



**FY 2020 Pre-application Budget, Performance
Measures and Logic Model Technical Assistance
Webinar**

**State Entities Competition
CFDA 84.282A**

*United States Department of Education
Office of Elementary and Secondary Education*

Washington, D.C.

WELCOME

STATE ENTITY TEAM

ASHLEY GARDNER

KATHRYN MEELEY



MEETING LOGISTICS

WEBINAR

1. LISTEN ONLY
2. THE WEBINAR WILL BE RECORDED
3. USE CHAT FUNCTION FOR QUESTIONS OR COMMENTS;
Q&A TO FOLLOW PRESENTATION
 - a. WHEN SUBMITTING YOUR QUESTIONS, PLEASE REPLY TO ALL PRESENTERS
4. FOLLOW-UP WITH EMAIL – CHARTERSCHOOLS@ED.GOV



IMPORTANT NOTE

The Federal Register notice contains important information. We recommend all applicants read the entire notice in the Federal Register. Applicants must follow the Application Procedures as described in the Federal Register notice announcing the grant competition.



AGENDA

84.282A – CSP GRANTS FOR STATE ENTITIES

- **HIGHLIGHTS**
 - PURPOSE OF STATE ENTITIES COMPETITION
 - ELIGIBILITY
 - COMPETITION BASICS
- APPLICATION FUNDING REQUEST GUIDANCE
 - BUDGET NARRATIVE
 - FUNDING REQUEST SCENARIO
- GOAL, OBJECTIVE, AND MEASURE GUIDANCE
- LOGIC MODEL GUIDANCE
- QUESTIONS FROM THE FIELD



APPLICATIONS ARE DUE BY:

April 13, 2020 at 11:59:59 p.m. (EDT)
Washington, DC time

Important Note: The Grants.gov helpdesk is not available on weekends. You are strongly encouraged to submit early! You can always resubmit your application (prior to the closing date at 11:59:59 p.m. if you need to update your application.



PURPOSE OF 84.282A – GRANTS TO STATE ENTITIES

The CSP grants to State Entities is a competitive grant program that enables State entities to:

- award subgrants to eligible applicants in their State to:
 - open and prepare for the operation of new charter schools
 - open and prepare for the operation of replicated high-quality charter schools; and
 - expand high-quality charter schools.
- provide technical assistance to :
 - eligible applicants and authorized public chartering agencies in opening and preparing for the operation of new charter schools, or replicating or expanding high-quality charter schools; and
 - work with authorized public chartering agencies to improve authorizing quality, including developing capacity for, and conducting, fiscal oversight and auditing of charter schools.



PURPOSE OF 84.282A – GRANTS TO STATE ENTITIES

- A State entity receiving a grant under this program shall:
- use **not less** than 90 percent of the grant funds to award subgrants
 - reserve **not less** than seven (7) percent of funds to provide technical assistance to eligible applicants and authorized public chartering agencies
 - and reserve **not more** than three (3) percent of funds for administrative costs

ELIGIBILITY

STATE ENTITIES - ELIGIBLE APPLICANTS

Eligible applicants are State entities in States with a State statute specifically authorizing the establishment of charter schools.

State Entity means—

- a) A State educational agency;
- b) A State charter school board;
- c) A governor of a State; or
- d) A charter school support organization

ELIGIBILITY

- Under section 4303(e)(1) of the ESEA, no State entity may receive a grant under this competition for use in a State in which a State entity is currently using a CSP State Entities grant.
 - Accordingly, State entities in States in which a State entity has a current CSP State Entities grant that is not in its final budget period (i.e., Alabama, Arizona, Arkansas, Colorado, Delaware, Idaho, Indiana, Maryland, Michigan, Minnesota, Mississippi, New Hampshire, New Mexico, New York, North Carolina, Oklahoma, Rhode Island, Washington, and Wisconsin) are ineligible to apply for a CSP State Entities grant under this competition.



ELIGIBILITY

- State entities in States in which a State entity has a current CSP State Entity grant that is in its final budget period (i.e., Texas), however, are eligible to apply for a new CSP State Entity grant under this competition.
 - Consistent with section 4303(e)(1), if a State entity is approved for a new CSP State Entities grant under this competition for use in a State in which a State entity has a current CSP State Entities grant that is in its final budget period, all funding under the current CSP State Entities grant must be obligated prior to the end of the final budget period.
- Likewise, if multiple State entities in a State submit applications that receive high enough scores to be recommended for funding under this competition, only the highest-scoring application among such State entities would be funded.



ELIGIBILITY

- State entities in States in which an SEA has a current CSP Grant for SEAs that was awarded under the No Child Left Behind Act of 2001 (i.e., prior to FY 2017) are eligible to apply for a CSP State Entities grant under this competition, so long as no other State entity in the State has a current CSP State Entities grant that is not in its final budget period.
- The NIA states that “Under section 4303(e)(1) of the ESEA, no State entity may receive a grant under this program for use in a State in which a State entity is currently using a grant received under this program.
 - The Department interprets the language in section 4303(e)(1) of the ESEA as applying only in cases where the active grant was awarded under the reauthorized program (the ESSA version of the ESEA).
 - Therefore, a State entity located in a State in which an SEA has an active grant awarded prior to FY 2017 (including an SEA with an active grant) **could** be eligible to apply for a new award under this competition. **Please note:** *No applicant may receive a new award to conduct the same activities that are approved under an existing active grant; newly proposed activities must be outside the scope of currently funded activities.*



ELIGIBILITY

SUBGRANTEE - ELIGIBLE APPLICANT

Eligible applicant when used with respect to subgrants made by a State entity, means a developer that has—

- (a) Applied to an authorized public chartering authority to operate a charter school; and
- (b) Provided adequate and timely notice to that authority.

