

FY 2019 Pre-Application Webinar State Entities Competition CFDA 84.282A United States Department of Education Office of Innovation and Improvement

Washington, D.C.

WELCOME

STATE ENTITY TEAM

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MEETING LOGISTICS

WEBINAR

- 1. LISTEN ONLY
- 2. THE WEBINAR WILL BE RECORDED
- 3. USE CHAT FUNCTION FOR QUESTIONS OR COMMENTS; Q&A TO FOLLOW PRESENTATION
 - a. WHEN SUBMITTING YOUR QUESTIONS, PLEASE REPLY TO ALL PRESENTERS
- 4. FOLLOW-UP WITH EMAIL <u>CHARTERSCHOOLS@ED.GOV</u>



IMPORTANT NOTE

The Federal Register notice contains important information. We recommend all applicants read the entire notice in the Federal Register. Applicants must follow the Application Procedures as described in the Federal Register notice announcing the grant competition.





84.282A – CSP GRANTS FOR STATE ENTITIES

• OVERVIEW

- PURPOSE
- ELIGIBILITY
- CHANGES FOR FY19
- SPECIFIC FEATURES FOR FY 2019
- KEY ESSA CHANGES
- COMPETITION BASICS
- APPLICATION DETAIL
 - APPLICATION PACKAGE OVERVIEW
 - PRIORITIES
 - SELECTION CRITERIA
 - REQUIREMENTS
 - PERFORMANCE MEASURES
- GRANTS.GOV (REGISTER AND SUBMIT EARLY!)



APPLICATIONS ARE DUE BY:

February 12, 2019 at 4:30:00 p.m. (EDT) Washington, DC time

Important Note: The Grants.gov helpdesk is not available on weekends. You are strongly encouraged to submit early! You can always resubmit your application (prior to the closing date at 4:30 p.m.) if you need to update your application.



PURPOSE OF THE CHARTER SCHOOLS PROGRAM (CSP)

The major purposes of the CSP are to:

- Expand opportunities for all students, particularly traditionally underserved students, to attend charter schools and meet challenging State academic standards;
- Provide financial assistance for the planning, program design, and initial implementation of public charter schools;
- Increase the number of high-quality charter schools available to students across the United States;
- Evaluate the impact of charter schools on student achievement, families, and communities, and share best practices between charter schools and other public schools;
- Encourage States to provide facilities support to charter schools; and support efforts to strengthen the charter school authorizing process.



PURPOSE OF 84.282A – GRANTS TO STATE ENTITIES

- The State Entities program is a competitive grant program that enables State entities to award subgrants to eligible applicants in their State to open and prepare for the operation of new charter schools and to replicate and expand high-quality charter schools.
- The CSP State Entities program provides financial assistance to State entities to support charter schools that serve elementary and secondary school students.
- Charter schools receiving funds under the CSP State entities program also may serve students in early childhood education programs or postsecondary students.



PURPOSE OF 84.282A – GRANTS TO STATE ENTITIES

- Grant funds are also used by the State entity to:
 - provide technical assistance to eligible applicants and authorized public chartering agencies in opening and preparing for the operation of new charter schools, or replicating or expanding high-quality charter schools; and
 - to work with authorized public chartering agencies to improve authorizing quality, including developing capacity for, and conducting, fiscal oversight and auditing of charter schools.
- A State entity receiving a grant under this program shall:
 - use **not less** than 90 percent of the grant funds to award subgrants
 - reserve **not less** than seven (7) percent of funds to provide technical assistance to eligible applicants and authorized public chartering agencies
 - and reserve not more than three (3) percent of funds for administrative costs



ELIGIBILITY

STATE ENTITIES - ELIGIBLE APPLICANTS

Eligible applicants are State entities in States with a State statute specifically authorizing the establishment of charter schools.

State Entity means—

- a) A State educational agency;
- b) A State charter school board;
- c) A governor of a State; or
- d) A charter school support organization



ELIGIBILITY

- No State Entity may receive a grant under this competition for use in a State in which an SE received a new State Entities grant in FY 2017 or FY 2018 and is currently using the grant; these States are <u>Arkansas, Arizona, Colorado, Delaware,</u> <u>Idaho, Indiana, Maryland, Michigan, Minnesota, Mississippi, New Mexico, New</u> <u>York, North Carolina, Oklahoma, Rhode Island, Texas, and Wisconsin.</u>
- The NIA states that "Under section 4303(e)(1) of the ESEA, no State entity may receive a grant under this program for use in a State in which a State entity is currently using a grant received under this program."
 - The Department interprets the language in section 4303(e)(1) of the ESEA as applying only in cases where the active grant was awarded under the reauthorized program (the ESSA version of the ESEA).
 - Therefore, a State entity located in a State in which an SEA has an active grant awarded prior to FY 2017 (including an SEA with an active grant) **could** be eligible to apply for a new award under this competition. **Please note:** No applicant may receive a new award to conduct the same activities that are approved under an existing active grant; newly proposed activities must be outside the scope of currently funded activities.



ELIGIBILITY

SUBGRANTEE - ELIGIBLE APPLICANT

Eligible applicant when used with respect to subgrants made by a State entity, means a developer that has—

- (a) Applied to an authorized public chartering authority to operate a charter school; and
- (b) Provided adequate and timely notice to that authority.

DEVELOPER

An individual or group of individuals (including a public or private nonprofit organization), which may include teachers, administrators and other school staff, parents, or other members of the local community in which a charter school project will be carried out.



