

United States Department of Education

office of elementary and secondary education

The Honorable Sydnee Dickson

State Superintendent of Public Instruction

Utah State Board of Education

PO Box 144200

Salt Lake City, Utah 84111-4200 January 15, 2020

Dear Superintendent Dickson:

Thank you for your participation in the U.S. Department of Education’s (the Department) assessment peer review process under Title I of the Elementary and Secondary Education Act of 1965 (ESEA), as amended by the Every Student Succeeds Act (ESSA). I appreciate the efforts of the Utah State Board of Education (USBE) to prepare for the English language proficiency (ELP) assessment peer review, which occurred in June 2019. Specifically, USBE submitted evidence regarding ACCESS and Alternate ACCESS.

The ESEA and its implementing regulations require a State to ensure that it provides an annual ELP assessment of all English learners (ELs) in grades K-12 in schools served by the State (ESEA section 1111(b)(2)(G); 34 CFR § 200.6(h)). Specifically, the ESEA requires a State to develop a uniform statewide ELP assessment to measure the ELP of all ELs in the State, including ELs with disabilities, and to provide an alternate ELP assessment (AELPA) for ELs who are students with the most significant cognitive disabilities who cannot participate in the regular ELP assessment even with accommodations (ESEA section 1111(b)(2)(G); 34 CFR § 200.6(h)(1), (5)). The ESEA and its implementing regulations require that a State’s ELP assessments, including the AELPA, be aligned with the State’s ELP standards, provide valid and reliable measures of the State’s ELP standards, and be of adequate technical quality (ESEA section 1111(b)(2)(G); 34 CFR §§ 200.2(b)(2), (b)(4), (b)(5), 200.6(h)(2)).

External peer reviewers and Department staff carefully evaluated USBE’s submission and the Department found, based on the evidence received, that this component of your assessment system met some, but not all of the statutory and regulatory requirements of the ESEA. Based on the recommendations from this peer review and our own analysis of the State’s submission, I have determined the following:

* + General ELP assessment (ACCESS): **Partially meets requirements of the ESEA, as amended by ESSA.**
  + Alternate ELP assessment (Alternate ACCESS): **Partially meets requirements** **of the ESEA, as amended by ESSA.**

The assessments that partially meet requirements do not meet a number of the requirements of the statute and regulations and USBE will need to provide substantial additional information to demonstrate it meets the requirements. The Department realizes that this was the first time your State was required to provide its ELP and AELPA for peer review and recognizes that it may take some time to address all of the required items. The specific list of items required for USBE to submit is enclosed with this letter. Within 30 days, USBE must provide a plan and timeline for submitting all required documentation. Upon submission of the plan, the Department will reach out to the State educational agency (SEA) to determine a mutually agreeable schedule. Resubmission should occur once all necessary evidence is complete (rather than in multiple submissions). The Department is placing a condition on USBE’s Title I, Part A grant award. To satisfy this condition, USBE must submit satisfactory evidence to address the items identified in the enclosed list. If adequate progress is not made, the Department may take additional action.

The full peer review notes from the review are enclosed. These recommendations to the Department formed the basis of our determination. Please note that the peers’ recommendations may differ from the Department’s feedback; we encourage you to read the full peer notes for additional suggestions and recommendations for improving your assessment system beyond what is noted in the Department’s feedback. Department staff will reach out to your assessment director in the next few days to discuss the peer notes and the Department’s determination and to answer any questions you have.

Additionally, the Office of Special Education and Rehabilitative Services (OSERS) will monitor progress on matters pertaining to requirements in the Individuals with Disabilities Education Act (IDEA) related to the participation of students with disabilities in Title I assessments. In particular, OSERS will monitor progress against critical elements 1.4, 4.2, 5.1, 5.3, 5.4 and 6.3. Insufficient progress to address such matters may lead OSERS to place a condition on USBE’s fiscal year 2020 IDEA Part B grant award.

Thank you for your ongoing commitment to improving educational outcomes for all students. I look forward to our continued partnership as we move ahead with this critical work. I appreciate the work you are doing to improve your schools and provide a high-quality education for your students.

If you have any questions, please contact my staff at: ESEA.Assessment@ed.gov.

Sincerely,

/s/

Frank T. Brogan

Assistant Secretary

for Elementary and Secondary Education

Enclosures

cc: Darin Nielsen, Assistant Superintendent, Student Learning

**Critical Elements Where Additional Evidence is Needed for Utah’s Administration of the ACCESS and Alternate ACCESS English language proficiency (ELP) Assessments**

| **Critical Element** | **Additional Evidence Needed** |
| --- | --- |
| **1.2 – Coherent and Progressive ELP Standards that Correspond to the State’s Academic Content Standards** | For the State’s ELP standards:   * For science, evidence that the ELP standards contain language proficiency expectations that reflect the language needed for English learners (ELs) to acquire and demonstrate their achievement of the knowledge and skills identified in the State’s academic content standards appropriate to each grade-level/grade-band. * For reading/language arts and mathematics, evidence of alignment of its current ELP standards to the State’s academic content standards. |
| **2.1 – Test Design and Development** | For ACCESS and the Alternate ACCESS:   * Evidence that both assessments are aligned to the depth and breadth of the State’s ELP standards, including: * Statement of the purposes and intended uses of results. * Test blueprints. * Processes to ensure that the ELP assessment is tailored to the knowledge and skills included in the State’s ELP standards and reflects appropriate inclusion of the range of complexity found in the standards (e.g., detail about the routing rules, detail of the item selection process for paper forms to ensure it adheres to the blueprint).   For ACCESS:   * Evidence that the item pool and item selection procedures adequately support the multi-stage adaptive administrations. * Evidence that proficiency determinations are made with respect to the grade in which the student is enrolled. |
| **2.2 – Item Development** | For ACCESS:   * Evidence of reasonable and technically sound procedures to develop and select items (e.g., timeline of development, qualifications of item writers, item-writing training, item review processes and reviewer qualifications, field test processes for each domain, and technical advisory committee review).   For the Alternate ACCESS:   * Evidence of reasonable and technically sound procedures to develop and select items to assess ELP (e.g., involvement of experts with knowledge of ELs with significant cognitive disabilities). |
| **2.3 – Test Administration** | For the ACCESS:   * Evidence of established contingency plans to address possible technology challenges during test administration. |
| **2.5 – Test Security** | For ACCESS and the Alternate ACCESS:   * Evidence the State has implemented and documented an appropriate set of policies and procedures to prevent test irregularities and ensure the integrity of test results through:   + Detection of test irregularities.   + Remediation following any test security incidents involving any of the State’s assessments.   + Investigation of alleged or factual test irregularities.   For the Alternate ACCESS:   * Evidence of policies and procedures that prevent assessment irregularities, including maintaining the security of test materials (both during test development and at time of test administration), specifically, to protect the integrity of the test given that the test form is unchanged for the past several years. |
| **2.6 – Systems for Protecting Data Integrity and Privacy** | For ACCESS and the Alternate ACCESS:   * Policies and procedures to protect the integrity of test-related data in test administration (e.g., how data are protected by all parties, including during handoffs). |
| **3.1 – Overall Validity, including Validity Based on Content** | For ACCESS:   * Documentation of adequate alignment between the State’s ELP assessment and the ELP standards the assessment is designed to measure in terms of language knowledge and skills and the depth and breadth of the State’s ELP standards across all proficiency levels, domains, and modalities identified therein. * Documentation of alignment between the State’s ELP standards and the language demands implied by, or explicitly stated in, the State’s academic content standards.   For the Alternate ACCESS:   * Evidence of adequate linkage to the State’s ELP standards in terms of content match (i.e., no unrelated content) and that the breadth of content and linguistic complexity determined in test design is appropriate for ELs who are students with the most significant cognitive disabilities. |
| **3.2 – Validity Based on Linguistic Processes** | For ACCESS and the Alternate ACCESS:   * Adequate validity evidence that its assessments tapthe intended language processes appropriate for each grade level/grade-band as represented in the State’s ELP standards. |
| **3.3 – Validity Based on Internal Structure** | For ACCESS and the Alternate ACCESS:   * Evidence that the scoring and reporting structures of the assessments are consistent with the subdomain structures of the State’s ELP standards (e.g., an explanation of how the included statistical analyses relate to the validity framework for the assessments). |
| **3.4 – Validity Based on Relationships with Other Variables** | For ACCESS and Alternate ACCESS:   * Adequate validity evidence that the State’s assessment scores are related as expected with other variables. |
| **4.1 – Reliability** | For ACCESS and Alternate ACCESS:   * Evidence of test reliability, including: * Reliability by subgroups. * Consistency and accuracy of estimates in categorical classification decisions for the cut scores, achievement levels or proficiency levels based on the assessment results. * Evidence that reliability statistics are used to inform ongoing maintenance and development.   For ACCESS:   * For computer-adaptive tests, evidence that the assessments produce test forms with adequately precise estimates of an EL’s ELP.   For the Alternate ACCESS:   * Evidence of reliability, including test information functions (TIFs) for overall composite scores. |
| **4.2 – Fairness and accessibility** | For ACCESS and the Alternate ACCESS:   * Evidence that the assessments are accessible to all students and fair across student groups in design, development, and analysis (e.g., the implementation of universal design principles, to the extent practicable, during item development and review, and additional DIF analyses to include more student subgroups).   For the Alternate ACCESS:   * Evidence that the State has taken reasonable and appropriate steps to ensure that its assessments are accessible to all EL students and fair across student groups, including ELs with disabilities, in their design, development, and analysis, guidance and instructions on appropriate instructional supports that can be used during the assessment, particularly for Braille and alternate modes of communication. |
| **4.3 – Full Performance Continuum** | For ACCESS and the Alternate ACCESS:   * Evidence that each assessment provides an adequately precise estimate of student performance across the full performance continuum for ELP assessments, including performance for EL students with high and low levels of ELP. |
| **4.4 – Scoring** | For ACCESS and the Alternate ACCESS:   * Evidence that if an EL has a disability that precludes assessment of the student in one or more of the required domains/components (listening, speaking, reading, and writing) because there are no appropriate accommodations for the affected domain(s)/component(s), the State ensures that the student is assessed in the remaining domain(s)/component(s) in which it is possible to assess the student, including a description of how this will occur.   For ACCESS:   * Evidence of standardized scoring procedures and protocols that are designed to produce reliable and meaningful results, facilitate valid score interpretations, and report assessment results in terms of the State’s ELP standards (e.g., evidence that the scoring of speaking items on the paper form of the test is monitored.)   For the Alternate ACCESS:   * Evidence of the implementation of standardized scoring procedures and protocols (e.g., definitions of key terms and test administration and scoring procedures). |
| **4.5 – Multiple Assessment Forms** | For ACCESS:   * Evidence that all forms adequately represent the State’s ELP standards and yield consistent score interpretations such that the forms are comparable within and across settings, particularly for the listening domain (e.g., rationales for why equating is not done for the paper versions of the reading and listening domains and rationales for the use of the anchor item sets).   For the Alternate ACCESS:   * Evidence that all forms adequately represent the State’s ELP standards and yield consistent score interpretations such that the forms are comparable within and across settings (e.g., evidence that using the same test items every year does not impact validity). |
| **4.7 – Technical Analysis and Ongoing Maintenance** | For ACCESS and the Alternate ACCESS:   * Evidence of adequate technical quality is made public, including on the State’s website.   For the Alternate ACCESS:   * Evidence of a system for monitoring, maintaining, and improving, as needed, the quality of its assessment system. |
| **5.1 – Procedures for Including Students with Disabilities** | For the ACCESS and Alternate ACCESS:   * Evidence of policies that require the inclusion of an EL with a disability that precludes assessment of the student in one or more of the required domains (speaking, listening, reading, and writing) such that there are no appropriate accommodations for the affected component. Evidence that the State assesses the student’s ELP based on the remaining components in which it is possible to assess the student. |
| **5.3 –Accommodations** | For ACCESS and the Alternate ACCESS:   * Evidence that the provided accommodations:   + Are appropriate and effective for meeting the individual student’s need(s) to participate in the assessments.   + Do not alter the construct being assessed.   + Allow meaningful interpretations of results and comparison of scores for students who need and receive accommodations and students who do not need and do not receive accommodations. * Evidence that the State has a process to individually review and allow exceptional requests for a small number of students who require accommodations beyond those routinely allowed.   For the Alternate ACCESS:   * Evidence that accommodations do not deny students with disabilities or ELs the opportunity to participate in the assessment. |
| **5.4 – Monitoring Test Administration for Special Populations** | For ACCESS and the Alternate ACCESS:   * Evidence that the State monitors test administration in its districts and schools to ensure that appropriate assessments, with or without accommodations, are selected for all students with disabilities and ELs so that they are appropriately included in assessments and receive accommodations that are: * Consistent with the State’s policies for accommodations. * Appropriate for addressing a student’s disability or language needs for each assessment administered. * Consistent with accommodations provided to the students during instruction and/or practice. * Consistent with the assessment accommodations identified by a student’s IEP Team under IDEA, placement team convened under Section 504; or for students covered by Title II of the ADA, the individual or team designated by a district to make these decisions; or another process for an EL. * Administered with fidelity to test administration procedures. * Monitored for administrations of all required ELP assessments, and AELPA. |
| **6.1 – State Adoption of ELP Achievement Standards for All Students** | For ACCESS:   * Evidence that the State adopted ELP achievement standards that address the different proficiency levels of ELs.   For the Alternate ACCESS:   * If the State has developed alternate ELP achievement standards, evidence that it has adopted them only for ELs who are students with the most significant cognitive disabilities who cannot participate in the regular ELP assessment even with appropriate accommodations. |
| **6.2 – ELP Achievement Standards-Setting** | For the Alternate ACCESS:   * Evidence that the State used a technically sound method and process for setting ELP achievement standards, such that cut scores are developed for every grade/grade band, content domain/language domain, and/or composite for which proficiency-level scores are reported. |
| **6.3 –Aligned ELP Achievement Standards** | For ACCESS:   * Evidence that ELP assessment results are expressed in terms that are clearly aligned with the State’s ELP standards and its ELP performance level descriptors.   For the Alternate ACCESS:   * If the State has developed alternate ELP achievement standards, evidence that the alternate ELP achievement standards are linked to the State’s grade-level/grade-band ELP standards and reflect professional judgment of the highest ELP achievement standards possible for ELs who are students with the most significant cognitive disabilities. |
| **6.4 – Reporting** | For ACCESS and the Alternate ACCESS:   * Evidence that the State reports to the public its assessment results on ELP for all ELs including the number and percentage of ELs attaining ELP.   For the Alternate ACCESS:   * Evidence that performance level descriptors are included on student score reports. |

U. S. Department of Education

**Peer Review of State Assessment Systems**

**April State ELP Assessment Peer Review Notes**



**U. S. Department of Education**

**Office of Elementary and Secondary Education**

**Washington, D.C. 20202**

**Note: Peer review notes provide the combined recommendations of the individual peers to the U.S. Department of Education (Department), based on the statute and regulations, the Department’s peer review guidance, and the peers’ professional judgement of the evidence submitted by the State. These assessment peer review notes, however, do not necessarily reflect the final set of additional evidence, if any, that a State may need to submit to demonstrate that its assessment system meets all of the critical elements for assessment peer review. Although the peer notes inform the Secretary’s consideration of each State’s assessment system, the Department makes the final decision regarding whether the assessment system meets the requirements in the statute and regulations. As a result, these peer notes may not completely align with the final determination made by the Department.**

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# SECTION 1: STATEWIDE SYSTEM OF STANDARDS AND ASSESSMENTS

## Critical Element 1.1 – State Adoption of ELP Standards for All English Learners

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| --- | --- | --- |
| ***For English language proficiency (ELP) standards:***  The State formally adopted K-12 ELP standards for all ELs in public schools in the State. | N/A | See State peer review notes. |
| Section 1.1 Summary Statement | | |
| \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS & Alternate ACCESS**   * Evidence to be provided by states. | | |

## Critical Element 1.2 – Coherent and Progressive ELP Standards that Correspond to the State’s Academic Content Standards