DEVELOPER

An individual or group of individuals (including a public or private nonprofit organization), which may include teachers, administrators and other school staff, parents, or other members of the local community in which a charter school project will be carried out.

COMPETITION BASICS

ELECTRONIC SUBMISSION REQUIREMENT

Applications for grants under this program must be submitted electronically, unless you qualify for an exception to this requirement in accordance with the instructions in the Notice.

Applications are due no later than **April 13, 2020 by 11:59:59:00 P.M., Washington, DC time.**



COMPETITION BASICS

IMPORTANT DATES

Applications Available:	January 27, 2020
Date of Pre-Application Meeting:	January 30, 2020 <i>2pm – 4pm</i>
Date of Pre-Application Budget Meeting:	February 4, 2020 <i>2pm – 3:30pm</i>
Deadline for Transmittal of Applications:	April 13, 2020 (11:59:59 p.m. Washington, D.C. time)
Onsite Review:	May 11 - 15, 2020
Grant Performance Period Begins:	October 1, 2020



COMPETITION BASICS

AWARD INFORMATION

Type of Award: Discretionary grants

Estimated Available Funds: \$82,000,000

Estimated Range of Awards: \$2 million to 25 million/year

Estimated Average Size of Awards: \$10 million/year

Estimated Number of Awards: 3 to 6

Project Period: Up to five years



AGENDA

84.282A – CSP GRANTS FOR STATE ENTITIES

- HIGHLIGHTS
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 - ELIGIBILITY
 - COMPETITION BASICS
- **APPLICATION FUNDING REQUEST GUIDANCE**
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 - FUNDING REQUEST SCENARIO
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BUDGET NARRATIVE

Budget Narrative

Provide an itemized budget narrative, broken down by project year, for each budget category listed in Section A of the ED 524 form.

Budget Resources:

- 2 CFR Part 200 (previously OMB Circular A-87 (State) and A-122 (non-profit)) *Additional information on uniform guidance can be found at: <http://www2.ed.gov/policy/fund/guid/uniform-guidance/index.html>
- Funding Restrictions outlined in the NIA
- Uses of Subgrant Funds – outlined in the NIA
- This is a non-construction grant.



BUDGET NARRATIVE

FUNDING RESTRICTIONS AND BUDGETS

Grantees under this program:

1. Must award subgrants to eligible applicants to enable eligible applicants to—
 - a. Open and prepare for the operation of new charter schools;
 - b. Open and prepare for the operation of replicated high-quality charter schools; or
 - c. Expand high-quality charter schools; and
2. Provide technical assistance to eligible applicants and authorized public chartering agencies in carrying out the activities described in 1 above, and work with authorized public chartering agencies in the State to improve authorizing quality, including developing capacity for, and conducting, fiscal oversight and auditing of charter schools.



BUDGET NARRATIVE

FUNDING RESTRICTIONS AND BUDGETS

A State entity receiving a grant under this program shall use:

- **not less** than 90 percent of the grant funds to award subgrants to eligible applicants for activities related to opening and preparing for the operation of new charter school or to replicate or expand high-quality charter schools;
- reserve **not less than seven percent** of funds to provide technical assistance to eligible applicants and authorized public chartering agencies in:
 - opening and preparing for the operation of new charter schools or to replicate or expand high-quality charter schools and
 - in improving authorizing quality, including developing capacity for, and conducting, fiscal oversight and auditing of charter schools; and
- reserve **not more than three percent** of funds for administrative costs, which may include technical assistance.

A State entity may use a grant received under this program to carry out technical assistance activities authorized under this program directly or through grants, contracts, or cooperative agreements



BUDGET NARRATIVE

FUNDING RESTRICTIONS AND BUDGETS

A few more things to note:

- 1) The subgrantee maximum is \$1,500,000.
- 2) A subgrant can be for no more than five years, of which an eligible applicant may use not more than 18 months for planning and program design.
- 3) In your narrative you should clearly delineate not only the costs under each cost category but whether they are related to administrative costs, technical assistance, or if they are subgrants.
 - Double check your numbers and percentage maximums – you don't want to be reduced because of a calculation error.
 - The number of subgrants you are budgeting for should be clearly delineated. We recommend budgeting year by year with only the money needed for that year's subgrant award amount. Except for in year 5 in which we encourage the applicant to ensure they have enough funds to equally and fully support any subgrantees awarded. This ensures that there are not expiration of funds and that the grant funding can be responsive to pipeline changes.



BUDGET NARRATIVE

FUNDING RESTRICTIONS AND BUDGETS

Applicants must ensure that all costs included in the proposed budget are reasonable and necessary in light of the goals and objectives of the proposed project. Any costs determined to be unallowable, not allocable, or unreasonable will be removed from the final budget.

The budget should include only costs that are allowable, allocable and reasonable. In the Budget Narrative Attachment, provide an itemized budget narrative, by project year, for each cost category, in addition to a justification for costs included.



