

United States Department of Education

office of elementary and secondary education

The Honorable Wayne D. Lewis, Jr.

Commissioner

Kentucky Department of Education

​​300 Sower Blvd., 5th Floor  
Frankfort, KY 40601 September 20, 2019

Dear Commissioner Lewis:

Thank you for your participation in the U.S. Department of Education’s (the Department) assessment peer review process under Title I of the Elementary and Secondary Education Act of 1965 (ESEA), as amended by the Every Student Succeeds Act (ESSA). I appreciate the efforts of the Kentucky Department of Education (KDE) to prepare for the English language proficiency (ELP) assessment peer review, which occurred in April 2019. Specifically, KDE submitted evidence regarding ACCESS and Alternate ACCESS.

The ESEA and its implementing regulations require a State to ensure that it provides an annual ELP assessment of all English learners (ELs) in grades K-12 in schools served by the State (ESEA section 1111(b)(2)(G); 34 CFR § 200.6(h)). Specifically, the ESEA requires a State to develop a uniform statewide ELP assessment to measure the ELP of all ELs in the State, including ELs with disabilities, and to provide an alternate ELP assessment (AELPA) for ELs who are students with the most significant cognitive disabilities who cannot participate in the regular ELP assessment even with accommodations (ESEA section 1111(b)(2)(G); 34 CFR § 200.6(h)(1), (5)). The ESEA and its implementing regulations require that a State’s ELP assessments, including the AELPA, be aligned with the State’s ELP standards, provide valid and reliable measures of the State’s ELP standards, and be of adequate technical quality (ESEA section 1111(b)(2)(G); 34 CFR §§ 200.2(b)(2), (b)(4), (b)(5), 200.6(h)(2)). ­­

External peer reviewers and Department staff carefully evaluated KDE’s submission and the Department found, based on the evidence received, that this component of your assessment system met some, but not all of the statutory and regulatory requirements of the ESEA. Based on the recommendations from this peer review and our own analysis of the State’s submission, I have determined the following:

* + General ELP assessment (ACCESS): **Partially meets requirements of the ESEA, as amended by ESSA.**
  + Alternate ELP assessment (Alternate ACCESS): **Partially meets requirements** **of the ESEA, as amended by ESSA.**

The assessments that partially meet requirements do not meet a number of the requirements of the statute and regulations and KDE will need to provide substantial additional information to demonstrate it meets the requirements. The Department realizes that this was the first time your State was required to provide its ELP and AELPA for peer review and recognizes that it may take some time to address all of the required items. The specific list of items required for KDE to submit is enclosed with this letter. Within 30 days, KDE must provide a plan and timeline for submitting all required documentation. Upon submission of the plan, the Department will reach out to the State educational agency (SEA) to determine a mutually agreeable schedule. Resubmission should occur once all necessary evidence is complete (rather than in multiple submissions). The Department is placing a condition on KDE’s Title I, Part A grant award. To satisfy this condition, KDE must submit satisfactory evidence to address the items identified in the enclosed list. If adequate progress is not made, the Department may take additional action.

The full peer review notes from the review are enclosed. These recommendations to the Department formed the basis of our determination. Please note that the peers’ recommendations may differ from the Department’s feedback; we encourage you to read the full peer notes for additional suggestions and recommendations for improving your assessment system beyond what is noted in the Department’s feedback. Department staff will reach out to your assessment director in the next few days to discuss the peer notes and the Department’s determination and to answer any questions you have.

Additionally, the Office of Special Education and Rehabilitative Services (OSERS) will monitor progress on matters pertaining to requirements in the Individuals with Disabilities Education Act (IDEA) related to the participation of students with disabilities in Title I assessments. In particular, OSERS will monitor progress against critical elements 1.4, 4.2, 5.1, 5.3, 5.4, 6.1 and 6.3. Insufficient progress to address such matters may lead OSERS to place a condition on KDE’s fiscal year 2020 IDEA Part B grant award.

Thank you for your ongoing commitment to improving educational outcomes for all students. I look forward to our continued partnership as we move ahead with this critical work. I appreciate the work you are doing to improve your schools and provide a high-quality education for your students.

If you have any questions, please contact my staff at: ESEA.Assessment@ed.gov.

Sincerely,

/s/

Frank T. Brogan

Assistant Secretary for

Elementary and Secondary Education

Enclosures

cc: Jennifer Stafford, Director, Division of Assessment Support

**Critical Elements Where Additional Evidence is Needed to Meet the Requirements for Kentucky’s Use of the ACCESS and Alternate ACCESS as English Language Proficiency (ELP) Assessments**

| **Critical Element** | **Additional Evidence Needed** |
| --- | --- |
| **1.2 – Coherent and Progressive ELP Standards that Correspond to the State’s Academic Content Standards** | For the State’s ELP standards:   * For reading/language arts, mathematics and science, evidence of alignment of its current ELP standards to the State’s academic content standards, including a plan to address findings of the previous alignment study. |
| **1.3 – Required Assessments** | For the Alternate ACCESS:   * Evidence that the alternate ELP assessment is available in kindergarten. |
| **1.4 – Policies for Including All Students in Assessments** | For the Alternate ACCESS:   * See critical element 1.3. |
| **2.1 – Test Design and Development** | For ACCESS and the Alternate ACCESS:   * Evidence that both assessments are aligned to the depth and breadth of the State’s ELP standards, including: * Statement of the purposes and intended uses of results. * Test blueprints. * Processes to ensure that the ELP assessment is tailored to the knowledge and skills included in the State’s ELP standards and reflects appropriate inclusion of the range of complexity found in the standards (e.g., detail about the routing rules, detail of the item selection process for paper forms to ensure it adheres to the blueprint).   For ACCESS:   * Evidence that the item pool and item selection procedures adequately support the multi-stage adaptive administrations. * Evidence that proficiency determinations are made with respect to the grade in which the student is enrolled. |
| **2.2 – Item Development** | For ACCESS:   * Evidence of reasonable and technically sound procedures to develop and select items (e.g., timeline of development, qualifications of item writers, item-writing training, item review processes and reviewer qualifications, field test processes for each domain, and technical advisory committee review).   For the Alternate ACCESS:   * Evidence of reasonable and technically sound procedures to develop and select items to assess ELP (e.g., involvement of experts with knowledge of English learners (ELs) with significant cognitive disabilities). |
| **2.3 – Test Administration** | For ACCESS:   * Evidence of established contingency plans to address possible technology challenges during test administration. |
| **2.5 – Test Security** | For the Alternate ACCESS:   * Evidence of policies and procedures that prevent assessment irregularities, including maintaining the security of test materials (both during test development and at time of test administration), proper test preparation guidelines and administration procedures, incident-reporting procedures, consequences for confirmed violations of test security, and requirements for annual training at the district and school levels for all individuals involved in test administration.   + Specifically, evidence for the Alternate ACCESS of policies and procedures to protect the integrity of the test given that the test form is unchanged for the past several years. |
| **3.1 – Overall Validity, including Validity Based on Content** | For ACCESS:   * Documentation of adequate alignment between the State’s ELP assessment and the ELP standards the assessment is designed to measure in terms of language knowledge and skills and the depth and breadth of the State’s ELP standards across all proficiency levels, domains, and modalities identified therein. * Documentation of alignment between the State’s ELP standards and the language demands implied by, or explicitly stated in, the State’s academic content standards.   For the Alternate ACCESS:   * Evidence of adequate linkage to the State’s ELP standards in terms of content match (i.e., no unrelated content) and that the breadth of content and linguistic complexity determined in test design is appropriate for ELs who are students with the most significant cognitive disabilities. |
| **3.2 – Validity Based on Linguistic Processes** | For ACCESS and the Alternate ACCESS:   * Adequate validity evidence that its assessments tapthe intended language processes appropriate for each grade level/grade-band as represented in the State’s ELP standards. |
| **3.3 – Validity Based on Internal Structure** | For ACCESS and the Alternate ACCESS:   * Evidence that the scoring and reporting structures of the assessments are consistent with the subdomain structures of the State’s ELP standards (e.g., an explanation of how the included statistical analyses relate to the validity framework for the assessments). |
| **3.4 – Validity Based on Relationships with Other Variables** | For ACCESS and the Alternate ACCESS:   * Adequate validity evidence that the State’s assessment scores are related as expected with other variables. |
| **4.1 – Reliability** | For ACCESS and the Alternate ACCESS:   * Evidence of test reliability, including: * Reliability by subgroups. * Consistency and accuracy of estimates in categorical classification decisions for the cut scores, achievement levels or proficiency levels based on the assessment results. * Evidence that reliability statistics are used to inform ongoing maintenance and development.   For ACCESS:   * For computer-adaptive tests, evidence that the assessments produce test forms with adequately precise estimates of an EL’s ELP.   For the Alternate ACCESS:   * Evidence of reliability, including test information functions (TIFs) for overall composite scores. |
| **4.2 – Fairness and accessibility** | For ACCESS and the Alternate ACCESS:   * Evidence that the assessments are accessible to all students and fair across student groups in design, development, and analysis (e.g., the implementation of universal design principles, to the extent practicable, during item development and review, and additional differential item functioning analyses to include more student subgroups).   For the Alternate ACCESS:   * Evidence that the State has taken reasonable and appropriate steps to ensure that its assessments are accessible to all EL students and fair across student groups, including ELs with disabilities, in their design, development, and analysis, guidance and instructions on appropriate instructional supports that can be used during the assessment, particularly for Braille and alternate modes of communication. |
| **4.3 – Full Performance Continuum** | For ACCESS and the Alternate ACCESS:   * Evidence that each assessment provides an adequately precise estimate of student performance across the full performance continuum for ELP assessments, including performance for EL students with high and low levels of ELP. |
| **4.4 – Scoring** | For ACCESS and the Alternate ACCESS:   * Evidence that if an EL has a disability that precludes assessment of the student in one or more of the required domains/components (listening, speaking, reading, and writing) because there are no appropriate accommodations for the affected domain(s)/component(s), the State ensures that the student is assessed in the remaining domain(s)/component(s) in which it is possible to assess the student, including a description of how this will occur.   For ACCESS:   * Evidence of standardized scoring procedures and protocols that are designed to produce reliable and meaningful results, facilitate valid score interpretations, and report assessment results in terms of the State’s ELP standards (e.g., evidence that the scoring of speaking items on the paper form of the test is monitored.)   For the Alternate ACCESS:   * Evidence of the implementation of standardized scoring procedures and protocols (e.g., definitions of key terms and test administration and scoring procedures). |
| **4.5 – Multiple Assessment Forms** | For ACCESS:   * Evidence that all forms adequately represent the State’s ELP standards and yield consistent score interpretations such that the forms are comparable within and across settings, particularly for the listening domain (e.g., rationales for why equating is not done for the paper versions of the reading and listening domains and rationales for the use of the anchor item sets).   For the Alternate ACCESS:   * Evidence that all forms adequately represent the State’s ELP standards and yield consistent score interpretations such that the forms are comparable within and across settings (e.g., evidence that using the same test items every year does not impact validity). |
| **4.7 – Technical Analysis and Ongoing Maintenance** | For ACCESS and the Alternate ACCESS:   * Evidence of adequate technical quality is made public, including on the State’s website.   For the Alternate ACCESS:   * Evidence of a system for monitoring, maintaining, and improving, as needed, the quality of its assessment system. |
| **5.1 – Procedures for Including Students with Disabilities** | For ACCESS and the Alternate ACCESS:   * Evidence of policies that require the inclusion of an EL with a disability that precludes assessment of the student in one or more of the required domains (i.e., ensuring that the student will be assessed based on the remaining components in which it is possible to assess the student).   For the Alternate Access:   * Evidence of procedures to ensure the inclusion of all public elementary and secondary school ELs with disabilities in the State’s assessment system (e.g., specific guidelines for participation in the AELPA). |
| **5.3 –Accommodations** | For ACCESS and the Alternate ACCESS:   * Evidence that the provided accommodations:   + Are appropriate and effective for meeting the individual student’s need(s) to participate in the assessments.   + Do not alter the construct being assessed.   + Allow meaningful interpretations of results and comparison of scores for students who need and receive accommodations and students who do not need and do not receive accommodations. * Evidence of a process to individually review and allow exceptional requests for a small number of students who require accommodations beyond those routinely allowed.   For the Alternate ACCESS:   * Evidence that appropriate accommodations are available for ELs. * Evidence that accommodations do not deny students with disabilities or ELs the opportunity to participate in the assessment. |
| **6.1 – State Adoption of ELP Achievement Standards for All Students** | For ACCESS and the Alternate ACCESS:   * Evidence that the State adopted ELP achievement standards that address the different proficiency levels of ELs. * If the State has developed alternate ELP achievement standards, evidence that it has adopted them only for ELs who are students with the most significant cognitive disabilities who cannot participate in the regular ELP assessment even with appropriate accommodations. |
| **6.2 – ELP Achievement Standards-Setting** | For the Alternate ACCESS:   * Evidence that the State used a technically sound method and process for setting ELP achievement standards, such that cut scores are developed for every grade/grade band, content domain/language domain, and/or composite for which proficiency-level scores are reported. |
| **6.3 –Aligned ELP Achievement Standards** | For ACCESS:   * Evidence that ELP assessment results are expressed in terms that are clearly aligned with the State’s ELP standards and its ELP performance level descriptors.   For the Alternate ACCESS:   * If the State has developed alternate ELP achievement standards, evidence that the alternate ELP achievement standards are linked to the State’s grade-level/grade-band ELP standards and reflect professional judgment of the highest ELP achievement standards possible for ELs who are students with the most significant cognitive disabilities. |
| **6.4 – Reporting** | For ACCESS and the Alternate ACCESS:   * The State reports to the public its assessment results on ELP for all ELs including the number of ELs attaining ELP. * Evidence that the State’s reporting of assessment results facilitates timely interpretations and uses of those results by parents, educators, State officials, policymakers and other stakeholders, and the public.   + Evidence that the State provides coherent and timely information about each student’s attainment of the State’s ELP standards to parents that are, to the extent practicable, written in a language that parents and guardians can understand or, if it is not practicable to provide written translations to a parent or guardian with limited English proficiency, are orally translated for such parent or guardian.   + Evidence that student reports are, upon request by an individual with a disability, provided in an alternative format accessible to that parent.   For the Alternate ACCESS:   * Evidence that performance level descriptors are included on student score reports. |

U. S. Department of Education

**Peer Review of State Assessment Systems**

**April State ELP Assessment Peer Review Notes**



**U. S. Department of Education**

**Office of Elementary and Secondary Education**

**Washington, D.C. 20202**

**Note: Peer review notes provide the combined recommendations of the individual peers to the U.S. Department of Education (Department), based on the statute and regulations, the Department’s peer review guidance, and the peers’ professional judgement of the evidence submitted by the State. These assessment peer review notes, however, do not necessarily reflect the final set of additional evidence, if any, that a State may need to submit to demonstrate that its assessment system meets all of the critical elements for assessment peer review. Although the peer notes inform the Secretary’s consideration of each State’s assessment system, the Department makes the final decision regarding whether the assessment system meets the requirements in the statute and regulations. As a result, these peer notes may not completely align with the final determination made by the Department.**

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# SECTION 1: STATEWIDE SYSTEM OF STANDARDS AND ASSESSMENTS

## Critical Element 1.1 – State Adoption of ELP Standards for All English Learners

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| --- | --- | --- |
| ***For English language proficiency (ELP) standards:***  The State formally adopted K-12 ELP standards for all ELs in public schools in the State. |  | See states |
| Section 1.1 Summary Statement | | |
| \_\_\_ No additional evidence is required or  \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS & Alternate ACCESS**   * Evidence to be provided by states. | | |

## Critical Element 1.2 – Coherent and Progressive ELP Standards that Correspond to the State’s Academic Content Standards

