The Honorable Ryan Stewart  
Secretary Designate of Education  
New Mexico Public Education Department  
300 Don Gaspar Avenue  
Santa Fe, NM  87501         July 17, 2020

Dear Secretary Stewart

I am writing regarding the New Mexico Public Education Department (NMPED) percentage of students taking the alternate assessment based on alternate academic achievement standards (AA-AAAS). The Elementary and Secondary Education Act of 1965 (ESEA), as amended by the Every Student Succeeds Act (ESSA), limits the percentage of students that a State may assess with an AA-AAAS to no more than 1.0 percent of all assessed students in the grades assessed in a State for each subject. The U.S. Department of Education (Department) recognizes that this was a new requirement starting in the 2017-2018 school year and that most States previously assessed more than 1.0 percent of students with the AA-AAAS. We understand that a State may need time to adjust its systems to reduce its AA-AAAS participation rate. The ESEA and the Department’s regulations lay out the requirements for a State seeking a waiver as it works to meet this new requirement, as laid out in a memorandum to States on August 27, 2018 (available at: https://oese.ed.gov/files/2020/02/ossstateassessmentltr.pdf).

While there is a limit on the percentage of students statewide who may take the AA-AAAS, there is no such limit among local educational agencies (LEAs). However, 34 CFR 200.6(c)(3)(ii) and (iv) requires that an LEA submit information justifying the need to assess more than 1.0 percent of its students in any subject with an AA-AAAS and the State must make that information publicly available, provided that such information does not reveal personally identifiable information about an individual student.

NMPED submitted information to the Department via EDFacts, as of April 9, 2020, that indicated the percentage of all tested students assessed with an AA-AAAS in 2018-2019 statewide:

**Reading/language arts:** 1.01%  **Mathematics:** 1.02%  **Science:** 1.17%

Consequences for Exceeding the Cap

As noted in a memorandum to States on March 28, 2019, the Department is following up with each State that exceeded the 1.0 percent statewide threshold on the AA-AAAS without a waiver. Because of rates of AA-AAAS participation that were greater than 1.0 percent in science, based on data provided by your State, NMPED must submit a plan for reducing your rate of AA-AAAS participation in future years in order to come into compliance with the 1.0 percent requirement. Please submit this plan by email to the Department at ESEA.Assessment@ed.gov by October 1, 2020.

Please note that consequences in future years may be more severe if the State continues to have an AA-AAAS participation rate over 1.0 percent. Based on the State’s context and information, the Department may consider taking one of the following actions:

1. Placing a condition on the State’s Title I, Part A grant award. As part of the condition, a State would be required to submit a plan to assess no more than 1.0 percent of students with an AA-AAAS in each subject.

2. Imposing high risk status on the State’s Title I, Part A grant award. A State with such a high risk status would be required to submit a plan to come into compliance with the 1.0 percent cap and to
participate in joint Office of Special Education and Rehabilitation Services (OSERS) and the Office of Elementary and Secondary Education (OESE) monitoring calls while the State works to meet the requirement.

3. Withholding Title I, Part A State administrative funds. If the Department withholds funds, a State would be required to submit a plan to come into compliance with the 1.0 percent cap and participate in joint OESE/OSERS monitoring calls while they work to come into compliance.

**Technical Assistance**

The Department continues to support New Mexico and all States to ensure all students are being assessed using the right assessments. Over the past two years, the National Center on Educational Outcomes has facilitated a variety of technical assistance activities for States working to meet the 1.0 percent threshold. I note that NMPED has participated in some of these activities. You may find resources from these activities available at [https://nceo.info/Assessments/alternate_assessments](https://nceo.info/Assessments/alternate_assessments).

I appreciate the work you are doing to improve your schools and provide a high-quality education for students. If you have any questions, please contact Don Peasley of my staff at ESEA.Assessment@ed.gov.

Sincerely,

/s/
Frank T. Brogan
Assistant Secretary
for Elementary and Secondary Education

cc: Lynn Vasquez, Director of Assessment