DETERMINING FUNDING REQUEST

TIPS

1. First determine how many subgrants you will have
 - a. Consider the different types
 - b. How many of each type per year
 - c. The amount budgeted for each award type
2. Once you have the total subgrant amount, divide that by 90% (or .9)
3. Now you have the total possible grant you can ask for
4. If you multiple that total possible grant amount by 7% (or .07), you determine the minimum amount of funds that must be technical assistance expenses
5. If you subtract that minimum amount for technical assistance and the total subgrant amount from the total possible grant amount, you determine the maximum amount of funding that can be administrative expenses at a maximum of 3%



FUNDING REQUEST SCENARIO

THE PIPELINE AND SUBGRANT INFORMATION

Award Amounts and Length

Award Type	Max Amount	Length of Grant
New School	\$1,500,000	5 years
Replication	\$1,500,000	5 years
Expansion	\$1,500,000	3 years

Pipeline

Award Type	Year 1	Year 2	Year 3	Year 4	Year 5	Total
New School	2	2	2	2	2	10
Replication	1	1	1	1	1	5
Expansion	2	2	2	2	2	10



FUNDING REQUEST SCENARIO

SUBGRANT AMOUNTS

- Allocation per year for a New School or Replication Subgrant
 - Year 1: \$300,000
 - Year 2: \$300,000
 - Year 3: \$300,000
 - Year 4: \$300,000
 - Year 5: \$300,000

- Allocation per year for an Expansion Subgrant
 - Year 1: \$500,000
 - Year 2: \$500,000
 - Year 3: \$500,000

FUNDING REQUEST SCENARIO

SUBGRANTS

Cohort	2020-21	2021-22	2022-23	2023-24	2024-25	2024-25	2026-27	2027-28	2028-29
New School Subgrants									
Cohort1	\$300,000 * 2 = \$600,000	\$300,000 * 2 = \$600,000	\$300,000 * 2 = \$600,000	\$300,000 * 2 = \$600,000	\$300,000 * 2 = \$600,000				
Cohort 2		\$300,000 * 2 = \$600,000	\$300,000 * 2 = \$600,000	\$300,000 * 2 = \$600,000	\$300,000 * 2 = \$600,000	\$300,000 * 2 = \$600,000			
Cohort 3			\$300,000 * 2 = \$600,000	\$300,000 * 2 = \$600,000	\$300,000 * 2 = \$600,000	\$300,000 * 2 = \$600,000	\$300,000 * 2 = \$600,000		
Cohort 4				\$300,000 * 2 = \$600,000	\$300,000 * 2 = \$600,000	\$300,000 * 2 = \$600,000	\$300,000 * 2 = \$600,000	\$300,000 * 2 = \$600,000	
Cohort 5					\$300,000 * 2 = \$600,000	\$300,000 * 2 = \$600,000	\$300,000 * 2 = \$600,000	\$300,000 * 2 = \$600,000	\$300,000 * 2 = \$600,000
Total	\$600,000	\$1,200,000	\$1,800,000	\$2,400,000	\$3,000,000	\$2,400,000	\$1,800,000	\$1,200,000	\$600,000



FUNDING REQUEST SCENARIO

SUBGRANTS

Cohort	2020-21	2021-22	2022-23	2023-24	2024-25	2025-26	2026-27	2027-28	2028-29
Replication Subgrants									
Cohort 1	\$300,000 * 1 = \$300,000	\$300,000 * 1 = \$300,000							
Cohort 2		\$300,000 * 1 = \$300,000	\$300,000 * 1 = \$300,000						
Cohort 3			\$300,000 * 1 = \$300,000	\$300,000 * 1 = \$300,000	\$300,000 * 1 = \$300,000	\$300,000 * 1 = \$300,000	\$300,000 * 1 = \$300,000		
Cohort 4				\$300,000 * 1 = \$300,000	\$300,000 * 1 = \$300,000	\$300,000 * 1 = \$300,000	\$300,000 * 1 = \$300,000	\$300,000 * 1 = \$300,000	
Cohort 5					\$300,000 * 1 = \$300,000	\$300,000 * 1 = \$300,000	\$300,000 * 1 = \$300,000	\$300,000 * 1 = \$300,000	\$300,000 * 1 = \$300,000
Total	\$300,000	\$600,000	\$900,000	\$1,200,000	\$1,500,000	\$1,200,000	\$900,000	\$600,000	\$300,000



FUNDING REQUEST SCENARIO

SUBGRANTS

Cohort	2020-21	2021-22	2022-23	2023-24	2024-25	2025-26	2026-27	2027-28	2028-29
Expansion Subgrants									
Cohort1	\$500,000 * 2 = \$1,000,000	\$500,000 * 2 = \$1,000,000	\$500,000 * 2 = \$1,000,000						
Cohort 2		\$500,000 * 2 = \$1,000,000	\$500,000 * 2 = \$1,000,000	\$500,000 * 2 = \$1,000,000					
Cohort 3			\$500,000 * 2 = \$1,000,000	\$500,000 * 2 = \$1,000,000	\$500,000 * 2 = \$1,000,000				
Cohort 4				\$500,000 * 2 = \$1,000,000	\$500,000 * 2 = \$1,000,000	\$500,000 * 2 = \$1,000,000			
Cohort 5					\$500,000 * 2 = \$1,000,000	\$500,000 * 2 = \$1,000,000	\$500,000 * 2 = \$1,000,000		
Total	\$1,000,000	\$2,000,000	\$3,000,000	\$3,000,000	\$3,000,000	\$2,000,000	\$1,000,000		



FUNDING REQUEST SCENARIO

SUBGRANTS

Type	2020-21	2021-22	2022-23	2023-24	2024-25	2025-26	2026-27	2027-28	2028-29
New School Subgrants	\$600,000	\$1,200,000	\$1,800,000	\$2,400,000	\$3,000,000	\$2,400,000	\$1,800,000	\$1,200,000	\$600,000
Replication Subgrants	\$300,000	\$600,000	\$900,000	\$1,200,000	\$1,500,000	\$1,200,000	\$900,000	\$600,000	\$300,000
Expansion Subgrants	\$1,000,000	\$2,000,000	\$3,000,000	\$3,000,000	\$3,000,000	\$2,000,000	\$1,000,000		
Totals	\$1,900,000	\$3,800,000	\$5,700,000	\$6,600,000	\$7,500,000	\$5,600,000	\$3,700,000	\$1,800,000	\$900,000