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| --- | --- | --- |
| ***For ELP standards:***  The ELP standards:   * are derived from the four domains of speaking, listening, reading, and writing; * address the different proficiency levels of ELs; and   align to the State academic content standards (see definition[[1]](#footnote-1)). The ELP standards must contain language proficiency expectations that reflect the language needed for ELs to acquire and demonstrate their achievement of the knowledge and skills identified in the State’s academic content standards appropriate to each grade-level/grade-band in at least reading/language arts, mathematics, and science. | **ACCESS**  1.2-1 Understanding the WIDA English Language Proficiency Standards  1.2-2 English Language Proficiency Standards PreKindergarten through Grade 5  1.2-3 2012 Amplification of The English Language Development Standards  1.2-4 Alignment Study between the Common Core State Standards in English Language Arts and Mathematics and the WIDA English Language Proficiency Standards, 2007 Edition, PreKindergarten through Grade 12  1.2-5 K–12 English Language Development Standards Validation 2016  **Alternate ACCESS**  The Alternate ACCESS uses the same ELP Standards as ACCESS. No additional evidence provided.  However, WIDA is using the Alternate Model Performance Indicators (AMPIs). Are these extensions of the ELP Standards or separate standards? | **ACCESS**  The Peers felt that evidence with regards to the following aspects of the critical element were missing:   * “align to the State academic content standards” * “… reflect the language needed for ELs to acquire and demonstrate their achievement of the knowledge and skills identified in the State’s academic content standards…”   The history of alignment work was not clear with regards to how it impacted future development.   * For example, alignment studies claim to align to CCSS but the standards were written prior to the CCSS. The alignment study was conducted prior to development of CCSS? * From Section 1 of WIDA submission notes (p.2 column 3), “The 2012 Amplification… strengthened areas that 2016 validation study identified as not having strong alignment to content standards…” How can a document dated 2012 address issues identified in 2016?   It is not clear what actions were taken to remediate or address the findings of the various alignment studies.   * Evidence 1.2-4. Conducted in 2010, this study used Cook’s criteria to examine linking and alignment of the WIDA ELP Standards MPIs and the CCSS in ELA and mathematics. The study results indicate adequate linking across all grade clusters between the WIDA ELP Standards MPIs and the CCSS in English Language Arts (RWSL) and Mathematics. Strong Linking was observed in most grade clusters. Moderate Linking was observed in Reading grades K, 3-5, Writing grades 2, 3-5, 7, 9-12, and Mathematics grades K, 6, 7, and 9-12. However, the study noted that Limited Linking was observed in ELA Writing grade K and Mathematics grade 8. Reviewer comments state that limited Linking on some reporting categories indicated that the language functions and content stems in some MPIs did not adequately address or support those in the Common Core State Standards.   Given the changes to the program since 2010, including the Amplification in 2012, an updated alignment study is warranted.  There was no evidence provided with regards to alignment for science.   * Submission notes indicate that WIDA has not conducted an alignment study between WIDA ELP standards and science or social studies standards.   **Alternate ACCESS**  More information about the AMPIs needs to be provided. Are they intended to be extensions of the ELP standards or separate standards for Alternate ACCESS? Evidence of alignment is needed.   * 2.2-8, p. 3. “The test is based on Alternate Model Performance Indicators (AMPIs) and Alternate English Language Proficiency (ELP) levels, which allow ELLs with significant cognitive disabilities to access the test tasks and demonstrate their proficiency in English. |

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| Section 1.2 Summary Statement |
| \_\_\_ No additional evidence is required or  \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS**   * Current alignment evidence for ELA and Math including a plan to address findings * Alignment to science standards   **Alternate ACCESS**   * Alignment of AMPIs to ELP standards |

## Critical Element 1.3 – Required Assessments

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State’s assessment system includes an ***annual general and alternate ELP assessment*** (aligned with State ELP standards) administered to:   * All ELs in grades K-12. | Reviewed by Department Staff Only | Reviewed by Department Staff Only |
| Section 1.3 Summary Statement | | |
| \_\_\_ No additional evidence is required or  \_\_\_ The following additional evidence is needed/provide brief rationale:   * [list additional evidence needed w/brief rationale] | | |

## Critical Element 1.4 – Policies for Including All Students in Assessments

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| * The State has policies that require the inclusion ***of all public elementary and secondary ELs in the State’s ELP assessment***, including ELs with disabilities. | Reviewed by Department Staff Only | Reviewed by Department Staff Only |
| Section 1.4 Summary Statement | | |
| \_\_\_ No additional evidence is required or  \_\_\_ The following additional evidence is needed/provide brief rationale:   * [list additional evidence needed w/brief rationale] | | |

## Critical Element 1.5 – Meaningful Consultation in the Development of Challenging State Standards and Assessments

**(**Note: this is a new requirement under ESSA, so it does not apply to standards and assessments adopted prior to the passage of ESSA (December 2015)).

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| If the State has developed or amended challenging ***ELP*** standards and assessments, the State has conducted meaningful and timely consultation with:   * State leaders, including the Governor, members of the State legislature and State board of education (if the State has a State board of education). * Local educational agencies (including those located in rural areas). * Representatives of Indian tribes located in the State. * Teachers, principals, other school leaders, charter school leaders (if the State has charter schools), specialized instructional support personnel, paraprofessionals, administrators, other staff, and parents. | Reviewed by Department Staff Only | Reviewed by Department Staff Only |
| Section 1.5 Summary Statement | | |
| \_\_\_ No additional evidence is required or  \_\_\_ The following additional evidence is needed/provide brief rationale:   * [list additional evidence needed w/brief rationale] | | |

# SECTION 2: ASSESSMENT SYSTEM OPERATIONS

## Critical Element 2.1 – Test Design and Development

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State’s test design and test development process is well-suited for the content, is technically sound, aligns the assessments to  ***the depth and breadth of the State’s ELP standards,*** and includes:   * Statement(s) of the purposes of the assessments and the intended interpretations and uses of results; * Test blueprints that describe the structure of each assessment in sufficient detail to support the development of assessments that are technically sound, measure the depth and breadth of***the State’s ELP standards***, and support the intended interpretations and uses of the results. * Processes to ensure that the ELP assessment is tailored to the knowledge and skills included in ***the State’s ELP standards*** and reflects appropriate inclusion of the range of complexity found in the standards. * If the State administers computer-adaptive assessments, the item pool and item selection procedures adequately support the test design and intended uses and interpretations of results. * If the State administers a computer-adaptive assessment, it makes proficiency determinations with respect to the grade in which the student is enrolled and uses that determination for all reporting.   If the State administers a content assessment that includes portfolios, such assessment may be partially administered through a portfolio but may not be *entirely* administered through a portfolio. | **ACCESS**  Statement of purpose   * 2.1-1, p.3 * 2.1-2, p.5   Test blueprints   * 2.1-2, p.19-23 * Description of multistage adaptive administration provided.   Knowledge, skills, range of complexity   * 1.2-3 * 2.1-2, pp. 9-11.   Item pool and selection   * No evidence provided.   Grade-level of student   * Based on grade level clusters   **Alternate ACCESS**  Statement of purpose   * 2.1-3, p. 3 and 2.1-4, p. 1.   Test blueprints   * Blueprints are referenced 2.1-4, p. 4. **“**Because the test blueprints across grade-level clusters by domain are the same and the Alternate PLs and AMPIs for the test tasks across grade-level clusters pose nearly identical linguistic challenges and differ only in the topics presented, it is desirable to have common cut scores across grade-level clusters by domain.” * However, blueprints were not provided.   Range of complexity   * No evidence provided. | **ACCESS**  Statement of purpose   * 2.1-1 and Table 2 (p.11) in 2.1-3 explicitly address intended purposes and interpretations.   Test blueprints   * The test blueprints are not provided. It appears that the description of how test items are assigned to student, based on the PL of their responses in the domains ofRWLS and paired with academic areas, serve as the test blueprint for each student. The placement of the student in the proficiency level is explained, but it is not clear if the items assigned to a student adequately measure the depth and breadth of the ELP Standards. * Evidence that the ACCESS assessments adhere to the blueprint for both online and paper.   Knowledge, skills, range of complexity   * A general description is provided of how each domain for RWLS is assessed. However, it is not clear if each student is assessed on an adequate number and range of items to ascertain an appropriate inclusion of items across the range of complexity. * Additional information regarding routing rules and their adequacy. * Evidence regarding the range of complexity of the items (e.g. blueprints). * It is not clear, if each student takes all these items and if all or a subset of the items represents an appropriate inclusion of the range of complexity found in the ELP standards.   Item pool and selection   * Evidence is needed regarding the item pool and item selection procedures.   Grade-level (grade bands)   * There is not enough information provided with regards to items in each pool and the relationship to the grade bands. Can items be tagged to multiple item pools? * Are all the items in the pool age appropriate?   **Alternate ACCESS**  Test blueprints   * No evidence provided.   No evidence of Processes to ensure that the ELP assessment is tailored to the knowledge and skills included in ***the State’s ELP standards*** and reflects appropriate inclusion of the range of complexity found in the standards. |

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| Section 2.1 Summary Statement |
| \_\_\_ No additional evidence is required or  \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS**   * Test blueprints * Evidence of Processes to ensure that the ELP assessment is tailored to the knowledge and skills included in ***the State’s ELP standards*** and reflects appropriate inclusion of the range of complexity found in the standards. E.g. detail about the routing rules, detail of the item selection process for paper forms to ensure it adheres to the blueprint * Evidence of the adequacy of the item pool and item selection procedures to support the multistage adaptive administrations. * Evidence that all the items in the pool are age and grade appropriate   **Alternate ACCESS**   * Test blueprints * Evidence of Processes to ensure that the Alternate ELP assessment is tailored to the knowledge and skills included in ***the State’s ELP standards*** and reflects appropriate inclusion of the range of complexity found in the standards. E.g. detail of the item selection process to ensure forms adhere to the blueprint |

## Critical Element 2.2 – Item Development

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State uses reasonable and technically sound procedures to develop and select items to:   * Assess student English language proficiency based on the ***State’s ELP standards*** in terms of content and language processes. | **ACCESS**   * 2.2-3: conveys the ACCESS Test Development Cycle, which includes steps of item specifications, item development, item reviews, field test * 2.2-4: Sample item specifications for Speaking, L1, 3, 5 * 2.2-5: Sample item specification for SS, Listening, grades 6-8 * 2.2-6: Sample item specification for MA, Reading, grades 9-12 * 2.2-7: Sample item specification for Language, Writing, grades 3-5 * 2.2-9: Center for Applied Linguistics Item development content experts * 2.2-10: Teachers who are standards experts * 2.2-11: Item Writing Handbook for Reading and Listening (confidential) * 2.2-12, 2.2-13: Training Module for item and bias and sensitivity reviews. Not evident the number of reviewers, how they were selected, if they were representative of WIDA states, representative of races and ethnicities, special education, academic content * 2.2-14: procedures for test developers. Information is not provided about how the item writers are trained, if they are content experts, other qualifications. * 2.2-15 Cog Labs for Enhanced Items. This is a sample of one cog lab finding. Information is not provided about the number of cog labs conducted, for what purpose, findings, and implications. * 2.1-2, pp. 24-25. It is not apparent if the considerably smaller sample size for field   **Alternate ACCESS**   * Does 2.2-3 apply to Alternate ACCESS? * If not, no evidence was provided. | **ACCESS**  Detail about the test development process was not included. E.g.   * Timeline (across versions, series, domains) * Item writers (Were they the 9 CAL item writing staff?) identification, qualification, representation of special education expertise includingEnglish learner with disabilities expertise * Item writing training * Item review process (how often this was done or what the outcomes were) * Item reviewer qualifications. While 2.2.10 was provided. Detail was lacking with regards to other review groups and the inclusion of Special Education expertise (i.e., ELs with disabilities expertise) * Field test process for each domain including target sample size rationales and the outcomes based on the data * TAC involvement and/or review   The Peers were looking for the level of information that is commonly included in the Test Development chapter of a Technical Manual and/or Item Development Manual.  **Alternate ACCESS**   * Evidence was not provided. * It is not evident that experts with knowledge of English language learners with significant cognitive disabilities are included in the development of Alternate ACCESS. |
| Section 2.2 Summary Statement | | |
| \_\_\_ No additional evidence is required or  \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS**   * Evidence of reasonable and technically sound procedures to develop and select items, e.g. * Timeline (across versions, series, domains) * Item writers, identification, qualification, representation of special education expertise including English learner with disabilities expertise * Item writing training * Item review process including item reviewer qualifications * Field test process for each domain including target sample size rationales and the outcomes based on the data * Evidence of TAC involvement   **Alternate ACCESS**   * Evidence of reasonable and technically sound procedures to develop and select items * Evidence of the involvement of experts with knowledge of English language learners with significant cognitive disabilities in development activities. | | |
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## Critical Element 2.3 – Test Administration

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State implements policies and procedures for standardized test administration; specifically, the State:   * Has established and communicates to educators clear, thorough and consistent standardized procedures for the administration of its assessments, including administration with accommodations; * Has established procedures to ensure that general and special education teachers, paraprofessionals, teachers of ELs, specialized instructional support personnel, and other appropriate staff receive necessary training to administer assessments and know how to administer assessments, including, as necessary, alternate assessments, and know how to make use of appropriate accommodations during assessments for all students with disabilities; * If the State administers technology-based assessments, the State has defined technology and other related requirements, included technology-based test administration in its standardized procedures for test administration, and established contingency plans to address possible technology challenges during test administration. | **ACCESS**  Communicates clear standardized procedures for administration   * 2.3-1 Test Administration Manual * 2.3-3 Script for Administrator * 2.3-4 weekly emails with updates for SEAs and LEAs * The TAM does not define who can be a test administrator.   Established procedures for training administrators including on accommodations   * 2.3-2 Training materials * 2.216 Accessibility and Accommodations   Defined technology requirements   * 2.3-5 Technical Readiness Checklist * 2.3-6 Troubleshooting   Established contingency plans   * 2.3-7 p.12-13 Critical incidents communication plan, not really a contingency plan   **Alternate ACCESS**  Training   * 2.3-1, pp. 12-13. Explain that training must be completed, preferably 2 weeks prior to test administration and that administrator must pass a quiz with at least 80% correct. * It is not likely that accommodations would be addressed in the training since there are no accommodations, rather all “individualized instructional supports” are permitted. | **ACCESS**  Communicates clear standardized procedures for administration   * This WIDA policy handbook does include references about test administrators, “designated testing staff or volunteers who will have access to secure test materials complete TA training for the applicable tests.” p. 4. The same criteria appear to apply to those scoring and transcribing student responses. The Peers question the appropriateness of volunteers serving as test administrators. While the States may be responsible for test administrations, WIDA should include guidelines or recommended qualifications of test administrators to ensure test security and protect the validity of scores. * More information about the qualifications and training for the human providers of accommodations (e.g. scribe, reader, sign language interpreter).   Training   * Additional information regarding the test administrator training is needed (e.g. for each module, the table of contents and outline) * Information about how volunteers access training materials. Do they access it via the secure online system? * Information regarding the training of the test administrator to score the student responses for the paper test.   Established contingency plan   * Although troubleshooting was addressed, evidence was not provided of a contingency plan to include directions to test administrators in the event of disruptions or widespread administration challenges. * A communication plan was included; however, there was not information about how test administrators should manage situations like a lockdown or widespread inaccessibility of the assessments.   **Alternate ACCESS**  Communicates clear standardized procedures for administration   * 2.3-1 Test Administrator Manual, Part 1 is for all test administrators; specific test administration procedures are in Part 2. Alternate ACCESS – pp. 140-165 * There is no statement as to who may be a test administrator. * There are no participation guidelines provided. * p. 143. “During the administration of Alternate ACCESS for ELLs, individualized instructional supports that are used by teachers in everyday classroom instruction may be used to meet individual student needs, only if they do change what is being measured on the assessment.” Is this an accurate statement? If the wording should be “if they do not change what is being measured,” do test administrators understand how to determine this? * It is noted that no examples of permissible “individualized instructional supports that are used by teachers in everyday classroom instruction” are provided. If individualized instructional supports are provided, it is unlikely the administrator will be aware what supports are not permissible. * There is no description related to allowable student response modes, e.g., pointing, eye-gaze, etc. This should be included in this section. * Why does the student need a sharpened pencil if another mode of response will be used? * P. 149. “In order to allow the student to demonstrate his or her proficiency, any evidence of engagement that is typical for that student in an instructional setting should be scored as a correct response.” How has “evidence of engagement” been validated as a correct response and demonstration of English language proficiency? * There does not appear to be adequate examples of what “approaches” means vs an incorrect response * P. 154 “If a student asks for an explanation of some word or phrase in a task statement, check to make sure that the student understood your pronunciation of the word or phrase.” It is a concern that direction for how to do this is not provided. Does this mean repeat the word/phrase? Does it mean to ask the student if he/she understood the pronunciation? * How is the test administered to a student who is deaf or hard of hearing? Blind or visually impaired? Does not have oral speech or has a combination of these disabilities in addition to an intellectual disability? * Are tracing and repeating a sound reflective of ELP standards?   Based on the information cited above, the test administration policies and procedures need to more appropriately reflect the characteristics of the students participating in the assessment and the diverse ways they respond to assessment items (e.g. eye gaze, use of assistive technology). Involvement of experts who have experience with assessing English learners with significant cognitive disabilities is needed to develop policies and an updated TAM for Alternate ACCESS.  Training   * Content of the training is not provided. Is scoring practice included (i.e., how to score attending and approaching)? * Training on“individualized instructional supports that are used by teachers in everyday classroom instruction”that are permissible for use during the assessment.   WIDA providing resources for training. States will need to provide evidence that administrators completed training. |
| Section 2.3 Summary Statement | | |
| \_\_\_ No additional evidence is required or  \_\_X\_ The following additional evidence is needed/provide brief rationale:  **ACCESS**   * Evidence that WIDA/State established and communicates to educators clear, thorough and consistent standardized procedures for the administration of its assessments, including administration with accommodations   E.g. guidelines or recommended qualifications of test administrators including volunteers, training of volunteers, and qualifications and training for the human providers of accommodations   * Evidence of established procedures to ensure that general and special education teachers, paraprofessionals, teachers of ELs, specialized instruction support personnel, and other appropriate staff receive necessary training to administer assessments and know how to administer assessments, including, as necessary, alternate assessments, and know how to make use of appropriate accommodations during assessments for all students with disabilities   E.g. content of training modules, the way in which volunteers access training materials, and the training of administrators to score the paper test   * Evidence of established contingency plans to address possible technology challenges during test administration   **Alternate ACCESS**   * Evidence that WIDA/State established and communicates to educators clear, thorough and consistent standardized procedures for the administration of its assessments, including administration with accommodations   E.g. response modes, detail about defining correct responses, permissible supports.   * Evidence that the policies and procedures were developed with involvement of experts who have experience with assessing English learners with significant cognitive disabilities * Evidence of established procedures to ensure that general and special education teachers, paraprofessionals, teachers of ELs, specialized instruction support personnel, and other appropriate staff receive necessary training to administer assessments and know how to administer assessments, including, as necessary, alternate assessments, and know how to make use of appropriate accommodations during assessments for all students with disabilities | | |