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| --- | --- | --- |
| ***For ELP standards:***  The ELP standards:   * are derived from the four domains of speaking, listening, reading, and writing; * address the different proficiency levels of ELs; and   align to the State academic content standards (see definition[[1]](#footnote-1)). The ELP standards must contain language proficiency expectations that reflect the language needed for ELs to acquire and demonstrate their achievement of the knowledge and skills identified in the State’s academic content standards appropriate to each grade-level/grade-band in at least reading/language arts, mathematics, and science. | **ACCESS**  1.2-1 Understanding the WIDA English Language Proficiency Standards  1.2-2 English Language Proficiency Standards PreKindergarten through Grade 5  1.2-3 2012 Amplification of The English Language Development Standards  1.2-4 Alignment Study between the Common Core State Standards in English Language Arts and Mathematics and the WIDA English Language Proficiency Standards, 2007 Edition, PreKindergarten through Grade 12  1.2-5 K–12 English Language Development Standards Validation 2016  **Alternate ACCESS**  The Alternate ACCESS uses the same ELP Standards as ACCESS. No additional evidence provided.  However, WIDA is using the Alternate Model Performance Indicators (AMPIs). Are these extensions of the ELP Standards or separate standards? | **ACCESS**  The Peers did not locate evidence for the following aspects of the critical elements :   * “align to the State academic content standards” * “… reflect the language needed for ELs to acquire and demonstrate their achievement of the knowledge and skills identified in the State’s academic content standards…”   The history of alignment work was not clear with regards to how it impacted future development.   * For example, there is an alignment study for the ELP standards and CCSS, but the standards were written prior to the CCSS. Were any adjustments/modifications made to the standards with regards to the CCSS? * From Section 1 of WIDA submission notes (p.2 column 3), “The 2012 Amplification… strengthened areas that 2016 validation study identified as not having strong alignment to content standards…” How can a document dated 2012 address issues identified in 2016?   It is not clear what actions were taken to remediate or address the findings of the various alignment studies.   * Evidence 1.2-4. Conducted in 2010, this study used Cook’s criteria to examine linking and alignment of the WIDA ELP Standards MPIs and the CCSS in ELA and mathematics. The study results indicate adequate linking across all grade clusters between the WIDA ELP Standards MPIs and the CCSS in English Language Arts (RWSL) and Mathematics. Strong Linking was observed in most grade clusters. Moderate Linking was observed in Reading grades K, 3-5, Writing grades 2, 3-5, 7, 9-12, and Mathematics grades K, 6, 7, and 9-12. However, the study noted that limited linking was observed in ELA Writing grade K and Mathematics grade 8. Reviewer comments state that limited Linking on some reporting categories indicated that the language functions and content stems in some MPIs did not adequately address or support those in the Common Core State Standards.   Given the changes to the program since 2010, including the Amplification in 2012, an updated alignment study is warranted.  There was no evidence provided with regards to alignment for science.   * Submission notes indicate that WIDA has not conducted an alignment study between WIDA ELP standards and science or social studies standards.   **Alternate ACCESS**  More information about the AMPIs needs to be provided. Are they intended to be extensions of the ELP standards or separate standards for Alternate ACCESS? Evidence of alignment is needed.   * 2.2-8, p. 3. “The test is based on Alternate Model Performance Indicators (AMPIs) and Alternate English Language Proficiency (ELP) levels, which allow ELLs with significant cognitive disabilities to access the test tasks and demonstrate their proficiency in English. |
| Section 1.2 Summary Statement | | |
| \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS**   * Current alignment evidence for ELA and Math including a plan to address findings * Alignment to science standards   **Alternate ACCESS**   * Alignment of AMPIs to ELP standards | | |

## Critical Element 1.3 – Required Assessments

|  |  |  |
| --- | --- | --- |
| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State’s assessment system includes an ***annual general and alternate ELP assessment*** (aligned with State ELP standards) administered to:   * All ELs in grades K-12. | N/A | See State peer review notes. |
| Section 1.3 Summary Statement | | |
| \_\_\_ No additional evidence is required or  \_\_\_ The following additional evidence is needed/provide brief rationale:   * [list additional evidence needed w/brief rationale] | | |

## Critical Element 1.4 – Policies for Including All Students in Assessments

|  |  |  |
| --- | --- | --- |
| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| * The State has policies that require the inclusion ***of all public elementary and secondary ELs in the State’s ELP assessment***, including ELs with disabilities. | N/A | See State peer review notes. |
| Section 1.4 Summary Statement | | |
| \_\_\_ No additional evidence is required or  \_\_\_ The following additional evidence is needed/provide brief rationale:   * [list additional evidence needed w/brief rationale] | | |

## Critical Element 1.5 – Meaningful Consultation in the Development of Challenging State Standards and Assessments

**(**Note: this is a new requirement under ESSA, so it does not apply to standards and assessments adopted prior to the passage of ESSA (December 2015)).

|  |  |  |
| --- | --- | --- |
| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| If the State has developed or amended challenging ***ELP*** standards and assessments, the State has conducted meaningful and timely consultation with:   * State leaders, including the Governor, members of the State legislature and State board of education (if the State has a State board of education). * Local educational agencies (including those located in rural areas). * Representatives of Indian tribes located in the State. * Teachers, principals, other school leaders, charter school leaders (if the State has charter schools), specialized instructional support personnel, paraprofessionals, administrators, other staff, and parents. | N/A | See State peer review notes. |
| Section 1.5 Summary Statement | | |
| \_\_\_ No additional evidence is required or  \_\_\_ The following additional evidence is needed/provide brief rationale:   * [list additional evidence needed w/brief rationale] | | |

# SECTION 2: ASSESSMENT SYSTEM OPERATIONS

## Critical Element 2.1 – Test Design and Development

|  |  |  |
| --- | --- | --- |
| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State’s test design and test development process is well-suited for the content, is technically sound, aligns the assessments to ***the depth and breadth of the State’s ELP standards,*** and includes:   * Statement(s) of the purposes of the assessments and the intended interpretations and uses of results; * Test blueprints that describe the structure of each assessment in sufficient detail to support the development of assessments that are technically sound, measure the depth and breadth of***the State’s ELP standards***, and support the intended interpretations and uses of the results. * Processes to ensure that the ELP assessment is tailored to the knowledge and skills included in ***the State’s ELP standards*** and reflects appropriate inclusion of the range of complexity found in the standards. * If the State administers computer-adaptive assessments, the item pool and item selection procedures adequately support the test design and intended uses and interpretations of results. * If the State administers a computer-adaptive assessment, it makes proficiency determinations with respect to the grade in which the student is enrolled and uses that determination for all reporting.   If the State administers a content assessment that includes portfolios, such assessment may be partially administered through a portfolio but may not be *entirely* administered through a portfolio. | **ACCESS**  Statement of purpose   * 2.1-1, p.3 * 2.1-2, p.5   Test blueprints   * 2.1-2, p.19-23 * Description of multistage adaptive administration provided.   Knowledge, skills, range of complexity   * 1.2-3 * 2.1-2, pp. 9-11.   Item pool and selection   * No evidence provided.   Grade-level of student   * Based on grade level clusters   **Alternate ACCESS**  Statement of purpose   * 2.1-3, p. 3 and 2.1-4, p. 1.   Test blueprints   * Blueprints are referenced 2.1-4, p. 4. **“**Because the test blueprints across grade-level clusters by domain are the same and the Alternate PLs and AMPIs for the test tasks across grade-level clusters pose nearly identical linguistic challenges and differ only in the topics presented, it is desirable to have common cut scores across grade-level clusters by domain.” * However, blueprints were not provided.   Range of complexity   * No evidence provided. | **ACCESS**  Statement of purpose   * 2.1-1 and Table 2 (p.11) in 2.1-3 explicitly address general intended purposes and interpretations. * Because decision rules vary by state, states will need to address how the scores are used and interpreted for their students.   Test blueprints   * The test blueprints are not provided. It appears that the description of how test items are assigned to student, based on the PL of their responses in the domains of RWLS and paired with academic areas, serve as the test blueprint for each student. The placement of the student in the proficiency level is explained, but it is not clear if the items assigned to a student adequately measure the depth and breadth of the ELP Standards. * Evidence that the ACCESS assessments adhere to the blueprint for both online and paper.   Knowledge, skills, range of complexity   * A general description is provided of how each domain for RWLS is assessed. However, it is not clear if each student is assessed on an adequate number and range of items to ascertain an appropriate inclusion of items across the range of complexity. * Additional information regarding routing rules and their adequacy. * Evidence regarding the range of complexity of the items (e.g. blueprints). * It is not clear, if subset of the items (for the multistage adaptive administration) represents an appropriate inclusion of the range of complexity found in the ELP standards.   Item pool and selection   * Evidence is needed regarding the adequacy of the item pool and item selection procedures to support test design and use of the results.   Grade-level (grade bands)   * There is not enough information provided with regards to items in each pool and the relationship to the grade bands. Can items be tagged to multiple item pools? Are there any controls in place to prevent a student from being administered the same item(s) in subsequent years? * What processes are in place to ensure that all the items in the pool are age appropriate?   **Alternate ACCESS**  Test blueprints   * No evidence provided.   No evidence of Processes to ensure that the Alternate ELP assessment is tailored to the knowledge and skills included in ***the State’s ELP standards*** and reflects appropriate inclusion of the range of complexity found in the standards. |
| Section 2.1 Summary Statement | | |
| \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS & Alternate ACCESS**   * State specific intended uses and interpretations * Test blueprints * Evidence of processes to ensure that the ELP assessment is tailored to the knowledge and skills included in ***the State’s ELP standards*** and reflects appropriate inclusion of the range of complexity found in the standards. E.g. detail about the routing rules, detail of the item selection process for paper forms to ensure it adheres to the blueprint   **ACCESS**   * Evidence of the adequacy of the item pool and item selection procedures to support the multistage adaptive administrations. * Evidence that all the items in the pool are age and grade appropriate | | |

## Critical Element 2.2 – Item Development

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State uses reasonable and technically sound procedures to develop and select items to:   * Assess student English language proficiency based on the ***State’s ELP standards*** in terms of content and language processes. | **ACCESS**   * 2.2-3: conveys the ACCESS Test Development Cycle, which includes steps of item specifications, item development, item reviews, field test * 2.2-4: Sample item specifications for Speaking, L1, 3, 5 * 2.2-5: Sample item specification for SS, Listening, grades 6-8 * 2.2-6: Sample item specification for MA, Reading, grades 9-12 * 2.2-7: Sample item specification for Language, Writing, grades 3-5 * 2.2-9: Center for Applied Linguistics Item development content experts * 2.2-10: Teachers who are standards experts * 2.2-11: Item Writing Handbook for Reading and Listening (confidential) * 2.2-12, 2.2-13: Training Module for item and bias and sensitivity reviews. Not evident the number of reviewers, how they were selected, if they were representative of WIDA states, representative of races and ethnicities, special education, academic content * 2.2-14: procedures for test developers. Information is not provided about how the item writers are trained, if they are content experts, other qualifications. * 2.2-15 Cog Labs for Enhanced Items. This is a sample of one cog lab finding. Information is not provided about the number of cog labs conducted, for what purpose, findings, and implications. * 2.1-2, pp. 24-25. It is not apparent if the considerably smaller sample size for field   **Alternate ACCESS**   * Does 2.2-3 apply to Alternate ACCESS? * If not, no evidence was provided. | **ACCESS**  Detail about the test development process was not included. E.g.   * Timeline (across versions, series, domains) * Item writers (Were they the 9 CAL item writing staff?) identification, qualification, representation of special education expertise including English learner with disabilities expertise * Item writing training * Item review process (how often this was done or what the outcomes were) * Item reviewer qualifications. While 2.2.10 was provided. Detail was lacking with regards to other review groups and the inclusion of Special Education expertise (i.e., ELs with disabilities expertise) * Field test process for each domain including target sample size rationales and the outcomes based on the data * TAC involvement and/or review   The Peers were looking for the level of information that is commonly included in the Test Development chapter of a Technical Manual and/or Item Development Manual.  **Alternate ACCESS**   * Evidence was not provided. * It is not evident that experts with knowledge of English language learners with significant cognitive disabilities are included in the development of Alternate ACCESS. |
| Section 2.2 Summary Statement | | |
| \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS**   * Evidence of reasonable and technically sound procedures to develop and select items, e.g. * Timeline (across versions, series, domains) * Item writers, identification, qualification, representation of special education expertise including English learner with disabilities expertise * Item writing training * Item review process including item reviewer qualifications * Field test process for each domain including target sample size rationales and the outcomes based on the data * Evidence of TAC involvement including how TAC recommendations were addressed   **Alternate ACCESS**   * Evidence of reasonable and technically sound procedures to develop and select items * Evidence of the involvement of experts with knowledge of English language learners with significant cognitive disabilities in development activities. | | |
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## Critical Element 2.3 – Test Administration

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State implements policies and procedures for standardized test administration; specifically, the State:   * Has established and communicates to educators clear, thorough and consistent standardized procedures for the administration of its assessments, including administration with accommodations; * Has established procedures to ensure that general and special education teachers, paraprofessionals, teachers of ELs, specialized instructional support personnel, and other appropriate staff receive necessary training to administer assessments and know how to administer assessments, including, as necessary, alternate assessments, and know how to make use of appropriate accommodations during assessments for all students with disabilities; * If the State administers technology-based assessments, the State has defined technology and other related requirements, included technology-based test administration in its standardized procedures for test administration, and established contingency plans to address possible technology challenges during test administration. | **ACCESS**  Communicates clear standardized procedures for administration   * 2.3-1 Test Administration Manual * 2.3-3 Script for Administrator * 2.3-4 weekly emails with updates for SEAs and LEAs * The TAM does not define who can be a test administrator.   Established procedures for training administrators including on accommodations   * 2.3-2 Training materials * 2.216 Accessibility and Accommodations   Defined technology requirements   * 2.3-5 Technical Readiness Checklist * 2.3-6 Troubleshooting   Established contingency plans   * 2.3-7 p.12-13 Critical incidents communication plan, not really a contingency plan   **Alternate ACCESS**   * 2.3-1 Test Administrator Manual, Part 1 is for all test administrators; specific test administration procedures are in Part 2 Alternate ACCESS – p. 140-165   Training   * 2.3-1, pp. 12-13. Explain that training must be completed, preferably 2 weeks prior to test administration and that administrator must pass a quiz with at least 80% correct. * It is not likely that accommodations would be addressed in the training since there are no accommodations, rather all “individualized instructional supports” are permitted. | **ACCESS**  Communicates clear standardized procedures for administration   * This WIDA policy handbook does include references about test administrators, “designated testing staff or volunteers who will have access to secure test materials complete TA training for the applicable tests.” p. 4. The same criteria appear to apply to those scoring and transcribing student responses. The Peers question the appropriateness of volunteers serving as test administrators. While the States may be responsible for test administrations, WIDA should include guidelines or recommended qualifications of test administrators to ensure test security and protect the validity of scores. * More information about the qualifications and training for the human providers of accommodations (e.g. scribe, reader, sign language interpreter).   Training   * Additional information regarding the test administrator training is needed (e.g. for each module, the table of contents and outline) * Information about how volunteers access training materials. Do they access it via the secure online system? * Information regarding the training of the test administrator to score the student responses for the paper test.   Established contingency plan   * Although troubleshooting was addressed, evidence was not provided of a contingency plan to include directions to test administrators in the event of disruptions or widespread administration challenges. * A communication plan was included; however, there was not information about how test administrators should manage situations like a lockdown or widespread inaccessibility of the assessments.   **Alternate ACCESS**  Communicates clear standardized procedures for administration   * There is no statement as to who may be a test administrator. * There are no participation guidelines provided. * p. 143. “During the administration of Alternate ACCESS for ELLs, individualized instructional supports that are used by teachers in everyday classroom instruction may be used to meet individual student needs, only if they do change what is being measured on the assessment.” Is this an accurate statement? If the wording should be “if they do not change what is being measured,” do test administrators understand how to determine this? * It is noted that no examples of permissible “individualized instructional supports that are used by teachers in everyday classroom instruction” are provided. If individualized instructional supports are provided, it is unlikely the administrator will be aware what supports are not permissible. * There is no description related to allowable student response modes, e.g., pointing, eye-gaze, etc. This should be included in this section. * Why does the student need a sharpened pencil if another mode of response will be used? * P. 149. “In order to allow the student to demonstrate his or her proficiency, any evidence of engagement that is typical for that student in an instructional setting should be scored as a correct response.” How has “evidence of engagement” been validated as a correct response and demonstration of English language proficiency? * There does not appear to be adequate examples of what “approaches” means versus an incorrect response * P. 154 “If a student asks for an explanation of some word or phrase in a task statement, check to make sure that the student understood your pronunciation of the word or phrase.” It is a concern that direction for how to do this is not provided. Does this mean repeat the word/phrase? Does it mean to ask the student if he/she understood the pronunciation? * How is the test administered to a student who is deaf or hard of hearing? Blind or visually impaired? Does not have oral speech or has a combination of these disabilities in addition to an intellectual disability? * Are tracing and repeating a sound reflective of ELP standards?   Based on the information cited above, the test administration policies and procedures need to more appropriately reflect the characteristics of the students participating in the assessment and the diverse ways they respond to assessment items (e.g. eye gaze, use of assistive technology). Involvement of experts who have experience with assessing English learners with significant cognitive disabilities is needed to develop policies and an updated TAM for Alternate ACCESS.  Training   * Content of the training is not provided. For example, is scoring practice included (i.e., how to score attending and approaching)? * Training on“individualized instructional supports that are used by teachers in everyday classroom instruction”that are permissible for use during the assessment is not included.   WIDA providing resources for training. States will need to provide evidence that administrators completed training. |
| Section 2.3 Summary Statement | | |
| \_\_X\_ The following additional evidence is needed/provide brief rationale:  **ACCESS**   * Evidence of established communication to educators of clear, thorough and consistent standardized procedures for the administration of its assessments, including administration with accommodations (States will contribute to this evidence)   E.g. guidelines or recommended qualifications of test administrators including volunteers, training of volunteers, and qualifications and training for the human providers of accommodations   * Evidence of established procedures to ensure that general and special education teachers, paraprofessionals, teachers of ELs, specialized instruction support personnel, and other appropriate staff receive necessary training to administer assessments and know how to administer assessments, including, as necessary, alternate assessments, and know how to make use of appropriate accommodations during assessments for all students with disabilities (provided by States)   E.g. content of training modules, the way in which volunteers access training materials, and the training of administrators to score the paper test   * Evidence of established contingency plans to address possible technology challenges during test administration   **Alternate ACCESS**   * Evidence of established communication to educators of clear, thorough and consistent standardized procedures for the administration of its assessments, including administration with accommodations (States will contribute to this evidence)   E.g. response modes, detail about defining correct responses, permissible supports.   * Evidence that the policies and procedures were developed with involvement of experts who have experience with assessing English learners with significant cognitive disabilities * Evidence of established procedures to ensure that general and special education teachers, paraprofessionals, teachers of ELs, specialized instruction support personnel, and other appropriate staff receive necessary training to administer assessments and know how to administer assessments, including, as necessary, alternate assessments, and know how to make use of appropriate accommodations during assessments for all students with disabilities (provided by States) | | |