Planned Budget Request									
	Year 1	Year 2	Year 3	Year 4	Year 5	Total			
Subgrants	\$1,900,000	\$3,800,000	\$5,700,000	\$6,600,000	\$19,500,000	\$37,500,000			
Administrative	\$63,333	\$126,666	\$189,999	\$219,999	\$649,999	\$1,249,996			
Technical Assistance	\$147,778	\$295,556	\$443,334	\$513,334	\$1,516,667	\$2,916,669			
Total	\$2,111,111	\$4,222,222	\$6,333,333	\$7,333,333	\$21,666,666	\$41,666,665			
Subgrants %	90.00000%	90.00000%	90.00000%	90.00000%	90.00000%	90.00000%			
Administrative %	2.99998%	2.99998%	2.99998%	2.99999%	3.00000%	2.99999%			
Technical Assistance %	7.00001%	7.00001%	7.00001%	7.00001%	7.00000%	7.00001%			

	U.S. DEPARTMENT OF EDUCATION BUDGET INFORMATION NON-CONSTRUCTION PROGRAMS	OMB Control Number: 1894-0008 Expiration Date: 08/31/2020				
Name of Institution/Organization		Applicants requesting funding for only one year should complete the column under "Project Year 1." Applicants requesting funding for multi-year grants should complete all applicable columns. Please read all instructions before completing form.				
SECTION A - BUDGET SUMMARY U.S. DEPARTMENT OF EDUCATION FUNDS						
Budget Categories	Project Year 1 (a)	Project Year 2 (b)	Project Year 3 (c)	Project Year 4 (d)	Project Year 5 (e)	Total (f)
1. Personnel						
2. Fringe Benefits						
3. Travel						
4. Equipment						
5. Supplies						
6. Contractual						
7. Construction						
8. Other						
9. Total Direct Costs (lines 1-8)						
10. Indirect Costs *Enter Rate Applied _____						
11. Training Stipends						
12. Total Costs (lines 9-11)						
<p>*Indirect Cost Information (To Be Completed by Your Business Office):</p> <p>If you are requesting reimbursement for indirect costs on line 10, please answer the following questions:</p> <p>(1) Do you have an Indirect Cost Rate Agreement approved by the Federal government? <input type="checkbox"/> Yes <input type="checkbox"/> No.</p> <p>(2) If yes, please provide the following information: Period Covered by the Indirect Cost Rate Agreement: From: ___/___/_____ To: ___/___/_____ (mm/dd/yyyy) Approving Federal agency: <input type="checkbox"/> ED <input type="checkbox"/> Other (please specify): _____ The Indirect Cost Rate is _____%</p> <p>(3) If this is your first Federal grant, and you do not have an approved indirect cost rate agreement, are not a State, Local government or Indian Tribe, and are not funded under a training rate program or a restricted rate program, do you want to use the de minimis rate of 10% of MTDC? <input type="checkbox"/> Yes <input type="checkbox"/> No. If yes, you must comply with the requirements of 2 CFR § 200.414(f).</p> <p>(4) If you do not have an approved indirect cost rate agreement, do you want to use the temporary rate of 10% of budgeted salaries and wages? <input type="checkbox"/> Yes <input type="checkbox"/> No. If yes, you must submit a proposed indirect cost rate agreement within 90 days after the date your grant is awarded, as required by 34 CFR § 75.560.</p> <p>(5) For Restricted Rate Programs (check one) -- Are you using a restricted indirect cost rate that: <input type="checkbox"/> Is included in your approved Indirect Cost Rate Agreement? Or <input type="checkbox"/> Complies with 34 CFR 76.564(c)(2)? The Restricted Indirect Cost Rate is _____%</p> <p>(6) For Training Rate Programs (check one) -- Are you using a rate that: <input type="checkbox"/> Is based on the training rate of 8 percent of MTDC (See EDGAR § 75.562(c)(4))? Or <input type="checkbox"/> Is included in your approved Indirect Cost Rate Agreement, because it is lower than the training rate of 8 percent of MTDC (See EDGAR § 75.562(c)(4)).</p>						



FUNDING REQUEST SCENARIO

PERSONNEL – COST CATEGORY 1

- Only staff working directly on the grant objectives
- Please describe their roles in the budget narrative
- Positions should be identified as percent of salaried time or the number of hours on the project
- If there is salary increase over the years of the grant, please include that information clearly, such as in a table
- Can be split between Administrative and Technical Assistance
 - Tasks such as administering the paperwork, running the competition, monitoring the grants are administrative
 - Providing guidance and training the subgrantees or authorizers is technical assistance



FUNDING REQUEST SCENARIO

ASHLEY DEPARTMENT OF EDUCATION – PERSONNEL

- **1 FTE Federal Charter School Grant Manager**

- The Federal Charter School Grant Manager oversees the CSP award
- Salary = \$10,000 per year with a 2% increase
- The Federal Charter School Grant Manager oversees the CSP award including reviewing expenditure report reimbursement submissions, organizing the competitive sub-grant competition, approving budget modification requests, conducting monitoring visits, provides technical assistance to sub-grant recipients, and coordinates training for all charter school authorizers.

- **50% TA/ 50% Administrative**

	Year 1	Year 2	Year 3	Year 4	Year 5
Federal Charter Schools Grant Manager	\$10,000	\$10,200	\$10,404	\$10,612	10,824
Administrative (50%)	\$5,000	\$5,100	\$5,202	\$5,306	\$5,412
Technical Assistance (50%)	\$5,000	\$5,100	\$5,202	\$5,306	\$5,412



FUNDING REQUEST SCENARIO

FRINGE BENEFITS – COST CATEGORY 2

- If applicable to this program, provide the rate and base on which fringe benefits are calculated and identify the staff who are receiving the benefits.
- Leave this line blank if fringe benefits applicable to direct salaries and wages are treated as part of the indirect costs.
- If personnel costs are splitting responsibilities with administrative duties and technical assistance, the fringe associated with these salaries should be allocated in the same manner within the budget narrative.