## Critical Element 2.4 – Monitoring Test Administration

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State adequately monitors the administration of its State assessments to ensure that standardized test administration procedures are implemented with fidelity across districts and schools. Monitoring of test administration should be demonstrated for all assessments in the State system: the general ELP assessments and the AELPA. | Reviewed by Department Staff Only | Reviewed by Department Staff Only |
| Section 2.4 Summary Statement | | |
| \_\_\_ No additional evidence is required or  \_\_\_ The following additional evidence is needed/provide brief rationale:   * [list additional evidence needed w/brief rationale] | | |

## Critical Element 2.5 – Test Security

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State has implemented and documented an appropriate set of policies and procedures to prevent test irregularities and ensure the integrity of test results through:   * Prevention of any assessment irregularities, including maintaining the security of test materials (both during test development and at time of test administration), proper test preparation guidelines and administration procedures, incident-reporting procedures, consequences for confirmed violations of test security, and requirements for annual training at the district and school levels for all individuals involved in test administration; * Detection of test irregularities; * Remediation following any test security incidents involving any of the State’s assessments; * Investigation of alleged or factual test irregularities. * Application of test security procedures to the general ELP assessments and the AELPA. | **ACCESS**   * 2.5-1 District and School Coordinator manual, p. 8-15, outlines security responsibilities * No information about security during development * p, 9. If test security has been compromised in any way, please contact your state education agency to determine remediation steps. * 2.3-1, pp. 11, 16-30. Test Administrator Manual. Limited information related to test security is provided; on p. 16 the statement, “Be aware that any breaches of test security or problems with test administration may result in   the invalidation of student scores.” Further consequences are not cited.   * p. 10, “If test security has been compromised in any way, please contact your Test Coordinator to determine remediation steps.” * 2.3-3, pp. 4, 5, 10 Test Administrator’s Script – Limited statements related to test security in script; reminding test administrators they must complete training and be certified to administer test and to make sure students only have test materials on desk. * 2.3-7, p. 5 Test Policy Handbook for SEAs, indicates test coordinators can track educators’ training completion prior to administering the test.   **Alternate ACCESS**   * 2.3-1, same as ACCESS, no additional information on test security provided. | **ACCESS**   * No delineation of responsibilities of test security between WIDA and the states was provided. * Evidence of security procedures during development * Recommended guidelines or minimum standards for test security for states to implement. Information contained in cited evidence is too general given the impact of test security on the validity of the program. * The following topics related to test security were not located in the evidence provided: requirements for annual training at district and school levels for all individuals involved in test administration, detection of test irregularities, remediation, investigation of alleged or factual test irregularities, monitoring test administrations, transcriptions of student dictation, scoring conducted by individual staff or volunteers, who can a test administrator, the volunteers who can have access to secure test materials. * Forensics analysis and plans to address findings should be performed by WIDA to include data across states.   4.7-10, p. 2 Committee notes indicate that leadership acknowledges that forensics analysis has not been conducted for this critical element.  **Alternate ACCESS**   * No evidence provided beyond that in the ACCESS materials. |
| Section 2.5 Summary Statement | | |
| \_\_\_ No additional evidence is required or  \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS**   * Outline the delineation of responsibilities of test security between WIDA and the states, and include recommended guidelines or minimum standards for test security for states to implement * Evidence of security procedures during test development * Evidence of activities that prevent assessment irregularities, including maintaining the security of test materials (both during test development and at time of test administration), proper test preparation guidelines and administration procedures, incident-reporting procedures, consequences for confirmed violations of test security, and requirements for annual training at the district and school levels for all individuals involved in test administration; * Evidence of detection of test irregularities; * Evidence of remediation following any test security incidents involving any of the State’s assessments; * Evidence of the investigation of alleged or factual test irregularities to include forensic analysis and plans to address findings   **Alternate ACCESS**   * Evidence related to all aspects of this critical element are needed | | |

## Critical Element 2.6 – Systems for Protecting Data Integrity and Privacy

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State has policies and procedures in place to protect the integrity and confidentiality of its test materials, test-related data, and personally identifiable information, specifically:   * To protect the integrity of its test-related data in test administration, scoring, storage and use of results; * To secure student-level assessment data and protect student privacy and confidentiality, including guidelines for districts and schools; * To protect personally identifiable information about any individual student in reporting, including defining the minimum number of students necessary to allow reporting of scores for all students and student groups. | **ACCESS**  Security of data in research   * 2.6-1 WIDA Research IRB Application pp. 20-24. WIDA’s process, “...includes provisions to ensure that only those conducting research or evaluating tests have access to test-related data and that only a few key individuals have access to identifying student data.” * 2.6-2 Data use agreement, signed by states * 2.6-3 Training completed by UW-Madison staff related to research. * 2.6-4 Technical Assistance Policy. “All data requests are encrypted and delivered via WIDA’s STFP site.”   **Alternate ACCESS**   * No evidence specific to Alternate ACCESS was submitted. * 2.6-1 applies to Alternate ACCESS. * 2.6-2 does not reference Alternate ACCESS * 2.6-3 applies to Alternate ACCESS | **ACCESS & Alternate ACCESS**   * Evidence has been provided related to research using WIDA data. * The parties involved in handling data for WIDA are unclear. More information related to who is involved and how data are protected by all parties and during handoffs is required. * Additional evidence is required from states to address the remaining aspects of the critical element. |
| Section 2.6 Summary Statement | | |
| \_\_\_ No additional evidence is required or  \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS & Alternate ACCESS**   * Information related to who is involved in handling WIDA data and how data are protected by all parties, including during handoffs, is required. * Additional evidence is required from states to address the remaining aspects of the critical element. | | |

# SECTION 3: TECHNICAL QUALITY – VALIDITY

## Critical Element 3.1 – Overall Validity, Including Validity Based on Content

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State has documented adequate overall validityevidence for its assessments consistent with nationally recognized professional and technical testing standards. The State’s validity evidence includes evidence that:  ***The State’s ELP assessments*** measure the knowledge and skills specified in the State’s ELP standards, including:   * Documentation of adequate alignment between the State’s ELP assessment and the ELP standards the assessment is designed to measure in terms of language knowledge and skills, the depth and breadth of the State’s ELP standards, across all proficiency levels, domains, and modalities identified therein; * Documentation of alignment (as defined) between the State’s ELP standards and the language demands implied by, or explicitly stated in, the State’s academic content standards; * If the State administers an AELPA aligned with alternate ELP achievement standards, the assessment shows adequate linkage to the State’s ELP standards in terms of content match (i.e., no unrelated content) and that the breadth of content and linguistic complexity determined in test design is appropriate for ELs who are students with the most significant cognitive disabilities. | **ACCESS**  Validity evidence   * CAL’s Validation Framework, Evidence 2.1-5, p. 25-38   Content alignment between standards and assessment   * Evidence 3.1-1, 2011 Alignment study for ACCESS, no information regarding how areas identified in the study will be addressed. Standards have been updated since this study.   Alignment of language demands   * Evidence 3.1-1, 2011 Alignment study for ACCESS, no information regarding how areas identified in the study will be addressed. Standards have been updated since this study.   **Alternate ACCESS**   * Peer Review narrative, 3.1, p. 2. “There has not yet been an independent alignment study between the Alternate ACCESS for ELLs assessment and the alternate model performance indicators (AMPIs), nor has there been a linking study examining the relationship between the AMPIs and WIDA’s ELP standards.” | **ACCESS**  Validity evidence   * Appreciate the work of the framework.   Content alignment   * Peers found it challenging to follow the development and subsequent alignment issues over time, especially given the changes that occurred within the program. It seems that some of the studies may be outdated and no longer relevant. * 4.7-10, p. 2 Committee notes indicate leadership is aware that this evidence will not meet the alignment requirement of 3.1 * For the alignment studies that are still relevant (despite program changes), what is the plan to address areas for which alignment was moderate, limited, weak, or no? * Alignment based on 2012 Amplification is needed. * 3.1.2 is an example blueprint but there is limited information regarding how the tests should be specified. For example, there is no indication on the blueprint that would indicate the degree of cognitive complexity (linguistic difficulty level) across the tests by standard. Depth and breadth cannot be determined based on the information in the test blueprint provided.   Alignment of language demands   * Lack of clarity in the relationship between DOK (for standards) and LDL (for items to standards).   **Alternate ACCESS**   * Evidence for this critical element including plans to address any issues following the 2019 study. |
| Section 3.1 Summary Statement | | |
| \_\_\_ No additional evidence is required or  \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS**   * Documentation of adequate alignment between the State’s ELP assessment and the ELP standards the assessment is designed to measure in terms of language knowledge and skills, the depth and breadth of the State’s ELP standards, across all proficiency levels, domains, and modalities identified therein; * Documentation of alignment (as defined) between the State’s ELP standards and the language demands implied by, or explicitly stated in, the State’s academic content standards   **Alternate ACCESS**   * Evidence of adequate linkage to the State’s ELP standards in terms of content match (i.e., no unrelated content) and that the breadth of content and linguistic complexity determined in test design is appropriate for ELs who are students with the most significant cognitive disabilities. | | |

## Critical Element 3.2 – Validity Based on Linguistic Processes

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State has documented adequate validityevidence that its assessments tap ***the intended language processes*** appropriate for each grade level/grade-band as represented in the State’s ELP standards. | **ACCESS**   * 3.2-1 & 3.2-2, Writing try outs * 3.2-3, Recommendation log   Unclear how this document was used and to which assessments it is relevant.   * 2.1-2, DIF analysis by test, relevance to this critical element is not clear. * Not presented in a user-friendly way. Results are buried.   **Alternate ACCESS**   * 3.2-4 Report from Alternate ACCESS for ELLs Pilot Testing, November 14–23, 2011. **“**We gained rich, useful data which informed revisions to the test materials.” * Evidence is needed for this critical element. | **ACCESS**   * While some evidence related to writing was provided, the validity argument related to this critical element was not provided for any domain. * It is unclear how the item tryouts fit into the item development process. * The relationship between the DIF analysis and this critical element is needed.   **Alternate ACCESS**   * Evidence is needed for this critical element. |
| Section 3.2 Summary Statement | | |
| \_\_\_ No additional evidence is required or  \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS & Alternate ACCESS**   * Adequate validityevidence that its assessments tap ***the intended language processes*** appropriate for each grade level/grade-band as represented in the State’s ELP standards | | |

## Critical Element 3.3 – Validity Based on Internal Structure

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State has documented adequate validityevidence that the scoring and reporting structures of its assessments are consistent with the sub-domain structures of the State’s ***ELP standards*** on which the intended interpretations and uses of results are based. | **ACCESS**   * 3.3-1, Relationship between domains, factor analysis supports reporting 4 domain scores * 2.1-2, p. 93-94, Correlation of domain scores * 2.1-5, p. 69-71, Correlation of domain scores   **Alternate ACCESS**   * 2.1-4, p. 60-61, 70   Higher for Alternate, might be helpful to include an explanation or rationale for why this is reasonable. | **ACCESS & Alternate ACCESS**   * Evidence is provided for this critical element. However, explicit statements of how the statistics lend validity evidence is missing. Were there criteria applied to the various statistical analyses included in this critical element, and if so, what were they and what rationales were there for using them to determine the appropriateness of the results? |
| Section 3.3 Summary Statement | | |
| \_\_\_ No additional evidence is required or  \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS & Alternate ACCESS**   * Explanation of how the included statistical analyses relate to the validity framework for the assessments. | | |

## Critical Element 3.4 – Validity Based on Relations to Other Variables

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State has documented adequate validityevidence that the State’s assessment scores are related as expected with other variables. | **ACCESS**   * 3.4-8 Bridge study, 2006 * 3.4-9, factor analysis and SEM exploring language skills and math (year unknown) * 3.1-11 Relationship between ACCESS domain scores and NECAP reading, writing, and math assessments from 2009 * Evidence does not include studies that were done with the current version of the assessment.   Evidence here should focus on the relationship with “other variables” and should provide information about how the “scores are related as expected.” Therefore, much of the cited evidence is not sufficient.  **Alternate ACCESS**   * 2.1-4 Annual Technical Report for Alternate ACCESS for ELLs, 2015-16 Administration, pp. 60-61. Correlations among Scale Scores by Grade-level Cluster. * No relevant evidence was provided. | **ACCESS**   * To fully address this standard, evidence of how the “scores are related as expected to other variables” is required. * This additional evidence would also link the study findings to the validity framework. * Additional studies are needed with the current version of the assessment.   **Alternate ACCESS**   * Evidence related to this critical element is needed. |

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| Section 3.4 Summary Statement |
| \_\_\_ No additional evidence is required or  \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS**   * Evidence of how the “scores are related as expected to other variables” is required and how this supports the validity argument * Additional studies are needed with the current version of the assessment.   **Alternate ACCESS**   * Adequate validityevidence that the State’s assessment scores are related as expected with other variables. |

# SECTION 4: TECHNICAL QUALITY – OTHER

## Critical Element 4.1 – Reliability

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
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| The State has documented adequate reliabilityevidence for its assessments for the following measures of reliability for the State’s student population overall and each student group consistent with nationally recognized professional and technical testing standards. If the State’s assessments are implemented in multiple States, measures of reliability for the assessment overall and each student group consistent with nationally recognized professional and technical testing standards, including:   * Test reliability of the State’s assessments estimated for its student population (***for ELP assessments, including any domain or component sub-tests, as applicable*)**; * Overall and conditional standard error of measurement of the State’s assessments, including any domain or component sub-tests, as applicable; * Consistency and accuracy of estimates in categorical classification decisions for the cut scores, achievement levels or proficiency levels based on the assessment results; * For computer-adaptive tests, evidence that the assessments produce test forms with adequately precise estimates of ***an EL’s English proficiency***. | **ACCESS**   * 2.1-2, provided by domain * No subgroup information   **Alternate ACCESS**   * 2.1.4 Annual Technical Report for Alternate ACCESS, 2015-16, pp. 73-80. “In general, the reliability and the accuracy and consistency of classification of the Overall Composite are very high for Alternate ACCESS for ELLs.” * Reliability information for overall composite scores was located (p. 109, 138, 165, 194). | **ACCESS**   * While the various statistics (e.g. Cronbach’s alpha, decision consistency, TIF) are provided at the composite and domain levels, they are not computed for any subgroups, such as gender and SES, accommodation type. * Accuracy and consistency measures for some composite scores and domains appeared low (see for example 2.1-2 p.345, p.167-168). If the proficiency levels are used to make decisions for these measures, then this needs to be addressed. The Peers’ understanding is that states can make decisions regarding the way in which scores are used to make decisions. Does WIDA provide more guidance given the reliability information? * While it may have been done, the Peers could not locate, for computer-adaptive tests, evidence that the assessments produce test forms with adequately precise estimates of ***an EL’s English proficiency.*** Given the multistage adaptive administrations, the Peers were looking for evidence that WIDA has considered the reliability of the forms, or pathways, across students. * A large amount of statistical output was provided; however, there was not information or narrative about how this information is interpreted by WIDA and will be used to guide future development work within the program. For example, are there areas for which WIDA will focus efforts and try to improve in the future? For example, this could include TAC notes from the discussion of these statistics.   **Alternate ACCESS**   * While various reliability estimates (Cronbach’s alpha, decisions consistency) are reported for some composite scores and domains, the Peers could not locate the TIFs for the overall composite scores. * While the various statistics (e.g. Cronbach’s alpha, decision consistency, TIF) are provided at the composite and domain levels, they are not computed for any subgroups, such as gender and SES, accommodation type. * Accuracy and consistency measures for some composite scores and domains appeared low (see for example 2.1-4 p.96, p.102). If the proficiency levels are used to make decisions for these measures, then this needs to be addressed. The Peers’ understanding is that states can make decisions regarding the way in which scores are used to make decisions. Does WIDA provide more guidance given the reliability information? * A large amount of statistical output was provided; however, there was not information or narrative about how this information is interpreted by WIDA and will be used to guide future development work within the program. For example, are there areas for which WIDA will focus efforts and try to improve in the future? For example, this could include TAC notes from the discussion of these statistics.   For future submissions and the benefit of the program, it would be beneficial for WIDA to provide the reliability information in a more user-friendly format. Narrative summaries would be helpful to the Peers and other audiences in addition to the various page number references. |
| Section 4.1 Summary Statement | | |
| \_\_\_ No additional evidence is required or  \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS & Alternate ACCESS**   * Reliability by various subgroups * Evidence that the use of scores, including composite and domain, is supported by the reliability statistics and then is used to provide direction to states about the appropriate use of scores in high-stakes decisions (e.g. exit decisions). * Evidence that the reliability results are reviewed by WIDA and used to inform ongoing maintenance and development.   **ACCESS**   * For computer-adaptive tests, evidence that the assessments produce test forms with adequately precise estimates of ***an EL’s English proficiency***.   **Alternate ACCESS**   * TIFs for overall composite scores | | |