## Critical Element 2.4 – Monitoring Test Administration

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State adequately monitors the administration of its State assessments to ensure that standardized test administration procedures are implemented with fidelity across districts and schools. Monitoring of test administration should be demonstrated for all assessments in the State system: the general ELP assessments and the AELPA. | N/A | See State peer review notes. |
| Section 2.4 Summary Statement | | |
| \_\_\_ No additional evidence is required or  \_\_\_ The following additional evidence is needed/provide brief rationale:   * [list additional evidence needed w/brief rationale] | | |

## Critical Element 2.5 – Test Security

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State has implemented and documented an appropriate set of policies and procedures to prevent test irregularities and ensure the integrity of test results through:   * Prevention of any assessment irregularities, including maintaining the security of test materials (both during test development and at time of test administration), proper test preparation guidelines and administration procedures, incident-reporting procedures, consequences for confirmed violations of test security, and requirements for annual training at the district and school levels for all individuals involved in test administration; * Detection of test irregularities; * Remediation following any test security incidents involving any of the State’s assessments; * Investigation of alleged or factual test irregularities. * Application of test security procedures to the general ELP assessments and the AELPA. | **ACCESS**   * 2.5-1 District and School Coordinator manual, p. 8-15, outlines security responsibilities * No information about security during development * p, 9. If test security has been compromised in any way, please contact your state education agency to determine remediation steps. * 2.3-1, pp. 11, 16-30. Test Administrator Manual. Limited information related to test security is provided; on p. 16 the statement, “Be aware that any breaches of test security or problems with test administration may result in   the invalidation of student scores.” Further consequences are not cited.   * p. 10, “If test security has been compromised in any way, please contact your Test Coordinator to determine remediation steps.” * 2.3-3, pp. 4, 5, 10 Test Administrator’s Script – Limited statements related to test security in script; reminding test administrators they must complete training and be certified to administer test and to make sure students only have test materials on desk. * 2.3-7, p. 5 Test Policy Handbook for SEAs, indicates test coordinators can track educators’ training completion prior to administering the test.   **Alternate ACCESS**   * 2.3-1, same as ACCESS, no additional information on test security provided. | **ACCESS**   * There was no delineation of responsibilities of test security between WIDA and the states provided. * There was no evidence of security procedures during development. * Recommended guidelines or minimum standards for test security for states to implement is needed. Information contained in cited evidence is too general given the impact of test security on the validity of the program. * The following topics related to test security were not located in the evidence provided: requirements for annual training at district and school levels for all individuals involved in test administration, detection of test irregularities, remediation, investigation of alleged or factual test irregularities, monitoring test administrations, transcriptions of student dictation, scoring conducted by individual staff or volunteers, who can be a test administrator, the volunteers who can have access to secure test materials. * Forensics analysis and plans to address findings should be performed by WIDA to include data across states.   4.7-10, p. 2 Committee notes indicate that leadership acknowledges that forensics analysis has not been conducted for this critical element.  **Alternate ACCESS**   * No evidence provided beyond that in the ACCESS materials. |
| Section 2.5 Summary Statement | | |
| \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS**   * The delineation of responsibilities of test security between WIDA and the states, to include recommended guidelines or minimum standards for test security for states to implement * Evidence of security procedures during test development * Evidence of activities that prevent assessment irregularities, including maintaining the security of test materials (both during test development and at time of test administration), proper test preparation guidelines and administration procedures, incident-reporting procedures, consequences for confirmed violations of test security, and requirements for annual training at the district and school levels for all individuals involved in test administration; * Evidence of detection of test irregularities; * Evidence of remediation following any test security incidents involving any of the State’s assessments; (provided by States) * Evidence of the investigation of alleged or factual test irregularities to include forensic analysis and plans to address findings (provided by States)   **Alternate ACCESS**   * Evidence related to all aspects of this critical element are needed | | |

## Critical Element 2.6 – Systems for Protecting Data Integrity and Privacy

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State has policies and procedures in place to protect the integrity and confidentiality of its test materials, test-related data, and personally identifiable information, specifically:   * To protect the integrity of its test-related data in test administration, scoring, storage and use of results; * To secure student-level assessment data and protect student privacy and confidentiality, including guidelines for districts and schools; * To protect personally identifiable information about any individual student in reporting, including defining the minimum number of students necessary to allow reporting of scores for all students and student groups. | **ACCESS**  Security of data in research   * 2.6-1 WIDA Research IRB Application pp. 20-24. WIDA’s process, “...includes provisions to ensure that only those conducting research or evaluating tests have access to test-related data and that only a few key individuals have access to identifying student data.” * 2.6-2 Data use agreement, signed by states * 2.6-3 Training completed by UW-Madison staff related to research. * 2.6-4 Technical Assistance Policy. “All data requests are encrypted and delivered via WIDA’s STFP site.”   **Alternate ACCESS**   * No evidence specific to Alternate ACCESS was submitted. * 2.6-1 applies to Alternate ACCESS. * 2.6-2 does not reference Alternate ACCESS * 2.6-3 applies to Alternate ACCESS | **ACCESS & Alternate ACCESS**   * Evidence has been provided related to research using WIDA data. * The parties involved in handling data for WIDA are unclear. More information related to who is involved and how data are protected by all parties and during handoffs is required. * Additional evidence is required from states to address the remaining aspects of the critical element. |
| Section 2.6 Summary Statement | | |
| \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS & Alternate ACCESS**   * Information related to who is involved in handling WIDA data and how data are protected by all parties, including during handoffs, is required. * Additional evidence is required from states to address the remaining aspects of the critical element. | | |

# SECTION 3: TECHNICAL QUALITY – VALIDITY

## Critical Element 3.1 – Overall Validity, Including Validity Based on Content

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State has documented adequate overall validityevidence for its assessments consistent with nationally recognized professional and technical testing standards. The State’s validity evidence includes evidence that:  ***The State’s ELP assessments*** measure the knowledge and skills specified in the State’s ELP standards, including:   * Documentation of adequate alignment between the State’s ELP assessment and the ELP standards the assessment is designed to measure in terms of language knowledge and skills, the depth and breadth of the State’s ELP standards, across all proficiency levels, domains, and modalities identified therein; * Documentation of alignment (as defined) between the State’s ELP standards and the language demands implied by, or explicitly stated in, the State’s academic content standards; * If the State administers an AELPA aligned with alternate ELP achievement standards, the assessment shows adequate linkage to the State’s ELP standards in terms of content match (i.e., no unrelated content) and that the breadth of content and linguistic complexity determined in test design is appropriate for ELs who are students with the most significant cognitive disabilities. | **ACCESS**  Validity evidence   * CAL’s Validation Framework, Evidence 2.1-5, p. 25-38   Content alignment between standards and assessment   * Evidence 3.1-1, 2011 Alignment study for ACCESS, no information regarding how areas identified in the study will be addressed. Standards have been updated since this study.   Alignment of language demands   * Evidence 3.1-1, 2011 Alignment study for ACCESS, no information regarding how areas identified in the study will be addressed. Standards have been updated since this study.   **Alternate ACCESS**   * Peer Review narrative, 3.1, p. 2. “There has not yet been an independent alignment study between the Alternate ACCESS for ELLs assessment and the alternate model performance indicators (AMPIs), nor has there been a linking study examining the relationship between the AMPIs and WIDA’s ELP standards.” | **ACCESS**  Validity evidence   * The Peers appreciate the work of the validity framework.   Content alignment   * Peers found it challenging to follow the development and subsequent alignment issues over time, especially given the changes that occurred within the program. It seems that some of the studies may be outdated and no longer relevant. * 4.7-10, p. 2 Committee notes indicate leadership is aware that this evidence will not meet the alignment requirement of 3.1 * For the alignment studies that are still relevant (despite program changes), what is the plan to address areas for which alignment was moderate, limited, or weak? * Alignment based on 2012 Amplification is needed. * 3.1.2 is an example blueprint but there is limited information regarding how the tests should be specified. For example, there is no indication on the blueprint that would indicate the degree of cognitive complexity (linguistic difficulty level) across the tests by standard. Depth and breadth cannot be determined based on the information in the test blueprint provided.   Alignment of language demands   * Lack of clarity in the relationship between DOK (for standards) and LDL (for items to standards).   **Alternate ACCESS**   * Evidence is needed for this critical element including plans to address any issues following the 2019 study. |
| Section 3.1 Summary Statement | | |
| \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS**   * Documentation of adequate alignment between the State’s ELP assessment and the ELP standards the assessment is designed to measure in terms of language knowledge and skills, the depth and breadth of the State’s ELP standards, across all proficiency levels, domains, and modalities identified therein; * Documentation of alignment (as defined) between the State’s ELP standards and the language demands implied by, or explicitly stated in, the State’s academic content standards   **Alternate ACCESS**   * Evidence of adequate linkage to the State’s ELP standards in terms of content match (i.e., no unrelated content) and that the breadth of content and linguistic complexity determined in test design is appropriate for ELs who are students with the most significant cognitive disabilities. | | |

## Critical Element 3.2 – Validity Based on Linguistic Processes

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State has documented adequate validityevidence that its assessments tap ***the intended language processes*** appropriate for each grade level/grade-band as represented in the State’s ELP standards. | **ACCESS**   * 3.2-1 & 3.2-2, Writing try outs * 3.2-3, Recommendation log   Unclear how this document was used and to which assessments it is relevant.   * 2.1-2, DIF analysis by test, relevance to this critical element is not clear. * Not presented in a user-friendly way. Results are buried.   **Alternate ACCESS**   * 3.2-4 Report from Alternate ACCESS for ELLs Pilot Testing, November 14–23, 2011. **“**We gained rich, useful data which informed revisions to the test materials.” * Evidence is needed for this critical element. | **ACCESS**   * While some evidence related to writing was provided, the validity argument related to this critical element was not provided for any domain. * It is unclear how the item tryouts fit into the item development process. * The relationship between the DIF analysis and this critical element is needed.   **Alternate ACCESS**   * Evidence is needed for this critical element. |
| Section 3.2 Summary Statement | | |
| \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS & Alternate ACCESS**   * Adequate validityevidence that its assessments tap ***the intended language processes*** appropriate for each grade level/grade-band as represented in the State’s ELP standards | | |

## Critical Element 3.3 – Validity Based on Internal Structure

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State has documented adequate validityevidence that the scoring and reporting structures of its assessments are consistent with the sub-domain structures of the State’s ***ELP standards*** on which the intended interpretations and uses of results are based. | **ACCESS**   * 3.3-1, Relationship between domains, factor analysis supports reporting 4 domain scores * 2.1-2, p. 93-94, Correlation of domain scores * 2.1-5, p. 69-71, Correlation of domain scores   **Alternate ACCESS**   * 2.1-4, p. 60-61, 70   Higher for Alternate, might be helpful to include an explanation or rationale for why this is reasonable. | **ACCESS & Alternate ACCESS**   * Evidence is provided for this critical element. However, explicit statements of how the statistics lend validity evidence is missing. Were there criteria applied to the various statistical analyses included in this critical element, and if so, what were they and what rationales were there for using them to determine the appropriateness of the results? |
| Section 3.3 Summary Statement | | |
| \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS & Alternate ACCESS**   * Explanation of how the included statistical analyses relate to the validity framework for the assessments. | | |

## Critical Element 3.4 – Validity Based on Relations to Other Variables

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State has documented adequate validityevidence that the State’s assessment scores are related as expected with other variables. | **ACCESS**   * 3.4-8 Bridge study, 2006 * 3.4-9, factor analysis and SEM exploring language skills and math (year unknown) * 3.1-11 Relationship between ACCESS domain scores and NECAP reading, writing, and math assessments from 2009 * Evidence does not include studies that were done with the current version of the assessment.   Evidence here should focus on the relationship with “other variables” and should provide information about how the “scores are related as expected.” Therefore, much of the cited evidence is not sufficient.  **Alternate ACCESS**   * 2.1-4 Annual Technical Report for Alternate ACCESS for ELLs, 2015-16 Administration, pp. 60-61. Correlations among Scale Scores by Grade-level Cluster. * No relevant evidence was provided. | **ACCESS**   * To fully address this standard, evidence of how the “scores are related as expected to other variables” is required. This additional evidence would also link the study findings to the validity framework. * Additional studies are needed with the current version of the assessment.   **Alternate ACCESS**   * Evidence related to this critical element is needed. |

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| Section 3.4 Summary Statement |
| \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS**   * Evidence of how the “scores are related as expected to other variables” is required and how this supports the validity argument * Additional studies are needed with the current version of the assessment.   **Alternate ACCESS**   * Evidence that the State’s assessment scores are related as expected with other variables and how this supports the validity argument |