FUNDING REQUEST SCENARIO

ASHLEY DEPARTMENT OF EDUCATION – FRINGE BENEFITS

- The Federal Charter School Grant Manager will receive fringe benefits to cover his health, dental, and retirement benefits. The benefits are calculated at 25% of the salary each year.
- 50% TA/ 50% Administrative

	Year 1	Year 2	Year 3	Year 4	Year 5
Federal Charter Schools Grant Manager	\$2,500	\$2,550	\$2,601	\$2,653	\$2,706
Administrative (50%)	\$1,250	\$1,275	\$1,301	\$1,327	\$1,353
Technical Assistance (50%)	\$1,250	\$1,275	\$1,301	\$1,327	\$1,353



FUNDING REQUEST SCENARIO

TRAVEL – COST CATEGORY 3

- Include the positions traveling or number of staff, the number of nights, the per diem rate, hotel
- Additionally include airfare or car mileage as applicable
- Administrative
 - The Charter School Program Project Directors Meeting
 - Subgrant Monitoring
- Technical Assistance
 - Sending Authorizers to a conference they can learn from
 - Travel to put on trainings in the state

FUNDING REQUEST SCENARIO

ASHLEY DEPARTMENT OF EDUCATION - TRAVEL

- Federal Charter School Grant Manager
 - Administrative
 - Travel to Project Directors Meeting
 - \$500 each year: \$200 for the plane ride to DC, \$100 per night in hotel for 2 nights = \$200, \$50 per diem for 2 days = \$100
 - Travel to monitoring subgrants
 - \$150 each year: Estimated visiting 6 different schools with a rental car at the cost of \$25 per each visit
 - Technical Assistance
 - Travel for subgrantees to mandatory technical assistance trainings put on by the Ashley Department of Education
 - \$200 each year
 - For grantees we will provide a stipend to attend the TA conference we put on around best practices. We estimate providing a stipend of up to \$50 for 3-4 grantees.

	Year 1	Year 2	Year 3	Year 4	Year 5
Administrative Total	\$650	\$650	\$650	\$650	\$650
Travel to PD Meeting	\$500	\$500	\$500	\$500	\$500
Travel to Monitor	\$150	\$150	\$150	\$150	\$150
Technical Assistance	\$200	\$200	\$200	\$200	\$200
Subgrantee Travel to conferences	\$200	\$200	\$200	\$200	\$200



FUNDING REQUEST SCENARIO

EQUIPMENT AND SUPPLIES – COST CATEGORY 4 AND 5

- Equipment
 - Cost over \$5,000
 - Justify why it needs to be purchased and not rented
 - Please note if the costs are administrative or related to technical assistance and provide a clear reason for the decision
- Supplies
 - These are costs under \$5,000
 - Costs can be grouped, such as office supplies
 - Please note if the costs are administrative or related to technical assistance and provide a clear reason for decision



FUNDING REQUEST SCENARIO

ASHLEY DEPARTMENT OF EDUCATION - EQUIPMENT

- 2 laptop carts
 - \$10,000 per each
 - The laptops will be used for interactive sessions with subgrantee to provide technical assistance on opening a charter school. We want to ensure that all participants in the session have accessible technology. There are currently no laptops available for this purpose in the Department.
 - The Federal Charter School Grant Manager will have use of one computer to administer the grant program as well as training.
 - 95% TA/5% Administrative

	Year 1	Year 2	Year 3	Year 4	Year 5
Laptop Carts (\$10,000 per cart) * 2	\$20,000	\$0	\$0	\$0	\$0
Administrative (5%)	\$1,000	\$0	\$0	\$0	\$0
Technical Assistance (95%)	\$19,000	\$0	\$0	\$0	\$0



FUNDING REQUEST SCENARIO

ASHLEY DEPARTMENT OF EDUCATION - SUPPLIES

- Software license each year
 - \$500
 - The Ashley Department of Education is going to pay for a software license for the life of the grant that will allow for it to host interactive webinars. The platform allows for polling and will store the recording for the life of the grant. The Ashley Department of Education does not currently have a platform with that capability.
 - The webinars will provide trainings to the subgrantees on allowable costs and best practices.
 - 100% Technical Assistance

	Year 1	Year 2	Year 3	Year 4	Year 5
Administrative Total	\$0	\$0	\$0	\$0	\$0
Technical Assistance	\$500	\$500	\$500	\$500	\$500
Webinar License Platform	\$500	\$500	\$500	\$500	\$500



FUNDING REQUEST SCENARIO

CONTRACTUAL – COST CATEGORY 6

- Any contracts for services to be performed by entities other than the grantee organization
- Any grants for technical assistance activities
- Please review the Uniform Guidance 200.317-200.326 on procurement standards and ensure that as a grantee you follow the requirements
- Please note if the costs are administrative or related to technical assistance and provide a clear reason for the decision
 - Peer review costs are administrative



FUNDING REQUEST SCENARIO

ASHLEY DEPARTMENT OF EDUCATION - CONTRACTUAL

- Administrative
 - Peer Reviewer Honoraria
 - 3 reviewers per sub-grant competition. Average of \$150 stipend/reviewer (\$50/application). 2 subgrant competitions/year. Budgeted at \$700 per year
- Technical Assistance
 - Authorizer Evaluation
 - The Ashley Department of Education is going to contract with NACSA to provide evaluations of all 9 authorizers in the State. In addition to the evaluation, NACSA will provide a technical assistance session to each authorizer based on the evaluation.
 - This will be contracted during the first 3 years of the grant, 3 each year at the cost of \$2,000 per each. \$6,000 per year