## Critical Element 4.2 – Fairness and Accessibility

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| ***For all State ELP assessments,*** assessments should be developed, to the extent practicable, using the principles of universal design for learning (UDL) (see definition[[2]](#footnote-2)).  ***For ELP assessments,*** the State has taken reasonable and appropriate steps to ensure that its assessments are accessible to all EL students and fair across student groups, including ELs with disabilities, in their design, development, and analysis. | **ACCESS**   * 2.2-17 The WIDA Accessibility and Accommodations Framework, p. 4. Examples of universal design in ACCESS test items: Test items with multiple modalities, including supporting prompts with appropriate animations and graphics, Embedded scaffolding, tasks broken into “chunks”, modeling using task models and guides * 2.2-17, pp. 11-12. ACCESS also incorporates the use of universal tools that are available to all students, designated supports that are features available to any student, and accommodations for students with disabilities. * 4.2.1 Test and item Design Plan for the Annual Summative and On-demand Screener 2013, p. 14 indicates that items will be developed using the principles of universal design. No elaboration. * 4.2.2 Guidelines for the Use of Accommodations, Accessibility Features, and Allowable Test Administration Procedures for the ACCESS for ELLs * 4.2.3 ACCESS for ELLs 2.0 Accommodations, Accessibility Features, and Allowable Test Administration Procedures for Students Participating in Either the Online or Paper –Based Test Administrations * 4.2.4 Graphics Guidelines * 2.1-2 Annual Technical Report for ACCESS for ELLs, DIF analysis for Hispanic/non-Hispanic and gender. Should include other subgroups.   **Alternate ACCESS**   * 2.1-4 Technical Report for Alternate ACCESS, p. 72-73. Not clear how this relates to the critical element. * 2.2-16, p. 36. Alternate ACCESS for ELLs Accommodation Selections. Only 3 accommodations indicated. Does not address use of braille, eye gaze, and other modes of communication. * Evidence similar to ACCESS submission is not included for Alternate ACCESS. | **ACCESS**   * While information is provided about WIDA’s approach to universal design and accessibility, there is limited information about the processes employed to implement the principles during development and review. * DIF was considered for gender and Hispanic/non-Hispanic, but this should be done for other subgroups as well (e.g., accommodated/non-accommodated, SES).   **Alternate ACCESS**   * Braille and alternate modes of communication are not addressed (e.g. eye gaze, assistive technology). * More guidance is needed about the appropriate instructional supports that can be used during the assessment. Recommend that permitted instructional supports be clearly defined for standardized test administration and for accessibility and fairness. * Evidence related to item development, test design, item reviews for Alternate ACCESS is not provided. * DIF was considered for gender and Hispanic/non-Hispanic, but this should be done for other subgroups as well (e.g., accommodation type, SES). |
| Section 4.2 Summary Statement | | |
| \_\_\_ No additional evidence is required or  \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS & Alternate ACCESS**   * Evidence of the implementation of universal design and accessibility principles during development and review. * Additional DIF analyses to include more student subgroups.   **Alternate ACCESS**   * Evidence related to braille and alternate modes of communication * Definitions of and guidance for appropriate instructional supports that can be used during the assessment | | |

## Critical Element 4.3 – Full Performance Continuum

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State has ensured that each assessment provides an adequately precise estimate of student performance across the full performance continuum for ***ELP assessments***, including performance for EL students with high and low levels of English language proficiency and with different proficiency profiles across the domains of speaking, listening, reading, and writing. | **ACCESS**   * 2.1-2 Annual Technical Report for ACCESS Online ELP Test 2016-17, pp. 95-110. Presents data from online tests that demonstrate students in each grade are represented at each proficiency level. Levels of item difficulty are presented in tables in subsequent pages. * 2.1-2 TIFs are commonly unexpected, for example p.201. * 2.1-5 Annual Technical Report for ACCESS Paper ELP Test 2016-17, pp. 72-91. Presents data from paper tests that demonstrate students in each grade are represented at each proficiency level.   **Alternate ACCESS**   * 2.1.4 Annual Technical Report for Alternate ACCESS 2015-16, pp. 62-66. Displays tables demonstrating students in each grade are performing at each proficiency level. * 2.1-4 Frequency distributions show potential ceiling effects for example p.93. | **ACCESS & Alternate ACCESS**   * Evidence submitted does not support that each assessment provides an adequately precise estimate of student performance across the full performance continuum for ***ELP assessments***, including performance for EL students with high and low levels of English language proficiency.   For future submissions and the benefit of the program, it would be beneficial for WIDA to provide narrative summaries to the Peers and other audiences. For example, the Peers would have found it to be helpful if WIDA would have provided narrative about the unexpected TIFs in 2.1-2 and the frequency distributions in 2.1-4 as well as any additional analyses WIDA conducted in response to these results. |
| Section 4.3 Summary Statement | | |
| \_\_\_ No additional evidence is required or  \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS & Alternate ACCESS**   * Evidence submitted does not support that each assessment provides an adequately precise estimate of student performance across the full performance continuum for ***ELP assessments***, including performance for EL students with high and low levels of English language proficiency. | | |

## Critical Element 4.4 – Scoring

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State has established and documented standardized scoring procedures and protocols for its assessments (and ***for ELP assessments, any applicable domain or component sub-tests***) that are designed to produce reliable and meaningful results, facilitate valid score interpretations, and report assessment results in terms of the State’s ***ELP standards***.  ***For ELP assessments,*** if an English learner has a disability that precludes assessment of the student in one or more of the required domains/components (listening, speaking, reading, and writing) such that there are no appropriate accommodations for the affected domain(s)/component(s), the State must provide a description of how it will ensure that the student is assessed in the remaining domain(s)/component(s) in which it is possible to assess the student, and a description of how this will occur.[[3]](#footnote-3) | **ACCESS**  *Standardized scoring procedures and protocols*   * 4.4-1 Speaking Scoring Scale * 4.4-2 Writing Scoring Scale * 4.4-3 Writing Anchors * 4.4-4 Training for Paper Speaking. 3 online Modules, 2 are required, 1 is recommended. A quiz must be taken to certify the taker may administer and score the speaking test. It is not indicated if the assessment will be accessible to the test administrator if this person does not pass the quiz. * 4.4-5 It is not indicated the audience for this document, how they receive it, or what training is provided in conjunction with receipt of this document. 4.4-6 Not clear how this relates to the critical element. * 4.4-8 Were the recommendations from this study and report implemented? * 2.1-2, pp. 12-15 Raters for Online Speaking and Writing Scoring: Rater qualifications, training, monitoring. Adjacent scores are considered agreement; raters must demonstrate 70% agreement on a qualifying set prior to scoring live responses.   What happens when one is anomalous, for example task 6 on p.202?  Writing task scoring statistics are questionable.   * 2.1.5 Technical Report for ACCESS paper Administration 2016-17, pp. 18-23. Describes scoring procedures for writing scored by DRC and speaking scored by test administrator.   *Less than four domains*   * 4.4-7 Four models are presented to create a composite score when less than four domains are assessed. No recommendations were made, rather these are suggestions of models that the states could use to report a composite score when a student with a disability is assessed in less than four domains. While this situation is considered, there is limited information provided to states to make defensible decisions for these students particularly with regards to the impact on the validity framework.   **Alternate ACCESS**  *Standardized Scoring Procedures*   * 2.1-4 Scripts and directions for scoring are provided in the TAM and are referenced in the TR for Alternate ACCESS. All assessments are scored by the test administrator. * There is no evidence provided that standardized scoring procedures are applied given the local scoring. | **ACCESS**   * The Peers found the claims of 95%+ agreement questionable for writing tasks. * There was no evidence provided about how WIDA makes use of the results, for example, when agreement rates are lower for one task. * 4.4-8 documented that paper scoring of speaking by the student’s teacher results in higher scores. Therefore, why is module 3 not required and how is the rating monitored to ensure reliable results? There are recommendations for monitoring raters who administer the speaking test in 4.4-8, but how are these recommendations implemented and monitored? * WIDA provided evidence of four models for states to consider if an English learner has a disability that precludes assessment of the student in one or more of the required domains/components (listening, speaking, reading, and writing) such that there are no appropriate accommodations for the affected domain(s)/component(s). States must provide a description of how it will ensure that the student is assessed in the remaining domain(s)/component(s) in which it is possible to assess the student, and a description of how this will occur.   **Alternate ACCESS**   * There is no evidence of the implementation of standardized scoring procedures. This could include monitoring of test administration, a second scorer in the room during test administration, analyses of scores to identify test irregularities or qualification of scorers. * Definitions of key terms and test administration and scoring procedures (e.g. cueing, attending, approaching, permissible individualized instructional supports that can be used during assessment) are not included which likely leads to inconsistent administration and scoring. * WIDA provided evidence of four models for states to consider if an English learner has a disability that precludes assessment of the student in one or more of the required domains/components (listening, speaking, reading, and writing) such that there are no appropriate accommodations for the affected domain(s)/component(s). States must provide a description of how it will ensure that the student is assessed in the remaining domain(s)/component(s) in which it is possible to assess the student, and a description of how this will occur. |
| Section 4.4 Summary Statement | | |
| \_\_\_ No additional evidence is required or  \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS**   * The definition of exact agreement for writing is not recommended. This should be redefined and then analyses redone. * Evidence that the recommendations about the paper speaking test are implemented and monitored.   **Alternate ACCESS**   * Evidence of the implementation of standardized scoring procedures and monitoring and to include definitions of key terms and test administration and scoring procedures.   **ACCESS & Alternate ACCESS**   * Evidence that if an English learner has a disability that precludes assessment of the student in one or more of the required domains/components (listening, speaking, reading, and writing) such that there are no appropriate accommodations for the affected domain(s)/component(s), the State must provide a description of how it will ensure that the student is assessed in the remaining domain(s)/component(s) in which it is possible to assess the student, and a description of how this will occur. (This is expected from States.) | | |

## Critical Element 4.5 – Multiple Assessment Forms

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| If the State administers multiple forms of ***ELP assessments*** within or across grade-spans, ELP levels, or school years, the State ensures that all forms adequately represent the State’s ***ELP standards*** and yield consistent score interpretations such that the forms are comparable within and across settings. | **ACCESS**   * 2.1-2 p.54 ACCESS Online. Equating summary for year to year analysis.   Why isn’t Listening refreshed?   * 2.1-5 ACCESS paper. Based on ACCESS Online. No equating for Reading and Listening. Aren’t the ACCESS 1.0 data out of date?   **Alternate ACCESS**   * 2.1-4 Alternate ACCESS. No equating. Same items since field test in 2013? | **ACCESS**   * The evidence did not include sufficient information for Listening. Specifically, a rationale for why the test was not refreshed, a plan to refresh in the future and an explanation of the year to year use of item parameters (e.g. were item parameters for the domain used from previous years?). * The evidence did not include sufficient information for the paper version of Reading and Listening. Specifically, a rationale for why equating was not done. * No evidence included to demonstrate that the content representativeness of the anchor item sets are considered. Where applicable, a rationale for the use of anchor items over time and potential refreshment.   **Alternate ACCESS**   * The evidence does not include a rationale for using the same items each year since 2013 and how this does not threaten the validity of the scores. |

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| Section 4.5 Summary Statement |
| \_\_\_ No additional evidence is required or  \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS**   * Additional evidence that the Listening domain yields consistent score interpretations such that the forms are comparable within and across settings * Rationales for why equating is not done for the paper versions of the Reading and Listening domains * Additional considerations and rationales related to the anchor item sets.   **Alternate ACCESS**   * Rationales for why item refreshment is not done and how this does not impact the validity of the scores. |

## Critical Element 4.6 – Multiple Versions of an Assessment

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| If the State administers any of its assessments in multiple versions within a subject area (e.g., online versus paper-based delivery), grade level, or school year, the State:   * Followed a design and development process to support comparable interpretations of results for students tested across the versions of the assessments; * Documented adequate evidence of comparability of the meaning and interpretations of the assessment results. | **ACCESS**  Online and paper comparability   * Comparability studies done, Evidence 4.6-1, 4.6-2, 4.6-6, 4.6-12 * Results shared with TAC, Evidence 4.6-4, 4.6-5, 4.6-8 Based on input from TAC implemented equipercentile equating, Evidence 4.6-10, 4.6-11, 4.6-12, 4.6-13 * Will continue to monitor   **Alternate ACCESS**  N/A | **ACCESS**   * Given the effect sizes found in 4.6-6, there is limited evidence of the degree to which these differences are explained by mode or if other factors may have contributed (e.g. impact of leniency in local scoring for speaking). * The narrative in this section was helpful in understanding how this critical element has been addressed over time including follow up actions taken after studies. |
| Section 4.6 Summary Statement | | |
| \_X\_\_ No additional evidence is required or  \_\_\_ The following additional evidence is needed/provide brief rationale: | | |

## Critical Element 4.7 – Technical Analysis and Ongoing Maintenance

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| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State:   * Has a system for monitoring, maintaining, and improving, as needed, the quality of its assessment system, including clear and technically sound criteria for the analyses of all of the assessments in its assessment system (i.e., general assessments and alternate assessments), and * Evidence of adequate technical quality is made public, including on the State’s website. | **ACCESS**  System for monitoring, maintaining, improving   * Regular TAC meetings * Subcommittees * Concern about the ability to track all of the issues and address areas of improvement over time. Given the size and complexity of the program and given the evidence submitted for various critical elements, WIDA has not demonstrated that the various analyses and results are tracked over time.   Made public   * Evidence is not provided.   **Alternate ACCESS**   * No evidence provided. | **ACCESS**  System for monitoring, maintain, improving   * The TAC and subcommittees address many issues or topics; however, more broadly for the program, there appears to be a gap between the results of analyses and studies and the way in which that information is used to improve the program. These have been noted in other critical elements for specific analyses and studies. There is no evidence of a complete system (e.g., action plan, timelines, annual work plan). |
| Section 4.7 Summary Statement | | |
| \_\_\_ No additional evidence is required or  \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS & Alternate ACCESS**   * Evidence of a system for monitoring, maintaining, and improving, as needed, the quality of its assessment system, including clear and technically sound criteria for the analyses of all of the assessments in its assessment system (i.e., general assessments and alternate assessments), * Evidence of adequate technical quality is made public, including on the State’s website is not provided. | | |

