modeling – Simulations and modeling services

(2) Early Warning System Expansion – Evidence-Based Resources

Ohio will contract with a research partner to increase the related resources in the Evidence-Based Clearinghouse.

(3) Translation Services

The Department will provide translated COVID-19-related documents in the five most frequently spoken languages of EL families.

7) *Construction:* Not applicable.
8) *Other:* **$46,774,321.** A portion of these funds represent Ohio's reserve for administration. As discussed in the personnel section, Ohio plans to place these funds in our consolidated federal administrative pool. The department plans to provide subgrants to LEAs and other educational organizations to fund Statewide activities in the following areas:

(A) Remote Learning – *RemotEDx*

Ohio is launching *RemotEDx*, a platform to coordinate, validate and help deploy resources to schools and districts to promote excellent, high-quality remote learning delivery and experiences. Subgrants will be made to support associated activities, which include:

(1) Convene the RemotEDx Coordinating Council
(2) Launch the *RemtED*x Exchange
(3) Deploy Connectivity Champions to Expand Access to Internet
(4) Deploy regional individuals to provide schools and districts with deep technical support and job-embedded professional learning opportunities
(5) Build the *RemotEDx* Network and Learning Academy
(6) Develop the *RemotEDx* Transformation Collaborative Fund

(B) Reset and Restart Supports

Subgrants will be made in the following areas to support Ohio's Reset and Restart initiative:

(1) Provide supports for health/hygiene practices
(2) Regional Data Lead (RDL) and Student Readiness Supports to support and professional learning for educators regarding curriculum gap analysis, diagnostic data/student readiness toolkits and online Benchmark and Checkpoint **tests** available through the state's online testing portal.
(3) Extend birth to preschool program currently administered by the Ohio Department of Mental Health and Addiction Services (OHMAS) to elementary school aged children so mental health experts can be available to students and educators.
(4) Provide various Family and Community supports, including support for English Learners
(5) Reimburse schools and districts for expenses in excess of revenue, related to food services.

(C) Pre-school and Before/After School Care Supports

Subgrants will be made in the following areas:

(1) Provide support payments to ODE-licensed childcare programs
(2) Provide mini-grants targeted to existing federally funded and other afterschool and summer programs to collaborate with schools to support learning, behavioral health needs and support parent and family involvement of vulnerable student population.

(D) Improve Existing Systems

Subgrants will be made to support the following existing systems:
(1) Provide training for Ohio's Early Warning System as well as create a web-based inventory tool.
(2) Provide subgrants to support pilot participation in the Project Evident Initiative.

9. ***Total Direct Costs:*** *Sum of expenditures, per budget category, of lines 1-8*: ***$48,756,773***
10. ***Indirect Costs:*** The indirect cost rate agreement in place for the Ohio Department of Education is 11.6% of salary and fringe benefits. **$163,747** is estimated. As discussed in the personnel section, Ohio plans to place the ESSER reservation for administration in the consolidated administrative fund.
11. ***Training Stipends:*** No Training Stipends are budgeted.
12. ***Total Costs:*** *Sum of expenditures, per budget category, of lines 1-8,10,11*: ***$48,920,520***

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Instructions for ED 524

General Instructions

This form is used to apply to individual U.S. Department of Education (ED) discretionary grant programs. Unless directed otherwise, provide the same budget information for each year of the multi-year funding request. Pay attention to applicable program specific instructions, if attached. You may access the Education Department General Administrative Regulations cited within these instructions at: http://www.ed.gov/policy/fund/reg/edgarReg/edgar.html. You may access requirements from 2 CFR 200, "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards" cited within these instructions at: https://www.federalregister.gov/articles/2013/12/26/2013-30465/uniform-administrative-requirements-cost-principles-and-audit-requirements-for-federal-awards.
You must consult with your Business Office prior to submitting this form.

Section A - Budget Summary
U.S. Department of Education Funds

All applicants must complete Section A and provide a break-down by the applicable budget categories shown in lines 1-11.

Lines 1-11, columns (a)-(e): For each project year for which funding is requested, show the total amount requested for each applicable budget category.

Lines 1-11, column (f): Show the multi-year total for each budget category. If funding is requested for only one project year, leave this column blank.

Line 12, columns (a)-(e): Show the total budget request for each project year for which funding is requested.

Line 12, column (f): Show the total amount requested for all project years. If funding is requested for only one year, leave this space blank.

Indirect Cost Information: If you are requesting reimbursement for indirect costs on line 10 the indirect cost rate to be charged to the grant must be entered in the applicable field on line 10, and the following information is to be completed by your Business Office.

(1): Indicate whether or not your organization has an Indirect Cost Rate Agreement that was approved by the Federal government. If you checked "no," ED generally will authorize grantees to use a temporary rate of 10 percent of budgeted salaries and wages (**complete (4) of this section when using the temporary rate**) subject to the following limitations:

(a) The grantee must submit an indirect cost proposal to its cognizant agency within 90 days after ED issues a grant award notification; and

(b) If after the 90-day period, the grantee has not submitted an indirect cost proposal to its cognizant agency, the grantee may not charge its grant for indirect costs until it has negotiated an indirect cost rate agreement with its cognizant agency.