# SECTION 4: TECHNICAL QUALITY – OTHER

## Critical Element 4.1 – Reliability

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
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| The State has documented adequate reliabilityevidence for its assessments for the following measures of reliability for the State’s student population overall and each student group consistent with nationally recognized professional and technical testing standards. If the State’s assessments are implemented in multiple States, measures of reliability for the assessment overall and each student group consistent with nationally recognized professional and technical testing standards, including:   * Test reliability of the State’s assessments estimated for its student population (***for ELP assessments, including any domain or component sub-tests, as applicable*)**; * Overall and conditional standard error of measurement of the State’s assessments, including any domain or component sub-tests, as applicable; * Consistency and accuracy of estimates in categorical classification decisions for the cut scores, achievement levels or proficiency levels based on the assessment results; * For computer-adaptive tests, evidence that the assessments produce test forms with adequately precise estimates of ***an EL’s English proficiency***. | **ACCESS**   * 2.1-2, provided by domain * No subgroup information   **Alternate ACCESS**   * 2.1.4 Annual Technical Report for Alternate ACCESS, 2015-16, p. 73-80. “In general, the reliability and the accuracy and consistency of classification of the Overall Composite are very high for Alternate ACCESS for ELLs.” * Reliability information for overall composite scores was located (p. 109, 138, 165, 194). | **ACCESS**   * While the various statistics (e.g. Cronbach’s alpha, decision consistency, TIF) are provided at the composite and domain levels, they are not computed for any subgroups, such as gender, SES, and accommodation type. * Accuracy and consistency measures for some composite scores and domains appeared low (see for example 2.1-2 p.345, p.167-168). If the proficiency levels are used to make decisions for these measures, then this needs to be addressed. The Peers’ understanding is that states can make decisions regarding the way in which scores are used to make decisions. Does WIDA provide more guidance given the reliability information? * While it may have been done, the Peers could not locate, for computer-adaptive tests, evidence that the assessments produce test forms with adequately precise estimates of ***an EL’s English proficiency.*** Given the multistage adaptive administrations, the Peers were looking for evidence that WIDA has considered the reliability of the forms, or pathways, across students. * A large amount of statistical output was provided; however, there was not information or narrative about how this information is interpreted by WIDA and will be used to guide future development work within the program. For example, are there areas for which WIDA will focus efforts and try to improve in the future? For example, this could include TAC notes from the discussion of these statistics.   **Alternate ACCESS**   * While various reliability estimates (Cronbach’s alpha, decisions consistency) are reported for some composite scores and domains, the Peers could not locate the TIFs for the overall composite scores. * While the various statistics (e.g. Cronbach’s alpha, decision consistency, TIF) are provided at the composite and/or domain levels, they are not computed for any subgroups, such as gender and SES, accommodation type. * Accuracy and consistency measures for some composite scores and domains appeared low (see for example 2.1-4 p.96, p.102). If the proficiency levels are used to make decisions for these measures, then this needs to be addressed. The Peers’ understanding is that states can make decisions regarding the way in which scores are used to make decisions. Does WIDA provide more guidance given the reliability information? * A large amount of statistical output was provided; however, there was not information or narrative about how this information is interpreted by WIDA and will be used to guide future development work within the program. For example, are there areas for which WIDA will focus efforts and try to improve in the future? For example, this could include TAC notes from the discussion of these statistics.   For future submissions and the benefit of the program, it is important for WIDA to provide the reliability information in a more user-friendly format. Narrative summaries would be helpful to the Peers and other audiences in addition to the various page number references. |
| Section 4.1 Summary Statement | | |
| \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS & Alternate ACCESS**   * Reliability by various subgroups * Evidence that the use of scores, including composite and domain, is supported by the reliability statistics and then is used to provide direction to states about the appropriate use of scores in high-stakes decisions (e.g. exit decisions). * Evidence that the reliability results are reviewed by WIDA and used to inform ongoing maintenance and development.   **ACCESS**   * For computer-adaptive tests, evidence that the assessments produce test forms with adequately precise estimates of ***an EL’s English proficiency***.   **Alternate ACCESS**   * TIFs for overall composite scores | | |

## Critical Element 4.2 – Fairness and Accessibility

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| ***For all State ELP assessments,*** assessments should be developed, to the extent practicable, using the principles of universal design for learning (UDL) (see definition[[2]](#footnote-2)).  ***For ELP assessments,*** the State has taken reasonable and appropriate steps to ensure that its assessments are accessible to all EL students and fair across student groups, including ELs with disabilities, in their design, development, and analysis. | **ACCESS**   * 2.2-17 The WIDA Accessibility and Accommodations Framework, p. 4. Examples of universal design in ACCESS test items: Test items with multiple modalities, including supporting prompts with appropriate animations and graphics, Embedded scaffolding, tasks broken into “chunks”, modeling using task models and guides * 2.2-17, pp. 11-12. ACCESS also incorporates the use of universal tools that are available to all students, designated supports that are features available to any student, and accommodations for students with disabilities. * 4.2.1 Test and item Design Plan for the Annual Summative and On-demand Screener 2013, p. 14 indicates that items will be developed using the principles of universal design. No elaboration. * 4.2.2 Guidelines for the Use of Accommodations, Accessibility Features, and Allowable Test Administration Procedures for the ACCESS for ELLs * 4.2.3 ACCESS for ELLs 2.0 Accommodations, Accessibility Features, and Allowable Test Administration Procedures for Students Participating in Either the Online or Paper –Based Test Administrations * 4.2.4 Graphics Guidelines * 2.1-2 Annual Technical Report for ACCESS for ELLs, DIF analysis for Hispanic/non-Hispanic and gender. Should include other subgroups.   **Alternate ACCESS**   * 2.1-4 Technical Report for Alternate ACCESS, p. 72-73. Not clear how this relates to the critical element. * 2.2-16, p. 36. Alternate ACCESS for ELLs Accommodation Selections. Only 3 accommodations indicated. Does not address use of braille, eye gaze, and other modes of communication. * Evidence similar to ACCESS submission is not included for Alternate ACCESS. | **ACCESS**   * While information is provided about WIDA’s approach to universal design and accessibility, there is limited information about the processes employed to implement the principles during development and review. * DIF was considered for gender and Hispanic/non-Hispanic, but this should be done for other subgroups as well (e.g., accommodated/non-accommodated, SES).   **Alternate ACCESS**   * Braille and alternate modes of communication are not addressed (e.g. eye gaze, assistive technology). * Guidance is needed about the appropriate “individualized instructional supports” that can be used during the assessment. Recommend that permitted “individualized instructional supports” be clearly defined for standardized test administration and for accessibility and fairness. * Evidence related to item development, test design, item reviews for Alternate ACCESS is not provided. * DIF was considered for gender and Hispanic/non-Hispanic, but this should be done for other subgroups as well (e.g., accommodation type, SES). |
| Section 4.2 Summary Statement | | |
| \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS & Alternate ACCESS**   * Evidence of the implementation of universal design and accessibility principles during development and review. * Additional DIF analyses to include more student subgroups.   **Alternate ACCESS**   * Evidence related to braille and alternate modes of communication * Definitions of and guidance for appropriate individualized instructional supports that can be used during the assessment | | |

## Critical Element 4.3 – Full Performance Continuum

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State has ensured that each assessment provides an adequately precise estimate of student performance across the full performance continuum for ***ELP assessments***, including performance for EL students with high and low levels of English language proficiency and with different proficiency profiles across the domains of speaking, listening, reading, and writing. | **ACCESS**   * 2.1-2 Annual Technical Report for ACCESS Online ELP Test 2016-17, pp. 95-110. Presents data from online tests that demonstrate students in each grade are represented at each proficiency level. Levels of item difficulty are presented in tables in subsequent pages. * 2.1-2 TIFs are commonly unexpected, for example p.201. * 2.1-5 Annual Technical Report for ACCESS Paper ELP Test 2016-17, pp. 72-91. Presents data from paper tests that demonstrate students in each grade are represented at each proficiency level.   **Alternate ACCESS**   * 2.1.4 Annual Technical Report for Alternate ACCESS 2015-16, pp. 62-66. Displays tables demonstrating students in each grade are performing at each proficiency level. * 2.1-4 Frequency distributions show potential ceiling effects for example p.93. | **ACCESS & Alternate ACCESS**   * Evidence submitted does not support that each assessment provides an adequately precise estimate of student performance across the full performance continuum for ***ELP assessments***, including performance for EL students with high and low levels of English language proficiency.   For future submissions and the benefit of the program, it is important for WIDA to provide narrative summaries to the Peers and other audiences. For example, it would be helpful if WIDA provided narrative about the unexpected TIFs in 2.1-2 and the frequency distributions in 2.1-4 as well as any additional analyses WIDA conducted in response to these results. |
| Section 4.3 Summary Statement | | |
| \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS & Alternate ACCESS**   * Evidence submitted does not support that each assessment provides an adequately precise estimate of student performance across the full performance continuum for ***ELP assessments***, including performance for EL students with high and low levels of English language proficiency. | | |

## Critical Element 4.4 – Scoring

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State has established and documented standardized scoring procedures and protocols for its assessments (and ***for ELP assessments, any applicable domain or component sub-tests***) that are designed to produce reliable and meaningful results, facilitate valid score interpretations, and report assessment results in terms of the State’s ***ELP standards***.  ***For ELP assessments,*** if an English learner has a disability that precludes assessment of the student in one or more of the required domains/components (listening, speaking, reading, and writing) such that there are no appropriate accommodations for the affected domain(s)/component(s), the State must provide a description of how it will ensure that the student is assessed in the remaining domain(s)/component(s) in which it is possible to assess the student, and a description of how this will occur.[[3]](#footnote-3) | **ACCESS**  *Standardized scoring procedures and protocols*   * 4.4-1 Speaking Scoring Scale * 4.4-2 Writing Scoring Scale * 4.4-3 Writing Anchors * 4.4-4 Training for Paper Speaking. 3 online Modules, 2 are required, 1 is recommended. A quiz must be taken to certify the taker may administer and score the speaking test. It is not indicated if the assessment will be accessible to the test administrator if this person does not pass the quiz. * 4.4-5 It is not indicated the audience for this document, how they receive it, or what training is provided in conjunction with receipt of this document. 4.4-6 Not clear how this relates to the critical element. * 4.4-8 Were the recommendations from this study and report implemented? * 2.1-2, pp. 12-15 Raters for Online Speaking and Writing Scoring: Rater qualifications, training, monitoring. Adjacent scores are considered agreement; raters must demonstrate 70% agreement on a qualifying set prior to scoring live responses.   What happens when one is anomalous, for example task 6 on p.202?  Writing task scoring statistics are questionable.   * 2.1.5 Technical Report for ACCESS paper Administration 2016-17, pp. 18-23. Describes scoring procedures for writing scored by DRC and speaking scored by test administrator.   *Less than four domains*   * 4.4-7 Four models are presented to create a composite score when less than four domains are assessed. No recommendations were made, rather these are suggestions of models that the states could use to report a composite score when a student with a disability is assessed in less than four domains. While this situation is considered, there is limited information provided to states to make defensible decisions for these students particularly with regards to the impact on the validity framework.   **Alternate ACCESS**  *Standardized Scoring Procedures*   * 2.1-4 Scripts and directions for scoring are provided in the TAM and are referenced in the TR for Alternate ACCESS. All assessments are scored by the test administrator. * There is no evidence provided that standardized scoring procedures are applied given the local scoring. | **ACCESS**   * The Peers found the claims of 95%+ agreement questionable for writing tasks, based on the definition of exact agreement including adjacent scores * There was no evidence provided about how WIDA makes use of the results, for example, when agreement rates are lower for one task. * 4.4-8 documented that paper scoring of speaking by the student’s teacher results in higher scores. Therefore, why is module 3 not required and how is the rating monitored to ensure reliable results? There are recommendations for monitoring raters who administer the speaking test in 4.4-8, but how are these recommendations implemented and monitored? * WIDA provided evidence of four models for states to consider if an English learner has a disability that precludes assessment of the student in one or more of the required domains/components (listening, speaking, reading, and writing) such that there are no appropriate accommodations for the affected domain(s)/component(s). States must provide a description of how it will ensure that the student is assessed in the remaining domain(s)/component(s) in which it is possible to assess the student, and a description of how this will occur.   **Alternate ACCESS**   * There is no evidence of the implementation of standardized scoring procedures. This could include monitoring of test administration, a second scorer in the room during test administration, analyses of scores to identify test irregularities or qualification of scorers. * Definitions of key terms and test administration and scoring procedures (e.g. cueing, attending, approaching, permissible individualized instructional supports that can be used during assessment) are not included which likely leads to inconsistent administration and scoring. * WIDA provided evidence of four models for states to consider if an English learner has a disability that precludes assessment of the student in one or more of the required domains/components (listening, speaking, reading, and writing) such that there are no appropriate accommodations for the affected domain(s)/component(s). States must provide a description of how it will ensure that the student is assessed in the remaining domain(s)/component(s) in which it is possible to assess the student, and a description of how this will occur. |
| Section 4.4 Summary Statement | | |
| \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS**   * The definition of exact agreement for writing is not recommended. This should be redefined and then analyses redone. * Evidence that the recommendations about the paper speaking test are implemented and monitored.   **Alternate ACCESS**   * Evidence of the implementation of standardized scoring procedures and monitoring and to include definitions of key terms and test administration and scoring procedures.   **ACCESS & Alternate ACCESS**   * Evidence that if an English learner has a disability that precludes assessment of the student in one or more of the required domains/components (listening, speaking, reading, and writing) such that there are no appropriate accommodations for the affected domain(s)/component(s), the State must provide a description of how it will ensure that the student is assessed in the remaining domain(s)/component(s) in which it is possible to assess the student, and a description of how this will occur. (This is expected from States.) | | |

## Critical Element 4.5 – Multiple Assessment Forms

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| If the State administers multiple forms of ***ELP assessments*** within or across grade-spans, ELP levels, or school years, the State ensures that all forms adequately represent the State’s ***ELP standards*** and yield consistent score interpretations such that the forms are comparable within and across settings. | **ACCESS**   * 2.1-2 p.54 ACCESS Online. Equating summary for year to year analysis.   Why isn’t Listening refreshed?   * 2.1-5 ACCESS paper. Based on ACCESS Online. No equating for Reading and Listening. Aren’t the ACCESS 1.0 data out of date?   **Alternate ACCESS**   * 2.1-4 Alternate ACCESS. No equating. Same items since field test in 2013? | **ACCESS**   * The evidence did not include sufficient information for Listening. Specifically, a rationale for why the test was not refreshed, a plan to refresh in the future and an explanation of the year to year use of item parameters (e.g. were item parameters for the domain used from previous years?). * The evidence did not include sufficient information for the paper version of Reading and Listening. Specifically, a rationale for why equating was not done. * No evidence included to demonstrate that the content representativeness of the anchor item sets are considered. Where applicable, a rationale for the use of anchor items over time and potential refreshment should be provided.   **Alternate ACCESS**   * The evidence does not include a rationale for using the same items each year since 2013 and how this does not threaten the validity of the scores. |

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| Section 4.5 Summary Statement |
| \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS**   * Additional evidence that the Listening domain yields consistent score interpretations such that the forms are comparable within and across settings * Rationales for why equating is not done for the paper versions of the Reading and Listening domains * Additional considerations and rationales related to the anchor item sets.   **Alternate ACCESS**   * Rationales for why item refreshment is not done and how this does not impact the validity of the scores. |

## Critical Element 4.6 – Multiple Versions of an Assessment

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| If the State administers any of its assessments in multiple versions within a subject area (e.g., online versus paper-based delivery), grade level, or school year, the State:   * Followed a design and development process to support comparable interpretations of results for students tested across the versions of the assessments; * Documented adequate evidence of comparability of the meaning and interpretations of the assessment results. | **ACCESS**  Online and paper comparability   * Comparability studies done, Evidence 4.6-1, 4.6-2, 4.6-6, 4.6-12 * Results shared with TAC, Evidence 4.6-4, 4.6-5, 4.6-8 Based on input from TAC implemented equipercentile equating, Evidence 4.6-10, 4.6-11, 4.6-12, 4.6-13 * Will continue to monitor   **Alternate ACCESS**  N/A | **ACCESS**   * Given the effect sizes found in 4.6-6, there is limited evidence of the degree to which these differences are explained by mode or if other factors may have contributed (e.g. impact of leniency in local scoring for speaking). * The narrative in this section was helpful in understanding how this critical element has been addressed over time including follow up actions taken after studies. |
| Section 4.6 Summary Statement | | |
| \_X\_\_ No additional evidence is required | | |

## Critical Element 4.7 – Technical Analysis and Ongoing Maintenance

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State:   * Has a system for monitoring, maintaining, and improving, as needed, the quality of its assessment system, including clear and technically sound criteria for the analyses of all of the assessments in its assessment system (i.e., general assessments and alternate assessments), and * Evidence of adequate technical quality is made public, including on the State’s website. | **ACCESS**  System for monitoring, maintaining, improving   * Regular TAC meetings * Subcommittees * Concern about the ability to track all of the issues and address areas of improvement over time. Given the size and complexity of the program and given the evidence submitted for various critical elements, WIDA has not demonstrated that the various analyses and results are tracked over time.   Made public   * Evidence is not provided.   **Alternate ACCESS**   * No evidence provided. | **ACCESS**  System for monitoring, maintain, improving   * The TAC and subcommittees address many issues or topics; however, more broadly for the program, there appears to be a gap between the results of analyses and studies and the way in which that information is used to improve the program. These have been noted in other critical elements for specific analyses and studies. There is no evidence of a complete system (e.g., action plan, timelines, annual work plan). |
| Section 4.7 Summary Statement | | |
| \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS & Alternate ACCESS**   * Evidence of a system for monitoring, maintaining, and improving, as needed, the quality of its assessment system, including clear and technically sound criteria for the analyses of all of the assessments in its assessment system (i.e., general assessments and alternate assessments), * Evidence of adequate technical quality is made public, including on the State’s website is not provided. (provided by States) | | |