# SECTION 5: INCLUSION OF ALL STUDENTS

## Critical Element 5.1 – Procedures for Including Students with Disabilities

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
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| The State has in place procedures to ensure the inclusion of all public elementary and secondary school students[[4]](#footnote-4) with disabilities in the State’s assessment system. Decisions about how to assess students with disabilities must be made by a student’s IEP Team under IDEA, the placement team under Section 504, or the individual or team designated by a district to make that decision under Title II of the ADA, as applicable, based on each student’s individual abilities and needs.   * ***For ELP assessments,*** policies that require the inclusion of an EL with a disability that precludes assessment of the student in one or more of the required domains (speaking, listening, reading, and writing) such that there are no appropriate accommodations for the affected component (the State must assess the student’s English language proficiency based on the remaining components in which it is possible to assess the student). | **ACCESS**  2.2-16 Participation Guidelines, p.4, includes information for students who are deaf  **Alternate ACCESS**  2.2-16 Recommended Participation Guidelines, p.27 | **ACCESS & Alternate ACCESS**  This critical element is primarily addressed by states and informed by the information provided by WIDA. |

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| Section 5.1 Summary Statement |
| \_\_\_ No additional evidence is required or  \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS & Alternate ACCESS**   * Evidence to be provided by states. |

## Critical Element 5.2 – DOES NOT APPLY to ELP Assessment Peer Review

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
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| * + Note: This critical element does not apply to ELP assessments, as the requirements only apply to the inclusion of ELs in **academic assessments**. |  |  |
| Section 5.2 Summary Statement | | |
| \_\_\_ No additional evidence is required or  \_\_\_ The following additional evidence is needed/provide brief rationale:   * [list additional evidence needed w/brief rationale] | | |

## Critical Element 5.3 – Accommodations

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
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| The State makes available appropriate accommodations and ensures that its assessments are accessible to students with disabilities and ELs, including ELs with disabilities. Specifically, the State:   * Ensures that appropriate accommodations are available for ELs; * Has determined that the accommodations it provides (1) are appropriate and effective for meeting the individual student’s need(s) to participate in the assessments, (2) do not alter the construct being assessed, and (3) allow meaningful interpretations of results and comparison of scores for students who need and receive accommodations and students who do not need and do not receive accommodations; * Has a process to individually review and allow exceptional requests for a small number of students who require accommodations beyond those routinely allowed. * Ensures that accommodations for all required assessments do not deny students with disabilities or ELs the opportunity to participate in the assessment and any benefits from participation in the assessment. | **ACCESS**  Appropriate accommodations available   * 2.2-16 Accessibility and Accommodations Supplement, pp. 13-24. Sixteen accommodations with descriptions provided * pp. 30-32. Procedures to transcribe and scribe. * It is notable that there is a lack of specific qualifications for who can be a test administrator, transcriber and scribe. * 2.2-17 WIDA Accessibility and Accommodations Framework * 2.3-1, 15. Test Administration Manual, lists allowable test accommodations. * 5.3-1 Screenshot of contents of online training modules; accommodations are included * 5.3-2 Screenshot. Not clear how this applies to accommodations * 5.3-6 SEA Accessibility and Accommodations Policies 2018-19. A template for SEA-specific policies. Do SEAs use this?   Bullet 2   * 5.3-3 Findings from Focus Groups. This study focused on the use of technology and was limited in size. Several recommendations were made related to technology use; did not address alteration of construct being assessed or meaningful interpretation of results. * 5.3-4 Investigating K-12 ELs Use of Universal Tools Embedded in Online Language Assessments. Did not address accommodations, only universal tools. * Evidence here is limited.   Exceptional requests   * 5.3-7 Unique Accommodations Request Form – SEAs may adopt this form for use   Accommodations do not deny swd or ELS opportunity to participate or benefit from participation in assessment   * Not addressed directly * No evidence that they are denied.   **Alternate ACCESS**   * 2.2-16, p. 36. Only three accommodations are listed in the Accessibility and Accommodations Supplement. The use of braille, various response modes, etc. are not identified as accommodations. “Individualized instructional supports” are permitted, but these are not defined. * 2.3-1, p. 143 “During the administration of Alternate ACCESS for ELLs, individualized instructional supports that are used by teachers in everyday classroom instruction may be used to meet individual student needs, *only if they do change what is being measured on the assessment.*” Likely a typo. Permissable individualized instructional supports for use in the assessment need to be defined. | **ACCESS**   * Evidence that the accommodations it provides (1) are appropriate and effective for meeting the individual student’s need(s) to participate in the assessments, (2) do not alter the construct being assessed, and (3) allow meaningful interpretations of results and comparison of scores for students who need and receive accommodations and students who do not need and do not receive accommodations; * Has a process to individually review and allow exceptional requests for a small number of students who require accommodations beyond those routinely allowed. WIDA provided a sample document in support of this, but the process will be implemented by the state. * It is unclear if WIDA requires all states to implement accommodations as outlined in the provided evidence or if states are permitted to alter these.   **Alternate ACCESS**   * Evidence for all aspects of this critical element are needed. * Evidence that students who need braille and/or alternate response modes are able to participate. * It is strongly recommended that the permissible individualized instructional supports be identified and described in the TAM and/or test administration script to ensure validity of test scores and reduce occurrence of test irregularities. |

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| Section 5.3 Summary Statement |
| \_\_\_ No additional evidence is required or  \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS**   * Evidence that the accommodations it provides (1) are appropriate and effective for meeting the individual student’s need(s) to participate in the assessments, (2) do not alter the construct being assessed, and (3) allow meaningful interpretations of results and comparison of scores for students who need and receive accommodations and students who do not need and do not receive accommodations; * Evidence of a process to individually review and allow exceptional requests for a small number of students who require accommodations beyond those routinely allowed. (Provided by states)   **Alternate ACCESS**   * Evidence is needed for all aspects of this critical element. |

## Critical Element 5.4 – Monitoring Test Administration for Special Populations

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
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| The State monitors test administration in its districts and schools to ensure that appropriate assessments, with or without accommodations, are selected for all students with disabilities and ELs so that they are appropriately included in assessments and receive accommodations that are:   * Consistent with the State’s policies for accommodations; * Appropriate for addressing a student’s disability or language needs for each assessment administered; * Consistent with accommodations provided to the students during instruction and/or practice; * Consistent with the assessment accommodations identified by a student’s IEP Team under IDEA, placement team convened under Section 504; or for students covered by Title II of the ADA, the individual or team designated by a district to make these decisions; or another process for an EL; * Administered with fidelity to test administration procedures; * Monitored for administrations of all required ELP assessments, and AELPA. |  | See states |

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| Section 5.4 Summary Statement |
| \_\_\_ No additional evidence is required or  \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS & Alternate ACCESS**   * Evidence to be provided by states. |

# SECTION 6: ELP ACHIEVEMENT STANDARDS AND REPORTING

## Critical Element 6.1 – State Adoption of ELP Achievement Standards for All Students

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
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| ***For ELP standards:***   * The State adopted ELP achievement standards that address the different proficiency levels of ELs; * If the State has developed alternate ELP achievement standards, it has adopted them only for ELs who are students with the most significant cognitive disabilities who cannot participate in the regular ELP assessment even with appropriate accommodations. |  | See states |
| Section 6.1 Summary Statement | | |
| \_\_\_ No additional evidence is required or  \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS & Alternate ACCESS**   * Evidence to be provided by states. | | |

## Critical Element 6.2 – ELP Achievement Standards Setting

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
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| The State used a technically sound method and process that involved panelists with appropriate experience and expertise for setting:   * ***ELP achievement standards and, as applicable, alternate ELP achievement standards***, such that:   + Cut scores are developed for every grade/grade band, content domain/language domain, and/or composite for which proficiency-level scores are reported. | **ACCESS**   * 6.1-1 Assessment Proficiency Level Scores Standard Setting Project Report. This report documents in detail the standard setting plan and rationale for the methodologies, processes used to identify and select panelists, the training provided panelists, and how the final recommendations were determined. The standard setting plan was reviewed by an outside expert; suggestions were made for refining some of the processes. * 6.1-2 Research Memorandum: Recommended Cuts. Standard setting and subsequent analysis resulted in recommendations for cut scores for grades K-12 for the four domains at six proficiency levels as well as composite scores for each proficiency-level score.   **Alternate ACCESS**   * 6.1-3, p. 12-15. Using Angoff Yes/No method, cut scores for four domain scores and four composite scores were established. * p. 12. The same four cut scores are used for all grades by domain. * 2.1-4 p. 5-6 “As discussed in 1.3.3, because the test blueprints across grade-level clusters by domain are the same, and the Alternate ELP levels and AMPIs for the test tasks across grade-level clusters pose nearly identical linguistic challenges and differ only in the topics presented, common cut scores were set across grade-level clusters by domain.” | **ACCESS**  Adequate evidence provided of standards setting.  **Alternate ACCESS**   * 6.1-3 p. 12 “…it appears more appropriate to use the same cut scores for all grade clusters (from grades 1 to 12) by domain. In this way, it will easier to detect growth in English language proficiency from year to year for this population of English learners.”   The Peers disagree with this approach and feel the same philosophy or theoretical understanding of language development be applied across ACCESS and Alternate ACCESS unless a divergence is supported by the research.  This approach calls into question the alignment of the Alternate ACCESS to the ELPs and to the academic content standards.   * The Peers noted that the number of cut scores established during standard setting did not correspond to the number of performance levels (despite 6 levels, only 4 cut scores established during standard setting). In 6.4-3, a footnote in the sample score report states that, “… the Listening, Speaking and Reading domains do not include test items targeting proficiency levels P3 and above; therefore, students cannot demonstrate English proficiency at levels P3 and higher…”   How was the P3 cut score determined for Writing? And why does WIDA feel that it is reasonable and defensible to exclude the higher level of performance from most domains?   * To address the concerns cited here, WIDA should have Cut scores that are developed for every grade/grade band, content domain/language domain, and/or composite for which proficiency-level scores are reported |
| Section 6.2 Summary Statement | | |
| \_X\_\_ No additional evidence is required for ACCESS  \_X\_\_ The following additional evidence is needed/provide brief rationale:  **Alternate ACCESS**   * Cut scores are developed for every grade/grade band, content domain/language domain, and/or composite for which proficiency-level scores are reported. | | |

## Critical Element 6.3 –Aligned ELP Achievement Standards

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
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| ***For ELP achievement standards*:**  The State has ensured that ELP assessment results are expressed in terms that are clearly aligned with the State’s ELP standards, and its ELP performance-level descriptors.  If the State has adopted alternate ELP achievement standards for ELs who are students with the most significant cognitive disabilities, the alternate ELP achievement standards should be linked to the State’s grade-level/grade-band ELP standards, and should reflect professional judgment of the highest ELP achievement standards possible for ELs who are students with the most significant cognitive disabilities. | **ACCESS**  Alignment with ELP Standards and PLDs   * 6.1-1 Proficiency Level Scores Standard Setting Project, pp. 26-40 * 6.4-2 Interpretive Guide includes performance level descriptors * It is not clear that the citations provided relate to this critical element.   **Alternate ACCESS**  Alternate ELP achievement standards are linked to State’s grade-level/grade-band ELP standards   * 2.1-4, p. 5 “The goal of the Standard Setting Study was to interpret performances on the Alternate ACCESS operational field test form in terms of the WIDA ELD Standards, AMPIs, and the WIDA Alternate ELP levels.” * 2.1-4, p. 3 “These language proficiency levels are thoroughly embedded in the WIDA ELD Standards in a two-pronged fashion. First, they appear in the **performance definitions**. According to the WIDA ELD Standards*,* the performance definitions provide a global overview of the stages of the language acquisition process. As such, they complement the **Alternate Model Performance Indicators** (AMPIs) for each language proficiency level (see the next paragraph for further description of the AMPIs). Second, the language proficiency levels of the WIDA ELD Standards are fully embedded in the accompanying AMPIs, which exemplify the Standards. The AMPIs describe the expectations for ELLs with significant cognitive disabilities for each of the four **Standards,** at the four different **grade-level clusters**, across four **language domains**, and at each of the **language proficiency levels**. The sequence of these five AMPIs together describes a logical progression and accumulation of skills on the path from the lowest level of ELP to full proficiency for academic success. This progression is called a ‘strand.’” However, based on the statement below, (above?)evidence has yet to be established that there is a link between the AMPIs and WIDAs ELP Standards. | **ACCESS**   * The Peers could not locate evidence to demonstrate that the ELP standards were referenced during the development of the performance level descriptors.   **Alternate ACCESS**   * Peer Review narrative, 3.1, p. 2. “There has not yet been an independent alignment study between the Alternate ACCESS for ELLs assessment and the alternate model performance indicators (AMPIs), nor has there been a linking study examining the relationship between the AMPIs and WIDA’s ELP standards.” * Evidence that the achievement standards reflect professional judgment of the highest ELP achievement standards possible for ELs who are students with the most significant cognitive disabilities. |
| Section 6.3 Summary Statement | | |
| \_\_\_ No additional evidence is required or  \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS**   * Evidence to demonstrate that the ELP standards were referenced during the development of the performance level descriptors   **Alternate ACCESS**   * Evidence that the alternate ELP achievement standards [are] linked to the State’s grade-level/grade-band ELP standards, and reflect professional judgment of the highest ELP achievement standards possible for ELs who are students with the most significant cognitive disabilities | | |

## Critical Element 6.4 – Reporting

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| --- | --- | --- |
| The State reports its assessment results for all students assessed, and the reporting facilitates timely, appropriate, credible, and defensible interpretations and uses of those results by parents, educators, State officials, policymakers and other stakeholders, and the public.  The State reports to the public its assessment results on ***English language proficiency for all ELs*** ***including the number and percentage of ELs attaining ELP***.  For the ***ELP assessment***, the State provides coherent and timely information about each student’s attainment of the State’s ELP standards to parents that:   * + Reports the ***ELs’ English proficiency*** in terms of the State’s grade level/grade-band ELP standards (including performance-level descriptors);   + Are provided in an understandable and uniform format;   + Are, to the extent practicable, written in a language that parents and guardians can understand or, if it is not practicable to provide written translations to a parent or guardian with limited English proficiency, are orally translated for such parent or guardian;   + Upon request by a parent who is an individual with a disability as defined by the ADA, as amended, are provided in an alternative format accessible to that parent. | **ACCESS**  WIDA provides score reports.  State determines timelines.  Written in a language parents and guardians can understand, or are orally translated   * 6.4-2 Spring 2018 Interpretive Guide for Score Reports K-12, p. 16. Translations are available in 46 languages; a translated report should accompany the official report in English. List of languages and a Spanish translation is in Appendix B. * A reference could not be located about oral translation.   Provided in a format accessible to a parent with disability   * A reference could not be located.   **Alternate ACCESS**  Student reports include ELs English proficiency in terms of State’s grade level/grade-band ELP standards including PLDs   * 6.4-3, p. 14. Individual student’s scores for each language domain, and four composites: Oral Language, Literacy, Comprehension, and Overall Score. Reported scores:   + Raw scores in the Listening and Reading domains   + scale scores   + confidence bands   + language proficiency levels * p. 19 Example of a student report with proficiency levels for each domain, oral language, literacy, comprehension, and an overall composite score. * On the example score report, it may be less confusing to report N/A or leave cells blank for Cue C on Listening which was not applicable rather than reporting 0 and 0%. * P. 29 Appendix A: Alternate ACCESS Performance Level Descriptors. Figure A-1 Individual Student Report (p.3)   Written in a language parents and guardians can understand, or are orally translated   * 6.4-3 Spring 2018 Interpretive Guide for Score Reports Grades 1-12, p. 15. Translations are available in 46 languages; a translated report should accompany the official report in English. * A reference could not be located about oral translation.   Provided in a format accessible to a parent with disability   * A reference could not be located | **ACCESS & Alternate ACCESS**  Several aspects of this critical element will need to be addressed by states.  **Alternate ACCESS**  The performance level descriptors do not appear to be included in the student score report as required by this critical element (6.4-3 p. 19). |

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| Section 6.4 Summary Statement |
| \_\_\_ No additional evidence is required or  \_X\_\_ The following additional evidence is needed/provide brief rationale:  **ACCESS & Alternate ACCESS**   * The State reports to the public its assessment results on ***English language proficiency for all ELs*** ***including the number and percentage of ELs attaining ELP***. (provided by the State) * The State reports its assessment results for all students assessed, and the reporting facilitates **timely** interpretations and uses of those results by parents, educators, State officials, policymakers and other stakeholders, and the public. (provided by the State)   + the State provides coherent and timely information about each student’s attainment of the State’s ELP standards to parents that are, to the extent practicable, written in a language that parents and guardians can understand or, if it is not practicable to provide written translations to a parent or guardian with limited English proficiency, are orally translated for such parent or guardian (provided by the State)   + the State provides coherent and timely information about each student’s attainment of the State’s ELP standards to parents that upon request by a parent who is an individual with a disability as defined by the ADA, as amended, are provided in an alternative format accessible to that parent. (provided by the State)   **Alternate ACCESS**   * Inclusion of performance level descriptors on student score reports |