	Year 1	Year 2	Year 3	Year 4	Year 5
Administrative Total	\$700	\$700	\$700	\$700	\$700
Peer Reviewer Honoraria	\$700	\$700	\$700	\$700	\$700
Technical Assistance	\$6,000	\$6,000	\$6,000		
Authorizer Evaluation	\$6,000	\$6,000	\$6,000		



FUNDING REQUEST SCENARIO

CONSTRUCTION – COST CATEGORY 7

- Not allowable

FUNDING REQUEST SCENARIO

ASHLEY DEPARTMENT OF EDUCATION – CONSTRUCTION

- Construction
 - No costs

FUNDING REQUEST SCENARIO

OTHER – COST CATEGORY 8

- This category can be used for anything that does not fit into the previous categories or indirect costs.
- This is where we like to see the subgrant amounts.

SUBGRANT FUNDING RESTRICTIONS

Subgrants should support activities related to opening and preparing for the operation of new charter schools or replicating or expanding high-quality charter schools, this can include:

- a) Costs associated with preparing teachers, school leaders, and specialized instructional support personnel
 - i. professional development,
 - ii. Hiring and compensating during planning period – teachers, school leaders, and/or specialized instructional support personnel.
- b) Acquiring supplies, training, equipment (including technology), and educational materials (including developing and acquiring instructional materials).
- c) Carrying out necessary renovations to ensure that a new school building complies with applicable statutes and regulations, and minor facilities repairs (excluding construction).
- d) Providing one-time, startup costs associated with providing transportation to students to and from the charter school.
- e) Carrying out community engagement activities, which may include paying the cost of student and staff recruitment.
- f) Providing for other appropriate, non-sustained costs related to the activities in opening and preparing for the operation of charter schools.



FUNDING REQUEST SCENARIO

ASHLEY DEPARTMENT OF EDUCATION – OTHER

- New School Subgrants
 - 2 each year, 10 total
 - \$15,000,000
- Replication Subgrants
 - 1 each year, 5 total
 - \$7,500,000
- Expansion Subgrants
 - 2 each year, 10 total
 - \$15,000,000

FUNDING REQUEST SCENARIO

SUBGRANTS

Cohort	2020-21	2021-22	2022-23	2023-24	2024-25
New School Subgrants					
Cohort 1	\$300,000 * 2 = \$600,000	\$300,000 * 2 = \$600,000			
Cohort 2		\$300,000 * 2 = \$600,000	\$300,000 * 2 = \$600,000	\$300,000 * 2 = \$600,000	\$300,000 * 2 = \$600,000 \$300,000*2 = \$600,000 SUM Total = \$1,200,000
Cohort 3			\$300,000 * 2 = \$600,000	\$300,000 * 2 = \$600,000	\$300,000 * 2 = \$600,000 \$300,000*2 = \$600,000 \$300,000 * 2 = \$600,000 SUM Total = \$1,800,000
Cohort 4				\$300,000 * 2 = \$600,000	\$300,000 * 2 = \$600,000 \$300,000 * 2 = \$600,000 \$300,000* 2 = \$600,000 \$300,000 * 2 = \$600,000 SUM Total = \$2,400,000
Cohort 5					\$300,000 * 2 = \$600,000 \$300,000 * 2 = \$600,000 \$300,000 * 2 = \$600,000 \$300,000*2 = \$600,000 \$300,000 * 2 = \$600,000 SUM Total = \$3,000,000
Total	\$600,000	\$1,200,000	\$1,800,000	\$2,400,000	\$9,000,000



FUNDING REQUEST SCENARIO

SUBGRANTS

Cohort	2020-21	2021-22	2022-23	2023-24	2024-25
Replication Subgrants					
Cohort 1	\$300,000 * 1 = \$300,000	\$300,000 * 1 = \$300,000			
Cohort 2		\$300,000 * 1 = \$300,000	\$300,000 * 1 = \$300,000	\$300,000 * 1 = \$300,000	\$300,000 * 1 = \$300,000 \$300,000 * 1 = \$300,000 SUM Total = \$600,000
Cohort 3			\$300,000 * 1 = \$300,000	\$300,000 * 1 = \$300,000	\$300,000 * 1 = \$300,000 \$300,000 * 1 = \$300,000 SUM Total = \$900,000
Cohort 4				\$300,000 * 1 = \$300,000	\$300,000 * 1 = \$300,000 \$300,000 * 1 = \$300,000 \$300,000 * 1 = \$300,000 \$300,000 * 1 = \$300,000 SUM Total = \$1,200,000
Cohort 5					\$300,000 * 1 = \$300,000 \$300,000 * 1 = \$300,000 \$300,000 * 1 = \$300,000 \$300,000 * 1 = \$300,000 \$300,000 * 1 = \$300,000 SUM Total = \$1,500,000
Total	\$600,000	\$1,200,000	\$1,800,000	\$2,400,000	\$4,500,000



FUNDING REQUEST SCENARIO

SUBGRANTS

Cohort	2020-21	2021-22	2022-23	2023-24	2024-25
New School Subgrants					
Cohort1	\$500,000 * 2 = \$1,000,000	\$500,000 * 2 = \$1,000,000	\$500,000 * 2 = \$1,000,000		
Cohort 2		\$500,000 * 2 = \$1,000,000	\$500,000 * 2 = \$1,000,000	\$500,000 * 2 = \$1,000,000	
Cohort 3			\$500,000 * 2 = \$1,000,000	\$500,000 * 2 = \$1,000,000	\$500,000 * 2 = \$1,000,000
Cohort 4				\$500,000 * 2 = \$1,000,000	\$500,000 * 2 = \$1,000,000 \$500,000 * 2 = \$1,000,000 SUM Total = \$2,000,000
Cohort 5					\$500,000 * 2 = \$1,000,000 \$500,000 * 2 = \$1,000,000 \$500,000 * 2 = \$1,000,000 SUM Total = \$3,000,000
Total	\$1,000,000	\$2,000,000	\$3,000,000	\$3,000,000	\$3,000,000