# SECTION 5: INCLUSION OF ALL STUDENTS

## Critical Element 5.1 – Procedures for Including Students with Disabilities

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
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| The State has in place procedures to ensure the inclusion of all public elementary and secondary school students[[4]](#footnote-4) with disabilities in the State’s assessment system. Decisions about how to assess students with disabilities must be made by a student’s IEP Team under IDEA, the placement team under Section 504, or the individual or team designated by a district to make that decision under Title II of the ADA, as applicable, based on each student’s individual abilities and needs.   * ***For ELP assessments,*** policies that require the inclusion of an EL with a disability that precludes assessment of the student in one or more of the required domains (speaking, listening, reading, and writing) such that there are no appropriate accommodations for the affected component (the State must assess the student’s English language proficiency based on the remaining components in which it is possible to assess the student). | **ACCESS**  2.2-16 Participation Guidelines, p.4, includes information for students who are deaf  **Alternate ACCESS**  2.2-16 Recommended Participation Guidelines, p.27 | **ACCESS & Alternate ACCESS**  This critical element is primarily addressed by states and informed by the information provided by WIDA.  **Alternate ACCESS**  Submitted evidence did not include Kindergarten for Alternate ACCESS. Per IDEA, Kindergarten should be included. Evidence is required across the critical elements for Kindergarten Alternate ACCESS. |

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| Section 5.1 Summary Statement |
| \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS & Alternate ACCESS**   * Evidence to be provided by states.   **Alternate ACCESS**   * Kindergarten should be included in the assessment. Evidence related to Kindergarten for Alternate ACCESS is needed across critical elements. |

## Critical Element 5.2 – DOES NOT APPLY to ELP Assessment Peer Review

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
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| * + Note: This critical element does not apply to ELP assessments, as the requirements only apply to the inclusion of ELs in **academic assessments**. |  |  |
| Section 5.2 Summary Statement | | |
| \_\_\_ No additional evidence is required or  \_\_\_ The following additional evidence is needed/provide brief rationale:   * [list additional evidence needed w/brief rationale] | | |

## Critical Element 5.3 – Accommodations

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
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| The State makes available appropriate accommodations and ensures that its assessments are accessible to students with disabilities and ELs, including ELs with disabilities. Specifically, the State:   * Ensures that appropriate accommodations are available for ELs; * Has determined that the accommodations it provides (1) are appropriate and effective for meeting the individual student’s need(s) to participate in the assessments, (2) do not alter the construct being assessed, and (3) allow meaningful interpretations of results and comparison of scores for students who need and receive accommodations and students who do not need and do not receive accommodations; * Has a process to individually review and allow exceptional requests for a small number of students who require accommodations beyond those routinely allowed. * Ensures that accommodations for all required assessments do not deny students with disabilities or ELs the opportunity to participate in the assessment and any benefits from participation in the assessment. | **ACCESS**  Appropriate accommodations available   * 2.2-16 Accessibility and Accommodations Supplement, pp. 13-24. Sixteen accommodations with descriptions provided * pp. 30-32. Procedures to transcribe and scribe. * It is notable that there is a lack of specific qualifications for who can be a test administrator, transcriber and scribe. * 2.2-17 WIDA Accessibility and Accommodations Framework * 2.3-1, 15. Test Administration Manual, lists allowable test accommodations. * 5.3-1 Screenshot of contents of online training modules; accommodations are included * 5.3-2 Screenshot. Not clear how this applies to accommodations * 5.3-6 SEA Accessibility and Accommodations Policies 2018-19. A template for SEA-specific policies. Do SEAs use this?   Bullet 2   * 5.3-3 Findings from Focus Groups. This study focused on the use of technology and was limited in size. Several recommendations were made related to technology use; did not address alteration of construct being assessed or meaningful interpretation of results. * 5.3-4 Investigating K-12 ELs Use of Universal Tools Embedded in Online Language Assessments. Did not address accommodations, only universal tools. * Evidence here is limited.   Exceptional requests   * 5.3-7 Unique Accommodations Request Form – SEAs may adopt this form for use   Accommodations do not deny swd or ELS opportunity to participate or benefit from participation in assessment   * Not addressed directly * No evidence that they are denied.   **Alternate ACCESS**   * 2.2-16, p. 36. Only three accommodations are listed in the Accessibility and Accommodations Supplement. The use of braille, various response modes, etc. are not identified as accommodations. “Individualized instructional supports” are permitted, but these are not defined. * 2.3-1, p. 143 “During the administration of Alternate ACCESS for ELLs, individualized instructional supports that are used by teachers in everyday classroom instruction may be used to meet individual student needs, *only if they do change what is being measured on the assessment.*” Likely a typo. Permissable individualized instructional supports for use in the assessment need to be defined. | **ACCESS**   * Evidence that the provided accommodations (1) are appropriate and effective for meeting the individual student’s need(s) to participate in the assessments, (2) do not alter the construct being assessed, and (3) allow meaningful interpretations of results and comparison of scores for students who need and receive accommodations and students who do not need and do not receive accommodations; * Has a process to individually review and allow exceptional requests for a small number of students who require accommodations beyond those routinely allowed. WIDA provided a sample document in support of this, but the process will be implemented by the state. * It is unclear if WIDA requires all states to implement accommodations as outlined in the provided evidence or if states are permitted to alter these.   **Alternate ACCESS**   * Evidence for all aspects of this critical element are needed. * Evidence that students who need braille and/or alternate response modes are able to participate. * It is strongly recommended that the permissible individualized instructional supports be identified and described in the TAM and/or test administration script to ensure validity of test scores and reduce occurrence of test irregularities. |

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| Section 5.3 Summary Statement |
| \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS**   * Evidence that the provided accommodations (1) are appropriate and effective for meeting the individual student’s need(s) to participate in the assessments, (2) do not alter the construct being assessed, and (3) allow meaningful interpretations of results and comparison of scores for students who need and receive accommodations and students who do not need and do not receive accommodations; * Evidence of a process to individually review and allow exceptional requests for a small number of students who require accommodations beyond those routinely allowed. (Provided by states)   **Alternate ACCESS**   * Evidence is needed for all aspects of this critical element. |

## Critical Element 5.4 – Monitoring Test Administration for Special Populations

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
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| The State monitors test administration in its districts and schools to ensure that appropriate assessments, with or without accommodations, are selected for all students with disabilities and ELs so that they are appropriately included in assessments and receive accommodations that are:   * Consistent with the State’s policies for accommodations; * Appropriate for addressing a student’s disability or language needs for each assessment administered; * Consistent with accommodations provided to the students during instruction and/or practice; * Consistent with the assessment accommodations identified by a student’s IEP Team under IDEA, placement team convened under Section 504; or for students covered by Title II of the ADA, the individual or team designated by a district to make these decisions; or another process for an EL; * Administered with fidelity to test administration procedures; * Monitored for administrations of all required ELP assessments, and AELPA. | N/A | See State peer review notes. |

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| Section 5.4 Summary Statement |
| \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS & Alternate ACCESS**   * Evidence to be provided by states. |

# SECTION 6: ELP ACHIEVEMENT STANDARDS AND REPORTING

## Critical Element 6.1 – State Adoption of ELP Achievement Standards for All Students

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
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| ***For ELP standards:***   * The State adopted ELP achievement standards that address the different proficiency levels of ELs; * If the State has developed alternate ELP achievement standards, it has adopted them only for ELs who are students with the most significant cognitive disabilities who cannot participate in the regular ELP assessment even with appropriate accommodations. | N/A | See State peer review notes. |
| Section 6.1 Summary Statement | | |
| \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS & Alternate ACCESS**   * Evidence to be provided by states. | | |

## Critical Element 6.2 – ELP Achievement Standards Setting

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
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| The State used a technically sound method and process that involved panelists with appropriate experience and expertise for setting:   * ***ELP achievement standards and, as applicable, alternate ELP achievement standards***, such that:   + Cut scores are developed for every grade/grade band, content domain/language domain, and/or composite for which proficiency-level scores are reported. | **ACCESS**   * 6.1-1 Assessment Proficiency Level Scores Standard Setting Project Report. This report documents in detail the standard setting plan and rationale for the methodologies, processes used to identify and select panelists, the training provided panelists, and how the final recommendations were determined. The standard setting plan was reviewed by an outside expert; suggestions were made for refining some of the processes. * 6.1-2 Research Memorandum: Recommended Cuts. Standard setting and subsequent analysis resulted in recommendations for cut scores for grades K-12 for the four domains at six proficiency levels as well as composite scores for each proficiency-level score.   **Alternate ACCESS**   * 6.1-3, p. 12-15. Using Angoff Yes/No method, cut scores for four domain scores and four composite scores were established. * p. 12. The same four cut scores are used for all grades by domain. * 2.1-4 p. 5-6 “As discussed in 1.3.3, because the test blueprints across grade-level clusters by domain are the same, and the Alternate ELP levels and AMPIs for the test tasks across grade-level clusters pose nearly identical linguistic challenges and differ only in the topics presented, common cut scores were set across grade-level clusters by domain.” | **ACCESS**  Adequate evidence provided of standard setting.  **Alternate ACCESS**   * 6.1-3 p. 12 “…it appears more appropriate to use the same cut scores for all grade clusters (from grades 1 to 12) by domain. In this way, it will easier to detect growth in English language proficiency from year to year for this population of English learners.”   The Peers disagree with this approach and believe it is important to apply the same philosophy or theoretical understanding of language development across ACCESS and Alternate ACCESS unless a divergence is supported by the research.  This approach calls into question the alignment of the Alternate ACCESS to the ELPs and to the academic content standards.   * The Peers noted that the number of cut scores established during standard setting did not correspond to the number of performance levels (despite 6 levels, only 4 cut scores established during standard setting). In 6.4-3, a footnote in the sample score report states that, “… the Listening, Speaking and Reading domains do not include test items targeting proficiency levels P3 and above; therefore, students cannot demonstrate English proficiency at levels P3 and higher…”   How was the P3 cut score determined for Writing? And why does WIDA feel that it is reasonable and defensible to exclude the higher level of performance from most domains?   * To address the concerns cited here, WIDA should have Cut scores that are developed for every grade/grade band, content domain/language domain, and/or composite for which proficiency-level scores are reported |
| Section 6.2 Summary Statement | | |
| \_X\_\_ No additional evidence is required for ACCESS  \_X\_\_ The following additional evidence is needed/provide brief rationale:  **Alternate ACCESS**   * Cut scores are developed for every grade/grade band, content domain/language domain, and/or composite for which proficiency-level scores are reported. | | |

## Critical Element 6.3 –Aligned ELP Achievement Standards

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
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| ***For ELP achievement standards*:**  The State has ensured that ELP assessment results are expressed in terms that are clearly aligned with the State’s ELP standards, and its ELP performance-level descriptors.  If the State has adopted alternate ELP achievement standards for ELs who are students with the most significant cognitive disabilities, the alternate ELP achievement standards should be linked to the State’s grade-level/grade-band ELP standards, and should reflect professional judgment of the highest ELP achievement standards possible for ELs who are students with the most significant cognitive disabilities. | **ACCESS**  Alignment with ELP Standards and PLDs   * 6.1-1 Proficiency Level Scores Standard Setting Project, pp. 26-40 * 6.4-2 Interpretive Guide includes performance level descriptors * It is not clear that the citations provided relate to this critical element.   **Alternate ACCESS**  Alternate ELP achievement standards are linked to State’s grade-level/grade-band ELP standards   * 2.1-4, p. 5 “The goal of the Standard Setting Study was to interpret performances on the Alternate ACCESS operational field test form in terms of the WIDA ELD Standards, AMPIs, and the WIDA Alternate ELP levels.” * 2.1-4, p. 3 “These language proficiency levels are thoroughly embedded in the WIDA ELD Standards in a two-pronged fashion. First, they appear in the **performance definitions**. According to the WIDA ELD Standards*,* the performance definitions provide a global overview of the stages of the language acquisition process. As such, they complement the **Alternate Model Performance Indicators** (AMPIs) for each language proficiency level (see the next paragraph for further description of the AMPIs). Second, the language proficiency levels of the WIDA ELD Standards are fully embedded in the accompanying AMPIs, which exemplify the Standards. The AMPIs describe the expectations for ELLs with significant cognitive disabilities for each of the four **Standards,** at the four different **grade-level clusters**, across four **language domains**, and at each of the **language proficiency levels**. The sequence of these five AMPIs together describes a logical progression and accumulation of skills on the path from the lowest level of ELP to full proficiency for academic success. This progression is called a ‘strand.’” However, evidence has yet to be established that there is a link between the AMPIs and WIDAs ELP Standards. | **ACCESS**   * The Peers could not locate evidence to demonstrate that the ELP standards were referenced during the development of the performance level descriptors.   **Alternate ACCESS**   * Peer Review narrative, 3.1, p. 2. “There has not yet been an independent alignment study between the Alternate ACCESS for ELLs assessment and the alternate model performance indicators (AMPIs), nor has there been a linking study examining the relationship between the AMPIs and WIDA’s ELP standards.” * The Peers could not locate evidence that the achievement standards reflect professional judgment of the highest ELP achievement standards possible for ELs who are students with the most significant cognitive disabilities. |
| Section 6.3 Summary Statement | | |
| \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS**   * Evidence to demonstrate that the ELP standards were referenced during the development of the performance level descriptors   **Alternate ACCESS**   * Evidence that the alternate ELP achievement standards [are] linked to the State’s grade-level/grade-band ELP standards, and reflect professional judgment of the highest ELP achievement standards possible for ELs who are students with the most significant cognitive disabilities | | |

## Critical Element 6.4 – Reporting

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| --- | --- | --- |
| The State reports its assessment results for all students assessed, and the reporting facilitates timely, appropriate, credible, and defensible interpretations and uses of those results by parents, educators, State officials, policymakers and other stakeholders, and the public.  The State reports to the public its assessment results on ***English language proficiency for all ELs*** ***including the number and percentage of ELs attaining ELP***.  For the ***ELP assessment***, the State provides coherent and timely information about each student’s attainment of the State’s ELP standards to parents that:   * + Reports the ***ELs’ English proficiency*** in terms of the State’s grade level/grade-band ELP standards (including performance-level descriptors);   + Are provided in an understandable and uniform format;   + Are, to the extent practicable, written in a language that parents and guardians can understand or, if it is not practicable to provide written translations to a parent or guardian with limited English proficiency, are orally translated for such parent or guardian;   + Upon request by a parent who is an individual with a disability as defined by the ADA, as amended, are provided in an alternative format accessible to that parent. | **ACCESS**  WIDA provides score reports.  State determines timelines.  Written in a language parents and guardians can understand, or are orally translated   * 6.4-2 Spring 2018 Interpretive Guide for Score Reports K-12, p. 16. Translations are available in 46 languages; a translated report should accompany the official report in English. List of languages and a Spanish translation is in Appendix B. * A reference could not be located about oral translation.   Provided in a format accessible to a parent with disability   * A reference could not be located.   **Alternate ACCESS**  Student reports include ELs English proficiency in terms of State’s grade level/grade-band ELP standards including PLDs   * 6.4-3, p. 14. Individual student’s scores for each language domain, and four composites: Oral Language, Literacy, Comprehension, and Overall Score. Reported scores:   + Raw scores in the Listening and Reading domains   + scale scores   + confidence bands   + language proficiency levels * p. 19 Example of a student report with proficiency levels for each domain, oral language, literacy, comprehension, and an overall composite score. * On the example score report, it may be less confusing to report N/A or leave cells blank for Cue C on Listening which was not applicable rather than reporting 0 and 0%. * P. 29 Appendix A: Alternate ACCESS Performance Level Descriptors. Figure A-1 Individual Student Report (p.3)   Written in a language parents and guardians can understand, or are orally translated   * 6.4-3 Spring 2018 Interpretive Guide for Score Reports Grades 1-12, p. 15. Translations are available in 46 languages; a translated report should accompany the official report in English. * A reference could not be located about oral translation.   Provided in a format accessible to a parent with disability   * A reference could not be located | **ACCESS & Alternate ACCESS**  Several aspects of this critical element will need to be addressed by states.  **Alternate ACCESS**  The performance level descriptors do not appear to be included in the student score report as required by this critical element (6.4-3 p. 19). |