# SECTION 7: DOES NOT APPLY TO ELP ASSESSMENT PEER REVIEW

U. S. Department of Education

**Peer Review of State Assessment Systems**

**April State ELP Assessment Peer Review Notes**



**U. S. Department of Education**

**Office of Elementary and Secondary Education**

**Washington, D.C. 20202**

**Note: Peer review notes provide the combined recommendations of the individual peers to the U.S. Department of Education (Department), based on the statute and regulations, the Department’s peer review guidance, and the peers’ professional judgement of the evidence submitted by the State. These assessment peer review notes, however, do not necessarily reflect the final set of additional evidence, if any, that a State may need to submit to demonstrate that its assessment system meets all of the critical elements for assessment peer review. Although the peer notes inform the Secretary’s consideration of each State’s assessment system, the Department makes the final decision regarding whether the assessment system meets the requirements in the statute and regulations. As a result, these peer notes may not completely align with the final determination made by the Department.**

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# SECTION 1: STATEWIDE SYSTEM OF STANDARDS AND ASSESSMENTS

## Critical Element 1.1 – State Adoption of ELP Standards for All English Learners

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| --- | --- | --- |
| ***For English language proficiency (ELP) standards:***  The State formally adopted K-12 ELP standards for all ELs in public schools in the State. | The State formally adopted K-12 ELP standards for all ELs in public schools in the State.  **ACCESS for ELLs Adoption of Standard**  1\_1-1 ELP Standards for All English Learners (pages 5-7)  **Alignment of the Standards:**  1\_1-2 Alignment Between Kentucky ELP standards to WIDA Consortium English Language Proficiency Standards  1\_1-3 A Alignment Between Kentucky Core Content for Assessment to WIDA ELP Standards (April)  1\_1-3 B Alignment Between Kentucky Core Content for Assessment to WIDA ELP Standards (August) | Document 1\_1-1 (Monitoring Review) refers to evidence of prior adoption of State academic and ELP standards (2009).  There is no evidence of adoption of alternate ELP standards.  Since there were alignment studies provided for Kentucky’s ELP standards to WIDA standards, there is an indication that Kentucky did not adopt WIDA standards, but only the WIDA ELP standards were submitted as evidence.  ELP and alternate ELP standards are not provided.  Alignment documents are not evidence for this critical element as they do not provide the actual standards, only the summaries of the alignment studies. |
| Section 1.1 Summary Statement | | |
| \_x\_\_ The following additional evidence is needed/provide brief rationale:   * Formal adoption of ELP and Alternate ELP standards i.e. State board minutes of adoption of standards. | | |

## Critical Element 1.2 – Coherent and Progressive ELP Standards that Correspond to the State’s Academic Content Standards

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| --- | --- | --- |
| ***For ELP standards:***  The ELP standards:   * are derived from the four domains of speaking, listening, reading, and writing; * address the different proficiency levels of ELs; and align to the State academic content standards (see definition[[5]](#footnote-5)). The ELP standards must contain language proficiency expectations that reflect the language needed for ELs to acquire and demonstrate their achievement of the knowledge and skills identified in the State’s academic content standards appropriate to each grade-level/grade-band in at least reading/language arts, mathematics, and science. | ***For ELP standards:***  The ELP standards:   * are derived from the four domains of speaking, listening, reading, and writing; address the different proficiency levels of ELs; and align to the State academic content standards (see definition[6](#_bookmark5)). The ELP standards must contain language proficiency expectations that reflect standards must contain language proficiency expectations that reflect the language needed for ELs to acquire and demonstrate their achievement of the knowledge and skills identified in the State’s academic content standards appropriate to each grade-level/grade-band in at least reading/language arts, mathematics, and science.   **WIDA 2007 and 2012 ELD Standards**  1\_2-1 WIDA 2007 Standards  1\_2-2 WIDA 2012 Amplification ELD Standards  **Alignment of the Standards:**  1\_2-3 Kentucky Academic Standards English/ELA  1\_2-4 Kentucky Academic Standards Math 1\_2-5 Kentucky Academic Standards Science  **Alignment of the Standards:**  1\_1-2 Alignment Between Kentucky ELP standards to WIDA Consortium English Language Proficiency Standards  1\_1-3 A Alignment Between Kentucky Core Content for Assessment to WIDA ELP Standards (April)  1\_1-3 B Alignment Between Kentucky Core Content for Assessment to WIDA ELP Standards (August) | Documents 1\_2-3 and 1\_2-4 are not ELP standards.  As indicated in alignment documents, the ELP standards are derived from the four domains of speaking, listening, reading, and writing.  That the standards address the different proficiency levels of ELs has not been identified in any of the evidence documents. A copy of the ELP standards has not been submitted as evidence.  Document 1\_1-2 Alignment Between Kentucky ELP standards to WIDA Consortium English Language Proficiency Standards - Indicates limited alignment for: Grades 3-5 speaking; and grades 9-12 listening |
| Section 1.2 Summary Statement | | |
| \_x\_\_ The following additional evidence is needed/provide brief rationale:   * Proficiency expectations that reflect the language needed for ELs to acquire and demonstrate their achievement of the knowledge and skills identified in the State’s academic content standards appropriate to each grade-level/grade-band in at least reading/language arts, mathematics, and science, for both ELP and alternate ELP standards. | | |

## Critical Element 1.3 – Required Assessments

|  |  |  |
| --- | --- | --- |
| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State’s assessment system includes an ***annual general and alternate ELP assessment*** (aligned with State ELP standards) administered to:   * All ELs in grades K-12. | Legislative Statutes  1\_3-1 KRS 158.6455 School Accountability System (page 1)  1\_3-2 703 KAR 5:080 Administration Code (page 16) | Kentucky’s legislative statutes include the requirement that ELs in Kentucky are assessed in grades K-12, including an alternate ELP assessment.  However, the Alternate ACCESS is not available in kindergarten, only grades 1-12. |
| Section 1.3 Summary Statement | | |
| \_\_x\_ The following additional evidence is needed/provide brief rationale:   * Evidence that an alternate ELP assessment is available in kindergarten. | | |

## Critical Element 1.4 – Policies for Including All Students in Assessments

|  |  |  |
| --- | --- | --- |
| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| * The State has policies that require the inclusion ***of all public elementary and secondary ELs in the State’s ELP assessment***, including ELs with disabilities. | State Regulation  1\_4-1 703 KAR 5:070 Inclusions of Special  Populations (page 16)  Test Administrator’s Manual and Supplement  1\_4-2 Test Administration Manual-ACCESS for  ELLs 2.0, Kindergarten ACCESS for ELLs and  Alternate ACCESS for ELLs  1\_4-3 WIDA Accessibility and Accommodations  Supplement | Kentucky provided evidence that its regulations and policies require the inclusion of all public elementary and secondary ELs in the ELP assessment, including ELs with disabilities. However, the Alternate ACCESS is not available in kindergarten, only grades 1-12. |
| Section 1.4 Summary Statement | | |
| \_\_x\_ The following additional evidence is needed/provide brief rationale:   * Evidence that an alternate ELP assessment is available in kindergarten (see Critical Element 1.3). | | |

## Critical Element 1.5 – Meaningful Consultation in the Development of Challenging State Standards and Assessments

**(**Note: this is a new requirement under ESSA, so it does not apply to standards and assessments adopted prior to the passage of ESSA (December 2015)).

|  |  |  |
| --- | --- | --- |
| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| If the State has developed or amended challenging ***ELP*** standards and assessments, the State has conducted meaningful and timely consultation with:   * State leaders, including the Governor, members of the State legislature and State board of education (if the State has a State board of education). * Local educational agencies (including those located in rural areas). * Representatives of Indian tribes located in the State. * Teachers, principals, other school leaders, charter school leaders (if the State has charter schools), specialized instructional support personnel, paraprofessionals, administrators, other staff, and parents. | N/A | The Kentucky State Board adopted ELP standards in draft form in 2003, and Kentucky formally became a member of the WIDA consortium in 2006. No further evidence is needed for this Critical Element. |
| Section 1.5 Summary Statement | | |
| \_x\_\_ No additional evidence is required | | |

# SECTION 2: ASSESSMENT SYSTEM OPERATIONS

## Critical Element 2.1 – Test Design and Development

|  |  |  |
| --- | --- | --- |
| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State’s test design and test development process is well-suited for the content, is technically sound, aligns the assessments to ***the depth and breadth of the State’s ELP standards,*** and includes:   * Statement(s) of the purposes of the assessments and the intended interpretations and uses of results; * Test blueprints that describe the structure of each assessment in sufficient detail to support the development of assessments that are technically sound, measure the depth and breadth of***the State’s ELP standards***, and support the intended interpretations and uses of the results. * Processes to ensure that the ELP assessment is tailored to the knowledge and skills included in ***the State’s ELP standards*** and reflects appropriate inclusion of the range of complexity found in the standards. * If the State administers computer-adaptive assessments, the item pool and item selection procedures adequately support the test design and intended uses and interpretations of results. * If the State administers a computer-adaptive assessment, it makes proficiency determinations with respect to the grade in which the student is enrolled and uses that determination for all reporting.   If the State administers a content assessment that includes portfolios, such assessment may be partially administered through a portfolio but may not be *entirely* administered through a portfolio. | Evidence for Critical Element 2.1 is submitted by the WIDA Consortium for Kentucky. | Comment from the WIDA Peer Review notes: “Because decision rules vary by state, states will need to address how the scores are used and interpreted for their students.”  Kentucky did not provide evidence indicating the intended interpretations and uses of assessment results. |
| Section 2.1 Summary Statement | | |
| \_x\_\_ The following additional evidence is needed/provide brief rationale:   * See WIDA Peer Review Notes * How the scores are used and interpreted for Kentucky’s students. | | |

## Critical Element 2.2 – Item Development

|  |  |  |
| --- | --- | --- |
| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State uses reasonable and technically sound procedures to develop and select items to:   * Assess student English language proficiency based on the ***State’s ELP standards*** in terms of content and language processes. | Evidence for Critical Element 2.2 is submitted by the WIDA Consortium for Kentucky. |  |
| Section 2.2 Summary Statement | | |
| \_x\_\_ The following additional evidence is needed/provide brief rationale:   * See WIDA Peer Review Notes | | |

## Critical Element 2.3 – Test Administration

|  |  |  |
| --- | --- | --- |
| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State implements policies and procedures for standardized test administration; specifically, the State:   * Has established and communicates to educators clear, thorough and consistent standardized procedures for the administration of its assessments, including administration with accommodations; * Has established procedures to ensure that general and special education teachers, paraprofessionals, teachers of ELs, specialized instructional support personnel, and other appropriate staff receive necessary training to administer assessments and know how to administer assessments, including, as necessary, alternate assessments, and know how to make use of appropriate accommodations during assessments for all students with disabilities; * If the State administers technology-based assessments, the State has defined technology and other related requirements, included technology-based test administration in its standardized procedures for test administration, and established contingency plans to address possible technology challenges during test administration. | The State implements policies and procedures for standardized test administration; specifically, the State: Has established and communicates to educators clear, thorough and consistent standardized procedures for the administration of its assessments, including administration with accommodations;  **Regulations/Training Materials**  *Administration Code*  1\_3-2 703 KAR 5:080 Administration Code  2\_3-1 KBE Summary Minutes February 2014 meeting (pp 12)  2\_3-2 Administration Code 2017 PowerPoint  2\_3-3 Administration Code Training Group Signature Sheet  2-3-3 A Jefferson Fall Signature Sheet (Example)  *Inclusion of Special Populations*  1\_4-1 703 KAR 5:070 Inclusion of Special Populations  2\_3-4 KBE Summary Minutes February 2014 meeting (pp.11)  2\_3-5 Inclusion of Special Populations 2018 PowerPoint  2\_3-6 Inclusion of Special Populations Training Group Signature Sheet  2\_3-6 A Fayette Winter Signature Sheet (Example)  **Recorded Training**  2\_3-7 Administration Code for Kentucky’s Educational Assessment Program  2\_3-8 [Inclusions of Special Populations Training](https://mediaportal.education.ky.gov/assessment-and-accountability/2018/10/inclusion-of-special-populations-training-703-kar-5070-2/)   * Has established procedures to ensure that general and special education teachers, paraprofessionals, teachers of ELs, specialized instructional support personnel, and other appropriate staff receive necessary training to administer assessments and know how to administer assessments, including, as necessary, alternate assessments, and know how to make use of appropriate accommodations during assessments for all students with disabilities;   **ACCESS for ELLs and Alternate ACCESS for ELLs 2018-19 Trainings**  2\_3-9 Overview  2\_3-10 User Accounts 2\_3-11 Materials Ordering 2\_3-12 Test Setup  2\_3-13 Writing Tier Placement  2\_3-14 Roster Training in Student Data Review and Roster Application  **Test Administrator’s Manual**  2\_3-15 2018-2019 Test Administration Manual- ACCESS for ELLs 2.0, Kindergarten ACCESS for ELLs and Alternate ACCESS for ELLs  **Monday DAC E-mails**  2\_3-16 Monday DAC E-mail- July 2, 2018  2\_3-17 Special DAC E-mail- July 25, 2018  2\_3-18 Monday DAC E-mail- July 30, 2018  2\_3-19 Monday DAC E-mail- August 8, 2018 2\_3-20 Monday DAC E-mail- October 1, 2018  2\_3-21 Monday DAC E-mail- October 15, 2018 2\_3-22 Special Monday DAC E-mail- November 13, 2018  2\_3-23 Monday DAC E-mail- December 3, 2018  **DAC Monthly Webcasts**  2\_3-24 DAC Monthly Webcasts- July 2018 PowerPoint and Video  2\_3-25 DAC Monthly Webcasts- December 2018 PowerPoint and Video  2\_3-26 DAC Monthly Webcast-March 2019 PowerPoint  **Data Recognition Corporation (DRC): Kentucky E-mails**  2\_3-27 Email 1 – WIDA AMS  2\_3-28 Email 2 – Technology Resources  2\_3-29 Email 3 – Materials Ordering Opening 2\_3-30 Email 4- Materials Ordering Closing  2\_3-31 Email 6 –Sent to SEA only, no districts 2\_3-32 Email 8 – Test Setup Opening  2\_3-33 Email 9 – Materials Arrive  2\_3-34 Email 10 – Additional Materials Ordering Opening  2\_3-35 Email 11 – Additional Materials Ordering Closing  2\_3-36 Email 12 – Test Window Opening  2\_3-37 Email 13 – Test Window Closing | Evidence of training on assessment administration was provided.  Evidence of communication to educators provided.  Not specific evidence for ACCESS or Alternate ACCESS regarding communication and training.  Accommodations for ACCESS and Alternate ACCESS can be found in evidence 2\_3-15 2018-2019 *Test Administration Manual- ACCESS for ELLs 2.0, Kindergarten ACCESS for ELLs and Alternate ACCESS for ELLs.*  Established contingency plans for technology-based assessments could not be identified in evidence provided.  In evidence document 2\_3-28 Email 2 – Technology Resources, the following statement is given:  “Review the Site Technology Readiness Checklist for WIDA Online Assessments. The Site Technology Readiness Checklist covers site planning, scheduling, logistics, communication, training, and a timeline to implement those key components. This will help the technology and assessment departments work together to ensure that the district is prepared for ACCESS for ELLs testing for the 2018-2019 school year.” However, the Technology Readiness Checklist was not provided as evidence. |
| Section 2.3 Summary Statement | | |
| \_x\_\_ The following additional evidence is needed/provide brief rationale:   * See WIDA Peer Review Notes * State’s contingency plan(s) for technology-based assessment administration. | | |

## Critical Element 2.4 – Monitoring Test Administration

|  |  |  |
| --- | --- | --- |
| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State adequately monitors the administration of its State assessments to ensure that standardized test administration procedures are implemented with fidelity across districts and schools. Monitoring of test administration should be demonstrated for all assessments in the State system: the general ELP assessments and the AELPA. | Monitoring Forms  2\_4-1 2019 ACCESS for ELLs (ACCESS  Online/Paper, Kindergarten and Alternate  ACCESS for ELLs) Site Visit Survey Questions  2\_4-2 2019 ACCESS for ELLs Site Visits Testing  Environment Reporting Form  Secure Materials Not Returned  2\_4-3 Copy of ACCESS Preliminary  MMR.KY.xlsx | Kentucky has a process for observing and interviewing school personnel during the administration of ACCESS and Alternate ACCESS. Sample observation and interview forms were provided. Kentucky also provided an incident list regarding issues with secure materials. It would have been helpful to receive information about Kentucky’s monitoring process, such as guidance for monitors, information about how schools are selected for monitoring, and a sample report to a school. However, what Kentucky provided is sufficient to meet this critical element. |
| Section 2.4 Summary Statement | | |
| \_x\_\_ No additional evidence is required | | |