FUNDING REQUEST SCENARIO

ASHLEY DEPARTMENT OF EDUCATION – OTHER

- New School Subgrants
 - 2 each year, 10 total
 - 60 months subgrants
 - \$1,500,000 per subgrant. \$15,000,00 total
- Replication Subgrants
 - 1 each year, 5 total
 - 60 months subgrants
 - \$1,500,000 per subgrant, \$7,500,00 total
- Expansion Subgrants
 - 2 each year, 10 total
 - 36 months subgrants
 - \$1,500,000 per subgrant, \$15,000,00 total

Subgrant Funding By Year

Subgrant Type	Year 1	Year 2	Year 3	Year 4	Year 5	Total
New School	\$600,000	\$1,200,000	\$1,800,000	\$2,400,000	\$9,000,000	\$15,000,000
Replication	\$300,000	\$600,000	\$900,000	\$1,200,000	\$4,500,000	\$7,500,000
Expansion	\$1,000,000	\$2,000,000	\$3,000,000	\$3,000,000	\$6,000,000	\$15,000,000
Total	\$1,900,000	\$3,800,000	\$5,700,000	\$6,600,000	\$19,500,000	\$37,500,000



FUNDING REQUEST SCENARIO

INDIRECT COSTS – COST CATEGORY 10

- Indirect Costs are administrative costs
- Please include an up to date Indirect Cost Rate Agreement
- If your organization does not have an indirect cost rate agreement and you may negotiate one with the Department
 - The Department's Indirect Cost Group will negotiate indirect cost rates only with organizations
 - Who have received received federal funding; and
 - Who receive the majority of their federal funds directly from the Department.
 - An organization may estimate its indirect cost rate using the most recent financial data such as audited financial statements and/or IRS Form 990. The indirect cost estimate, based on that information, should be included in your grant application.



FUNDING REQUEST SCENARIO

INDIRECT COSTS – COST CATEGORY 10

- If the grant award is made, an indirect cost proposal (including supporting documentation) must be submitted to our office (or the federal agency that provides the majority of federal funding received directly by the organization) within 90 days of the award.
- Under current regulations, a temporary indirect cost rate of 10% of direct salaries and wages may be allowed until an indirect cost rate has been approved. If you have questions, please let us know.



FUNDING REQUEST SCENARIO

ASHLEY DEPARTMENT OF EDUCATION –INDIRECT COSTS

- Indirect Costs
 - No costs

FUNDING REQUEST SCENARIO

TRAINING STIPENDS— COST CATEGORY 11

- Generally we do not see this for this program.
- Do not put subgrant funds in training stipends.
- If you budget in this category strong justification is needed, when we have seen funding budgeted for in this category in the past it was labelled under the wrong cost category.

FUNDING REQUEST SCENARIO

ASHLEY DEPARTMENT OF EDUCATION –TRAINING STIPENDS

- Training Stipends
 - No costs



AGENDA

84.282A – CSP GRANTS FOR STATE ENTITIES

- HIGHLIGHTS
 - PURPOSE OF STATE ENTITIES COMPETITION
 - ELIGIBILITY
 - COMPETITION BASICS
- APPLICATION FUNDING REQUEST GUIDANCE
 - BUDGET NARRATIVE
 - FUNDING REQUEST SCENARIO
- **GOAL, OBJECTIVE, AND MEASURE GUIDANCE**
- LOGIC MODEL GUIDANCE
- QUESTIONS FROM THE FIELD

CSP GOALS, OBJECTIVES, AND MEASURES

Goals:

High-level statements specific to CSP

Objectives:

Statements about how stated goals will be achieved

Measures:

Metrics that empirically assess achievement of objectives

SMART DEFINITION

S	Specific	Objectives are clearly and concisely stated, reducing the potential for misunderstanding or misinterpretation.
M	Measurable	Objectives are measurable using valid and reliable data that are readily available and can be tracked at least annually.
A	Achievable	Objectives are achievable and ambitious, reflecting a realistic understanding of organizational capabilities and environments.
R	Relevant	Objectives align with project goals and performance measures and reflect the mission and values of the CSP and grantee.
T	Timebound	A time frame or target date for achieving the outcomes for long-term goals and short-term objectives.



WHY SMART CRITERIA ARE IMPORTANT

RISKS OF NOT USING SMART CRITERIA

- Setting goals and objectives that are too easy to achieve.
- Setting goals and objectives that are too difficult to achieve.
- Allocating insufficient resources or time to achieve your goals and objectives.
- Pursuing activities that are irrelevant to the project's purpose.
- Pursuing goals and objectives that are too vague to be successfully accomplished.



STEPS TO CREATING SMART OBJECTIVES

1. Determine what goals and objectives align with the grantee's logic model and theory of action, in addition to CSP goals.
2. Identify objectives.
3. Identify measures.
4. Provide baseline measures.
5. Identify performance targets.
6. Incorporate objectives and measures into a narrative describing activities.

S IS FOR SPECIFIC

Tell your reader:

- What will be accomplished?
- Who is the target audience?
- Who will be involved in accomplishing the objective?

Question to consider:

- Will non-experts understand the validity of the objectives?



S IS FOR SPECIFIC

EXAMPLE

- *Vague Objective:* Create a positive environment in all new schools.
- *Specific Objective:* Create a supportive learning environment in each new school opened during the grant-period to attract and retain high-quality teachers and a student body representative of the local community, as measured by surveys of staff satisfaction and student attendance.