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| Section 6.4 Summary Statement |
| \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS & Alternate ACCESS**   * The State reports to the public its assessment results on ***English language proficiency for all ELs*** ***including the number and percentage of ELs attaining ELP***. (provided by States) * The State reports its assessment results for all students assessed, and the reporting facilitates **timely** interpretations and uses of those results by parents, educators, State officials, policymakers and other stakeholders, and the public. (provided by States)   + the State provides coherent and timely information about each student’s attainment of the State’s ELP standards to parents that are, to the extent practicable, written in a language that parents and guardians can understand or, if it is not practicable to provide written translations to a parent or guardian with limited English proficiency, are orally translated for such parent or guardian (provided by States)   + the State provides coherent and timely information about each student’s attainment of the State’s ELP standards to parents that upon request by a parent who is an individual with a disability as defined by the ADA, as amended, are provided in an alternative format accessible to that parent. (provided by States)   **Alternate ACCESS**   * Inclusion of performance level descriptors on student score reports |

# SECTION 7: DOES NOT APPLY TO ELP ASSESSMENT PEER REVIEW

U. S. Department of Education

**Peer Review of State Assessment Systems**

**April State ELP Assessment Peer Review Notes**



**U. S. Department of Education**

**Office of Elementary and Secondary Education**

**Washington, D.C. 20202**

**Note: Peer review notes provide the combined recommendations of the individual peers to the U.S. Department of Education (Department), based on the statute and regulations, the Department’s peer review guidance, and the peers’ professional judgement of the evidence submitted by the State. These assessment peer review notes, however, do not necessarily reflect the final set of additional evidence, if any, that a State may need to submit to demonstrate that its assessment system meets all of the critical elements for assessment peer review. Although the peer notes inform the Secretary’s consideration of each State’s assessment system, the Department makes the final decision regarding whether the assessment system meets the requirements in the statute and regulations. As a result, these peer notes may not completely align with the final determination made by the Department.**

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# SECTION 1: STATEWIDE SYSTEM OF STANDARDS AND ASSESSMENTS

## Critical Element 1.1 – State Adoption of ELP Standards for All English Learners

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| --- | --- | --- |
| ***For English language proficiency (ELP) standards:***  The State formally adopted K-12 ELP standards for all ELs in public schools in the State. | 1.1-1  Board Adoption of Standards.  P. 17-18  1.1-1 Pg. #18323  Standards were implemented 05/07/2010-- Board approve the WIDA ELP Standards for implementation  1.1-1 Pg. #18322  ---A committee determined that the WIDA ELP Standards appropriately align with the current Utah Core, as well as the Common Core, while meeting the ongoing needs of educators and their students | No additional evidence is needed. |
| Section 1.1 Summary Statement | | |
| \_X\_\_ No additional evidence is required. | | |

## Critical Element 1.2 – Coherent and Progressive ELP Standards that Correspond to the State’s Academic Content Standards

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| --- | --- | --- |
| ***For ELP standards:***  The ELP standards:   * are derived from the four domains of speaking, listening, reading, and writing; * address the different proficiency levels of ELs; and   align to the State academic content standards (see definition[[5]](#footnote-5)).  The ELP standards must contain language proficiency expectations that reflect the language needed for ELs to acquire and demonstrate their achievement of the knowledge and skills identified in the State’s academic content standards appropriate to each grade-level/grade-band in at least reading/language arts, mathematics, and science. | 1.2-1 Understanding the WIDA English Language Proficiency Standards 2.4-Language Domains Pg.# RG-11   * Evidence of the 4 domains om page RG-11 * Evidence of Language Proficiency RG-12   2-1-1 A committee determined that the WIDA ELP Standards appropriately align with the current Utah Core, as well as the Common Core, while meeting the ongoing needs of educators and their students.  1.2-1- Charts in English and Spanish on Pg. #RG-58  English Language Proficiency Standards are aligned to WIDA Standards.  1.2-3 Pg. #4 Figure B: The English Language Development Standards  Pg. #5 Figure C: The Features of Academic Language  1.2-4 Pg. #11 WIDA MPI and the Common Core Standards, the content expectations may not include the necessary scaffolds for language learners. Reviewers noted, for instance, that repetition of words or phrases and lower level language activities did not clearly link to Common Core standards, yet these processes are necessary steps for those at the early stages of language development.  1.2-4 Pg. #16 Figure 2 displays the presumed association between two  sets of related standards. Th ere is little argument that  proficiency in academic English is a prerequisite for  proficiency in other content areas, e.g., language arts,  mathematics, science or social studies, when the method  of instruction is in English.  1.2-4 Pg. 19  1.2-5 Pg#1 The analyses conducted in this study evaluate  alignment by both proficiency levels and standards and indicate that ACCESS test items  strongly match the WIDA model performance indicators across almost all grade clusters  and domains. The items also meet the depth criterion for most domains across the grade  clusters and meet the range criterion for many domains.  2.5 Proficiency levels Pg. #RG-12  1.1-1 Pg. #1322  1.2-2 English Language Proficiency Standards Pre Kindergarten through Grade 5 Pg. # RG-58  1.2-3 Pg. #4-5 2012 Amplification of The English Language Development Standards  1.2-4 Pgs. 11, 16, 19 Alignment Study between the Common Core State Standards in English Language Arts and Mathematics and the WIDA English Language Proficiency Standards, 2007 Edition, Pre Kindergarten through Grade 12  1.2-5 Pg. #1 WIDA Consortium Response | Document 1.1-1, USBE Board minutes from May 7, 2010, p. 17, specifies that the Utah State Board of Education determined that "WIDA ELP Standards appropriately align with the current Utah Core, as well as the Common Core, while meeting the ongoing needs of educators and their students…”  Document 1.2-1  • The WIDA ELP standards address five grade spans: PreK-K, 1-2, 3-5, 6-8, and 9-12. Each grade span includes the four language domains of listening, speaking, reading, and writing (p. 10).  • Six language proficiency levels outline the progression of language development: Entering, Beginning, Developing, Expanding, Bridging and Reaching. Model performance indicators (MPIs) are written for each language domains across the first five proficiency levels (p. 10)  Documents 1.2-4 and 1.2-5 report work that WIDA has done to align WIDA (2007 edition) performance levels to the Common Core State Standards in English Language Arts and Mathematics. This work doesn’t seem to cover science. In general, there was good alignment (i.e., correspondence) across grade clusters, except in Kindergarten, especially on the speaking domain test. This point is addressed in WIDA’s response to the study:  WIDA Consortium Response to the Alignment Study  Results [Document 1.2-5].  Although Document 1.1-1, USBE Board minutes from May 7, 2010, p. 17, specifies that the Utah State Board of Education determined that "WIDA ELP Standards appropriately align with the current Utah Core…”, the State does not provide any evidence documenting that Utah’s adopted ELP standards align to its academic content standards.  The State noted the following in their notes for 1.2: “To date, there has not been an updated alignment study conducted between the paper and online versions of ACCESS for ELLs. WIDA has contracted with the Wisconsin Center for Education Products & Services (WCEPS) to organize and conduct an alignment study between ACCESS for ELLs and WIDA’s 2007 and 2012 English language development standards. Both the paper and online versions of ACCESS for ELLs will be part of this alignment study. The completed report is planned to be submitted in the fall of 2019.” Evidence is needed in regard this updated alignment study.  Evidence is needed regarding:   * the alignment of the ELP standards with the State academic content standards; and * how the ELP standards contain language proficiency expectations that reflect the language needed for ELs to acquire and demonstrate their achievement of the knowledge and skills identified in the State’s academic content standards appropriate to each grade-level/grade-band in at least reading/language arts, mathematics, and science. * Evidence is needed for this critical element including plans to address any issues following the 2019 study. * Evidence is needed regarding if or how these AMPIs are extensions of the ELP Standards or separate standards. |
| Section 1.2 Summary Statement | | |
| \_\_X\_ The following additional evidence is needed/provide brief rationale:   * Evidence of a formal study to determine the alignment of the WIDA ELP Standards to Utah’ academic content standards. * Evidence is needed for this critical element including plans to address any issues following the 2019 study. * Evidence of how the ELP standards contain language proficiency expectations that reflect the language needed for ELs to acquire and demonstrate their achievement of the knowledge and skills identified in the State’s academic content standards appropriate to each grade-level/grade-band in at least reading/language arts, mathematics, and science. * Evidence is needed regarding if or how these AMPIs are extensions of the ELP Standards or separate standards. | | |

## Critical Element 1.3 – Required Assessments

|  |  |  |
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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State’s assessment system includes an ***annual general and alternate ELP assessment*** (aligned with State ELP standards) administered to:   * All ELs in grades K-12. | 1.3-1  Utah Participation and Accommodations Policy;  P. 16 | The State’s evidence establishes that the assessment system includes an annual general and alternate ELP assessment that is to be administered to all English learners (ELs) in grades K-12. |
| Section 1.3 Summary Statement | | |
| \_\_x\_ No additional evidence is required. | | |

## Critical Element 1.4 – Policies for Including All Students in Assessments

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| * The State has policies that require the inclusion ***of all public elementary and secondary ELs in the State’s ELP assessment***, including ELs with disabilities. | 1.3-1  Utah Participation and Accommodations Policy;  P. 16 | The State’s evidence specifies that an ELP assessment is required annually in grade K-12 for all EL students in Utah, for both general and alternate ELP assessments. |
| Section 1.4 Summary Statement | | |
| \_x\_\_ No additional evidence is required. | | |

## Critical Element 1.5 – Meaningful Consultation in the Development of Challenging State Standards and Assessments

**(**Note: this is a new requirement under ESSA, so it does not apply to standards and assessments adopted prior to the passage of ESSA (December 2015)).

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| If the State has developed or amended challenging ***ELP*** standards and assessments, the State has conducted meaningful and timely consultation with:   * State leaders, including the Governor, members of the State legislature and State board of education (if the State has a State board of education). * Local educational agencies (including those located in rural areas). * Representatives of Indian tribes located in the State. * Teachers, principals, other school leaders, charter school leaders (if the State has charter schools), specialized instructional support personnel, paraprofessionals, administrators, other staff, and parents. | N/A | This critical element is not applicable, as the State adopted their ELP standards prior to the passage of the ESSA (Standards adopted in 2010). |
| Section 1.5 Summary Statement | | |
| * N/A, as the State adopted their ELP standards prior to the passage of the ESSA. | | |

# SECTION 2: ASSESSMENT SYSTEM OPERATIONS

## Critical Element 2.1 – Test Design and Development

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State’s test design and test development process is well-suited for the content, is technically sound, aligns the assessments to ***the depth and breadth of the State’s ELP standards,*** and includes:   * Statement(s) of the purposes of the assessments and the intended interpretations and uses of results; * Test blueprints that describe the structure of each assessment in sufficient detail to support the development of assessments that are technically sound, measure the depth and breadth of***the State’s ELP standards***, and support the intended interpretations and uses of the results. * Processes to ensure that the ELP assessment is tailored to the knowledge and skills included in ***the State’s ELP standards*** and reflects appropriate inclusion of the range of complexity found in the standards. * If the State administers computer-adaptive assessments, the item pool and item selection procedures adequately support the test design and intended uses and interpretations of results. * If the State administers a computer-adaptive assessment, it makes proficiency determinations with respect to the grade in which the student is enrolled and uses that determination for all reporting.   If the State administers a content assessment that includes portfolios, such assessment may be partially administered through a portfolio but may not be *entirely* administered through a portfolio. | **No documents were designated as 2.1** | Utah is a member of the WIDA consortium, and the narrative and supporting evidence for this critical element has been submitted by the WIDA Consortium, on behalf of the State of Utah. Please see WIDA’s submission for these Critical Elements.  See evidence required for 1.2.  Evidence is needed that describes how the scores are used in Utah (e.g., decision making such as identification, reclassification, programming, etc.).  The State must provide evidence of the purposes and uses of the assessments and the intended interpretations and uses of results. |
| Section 2.1 Summary Statement | | |
| \_\_\_ No additional evidence is required or  \_\_X\_ The following additional evidence is needed/provide brief rationale:   * Evidence of how the scores are used in Utah (e.g., decision making such as identification, reclassification, programming, etc.). * The State must provide evidence of the purposes and uses of the assessments and the intended interpretations and uses of results. * See evidence required for 1.2. | | |

## Critical Element 2.2 – Item Development

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State uses reasonable and technically sound procedures to develop and select items to:   * Assess student English language proficiency based on the ***State’s ELP standards*** in terms of content and language processes. | 1.1-1 Pg. #18323  1.1-1 Pg. #18322    2.2-16 Accessibility and Accountability Supplement | See WIDA Peer Notes.  Utah is a member of the WIDA consortium, and the narrative and supporting evidence for this critical element has been submitted by the WIDA Consortium, on behalf of the State of Utah. Please see WIDA’s submission for these Critical Elements. |
| Section 2.2 Summary Statement | | |
| See WIDA peer review notes. | | |

## Critical Element 2.3 – Test Administration

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State implements policies and procedures for standardized test administration; specifically, the State:   * Has established and communicates to educators clear, thorough and consistent standardized procedures for the administration of its assessments, including administration with accommodations; * Has established procedures to ensure that general and special education teachers, paraprofessionals, teachers of ELs, specialized instructional support personnel, and other appropriate staff receive necessary training to administer assessments and know how to administer assessments, including, as necessary, alternate assessments, and know how to make use of appropriate accommodations during assessments for all students with disabilities; * If the State administers technology-based assessments, the State has defined technology and other related requirements, included technology-based test administration in its standardized procedures for test administration, and established contingency plans to address possible technology challenges during test administration. | 2.3-1  ACCESS for ELLs® 2.0 2016-2017 Test  Administrator Manual  2.3-2  Screenshots of online training resources  2.3-3  ACCESS for ELLs 2.0® Form 403 Online Test Administrator's Script  2.3-4  WIDA Wednesday Email  2.3-5  Site Technology Readiness Checklist for Deploying WIDA Online Assessments  2.3-6  Test Administrator Troubleshooting  2.3-7  2018-2019 Test Policy Handbook for State Education Agencies  2.3-8  UT-ID and Placement Guidance | * Document 2.3-1, ACCESS for ELLs 2.0 2016-2017 Test Administration Manual, contains evidence of clear, thorough and consistent standardized procedures for administering ACCESS for ELLs Online and on paper, including steps related to Kindergarten and Alternate ACCESS assessments. Checklists highlight all tasks that need to be completed before, during, and after testing within a school or district. This Manual, together with Document 2.2-16, Accessibility and Accommodations Supplement, provide evidence of policies and procedures regarding accessibility and accommodations available to all students, including English learners identified with a disability, who are taking either the computer-based or paper-based assessments. * Evidence is needed that clarifies how or if the full set of test administration procedures are codified in State policy. * The State provides evidence of established procedures to ensure that all staff receive appropriate training in standardized procedures for test administration. Document 2.3-1, the Test Administrator Manual (pp. 12-14) provides an overview of the training resources available to test administrators, including required online training and state-specific guidance. The online training, available in a secure portal site, includes scoring practice, sample items, and test demos. Document 2.3-2 displays screenshots of online training resources. Pages 31-34 of the Manual provides guidance for transcription and scribing accommodations as well as the necessary test security procedures for each accommodation. Document 2.3-3 has an example of a test administrator script. To ensure test administrators are updated on the resources WIDA   offers, WIDA sends weekly emails to state and local education agency representatives to keep them informed about available resources and testing process deadlines. Document 2.3-4 displays an example of a recent email.   * The State provides evidence of training requirements and resources for secure technology-based test administration. This includes a series of Q&A webinars and tip sheets like the Site Technology Readiness Checklist for Deploying WIDA Online Assessments [Document 2.3-5] and Test Administrator Troubleshooting [Document 2.3-6]. Document 2.3-7 provides details on WIDA’s policies regarding communication of technology-related disruptions, including power or hardware failures and any other critical incidents that could interrupt online testing.   No evidence was provided in the documents that demonstrates administrators completed training.   * Evidence is needed regarding documentation of training attendance (including training for administrators), such as sign-in sheets * Evidence that the State has established contingency plans to address possible technology challenges during test administration. |
| Section 2.3 Summary Statement | | |
| \_\_\_ No additional evidence is required or  \_X The following additional evidence is needed/provide brief rationale:   * Evidence is needed that clarifies how or if the full set of test administration procedures are codified in State policy. * Evidence documenting monitoring of training, such as attendance to training sign-in sheets (including training for administrators). * Evidence that the State has established contingency plans to address possible technology challenges during test administration. | | |