## Critical Element 2.5 – Test Security

|  |  |  |
| --- | --- | --- |
| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State has implemented and documented an appropriate set of policies and procedures to prevent test irregularities and ensure the integrity of test results through:   * Prevention of any assessment irregularities, including maintaining the security of test materials (both during test development and at time of test administration), proper test preparation guidelines and administration procedures, incident-reporting procedures, consequences for confirmed violations of test security, and requirements for annual training at the district and school levels for all individuals involved in test administration; * Detection of test irregularities; * Remediation following any test security incidents involving any of the State’s assessments; * Investigation of alleged or factual test irregularities. * Application of test security procedures to the general ELP assessments and the AELPA. | The State has implemented and documented an appropriate set of policies and procedures to prevent test irregularities and ensure the integrity of test results through: Prevention of any assessment irregularities, including maintaining the security of test materials (both during test development and at time of test administration), proper test preparation guidelines and administration procedures, incident-reporting procedures, consequences for confirmed violations of test security, and requirements for annual training at the district and school levels for all individuals involved in test administration;  **Statute and Regulation**  1\_3-2 703 KAR 5:080 Administration Code (page 4-7, 18-19) 2\_5-1 KRS 161\_120 Disciplinary Actions Related to Certificates – Appeals  **Security Audit Report**  2\_5-2 Kentucky Security Audit Report --Final -- 01-31-2012   * Detection of test irregularities   **Allegations Reporting**  2\_5-3 Allegations Reporting Website 2\_5-4 Allegations Reporting Login 2\_5-5 Allegations Reporting Form 2\_5-6 Notarized Statement Form  2\_5-7 Non-disclosure Form  2\_5-8 Steps to Follow When a Testing Allegation or Irregularity Occurs   * Remediation following any test security incidents involving any of the State’s assessments;   **Recorded Training**  2\_3-7 Administration Code for Kentucky’s Educational Assessment Program  Investigation of alleged or factual test irregularities. | There is training for staff on test security issues and staff are required to sign an acknowledgement form.  Caveon conducted a security audit on Kentucky’s assessment system and a list of recommendations (with levels of priority) was provided on 1/31/12. Of the 15 security standards Caveon reviewed, 13 were found to have High Priority issues. There was no response to Caveon’s findings submitted as evidence.  Kentucky has a very clear system for reporting and managing possible test security violations, but evidence for the prevention and detection of test security issues was missing.  Specific state handling of security issues for the ACCESS and Alternate ACCESS were not submitted as evidence. |
| Section 2.5 Summary Statement | | |
| \_x\_\_ The following additional evidence is needed/provide brief rationale:   * See WIDA Peer Review Notes * Specific state handling of security issues for the ACCESS and Alternate ACCESS. * Evidence for the prevention and detection of test security issues. * Response to audit conducted by Caveon on 1/31/12 to address or plans to address the identified High Priority issues. | | |

## Critical Element 2.6 – Systems for Protecting Data Integrity and Privacy

|  |  |  |
| --- | --- | --- |
| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State has policies and procedures in place to protect the integrity and confidentiality of its test materials, test-related data, and personally identifiable information, specifically:   * To protect the integrity of its test-related data in test administration, scoring, storage and use of results; * To secure student-level assessment data and protect student privacy and confidentiality, including guidelines for districts and schools; * To protect personally identifiable information about any individual student in reporting, including defining the minimum number of students necessary to allow reporting of scores for all students and student groups. | The State has policies and procedures in place to protect the integrity and confidentiality of its test materials, test-related data, and personally identifiable information, specifically:   * To protect the integrity of its test-related data in test administration, scoring, storage and use of results;   **Data Policies:**   * 2\_6 ALL-1: Data Governance Policy * 2\_6 ALL-2: Data Requests – Overview * 2\_6 ALL-2 A: Schedule C Education Record Release and Data Use Agreement of the Kentucky WIDA MOU (p.21) * To secure student-level assessment data and protect student privacy and confidentiality, including guidelines for districts and schools;   **Guidance and Resources:**  Kentucky Department of Education   * 2\_6 ALL-3: Data Privacy and Security Overview * 2\_6 ALL-4: Data Collection – Use – Overview * 2\_6 ALL-5: Data Governance – Overview * 2\_6 ALL-6: KDE Data Access, Collection and Use Policy * 2\_6 ALL-7: Family Educational Rights and Privacy Act (FERPA) * 2\_6 ALL-8: Protection of Pupil Rights Amendment * 2\_6 ALL-9: Children’s Online Privacy Protection Act (COPPA) * 2\_6 ALL-10: Parents’ Guide to Guidance for Schools and Districts: Best Practices for Keeping Parents Informed about Student Data Collection * 2\_6 ALL-11: Data Request and Approval Process Documents * 2\_6 ALL-12: Data Security Best Practice Guidelines   **Kentucky Hardware Security**   * 2\_6 ALL-13: Kentucky Hardware Security   + To protect personally identifiable information about any individual student in reporting, including defining the minimum number of students necessary to allow reporting of scores for all students and student groups   **Data Integrity**   * 2\_6 ALL-14: System Access Control * 2\_6 ALL-15: School Report Card Resources | Kentucky has provided evidence to meet the requirements for this critical element.  However, see WIDA Peer Review Notes for additional comments. |
| Section 2.6 Summary Statement | | |
| \_x\_\_ No additional evidence required for Kentucky,but see WIDA Peer Review Notes. | | |

# SECTION 3: TECHNICAL QUALITY – VALIDITY

## Critical Element 3.1 – Overall Validity, Including Validity Based on Content

|  |  |  |
| --- | --- | --- |
| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State has documented adequate overall validityevidence for its assessments consistent with nationally recognized professional and technical testing standards. The State’s validity evidence includes evidence that:  ***The State’s ELP assessments*** measure the knowledge and skills specified in the State’s ELP standards, including:   * Documentation of adequate alignment between the State’s ELP assessment and the ELP standards the assessment is designed to measure in terms of language knowledge and skills, the depth and breadth of the State’s ELP standards, across all proficiency levels, domains, and modalities identified therein; * Documentation of alignment (as defined) between the State’s ELP standards and the language demands implied by, or explicitly stated in, the State’s academic content standards; * If the State administers an AELPA aligned with alternate ELP achievement standards, the assessment shows adequate linkage to the State’s ELP standards in terms of content match (i.e., no unrelated content) and that the breadth of content and linguistic complexity determined in test design is appropriate for ELs who are students with the most significant cognitive disabilities. | Evidence for Critical Element 3.1 is submitted by the WIDA Consortium for Kentucky. |  |
| Section 3.1 Summary Statement | | |
| \_\_x\_ The following additional evidence is needed/provide brief rationale:   * See WIDA Peer Review Notes | | |

## Critical Element 3.2 – Validity Based on Linguistic Processes

|  |  |  |
| --- | --- | --- |
| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State has documented adequate validityevidence that its assessments tap ***the intended language processes*** appropriate for each grade level/grade-band as represented in the State’s ELP standards. | Evidence for Critical Element 3.2 is submitted by the WIDA Consortium for Kentucky. |  |
| Section 3.2 Summary Statement | | |
| \_x\_\_ The following additional evidence is needed/provide brief rationale:   * See WIDA Peer Review Notes | | |

## Critical Element 3.3 – Validity Based on Internal Structure

|  |  |  |
| --- | --- | --- |
| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State has documented adequate validityevidence that the scoring and reporting structures of its assessments are consistent with the sub-domain structures of the State’s ***ELP standards*** on which the intended interpretations and uses of results are based. | Evidence for Critical Element 3.3 is submitted by the WIDA Consortium for Kentucky. |  |
| Section 3.3 Summary Statement | | |
| \_\_\_ No additional evidence is required or  \_\_x\_ The following additional evidence is needed/provide brief rationale:   * See WIDA Peer Review Notes | | |

## Critical Element 3.4 – Validity Based on Relations to Other Variables

|  |  |  |
| --- | --- | --- |
| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State has documented adequate validityevidence that the State’s assessment scores are related as expected with other variables. | Evidence for Critical Element 3.4 is submitted by the WIDA Consortium for Kentucky. |  |
| Section 3.4 Summary Statement | | |
| \_x\_\_ The following additional evidence is needed/provide brief rationale:   * See WIDA Peer Review Notes | | |

# SECTION 4: TECHNICAL QUALITY – OTHER

## Critical Element 4.1 – Reliability

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| --- | --- | --- |
| The State has documented adequate reliabilityevidence for its assessments for the following measures of reliability for the State’s student population overall and each student group consistent with nationally recognized professional and technical testing standards. If the State’s assessments are implemented in multiple States, measures of reliability for the assessment overall and each student group consistent with nationally recognized professional and technical testing standards, including:   * Test reliability of the State’s assessments estimated for its student population (***for ELP assessments, including any domain or component sub-tests, as applicable*)**; * Overall and conditional standard error of measurement of the State’s assessments, including any domain or component sub-tests, as applicable; * Consistency and accuracy of estimates in categorical classification decisions for the cut scores, achievement levels or proficiency levels based on the assessment results; * For computer-adaptive tests, evidence that the assessments produce test forms with adequately precise estimates of ***an EL’s English proficiency***. | Evidence for Critical Element 4.1 is submitted by the WIDA Consortium for Kentucky. |  |
| Section 4.1 Summary Statement | | |
| \_x\_\_ The following additional evidence is needed/provide brief rationale:   * See WIDA Peer Review Notes | | |

## Critical Element 4.2 – Fairness and Accessibility

|  |  |  |
| --- | --- | --- |
| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| ***For all State ELP assessments,*** assessments should be developed, to the extent practicable, using the principles of universal design for learning (UDL) (see definition[[6]](#footnote-6)).  ***For ELP assessments,*** the State has taken reasonable and appropriate steps to ensure that its assessments are accessible to all EL students and fair across student groups, including ELs with disabilities, in their design, development, and analysis. | Evidence for Critical Element 4.2 is submitted by the WIDA Consortium for Kentucky. |  |
| Section 4.2 Summary Statement | | |
| \_x\_\_ The following additional evidence is needed/provide brief rationale:   * See WIDA Peer Review Notes | | |

## Critical Element 4.3 – Full Performance Continuum

|  |  |  |
| --- | --- | --- |
| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State has ensured that each assessment provides an adequately precise estimate of student performance across the full performance continuum for ***ELP assessments***, including performance for EL students with high and low levels of English language proficiency and with different proficiency profiles across the domains of speaking, listening, reading, and writing. | Evidence for Critical Element 4.3 is submitted by the WIDA Consortium for Kentucky. |  |
| Section 4.3 Summary Statement | | |
| \_\_x\_ The following additional evidence is needed/provide brief rationale:   * See WIDA Peer Review Notes | | |

## Critical Element 4.4 – Scoring

|  |  |  |
| --- | --- | --- |
| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State has established and documented standardized scoring procedures and protocols for its assessments (and ***for ELP assessments, any applicable domain or component sub-tests***) that are designed to produce reliable and meaningful results, facilitate valid score interpretations, and report assessment results in terms of the State’s ***ELP standards***.  ***For ELP assessments,*** if an English learner has a disability that precludes assessment of the student in one or more of the required domains/components (listening, speaking, reading, and writing) such that there are no appropriate accommodations for the affected domain(s)/component(s), the State must provide a description of how it will ensure that the student is assessed in the remaining domain(s)/component(s) in which it is possible to assess the student, and a description of how this will occur.[[7]](#footnote-7) | Evidence for Critical Element 4.4 is submitted by the WIDA Consortium for Kentucky. |  |
| Section 4.4 Summary Statement | | |
| \_\_x\_ The following additional evidence is needed/provide brief rationale:   * See WIDA Peer Review Notes | | |

## Critical Element 4.5 – Multiple Assessment Forms

|  |  |  |
| --- | --- | --- |
| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| If the State administers multiple forms of ***ELP assessments*** within or across grade-spans, ELP levels, or school years, the State ensures that all forms adequately represent the State’s ***ELP standards*** and yield consistent score interpretations such that the forms are comparable within and across settings. | Evidence for Critical Element 4.5 is submitted by the WIDA Consortium for Kentucky. |  |
| Section 4.4 Summary Statement | | |
| \_x\_\_ The following additional evidence is needed/provide brief rationale:   * See WIDA Peer Review Notes | | |

## Critical Element 4.6 – Multiple Versions of an Assessment

|  |  |  |
| --- | --- | --- |
| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| If the State administers any of its assessments in multiple versions within a subject area (e.g., online versus paper-based delivery), grade level, or school year, the State:   * Followed a design and development process to support comparable interpretations of results for students tested across the versions of the assessments; * Documented adequate evidence of comparability of the meaning and interpretations of the assessment results. | Evidence for Critical Element 4.6 is submitted by the WIDA Consortium for Kentucky. |  |
| Section 4.6 Summary Statement | | |
| \_x\_\_ No additional evidence is required | | |

## Critical Element 4.7 – Technical Analysis and Ongoing Maintenance

|  |  |  |
| --- | --- | --- |
| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| The State:   * Has a system for monitoring, maintaining, and improving, as needed, the quality of its assessment system, including clear and technically sound criteria for the analyses of all of the assessments in its assessment system (i.e., general assessments and alternate assessments), and * Evidence of adequate technical quality is made public, including on the State’s website. | Evidence for Critical Element 4.7 is submitted by the WIDA Consortium for Kentucky. |  |
| Section 4.7 Summary Statement | | |
| \_\_x\_ The following additional evidence is needed/provide brief rationale:   * See WIDA Peer Review Notes | | |

# SECTION 5: INCLUSION OF ALL STUDENTS

## Critical Element 5.1 – Procedures for Including Students with Disabilities

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| --- | --- | --- |
| The State has in place procedures to ensure the inclusion of all public elementary and secondary school students[[8]](#footnote-8) with disabilities in the State’s assessment system. Decisions about how to assess students with disabilities must be made by a student’s IEP Team under IDEA, the placement team under Section 504, or the individual or team designated by a district to make that decision under Title II of the ADA, as applicable, based on each student’s individual abilities and needs.   * ***For ELP assessments,*** policies that require the inclusion of an EL with a disability that precludes assessment of the student in one or more of the required domains (speaking, listening, reading, and writing) such that there are no appropriate accommodations for the affected component (the State must assess the student’s English language proficiency based on the remaining components in which it is possible to assess the student). | The State has in place procedures to ensure the inclusion of all public elementary and secondary school students[10](#_bookmark9) with disabilities in the State’s assessment system. Decisions about how to assess students with disabilities must be made by a student’s IEP Team under IDEA, the placement team under Section 504, or the individual or team designated by a district to make that decision under Title II of the ADA, as applicable, based on each student’s individual abilities and needs.  Evidence includes administrative regulations, manuals, PowerPoint and recorded training.  **Inclusion of Special Populations**  Evidence provided that ensured appropriate accommodations and assessments are accessible to students with disabilities and ELs, including ELs with disabilities include regulations and Kentucky Board of Education meeting minutes.  1\_4-1 703 KAR 5070 Inclusion of Special Populations  2\_3-4 KBE Summary Minutes February 2014 meeting (page 11- Formal Board approval 1-4-1)  5\_1-1 SLD Eligibility Guidance Document (page 7 and 24) (Example)  -Appendix A of this document helps schools evaluate EL students for a Specific Learning Disability (SLD) by ruling out exclusionary factors.  5\_1-2 IEP\_Guidance\_Document (page 41, 60)   * Page 41: Guidance for making IEP determinations for EL students with disabilities. * Page 60: State alternate assessment participation guidance, including Alternate ACCESS   5\_1-3 704 KAR 3\_305 Minimum Requirements for High School Graduation (Sec 8)  **Recorded Training**  Video Training Sessions were recorded and made available on the Kentucky Department of Education’s Media Portal. These recorded trainings provided test administrators guidance to prepare for statewide administration and the inclusion of English Learners.  2\_3-8 Inclusions of Special Populations Training (slide 12)  **Test Administrator’s Manuals**  The test administration manuals outline policies and procedures for the ACCESS assessments to ensure a standardized test administration so that the testing environment is similar for all students.  1\_4-2 ACCESS for ELLs 2016-17 District and School Test Coordinator Manual  2\_3-15 ACCESS for ELLs 2.0 2018-19 Test  Administrator Manual  1\_4-3 Accessibility and Accommodations Supplement  2\_3-9 Overview | Inclusion of ELs in assessments is described in *1\_4-1 703 KAR 5070 Inclusion of Special Populations*, beginning on p. 16. For those with needed accommodations, administration guidelines begin on p. 16. It is not clear who can serve as a “reader” or “scribe,” how they are selected, or how they are trained to serve in that capacity.  *1\_4-1 703 KAR 5070 Inclusion of Special Populations* does not state or require the inclusion of an EL with a disability that precludes assessment of the student in one or more of the required domains (speaking, listening, reading, and writing) such that there are no appropriate accommodations for the affected component.  “5\_1-2 IEP\_Guidance\_Document Page 60: State alternate assessment participation guidance, including Alternate ACCESS” – The information provided refers to the Alternate K-PREP and not ACCESS or Alternate ACCESS. Although the document is dated July 2018, the content appears to be outdated. |
| Section 5.1 Summary Statement | | |
| \_x\_\_ The following additional evidence is needed/provide brief rationale:   * See WIDA Peer Review Notes * Evidence of the inclusion of an EL with a disability that precludes assessment of the student in one or more of the required domains (speaking, listening, reading, and writing) such that there are no appropriate accommodations for the affected component. * Update the document 5\_1-2 IEP\_Guidance\_Document to reflect current assessments, specifically ACCESS and Alternate ACCESS. | | |