(2): If you checked "yes" in (1), indicate in (2) the beginning and ending dates covered by the Indirect Cost Rate Agreement. In addition, indicate whether ED, another Federal agency (Other) or State agency issued the approved agreement. If you check "Other," specify the name of the Federal or other agency that issued the approved agreement.

(3): If you check "no" in (1), indicate in (3) if you want to use the de minimis rate of 10 percent of MTDC (see 2CFR § 200.68).

If you use the de minimis rate, you are subject to the provisions in 2 CFR § 200.414(f). Note, you may only use the 10 percent de minimis rate if you are a first-time Federal grant recipient, and you do not have an Approved Indirect Cost Rate Agreement. You may not use the de minimis rate if you are a State, Local government, or Indian Tribe, or if your grant is funded under a training rate or restricted rate program.

(5): If you are applying for a grant under a Restricted Rate Program (34 CFR 75.563 or 76.563), indicate whether you are using a restricted indirect cost rate that is included on your approved Indirect Cost Rate Agreement, or whether you are using a restricted indirect cost rate that complies with 34 CFR 76.564(c)(2). Note: State or Local government agencies may not use the provision for a restricted indirect cost rate specified in 34 CFR 76.564(c)(2). Check only one response. Leave blank, if this item is not applicable.

(6): For Training Rate Programs, ED regulations limit **non-governmental entities** to the recovery of indirect costs on training grants to the grantee's actual indirect costs, as determined by its negotiated rate agreement, or 8 percent of a MTDC, whichever is lower (see EDGAR § 75.562(c)(4)). The 8 percent limit also applies to cost-type contracts under grants, if these contracts are for training as defined in EDGAR § 75.562(a). If a n**on-governmental entity** that receives a grant under a training grant program does not have an approved indirect cost rate and wants to recover indirect costs, it may use a temporary rate of 10 percent of budgeted direct salaries and wages, but it must submit an indirect cost rate proposal to its cognizant agency for indirect costs within 90 days after ED issues the GAN. After the 90-day period, the government entity may not charge its grant for indirect costs until it has negotiated an indirect cost rate agreement.

Section B - Budget Summary
Non-Federal Funds

If you are required to provide or volunteer to provide cost-sharing or matching funds or other non-Federal resources to the project, these should be shown for each applicable budget category on lines 1-11 of Section B.

Lines 1-11, columns (a)-(e): For each project year, for which matching funds or other contributions are provided, show the total contribution for each applicable budget category.

Lines 1-11, column (f): Show the multi-year total for each budget category. If non-Federal contributions are provided for only one year, leave this column blank.

Line 12, columns (a)-(e): Show the total matching or other contribution for each project year.

Line 12, column (f): Show the total amount to be contributed for all years of the multi-year project. If non-Federal contributions are provided for only one year, leave this space blank.

Section C - Budget Narrative [Attach separate sheet(s)]
Pay attention to applicable program specific instructions, if attached.

1. Provide an itemized budget breakdown, and justification by project year, for each budget category listed in Sections A and B. For grant projects that will be divided into two or more separately budgeted major activities or sub-projects, show for each budget category of a project year the breakdown of the specific expenses attributable to each sub-project or activity.

2. For non-Federal funds or resources listed in Section B that are used to meet a cost-sharing or matching requirement or provided as a voluntary cost-sharing or matching commitment, you must include:

   a. The specific costs or contributions by budget category;
   b. The source of the costs or contributions; and
   c. In the case of third-party in-kind contributions, a description of how the value was determined for the donated or contributed goods or services.

   [Please review cost sharing and matching regulations found in 2 CFR 200.306.]

3. If applicable to this program, provide the rate and base on which fringe benefits are calculated.

4. If you are requesting reimbursement for indirect costs on line 10, this information is to be completed by your Business Office. Specify the estimated amount of the base to which the indirect cost rate is applied and the total indirect expense. Depending on the grant program to which you are applying and/or your approved Indirect Cost Rate Agreement, some direct cost budget categories in your grant application budget may not be included in the base and multiplied by your indirect cost rate. For example, you must multiply the indirect cost rates of "Training grants" (34 CFR 75.562) and grants under programs with "Supplement not Supplant" requirements ("Restricted Rate" programs) by a "modified total direct cost" (MTDC) base (34 CFR 75.563 or 76.563). Please indicate which costs are included and which costs are excluded from the base to which the indirect cost rate is applied.

   When calculating indirect costs (line 10) for "Training grants" or grants under "Restricted Rate" programs, you must refer to the information and examples on ED's website at: http://www.ed.gov/fund/grant/apply/appforms/appforms.html.

   You may also contact (202) 377-3838 for additional information regarding calculating indirect cost rates or general indirect cost rate information.

5. Provide other explanations or comments you deem necessary.

Paperwork Burden Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is **1894-0008**. The time required to complete this information collection is estimated to vary from 13 to 22 hours per response, with an average of 17.5 hours per response, including the time to review instructions, search existing data sources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: U.S. Department of Education, Washington, D.C. 20202-4537. If you have comments or concerns regarding the status of your individual submission of this form, write directly to (insert program office), U.S. Department of Education, 400 Maryland Avenue, S.W., Washington, D.C. 20202.