## Critical Element 2.4 – Monitoring Test Administration

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State adequately monitors the administration of its State assessments to ensure that standardized test administration procedures are implemented with fidelity across districts and schools. Monitoring of test administration should be demonstrated for all assessments in the State system: the general ELP assessments and the AELPA. | 2.4-1 USBE Assessment Observation Procedures  2.4-2 WIDA Observation Surveys  2.4-3 Map of State Regions  2.4-4 WIDA Test Administration Observation Form  2.4-5 WIDA Monitoring Surveys – teacher and student samples  2.4-6 On-site Assessment Observations Summary. | The State’s evidence indicates that it adequately monitors the administration of its ELP and alternate ELP assessments to ensure that standardized test administration procedures are implemented with fidelity across districts and schools. |
| Section 2.4 Summary Statement | | |
| \_x\_\_ No additional evidence is required. | | |

## Critical Element 2.5 – Test Security

|  |  |  |
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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State has implemented and documented an appropriate set of policies and procedures to prevent test irregularities and ensure the integrity of test results through:   * Prevention of any assessment irregularities, including maintaining the security of test materials (both during test development and at time of test administration), proper test preparation guidelines and administration procedures, incident-reporting procedures, consequences for confirmed violations of test security, and requirements for annual training at the district and school levels for all individuals involved in test administration; * Detection of test irregularities; * Remediation following any test security incidents involving any of the State’s assessments; * Investigation of alleged or factual test irregularities. * Application of test security procedures to the general ELP assessments and the AELPA. | **2.3-1**  *ACCESS for ELLs® 2.0 2016-2017 Test*  *Administrator Manual*  **2.3-3**  *ACCESS for ELLs 2.0® Form 403 Online Test Administrator's Script*  **2.3-7**  *2018-2019 Test Policy Handbook for State Education Agencies*  2.5-1  ACCESS for ELLs® 2.0 2016-2017 District and School Test Coordinator Manual  2.5-2  Utah 2018 Testing Ethics Policy  2.5-3  Utah 2018 Ethics Signature Form | • Documents 2.3-1, the Test Administrator Manual, provides evidence of procedures to prevent test irregularities and ensure the integrity of test results. Sections in the Manual describe the steps that must be taken to ensure the security of the test materials both during test development and test administration, such as the requirement that individuals delivering assessments are appropriately trained and certified to be eligible as test administrators. Documents 2.5-1 (pp. 8-16) further discusses these procedures for district and school test coordinators. Document 2.3-3 provides step-by-step scripted instructions about the text that should be read aloud during test administration and stage directions to help guide the test administrator. Document 2.5-3 shows a copy of the Utah 2018 Ethics Signature Form that all test administrators in the state are required to sign.  • Document 2.5.2, Utah 2018 Testing Ethics Policy, (pp. 3-4), provides evidence of formal policy statements on test security. It includes a list of what constitutes a breach of test security and consequences for confirmed violations of test security. Specifically, any person found to have intentionally breached the security of the test system may be subject to sanctions including, but not limited to, disciplinary action by a local board of education, and/or the revocation of Utah teaching certification by the State Board of Education. Document 2.5-2 (p. 4) also provides a protocol LEAs should follow for investigating and remediating testing ethics violations, however, it is unclear if these policies are related to assessments for English Language Learners.  Peers could not find information about (1) periodic reports of irregularities and how State responds and tracks, and (2) more explicit procedures concerning how State addresses security incidents in the context of English Language Learners (e.g., reopen, restart, invalidate, etc.).  Peers could not find evidence of procedures to detect test irregularities, such as data forensics, training users in knowing what constitutes “unusual” patterns, or some other method (in the context of English Language Learners).   * Evidence is needed that describes State procedures regarding (1) periodic reports of irregularities and how State responds and tracks this information; * Evidence of procedures to detect test irregularities, such as data forensics, training users in knowing what constitutes “unusual” patterns, or some other method and; * Evidence that the Testing Ethics Policies in 2.5.2 are related to assessments for English Language Learners. |
| Section 2.5 Summary Statement | | |
| \_X\_\_ The following additional evidence is needed/provide brief rationale:   * Evidence of State procedures regarding (1) periodic reports of irregularities and how State responds and tracks this information; * Evidence of procedures to detect test irregularities, such as data forensics, training users in knowing what constitutes “unusual” patterns, or some other method. * Evidence that the Testing Ethics Policies in 2.5.2 are related to assessments for English Language Learners. | | |

## Critical Element 2.6 – Systems for Protecting Data Integrity and Privacy

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State has policies and procedures in place to protect the integrity and confidentiality of its test materials, test-related data, and personally identifiable information, specifically:   * To protect the integrity of its test-related data in test administration, scoring, storage and use of results; * To secure student-level assessment data and protect student privacy and confidentiality, including guidelines for districts and schools; * To protect personally identifiable information about any individual student in reporting, including defining the minimum number of students necessary to allow reporting of scores for all students and student groups. | **2.5-2**  *Utah 2018 Testing Ethics Policy*  **2.6-1**  *WIDA Research and Evaluation Data Warehouse IRB*  **2.6-2**  *Schedule C: Education Record Release and Data Use Agreement*  **2.6-3**  *UW-Madison HRPP Education and Training*  **2.6-4**  *Technical Assistance Policy for Projects that Exceed Eight Hours*  **2.6-5**  *Board Rule R277-487 – Public School Data Confidentiality; P.3*  **2.6-6**  *Accountability Technical Manual; p. 14*  **2.6-7**  *DRC UT - WIDA Materials Return Email*  **2.6-8**  *Sample Materials Return Report* | The State has policies and procedures in relation to data integrity and privacy.  • Document 2.6-5, Board Rule R277-487, Public School Data Confidentiality, requires Utah’s LEAs to follow test administration procedures in accordance with Board Rule R277-487, Section 3, which enumerates the systems, policies and procedures for protecting the integrity of public school data confidentiality and disclosure. Document 2.5-2, Testing Ethics Policy, outlines state and district procedures for ethical testing practices and protection of student privacy. Document 2.6-7 and 2.6-8 describe the procedures that the State and WIDA have in place to manage test materials in a secure manner.  • Document 2.6-5, Board Rule R277-487 (pp. 3-4) establishes that student-level assessment data is protected via secure systems and provides guidelines for the use of these systems and their data. WIDA’s own processes include provisions to ensure that only those conducting research or evaluating tests have access to test-related data, and only a few key individuals have access to identifying student data (Document 2.6-1, WIDA Research and Evaluation Data Warehouse IRB, pp. 20-24)  • Document 2.6-6, Accountability Technical Manual, provides evidence of a definition of the minimum number of students necessary to allow reporting of scores for student groups (p. 10).   * Evidence is needed that demonstrates how WIDA data are protected by the State and by all parties and during handoffs. |
| Section 2.6 Summary Statement | | |
| \_\_X\_ The following additional evidence is needed/provide brief rationale:   * Evidence is needed that demonstrates how WIDA data are protected by the State and by all parties and during handoffs. | | |

# SECTION 3: TECHNICAL QUALITY – VALIDITY

## Critical Element 3.1 – Overall Validity, Including Validity Based on Content

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State has documented adequate overall validityevidence for its assessments consistent with nationally recognized professional and technical testing standards. The State’s validity evidence includes evidence that:  ***The State’s ELP assessments*** measure the knowledge and skills specified in the State’s ELP standards, including:   * Documentation of adequate alignment between the State’s ELP assessment and the ELP standards the assessment is designed to measure in terms of language knowledge and skills, the depth and breadth of the State’s ELP standards, across all proficiency levels, domains, and modalities identified therein; * Documentation of alignment (as defined) between the State’s ELP standards and the language demands implied by, or explicitly stated in, the State’s academic content standards; * If the State administers an AELPA aligned with alternate ELP achievement standards, the assessment shows adequate linkage to the State’s ELP standards in terms of content match (i.e., no unrelated content) and that the breadth of content and linguistic complexity determined in test design is appropriate for ELs who are students with the most significant cognitive disabilities. | ” | Utah is a member of the WIDA consortium, and the narrative and supporting evidence for this critical element has been submitted by the WIDA Consortium, on behalf of the State of Utah. Please see WIDA’s submission for these Critical Elements.  See 1.2 (Evidence of a formal alignment study).  Evidence is needed for this critical element including plans to address any issues following the 2019 study. |
| Section 3.1 Summary Statement | | |
| \_\_X\_ The following additional evidence is needed/provide brief rationale:   * See 1.2 (Evidence of a formal alignment study). * Evidence is needed for this critical element including plans to address any issues following the 2019 study. | | |

## Critical Element 3.2 – Validity Based on Linguistic Processes

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State has documented adequate validityevidence that its assessments tap ***the intended language processes*** appropriate for each grade level/grade-band as represented in the State’s ELP standards. |  | See WIDA Peer Notes.  Utah is a member of the WIDA consortium, and the narrative and supporting evidence for this critical element has been submitted by the WIDA Consortium, on behalf of the State of Utah. Please see WIDA’s submission for these Critical Elements. |
| Section 3.2 Summary Statement | | |
| See WIDA peer review notes. | | |

## Critical Element 3.3 – Validity Based on Internal Structure

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State has documented adequate validityevidence that the scoring and reporting structures of its assessments are consistent with the sub-domain structures of the State’s ***ELP standards*** on which the intended interpretations and uses of results are based. |  | See WIDA Peer Notes.  Utah is a member of the WIDA consortium, and the narrative and supporting evidence for this critical element has been submitted by the WIDA Consortium, on behalf of the State of Utah. Please see WIDA’s submission for these Critical Elements. |
| Section 3.3 Summary Statement | | |
| See WIDA peer review notes. | | |
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## Critical Element 3.4 – Validity Based on Relations to Other Variables

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State has documented adequate validityevidence that the State’s assessment scores are related as expected with other variables. |  | See WIDA Peer Notes.  Utah is a member of the WIDA consortium, and the narrative and supporting evidence for this critical element has been submitted by the WIDA Consortium, on behalf of the State of Utah. Please see WIDA’s submission for these Critical Elements. |
| Section 3.4 Summary Statement | | |
| See WIDA peer review notes. | | |
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# SECTION 4: TECHNICAL QUALITY – OTHER

## Critical Element 4.1 – Reliability

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
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| The State has documented adequate reliabilityevidence for its assessments for the following measures of reliability for the State’s student population overall and each student group consistent with nationally recognized professional and technical testing standards. If the State’s assessments are implemented in multiple States, measures of reliability for the assessment overall and each student group consistent with nationally recognized professional and technical testing standards, including:   * Test reliability of the State’s assessments estimated for its student population (***for ELP assessments, including any domain or component sub-tests, as applicable*)**; * Overall and conditional standard error of measurement of the State’s assessments, including any domain or component sub-tests, as applicable; * Consistency and accuracy of estimates in categorical classification decisions for the cut scores, achievement levels or proficiency levels based on the assessment results; * For computer-adaptive tests, evidence that the assessments produce test forms with adequately precise estimates of ***an EL’s English proficiency***. |  | See WIDA Peer Notes.  Utah is a member of the WIDA consortium, and the narrative and supporting evidence for this critical element has been submitted by the WIDA Consortium, on behalf of the State of Utah. Please see WIDA’s submission for these Critical Elements. |
| Section 4.1 Summary Statement | | |
| See WIDA peer review notes. | | |
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## Critical Element 4.2 – Fairness and Accessibility

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| ***For all State ELP assessments,*** assessments should be developed, to the extent practicable, using the principles of universal design for learning (UDL) (see definition[[6]](#footnote-6)).  ***For ELP assessments,*** the State has taken reasonable and appropriate steps to ensure that its assessments are accessible to all EL students and fair across student groups, including ELs with disabilities, in their design, development, and analysis. |  | See WIDA Peer Notes.  Utah is a member of the WIDA consortium, and the narrative and supporting evidence for this critical element has been submitted by the WIDA Consortium, on behalf of the State of Utah. Please see WIDA’s submission for these Critical Elements. |
| Section 4.2 Summary Statement | | |
| See WIDA peer review notes. | | |
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## Critical Element 4.3 – Full Performance Continuum

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State has ensured that each assessment provides an adequately precise estimate of student performance across the full performance continuum for ***ELP assessments***, including performance for EL students with high and low levels of English language proficiency and with different proficiency profiles across the domains of speaking, listening, reading, and writing. |  | See WIDA Peer Notes.  Utah is a member of the WIDA consortium, and the narrative and supporting evidence for this critical element has been submitted by the WIDA Consortium, on behalf of the State of Utah. Please see WIDA’s submission for these Critical Elements. |
| Section 4.3 Summary Statement | | |
| See WIDA peer review notes. | | |
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## Critical Element 4.4 – Scoring

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State has established and documented standardized scoring procedures and protocols for its assessments (and ***for ELP assessments, any applicable domain or component sub-tests***) that are designed to produce reliable and meaningful results, facilitate valid score interpretations, and report assessment results in terms of the State’s ***ELP standards***.  ***For ELP assessments,*** if an English learner has a disability that precludes assessment of the student in one or more of the required domains/components (listening, speaking, reading, and writing) such that there are no appropriate accommodations for the affected domain(s)/component(s), the State must provide a description of how it will ensure that the student is assessed in the remaining domain(s)/component(s) in which it is possible to assess the student, and a description of how this will occur.[[7]](#footnote-7) |  | See WIDA Peer Notes.  Utah is a member of the WIDA consortium, and the narrative and supporting evidence for this critical element has been submitted by the WIDA Consortium, on behalf of the State of Utah. Please see WIDA’s submission for these Critical Elements. |
| Section 4.4 Summary Statement | | |
| See WIDA peer review notes. | | |
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## Critical Element 4.5 – Multiple Assessment Forms

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| If the State administers multiple forms of ***ELP assessments*** within or across grade-spans, ELP levels, or school years, the State ensures that all forms adequately represent the State’s ***ELP standards*** and yield consistent score interpretations such that the forms are comparable within and across settings. |  | See WIDA Peer Notes.  Utah is a member of the WIDA consortium, and the narrative and supporting evidence for this critical element has been submitted by the WIDA Consortium, on behalf of the State of Utah. Please see WIDA’s submission for these Critical Elements. |
| Section 4.5 Summary Statement | | |
| See WIDA peer review notes. | | |
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## Critical Element 4.6 – Multiple Versions of an Assessment

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| If the State administers any of its assessments in multiple versions within a subject area (e.g., online versus paper-based delivery), grade level, or school year, the State:   * Followed a design and development process to support comparable interpretations of results for students tested across the versions of the assessments; * Documented adequate evidence of comparability of the meaning and interpretations of the assessment results. |  | See WIDA Peer Notes.  Utah is a member of the WIDA consortium, and the narrative and supporting evidence for this critical element has been submitted by the WIDA Consortium, on behalf of the State of Utah. Please see WIDA’s submission for these Critical Elements. |
| Section 4.6 Summary Statement | | |
| See WIDA peer review notes. | | |
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## Critical Element 4.7 – Technical Analysis and Ongoing Maintenance

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State:   * Has a system for monitoring, maintaining, and improving, as needed, the quality of its assessment system, including clear and technically sound criteria for the analyses of all of the assessments in its assessment system (i.e., general assessments and alternate assessments), and * Evidence of adequate technical quality is made public, including on the State’s website. |  | See WIDA Peer Notes.  Utah is a member of the WIDA consortium, and the narrative and supporting evidence for this critical element has been submitted by the WIDA Consortium, on behalf of the State of Utah. Please see WIDA’s submission for these Critical Elements.  Evidence is needed regarding how the State provides evidence of adequate technical quality to the public, including on the State’s website. |
| Section 4.7 Summary Statement | | |
| \_\_X\_ The following additional evidence is needed/provide brief rationale:   * Evidence is needed regarding how the State provides evidence of adequate technical quality to the public, including on the State’s website. | | |