## Critical Element 5.2 – DOES NOT APPLY to ELP Assessment Peer Review

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| --- | --- | --- |
| * + Note: This critical element does not apply to ELP assessments, as the requirements only apply to the inclusion of ELs in **academic assessments**. |  |  |
| Section 5.2 Summary Statement | | |
| * N/A | | |

## Critical Element 5.3 – Accommodations

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| --- | --- | --- |
| The State makes available appropriate accommodations and ensures that its assessments are accessible to students with disabilities and ELs, including ELs with disabilities. Specifically, the State:   * Ensures that appropriate accommodations are available for ELs; * Has determined that the accommodations it provides (1) are appropriate and effective for meeting the individual student’s need(s) to participate in the assessments, (2) do not alter the construct being assessed, and (3) allow meaningful interpretations of results and comparison of scores for students who need and receive accommodations and students who do not need and do not receive accommodations; * Has a process to individually review and allow exceptional requests for a small number of students who require accommodations beyond those routinely allowed. * Ensures that accommodations for all required assessments do not deny students with disabilities or ELs the opportunity to participate in the assessment and any benefits from participation in the assessment. | Part of the evidence for Critical Element 5.3 is submitted by the WIDA Consortium for Kentucky.  **Inclusion of Special Populations**  Evidence provided includes the current Inclusion of Special Populations regulation that ensured appropriate accommodations and assessments are accessible to students with disabilities and ELs. The meeting minutes are also provided as evidence that the Kentucky Board of Education adopted the regulation.  1\_4-1 703 KAR 5070 Inclusion of Special Populations (page 16-22)   * p. 16 Section A –Documentation Needed to Implement Accommodations, affirms the process used by Kentucky to individually review and allow exceptional requests for a small number of students who require accommodations beyond those routinely allowed. * p. 4 Of the Summary Section affirms that Kentucky ensures that accommodations for all required assessments do not deny students with disabilities or ELs the opportunity to participate in the assessment and any benefits from participation in the assessment.   2\_3-4 KBE Summary Minutes February 2014 meeting (page 11) Ensures that accommodations for all required assessments do not deny students with disabilities or ELs the opportunity to participate in the assessment and any benefits from participation in the assessment.  2\_4-1 The 2018 Kentucky Site Visits Survey Questions Document (item 6, pages 3-4)  **Recorded Training**  Video Training Sessions were recorded and made available on the Kentucky Department of Education’s Media Portal. These recorded trainings provided test administrators guidance to prepare for statewide administration and the inclusion of English Learners.   * 2\_3-8 Inclusions of Special Populations Training (slide 12) | Evidence document 2\_3-5 Inclusion of Special Population 2018 Power Point minimally addresses the EL population (e.g. slide 12).  Peers noted the power point does not refer specifically to the ACCESS or Alternate ACCESS.  Specific evidence to address all the components of this critical element is not provided. |
| Section 5.3 Summary Statement | | |
| \_\_x\_ The following additional evidence is needed/provide brief rationale:   * See WIDA Peer Review Notes * All evidence for this critical element, as it relates to ACCESS and Alternate ACCESS. | | |

## Critical Element 5.4 – Monitoring Test Administration for Special Populations

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| --- | --- | --- |
| The State monitors test administration in its districts and schools to ensure that appropriate assessments, with or without accommodations, are selected for all students with disabilities and ELs so that they are appropriately included in assessments and receive accommodations that are:   * Consistent with the State’s policies for accommodations; * Appropriate for addressing a student’s disability or language needs for each assessment administered; * Consistent with accommodations provided to the students during instruction and/or practice; * Consistent with the assessment accommodations identified by a student’s IEP Team under IDEA, placement team convened under Section 504; or for students covered by Title II of the ADA, the individual or team designated by a district to make these decisions; or another process for an EL; * Administered with fidelity to test administration procedures; * Monitored for administrations of all required ELP assessments, and AELPA. | **Statute and Regulations**  Kentucky Revised Statutes (KRS) and Kentucky Administrative Regulations (KAR) set forth requirements of standardized procedures for standardized administration of its assessments including ACCESS for ELLs and Alternate ACCESS for ELLs.   * 1\_3-2 703 KAR 5:080 Administration Code (page 4-7, 18-19) * 1\_4-1 703 KAR 5070 Inclusion of Special Populations (page 16-22) * 5\_4-1 Compliance Record Review Document * 5\_4-2 Compliance Record Review Document Monitoring School Year 2019-2020 School Year 2018-2019 * 5\_1-2 SLD Eligibility Guidance Document (page 24) * 5\_1-3 IEP\_Guidance\_Document (page 41, 60)   **Recorded Training**  Video Training Sessions were recorded and made available on the Kentucky Department of Education’s Media Portal. These recorded trainings provided test administrators guidance to prepare for statewide administration and the inclusion of English Learners.  2\_3-7 Administration Code for Kentucky’s Educational Assessment Program  2\_3-8 Inclusions of Special Populations Training (slide 12) | 5\_4-1 IDEA\_Compliance\_Record\_Review\_ Document requires a sampling of 10% of the tested students for the completion of this monitoring document to assure compliance. |
| Section 5.4 Summary Statement | | |
| \_\_x\_ No additional evidence is required | | |

# SECTION 6: ELP ACHIEVEMENT STANDARDS AND REPORTING

## Critical Element 6.1 – State Adoption of ELP Achievement Standards for All Students

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| --- | --- | --- |
| ***For ELP standards:***   * The State adopted ELP achievement standards that address the different proficiency levels of ELs; * If the State has developed alternate ELP achievement standards, it has adopted them only for ELs who are students with the most significant cognitive disabilities who cannot participate in the regular ELP assessment even with appropriate accommodations. | Evidence for Critical Element 6.1 is submitted by the WIDA Consortium for Kentucky.  The State adopted ELP achievement standards that address the different proficiency levels of ELs;  **Achievement Standards Adopted**  Kentucky established reclassification criteria and targets for attaining English language proficiency. The KY Reclassification Report   * 6\_1-1 KY Reclassification Report * 1\_1-2 Alignment Between Kentucky ELP standards to WIDA Consortium English Language Proficiency Standards * 1\_1-3 A Alignment Between Kentucky Core Content for Assessment to WIDA ELP Standards (April) * 1\_1-3 B Alignment Between Kentucky Core Content for Assessment to WIDA ELP Standards (August) * If the State has developed alternate ELP achievement standards, it has adopted them only for ELs who are students with the most significant cognitive disabilities who cannot participate in the regular ELP assessment even with appropriate accommodations. * 6\_1-2 Alternate ACCESS for ELLs Standard Setting- The ACCESS Scale Score to Proficiency Level Tables to show that Kentucky has adopted WIDA ELP achievement standards. | In Kentucky’s ELP Assessment Peer Review Submission Index, they state “Evidence for Critical Element 6.1 is submitted by the WIDA consortium for Kentucky,” however this critical element regards the state’s adoption of ELP achievement standards and is not part of the evidence submitted by the WIDA consortium.  As indicated in critical element 1.1, evidence of proficiency levels for ACCESS and Alternate ACCESS has not been provided.  Evidence of adoption of ELP and Alternate ELP achievement standards has not been provided. |
| Section 6.1 Summary Statement | | |
| \_\_x\_ The following additional evidence is needed/provide brief rationale:   * All evidence for critical element 6.1 | | |

## Critical Element 6.2 – ELP Achievement Standards Setting

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| --- | --- | --- |
| The State used a technically sound method and process that involved panelists with appropriate experience and expertise for setting:   * ***ELP achievement standards and, as applicable, alternate ELP achievement standards***, such that:   + Cut scores are developed for every grade/grade band, content domain/language domain, and/or composite for which proficiency-level scores are reported. | Evidence for Critical Element 6.2 is submitted by the WIDA Consortium for Kentucky. |  |
| Section 6.2 Summary Statement | | |
| \_x\_\_ The following additional evidence is needed/provide brief rationale:   * See WIDA Peer Review Notes | | |

## Critical Element 6.3 –Aligned ELP Achievement Standards

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| --- | --- | --- |
| ***For ELP achievement standards*:**  The State has ensured that ELP assessment results are expressed in terms that are clearly aligned with the State’s ELP standards, and its ELP performance-level descriptors.  If the State has adopted alternate ELP achievement standards for ELs who are students with the most significant cognitive disabilities, the alternate ELP achievement standards should be linked to the State’s grade-level/grade-band ELP standards, and should reflect professional judgment of the highest ELP achievement standards possible for ELs who are students with the most significant cognitive disabilities. | Evidence for Critical Element 6.3 is submitted by the WIDA Consortium for Kentucky. |  |
| Section 6.3 Summary Statement | | |
| \_x\_\_ The following additional evidence is needed/provide brief rationale:   * See WIDA Peer Review Notes | | |

## Critical Element 6.4 – Reporting

| **Critical Element** | **Evidence (Record document and page # for future reference)** | **Comments/Notes/Questions/Suggestions Regarding State Documentation or Evidence** |
| --- | --- | --- |
| The State reports its assessment results for all students assessed, and the reporting facilitates timely, appropriate, credible, and defensible interpretations and uses of those results by parents, educators, State officials, policymakers and other stakeholders, and the public.  The State reports to the public its assessment results on ***English language proficiency for all ELs*** ***including the number and percentage of ELs attaining ELP***.  For the ***ELP assessment***, the State provides coherent and timely information about each student’s attainment of the State’s ELP standards to parents that:   * + Reports the ***ELs’ English proficiency*** in terms of the State’s grade level/grade-band ELP standards (including performance-level descriptors);   + Are provided in an understandable and uniform format;   + Are, to the extent practicable, written in a language that parents and guardians can understand or, if it is not practicable to provide written translations to a parent or guardian with limited English proficiency, are orally translated for such parent or guardian;   + Upon request by a parent who is an individual with a disability as defined by the ADA, as amended, are provided in an alternative format accessible to that parent. | Part of the evidence for Critical Element 6.4 is submitted by the WIDA Consortium for Kentucky.  The State reports its assessment results for all students assessed, and the reporting facilitates timely, appropriate, credible, and defensible interpretations and uses of those results by parents, educators, State officials, policymakers and other stakeholders, and the public.  For the ***ELP assessment***, the State provides coherent and timely information about each student’s attainment of the State’s ELP standards to parents that:   * Reports the ***ELs’ English proficiency*** in terms of the State’s grade level/grade-band ELP standards (including performance-level descriptors); * Are provided in an understandable and uniform format; * Are, to the extent practicable, written in a language that parents and guardians can understand or, if it is not practicable to provide written translations to a parent or guardian.   **Reporting Schedule and Training**  Kentucky communicated the ACCESS/Alternate ACCESS schedule with districts at the beginning of the year. Throughout the year important dates are included in the Monday DAC E-mails to communicate deadlines. Evidence includes the test schedule and relevant e-mail communications.  Kentucky *process* for using/disseminating ACCESS reports, guides, parent information, etc.   * + 6\_4-1 Monday DAC E-mail   + 6\_4-2 ACCESS/Alternate ACCESS test Schedule   + 2\_3-14 Rosters training for ACCESS/Alternate ACCESS   + 2\_3-26 Data Validation Training   WIDA submission regarding Individual Student Reports for educators and parents/guardians  The State reports to the public its assessment results on ***English language proficiency for all ELs including the number and percentage of ELs attaining ELP***.  Districts were sent information on when rosters opened and closed, to make changes to demographic information for student listings, how to make annotations, apply for non-participations, mark accommodations for EL students who had an IEP and mark accountability. They had several opportunities to do clean-up their data during the roster phase of Student Data Review and Rosters Application (SDRR) and then again in the fall for Data Review.  Districts were sent Kentucky specific e-mails on pre-data validation that usually occurs in March for demographic information and the post reporting data validation that occurs in April-May after the reports are posted to make changes to the scores in WIDA AMS is done through the state.  Annually, scores for ACCESS and Alternate ACCESS are made available electronically in WIDA AMS in April and paper copies of the reports are sent to the districts.  Data review was completed for ACCESS and Alternate ACCESS in August of the next school year. Once verified, scores were put into Infinite Campus (IC), our student information system.  **School Report Card (SRC)**  The scores on ACCESS and Alternate ACCESS were included in accountability. The School Report Card presented ELP proficiency/attainment in the growth indicator for elementary and middle schools and for attainment in the English Learner Readiness of Transition Readiness for high school English Learner students. Evidence includes samples from the public SRC.  Sample School Report Card for Accountability:   * 6\_4-3 School Report Card-Raise the bar. Close the Gap Intro- KY Website * 6\_4-4 Welcome to Kentucky’s School Report Card * 6\_4-5 ELP Growth-Elementary/Middle * 6\_4-6 ELP Transition-High School * 6\_4-7 ELP Disaggregated Transition-High School * 6\_4-8 Parent Portal * 6\_4-9 703 KAR 5\_270 Growth Chart for EL students (page 8) * 6\_4-10 Transition Readiness Chart   **Consolidated Compliance Plan and Website Style Guide**  Kentucky Department of Education’s Consolidated Compliance Plan and Website Style Guide ensures, access to all persons including individuals with limited English proficiency. These documents are evidence that the school report card, website and all KDE programs and activities are available for all beneficiaries, including those with limited English proficiency. For parents who need additional support to access the SRC, including parents with limited English, guidance was communicated to districts.   * 6\_4-11 Consolidated Compliance Plan (page 5) * 6\_4-12- KDE Website Style Guide and Best Practices Draft –Accessibility- (page 3) * 6\_4-13 Monday Message - Feb. 25, 2019 | No evidence to address the components of this critical element has been provided. |
| Section 6.4 Summary Statement | | |
| \_\_x\_ The following additional evidence is needed/provide brief rationale:   * All evidence for critical element 6.4. | | |

# SECTION 7: DOES NOT APPLY TO ELP ASSESSMENT PEER REVIEW

1. see page 24 of “*A State’s Guide to the U.S. Department of Education’s Assessment Peer Review Process”*, September 24, 2018 available at: [www.ed.gov/admins/lead/account/saa.html](http://www.ed.gov/admins/lead/account/saa.html) [↑](#footnote-ref-1)
2. see page 28 of “*A State’s Guide to the U.S. Department of Education’s Assessment Peer Review Process”*, September 24, 2018 available at: [www.ed.gov/admins/lead/account/saa.html](http://www.ed.gov/admins/lead/account/saa.html) [↑](#footnote-ref-2)
3. See full reference in regulation, 34 CFR § 200.6(h)(4)(ii) (online at <https://www.ecfr.gov/cgi-bin/text-idx?SID=07e168e9e7a6c5931b4549cc15547ee9&mc=true&node=se34.1.200_16&rgn=div8> ) [↑](#footnote-ref-3)
4. For ELP peer review, this refers to ELs with disabilities. [↑](#footnote-ref-4)
5. see page 24 of “*A State’s Guide to the U.S. Department of Education’s Assessment Peer Review Process”*, September 24, 2018 available at: [www.ed.gov/admins/lead/account/saa.html](http://www.ed.gov/admins/lead/account/saa.html) [↑](#footnote-ref-5)
6. see page 28 of “*A State’s Guide to the U.S. Department of Education’s Assessment Peer Review Process”*, September 24, 2018 available at: [www.ed.gov/admins/lead/account/saa.html](http://www.ed.gov/admins/lead/account/saa.html) [↑](#footnote-ref-6)
7. See full reference in regulation, 34 CFR § 200.6(h)(4)(ii) (online at <https://www.ecfr.gov/cgi-bin/text-idx?SID=07e168e9e7a6c5931b4549cc15547ee9&mc=true&node=se34.1.200_16&rgn=div8> ) [↑](#footnote-ref-7)
8. For ELP peer review, this refers to ELs with disabilities. [↑](#footnote-ref-8)