M IS FOR MEASURABLE

Tell your reader:

- How will you know you've achieved each objective?

Some questions to consider:

- What quantifiable data are needed to assess progress?
- Are the selected data the correct and applicable data to measure the objective?
- Are the data readily available on an annual basis at minimum?
- Do you have a clear understanding of your starting point for baseline data?

M IS FOR MEASURABLE

EXAMPLE

- *Unmeasurable Objective:* Charter schools will share information with other schools.
- *Measurable Objective:* Ten charter schools per year will share their evidence of effective practices and lessons learned with other schools by presenting at two or more CMO-wide professional development events for other school leaders and staff.

A IS FOR ACHIEVABLE

Make sure that:

- The objectives are realistically attainable and ambitious.
- The resources and staffing required to achieve the objectives are available.

Question to consider:

- Do your measures take in to account your starting point (i.e. baseline data)?
- What data or assurances are available that allow you to make your projections with confidence?

A IS FOR ACHIEVABLE

EXAMPLE

- *Unachievable Objective:* Within the first academic year, increase the proficiency of students receiving free and reduced-price lunch from 30% to 100% in math (as measured by state assessment results).
- *Achievable Objective:* Each year, reduce the achievement gap in math (as measured by state assessment results) for students who receive free and reduced-price lunch by 10% from the previous year.

R IS FOR RELEVANT

Make sure that:

- The goals and objectives align with CSP program goals.
- The objectives align to your logic model.

Question to consider:

- Do your objectives and measures align with your entity's stated vision for your school(s) and your students?

R IS FOR RELEVANT

EXAMPLE

- *Irrelevant Objective:* To strengthen and support the state's literacy initiative.
- *Relevant Objective:* To strengthen and support charter school authorizer quality and promote adoption of best practices for authorizers.

T IS FOR TIMEBOUND

Tell your reader:

- When will activities related to the objectives begin and end?

Question to consider:

- Is there enough time available to achieve the objectives?
- Is the time frame for accomplishing the objectives too long, rendering them unambitious?

T IS FOR TIMEBOUND

EXAMPLE

- *Unlimited Time Objective:* As part of replication process, add seven new charter schools.
- *Timebound Objective:* Seven charter schools in operation in the 2020-21 school year will be replicated within five years.

MAKING OBJECTIVES SMART

Original Objective	Criteria Met in Original Objective	Revised SMART Objective
1. To develop partnerships between charter schools and charter schools working to raise student achievement	<input type="checkbox"/> Specific <input type="checkbox"/> Measurable <input checked="" type="checkbox"/> Achievable <input checked="" type="checkbox"/> Relevant <input type="checkbox"/> Timebound	1. The state entity will facilitate the development of 2 partnerships each year between high performing charter schools and charter schools working to raise student achievement.
2. To provide technical assistance about charter school best-practices	<input type="checkbox"/> Specific <input type="checkbox"/> Measurable <input checked="" type="checkbox"/> Achievable <input checked="" type="checkbox"/> Relevant <input type="checkbox"/> Timebound	2. To provide two technical assistance workshops annually that highlight the best practices of high-quality charter schools with 80% of the state's charter schools attending.
3. 100 percent of charter schools each year will share their knowledge of high-quality effective practices at national conferences.	<input checked="" type="checkbox"/> Specific <input checked="" type="checkbox"/> Measurable <input type="checkbox"/> Achievable <input checked="" type="checkbox"/> Relevant <input checked="" type="checkbox"/> Timebound	3. The percentage of charter schools sharing their knowledge of high-quality effective practices with local, state, and national audiences will increase by 5 percentage points each year from 25 percent in the base year.



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84.282A – CSP GRANTS FOR STATE ENTITIES

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LOGIC MODELS

- *Demonstrates a rationale* means a key *project component* included in the project's *logic model* is informed by research or evaluation findings that suggest the *project component* is likely to improve *relevant* outcomes (34 CFR 77.1).
- A **logic model** means a framework that identifies key *project components* of the proposed project (i.e., the active “ingredients” that are hypothesized to be critical to achieving the *relevant* outcomes) and describes the theoretical and operational relationships among the key *project components* and *relevant* outcomes (34 CFR 77.1).



STRUCTURAL COMPONENTS

- External factors (contextual situation)
- Assumptions
- Resources/inputs
- Activities
- Outputs
- Outcomes
 - Short-term outcomes (1-2 years)
 - Mid-term outcomes (3-4 years)
 - End/long-term outcomes (5+ years)
- Relationships between
 - Components (e.g., resources to activities to outputs)
 - Specific elements (e.g., arrows or other linkages)



CONTENT

- Connection with grant project for using charter schools to improving outcomes
- Grant project goals and objectives (constructs for performance measures)
- Feasible projected outcomes based on the outputs, activities, and inputs
- Meaningful project outcomes

OVERALL LOGIC MODEL RATING

- Alignment/relationships between grant goals, inputs, activities, outputs, outcomes
- Reasonable timeframe for activities and outcomes within the period of the grant
- Logic model aligned with state plan (i.e., monitoring the subgrantees, working with authorizers to avoid duplicating work, providing TA (to subgrantees, improve authorizing efforts))
- Adequacy of Resources



AGENDA

84.282A – CSP GRANTS FOR STATE ENTITIES

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QUESTIONS?

Closing Date:

April 13, 2020, at 11:59:59 p.m. (EST)

Washington, DC time

Important Note: The competition closes on a Friday and the Grants.gov helpdesk is not available the weekend prior to the closing date. You are strongly encouraged to submit early! You can always resubmit your application on the closing date by 11:59:59 p.m. if you need to update your application.

FOR INFORMATION AND ASSISTANCE

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