# SECTION 5: INCLUSION OF ALL STUDENTS

## Critical Element 5.1 – Procedures for Including Students with Disabilities

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
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| The State has in place procedures to ensure the inclusion of all public elementary and secondary school students[[8]](#footnote-8) with disabilities in the State’s assessment system. Decisions about how to assess students with disabilities must be made by a student’s IEP Team under IDEA, the placement team under Section 504, or the individual or team designated by a district to make that decision under Title II of the ADA, as applicable, based on each student’s individual abilities and needs.   * ***For ELP assessments,*** policies that require the inclusion of an EL with a disability that precludes assessment of the student in one or more of the required domains (speaking, listening, reading, and writing) such that there are no appropriate accommodations for the affected component (the State must assess the student’s English language proficiency based on the remaining components in which it is possible to assess the student). | **1.3-1**  *Utah Participation and Accommodations Policy, p. 28* | Document 1.3-1, Utah Participation and Accommodations Policy, establishes statewide policy for the participation of students with special needs in Utah’s assessment system, including procedures to handle special conditions that warrant exemption from statewide assessment. It also provides guidance on the valid and appropriate use of accommodations and resources for use during instruction and statewide assessments.  Page 16 of Document 1.3-1 describes the State’s policy specific to the participation in ACCESS for ELLs 2.0 online assessment of English language proficiency of all students K-12 who have been identified as English learners. Students with disabilities who have also been identified as English learners are not exempt from participation in the ACCESS assessment.  Evidence is needed that describes policies or procedures that require the inclusion of an ELL with a disability that precludes assessment of the student in one or more of the required domains (speaking, listening, reading, and writing) such that there are no appropriate accommodations for the affected component (the State must assess the student’s English language proficiency based on the remaining components in which it is possible to assess the student). |
| Section 5.1 Summary Statement | | |
| \_X\_\_ The following additional evidence is needed/provide brief rationale:   * Evidence is needed that describes policies or procedures that require the inclusion of an ELL with a disability that precludes assessment of the student in one or more of the required domains (speaking, listening, reading, and writing) such that there are no appropriate accommodations for the affected component (the State must assess the student’s English language proficiency based on the remaining components in which it is possible to assess the student). | | |

## Critical Element 5.2 – DOES NOT APPLY to ELP Assessment Peer Review

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
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| * + Note: This critical element does not apply to ELP assessments, as the requirements only apply to the inclusion of ELs in **academic assessments**. | N/A | N/A |
| Section 5.2 Summary Statement | | |
| N/A | | |

## Critical Element 5.3 – Accommodations

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
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| The State makes available appropriate accommodations and ensures that its assessments are accessible to students with disabilities and ELs, including ELs with disabilities. Specifically, the State:   * Ensures that appropriate accommodations are available for ELs; * Has determined that the accommodations it provides (1) are appropriate and effective for meeting the individual student’s need(s) to participate in the assessments, (2) do not alter the construct being assessed, and (3) allow meaningful interpretations of results and comparison of scores for students who need and receive accommodations and students who do not need and do not receive accommodations; * Has a process to individually review and allow exceptional requests for a small number of students who require accommodations beyond those routinely allowed. * Ensures that accommodations for all required assessments do not deny students with disabilities or ELs the opportunity to participate in the assessment and any benefits from participation in the assessment. | Utah Participation and Accommodations Policy, p. 24-25, 28, 31, 32-45, 47  2.2-16  Accessibility and Accommodations Supplement, p. 6-8  2.2-17  The WIDA Accessibility and Accommodations  Framework, p. 4  2.3-1  ACCESS for ELLs® 2.0 2016-2017 Test  Administrator Manual, p. 70, 96, 143  5.3-1  Screenshot https portal.wida.us ACCESSTraining Online modules AccessibilityOverview  presentation\_html5.html  5.3-2  Screenshot https wida.wisc.edu assess access  preparing-students  5.3-3  Findings from 2016 Focus Groups on ACCESS for ELLs 2.0 Accommodations and Universal Tools  5.3-4  Investigating Grades 1-12 English Learners’ Use of Universal Tools in ACCESS for ELLS | State- and Consortium-provided evidence shows a variety of accommodations available for English learners with disabilities on its ELP assessments.  Document 1.3-1 (p. 16, p. 31, 32-45), Utah Participation and Accommodations Policy, outlines what accommodations are allowable in addition to any accommodations allowed in the WIDA Accessibility and Accommodations Framework (Document 2.2-17), with detailed descriptions of the accommodations and their appropriate use. State guidance for selecting accommodations for English learners with disabilities or 504 plans is provided on p. 47.  Evidence is needed that describes a study or other evidence that shows how the State “has determined that the accommodations it provides (i) are appropriate and effective for meeting the individual student’s need(s) to participate in the assessments, (ii) do not alter the construct being assessed, and (iii) allow meaningful interpretations of results and comparison of scores for students who need and receive accommodations and students who do not need and do not receive accommodations”  Document 1.3-1, Utah Participation and Accommodations Policy, (pp. 28-29), describes the state procedures for requesting exceptional accommodations.  Documents 5.3-3 and 5.4-3 reference findings from 2016 Focus Groups on ACCESS for ELLs 2.0 Accommodations and Universal Tools and Investigating Grades 1-12 English Learners’ Use of Universal Tools in ACCESS for ELLS that appear to support that the use of accessibility features in the selected response listening and reading domains provides the intended supports for special populations of students to participate in the assessment.  Evidence (such as a formal study) is needed that describes how the provided accommodations (1) are appropriate and effective for meeting the individual student’s need(s) to participate in the assessments, (2) do not alter the construct being assessed, and (3) allow meaningful interpretations of results and comparison of scores for students who need and receive accommodations and students who do not need and do not receive accommodations. |
| Section 5.3 Summary Statement | | |
| X\_\_ The following additional evidence is needed/provide brief rationale:   * Evidence (such as a formal study) that the provided accommodations (1) are appropriate and effective for meeting the individual student’s need(s) to participate in the assessments, (2) do not alter the construct being assessed, and (3) allow meaningful interpretations of results and comparison of scores for students who need and receive accommodations and students who do not need and do not receive accommodations. | | |

## Critical Element 5.4 – Monitoring Test Administration for Special Populations

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| --- | --- | --- |
| The State monitors test administration in its districts and schools to ensure that appropriate assessments, with or without accommodations, are selected for all students with disabilities and ELs so that they are appropriately included in assessments and receive accommodations that are:   * Consistent with the State’s policies for accommodations; * Appropriate for addressing a student’s disability or language needs for each assessment administered; * Consistent with accommodations provided to the students during instruction and/or practice; * Consistent with the assessment accommodations identified by a student’s IEP Team under IDEA, placement team convened under Section 504; or for students covered by Title II of the ADA, the individual or team designated by a district to make these decisions; or another process for an EL; * Administered with fidelity to test administration procedures; * Monitored for administrations of all required ELP assessments, and AELPA. | **1.3-1**  *Utah Participation and Accommodations Policy, p. 25, 48*  **5.4-1**  *Assessment Directors Memo February 2, 2019, p.1* | Document 1.3-1, Utah Participation and Accommodations Policy, provides evidence that the State has in place test administration monitoring procedures on selecting and implementing assessment accommodations appropriate for addressing the special needs of students with and IEP, Section 504 or English learners. This Document also stipulates that students must receive selected accommodations consistent with those provided to the student during instruction and/or practice (p. 51, 53). Document 5.4-1 (pp. 5-7) provides evidence that the State communicates guidance to assessment directors on accommodation issues that would alter assessment of students with fidelity to test administration procedures. However, it is unclear how this process is documented and checked for compliance.  Evidence is needed regarding a process for the use of monitoring results to improve implementation.  Evidence is needed that demonstrates:   * How the State monitors test administration to ensure that accommodations provided to the students are consistent with accommodations provided during instruction and/or practice; consistent with assessment accommodations identified by a student’s IEP Team under IDEA, placement team convened under Section 504; or for students covered by Title II of the ADA, the individual or team designated by a district to make these decisions; or another process for an EL; * How accommodations are administered with fidelity to test administration procedures; and * How accommodations are monitored for administrations of all required ELP assessments and AELPA. |
| Section 5.4 Summary Statement | | |
| \_\_X\_ The following additional evidence is needed/provide brief rationale:   * Evidence regarding a process for the use of monitoring results to improve implementation. * Evidence demonstrating: accommodations provided to the students during instruction and/or practice; assessment accommodations identified by a student’s IEP Team under IDEA, placement team convened under Section 504; or for students covered by Title II of the ADA, the individual or team designated by a district to make these decisions; or another process for an EL. * Evidence that accommodations are administered with fidelity to test administration procedures (such as a documentation that determines correct accommodations were assigned and implemented). * How accommodations are monitored for administrations of all required ELP assessments and AELPA. | | |

# SECTION 6: ELP ACHIEVEMENT STANDARDS AND REPORTING

## Critical Element 6.1 – State Adoption of ELP Achievement Standards for All Students

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
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| ***For ELP standards:***   * The State adopted ELP achievement standards that address the different proficiency levels of ELs; * If the State has developed alternate ELP achievement standards, it has adopted them only for ELs who are students with the most significant cognitive disabilities who cannot participate in the regular ELP assessment even with appropriate accommodations. |  | See WIDA Peer notes.  Utah is a member of the WIDA consortium, and the narrative and supporting evidence for this critical element has been submitted by the WIDA Consortium, on behalf of the State of Utah. Please see WIDA’s submission for these Critical Elements. |
| Section 6.1 Summary Statement | | |
| .See WIDA Peer Notes. | | |
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## Critical Element 6.2 – ELP Achievement Standards Setting

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| --- | --- | --- |
| The State used a technically sound method and process that involved panelists with appropriate experience and expertise for setting:   * ***ELP achievement standards and, as applicable, alternate ELP achievement standards***, such that:   + Cut scores are developed for every grade/grade band, content domain/language domain, and/or composite for which proficiency-level scores are reported. |  | See WIDA Peer notes.  Utah is a member of the WIDA consortium, and the narrative and supporting evidence for this critical element has been submitted by the WIDA Consortium, on behalf of the State of Utah. Please see WIDA’s submission for these Critical Elements. |
| Section 6.2 Summary Statement | | |
| See WIDA peer review notes. | | |
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## Critical Element 6.3 –Aligned ELP Achievement Standards

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
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| ***For ELP achievement standards*:**  The State has ensured that ELP assessment results are expressed in terms that are clearly aligned with the State’s ELP standards, and its ELP performance-level descriptors.  If the State has adopted alternate ELP achievement standards for ELs who are students with the most significant cognitive disabilities, the alternate ELP achievement standards should be linked to the State’s grade-level/grade-band ELP standards, and should reflect professional judgment of the highest ELP achievement standards possible for ELs who are students with the most significant cognitive disabilities. |  | See WIDA Peer notes.  Utah is a member of the WIDA consortium, and the narrative and supporting evidence for this critical element has been submitted by the WIDA Consortium, on behalf of the State of Utah. Please see WIDA’s submission for these Critical Elements. |
| Section 6.3 Summary Statement | | |
| See WIDA peer review notes. | | |
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## Critical Element 6.4 – Reporting

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| --- | --- | --- |
| The State reports its assessment results for all students assessed, and the reporting facilitates timely, appropriate, credible, and defensible interpretations and uses of those results by parents, educators, State officials, policymakers and other stakeholders, and the public.  The State reports to the public its assessment results on ***English language proficiency for all ELs*** ***including the number and percentage of ELs attaining ELP***.  For the ***ELP assessment***, the State provides coherent and timely information about each student’s attainment of the State’s ELP standards to parents that:   * + Reports the ***ELs’ English proficiency*** in terms of the State’s grade level/grade-band ELP standards (including performance-level descriptors);   + Are provided in an understandable and uniform format;   + Are, to the extent practicable, written in a language that parents and guardians can understand or, if it is not practicable to provide written translations to a parent or guardian with limited English proficiency, are orally translated for such parent or guardian;   + Upon request by a parent who is an individual with a disability as defined by the ADA, as amended, are provided in an alternative format accessible to that parent. | **2.5-2**  *Utah 2018 Testing Ethics Policy, p. 3*  **6.4-1**  *ACCESS FOR ELLs® 2.0 SPRING 2018 Interpretive Guide for Score Reports Kindergarten–Grade 12*  **6.4-2**  *ALTERNATE ACCESS for ELLs SPRING 2018 Interpretive Guide for Score Reports Grades 1-12*  **6.4-3**  *Annual Parent Notification Letter*  **6.4-4**  *ACCESS Data Gateway Report Sample*  **6.4-5**  *Score Interpretation Presentation* | The State has systems for reporting assessment results in an understandable and uniform format for all students and for a variety of users in a range of formats and levels of aggregation and in more than one language.  Document 6.4-2 provides evidence of interpretative guides for Alternate Access for ELs 2.0 Spring 2018 score reports I grades K-12. The Individual Student Report, for example, contains scores of a single student within grades 1-12 for each language domain, and four composites: Oral Language, Literacy, Comprehension, and Overall Score. The reported scores include raw scores in the Listening and Reading domains, scale scores, confidence bands, and language proficiency levels (p. 14, 19). The primary users of this report are students, parents/guardians, teachers, and school teams. One report is provided in English for each individual student. Translations of the report are available in 46 additional languages through DRC’s WIDA AMS system (p. 15). A Parent Guide for Alternate ACCESS for ELs Score Reports is available to assist educators as they explain score reports to parents or guardians (pp. 32-34). Translations of the Parent Guide and optional letters with sample language for communication home is provided on the WIDA website (p. 16)  Document 2.5-2, (p. 3), the Utah Testing Ethics Policy, specifies that Individual Score Reports must be distributed to parents within three weeks of receiving them from WIDA with adequate information for interpreting the score reports. As per ESSA Title III law, ACCESS score reports are sent home annually with a standard annual parent notification letter (Document 6.4-3).  Documents 6.4-4 and 6.4-5 provide evidence of state’s efforts to provide additional access to WIDA score report to all educators in the state through the state’s Data Gateway, and to provide professional development throughout the state for interpreting WIDA ACCESS Score reports to support classroom instructional decisions for English learners.  Evidence is needed that demonstrates how the State reports to the public its assessment results on ***English language proficiency for all ELs*** ***including the number and percentage of ELs attaining ELP*** (such as through the State website or a link to the WIDA website on the State website). |
| Section 6.4 Summary Statement | | |
| \_X\_\_ The following additional evidence is needed/provide brief rationale:   * Evidence is needed that demonstrates how the State reports to the public its assessment results on English language proficiency for all ELs including the number and percentage of ELs attaining ELP (such as through the State website or a link to the WIDA website on the State website). | | |

# SECTION 7: DOES NOT APPLY TO ELP ASSESSMENT PEER REVIEW

1. see page 24 of “*A State’s Guide to the U.S. Department of Education’s Assessment Peer Review Process”*, September 24, 2018 available at: [www.ed.gov/admins/lead/account/saa.html](http://www.ed.gov/admins/lead/account/saa.html) [↑](#footnote-ref-1)
2. see page 28 of “*A State’s Guide to the U.S. Department of Education’s Assessment Peer Review Process”*, September 24, 2018 available at: [www.ed.gov/admins/lead/account/saa.html](http://www.ed.gov/admins/lead/account/saa.html) [↑](#footnote-ref-2)
3. See full reference in regulation, 34 CFR § 200.6(h)(4)(ii) (online at <https://www.ecfr.gov/cgi-bin/text-idx?SID=07e168e9e7a6c5931b4549cc15547ee9&mc=true&node=se34.1.200_16&rgn=div8> ) [↑](#footnote-ref-3)
4. For ELP peer review, this refers to ELs with disabilities. [↑](#footnote-ref-4)
5. see page 24 of “*A State’s Guide to the U.S. Department of Education’s Assessment Peer Review Process”*, September 24, 2018 available at: [www.ed.gov/admins/lead/account/saa.html](http://www.ed.gov/admins/lead/account/saa.html) [↑](#footnote-ref-5)
6. see page 28 of “*A State’s Guide to the U.S. Department of Education’s Assessment Peer Review Process”*, September 24, 2018 available at: [www.ed.gov/admins/lead/account/saa.html](http://www.ed.gov/admins/lead/account/saa.html) [↑](#footnote-ref-6)
7. See full reference in regulation, 34 CFR § 200.6(h)(4)(ii) (online at <https://www.ecfr.gov/cgi-bin/text-idx?SID=07e168e9e7a6c5931b4549cc15547ee9&mc=true&node=se34.1.200_16&rgn=div8> ) [↑](#footnote-ref-7)
8. For ELP peer review, this refers to ELs with disabilities. [↑](#footnote-ref-8)