SPECIFIC FEATURES FOR FY 2019

The FY 2019 CSP State Entities competition under section 4303 of the ESEA is the 3rd State entities competition run under ESSA. It is relatively similar to the FY2017 and FY2018 competition, some highlights include:

- 1. Subgrants may be for up to 60 months, and the State Entity grant may be for up to 60 months.
- 2. If multiple state entities in a State submit applications only the highest-scoring application amongst such State entities (assuming in funding range) would be funded.
- 3. Subgrants can be for opening and preparing for the operation of:
 - a. New charter schools;
 - b. Replicated high-quality charter schools; or
 - c. Expanding high-quality charter schools.
- 4. Grant funds may be used for:
 - a. At least 90 percent subgrants;
 - b. At least 7 percent technical assistance; and
 - c. No more than 3 percent administrative costs
- 5. A state entity may use grant funds to carry out technical assistance activities directly or through grants, contracts, or cooperative agreements.
- 6. Charter schools may serve students in early childhood education programs or postsecondary students.
- 7. Allowable uses of funds have expanded.



CHANGES FOR FY 2019

The FY2018 and FY2019 notice are relatively similar. The following changes were made:

- Maximum subgrant is \$1,500,000.
- Clarification that the Uniform Guidance requires that applications be in English. Peer reviewers will only consider supporting documents submitted with the application that are in English.
- If application requirements are responded to within the context of a response to the competitive preference priorities or selection criteria, a request that the applicant notes the page that contains the information.



Key ESSA Changes

Definition of a charter school Lotteries including weighted lotteries Single Sex Schools Allowable Costs

DEFINITION OF A CHARTER SCHOOL

CHANGES HIGHLIGHTED

Charter school means a public school that—

(g) Complies with the Age Discrimination Act of 1975, title VI of the Civil Rights Act of 1964, title IX of the Education Amendments of 1972, section 504 of the Rehabilitation Act of 1973, the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 *et seq.*), section 444 of GEPA (20 U.S.C. 1232g) (commonly referred to as the "Family Educational Rights and Privacy Act of 1974") and part B of the IDEA;

(h) Is a school to which parents choose to send their children, and that—

- 1. Admits students on the basis of a lottery, consistent with section 4303(c)(3)(A) of the ESEA, if more students apply for admission than can be accommodated; or
- 2. In the case of a school that has an affiliated charter school (such as a school that is part of the same network of schools), automatically enrolls students who are enrolled in the immediate prior grade level of the affiliated charter school and, for any additional student openings or student openings created through regular attrition in student enrollment in the affiliated charter school and the enrolling school, admits students on the basis of a lottery as described in paragraph (1);

(m) May serve students in early childhood educational programs or postsecondary students. (ESEA section 4310(2)).



EARLY CHILDHOOD

Early childhood education program means

- A. a Head Start program or an Early Head Start program carried out under the Head Start Act (42 U.S.C. 9831 et seq.), including a migrant or seasonal Head Start program, an Indian Head Start program, or a Head Start program or an Early Head Start program that also receives State funding;
- B. a State licensed or regulated child care program; or
- C. a program that
 - i. serves children from birth through age six that addresses the children's cognitive (including language, early literacy, and early mathematics), social, emotional, and physical development; and
 - ii. is
- I. a State prekindergarten program;
- II. a program authorized under section 619 or part C of the Individuals with Disabilities Education Act; or
- III. (III) a program operated by a local educational agency. (ESEA section 8101(16))



USE OF LOTTERY

Section 4303(c)(3)(A) of the ESEA ---

Nothing in this Act shall prohibit the Secretary from awarding grants to State entities, or prohibit State entities from awarding subgrants to eligible applicants, that use a weighted lottery to give slightly better chances for admission to all, or a subset of, educationally disadvantaged students if –

- The use of weighted lotteries in favor of such students is not prohibited by State law, and such State law is consistent with laws described in section 4310(2)(G)I; and
- 2. Such weighted lotteries are not used for the purpose of creating schools exclusively to serve a particular subset of students.

(B) STUDENTS WITH SPECIAL NEEDS - Nothing in this paragraph shall be construed to prohibit schools from specializing in providing specific services for students with a demonstrated need for such services, such as students who need specialized instruction in reading, spelling, or writing.



COMPLYING WITH FEDERAL AND STATE LAWS

SINGLE-SEX SCHOOLS

With respect to a subgrantee proposing to open or operating a single-sex charter school or a coeducational charter school offering single-sex classes or extracurricular activities, the applicant should ensure that the subgrantee complies with the Equal Protection Clause of the U.S. Constitution (as interpreted in United States v. Virginia, 518 U.S. 515 (1996) and other cases) and Title IX of the Education Amendments of 1970 (20 U.S.C. 1681 et seq.) and its regulations, including 34 CFR 106.34(c).

With respect to ensuring compliance, an applicant should conduct a separate and detailed review of the subgrantee to determine compliance with applicable nondiscrimination laws as noted above.



COMPLYING WITH FEDERAL AND STATE LAWS

SINGLE-SEX SCHOOLS CONT.

This additional review may require the subgrantee to provide additional fact-specific information about the single-sex program, including a detailed description of how it will comply with applicable nondiscrimination laws. Specifically:

- A subgrantee proposing to open or operate a single-sex charter school should be able to provide a written justification for each new or existing single-sex charter school that explains (1) how the singlesex charter school is based on an important governmental objective(s); and (2) how the single-sex nature of the charter school is substantially related to the stated objective(s).
- 2. The subgrantee should also be able to provide information about whether there is a substantially equal single-sex school(s) for students of the excluded sex, or a substantially equal coeducational school(s), or both, and, if so, a detailed description of the proposed single-sex charter school and the substantially equal school(s) based on the factors in 34 CFR 106.34(c)(3).



COMPLYING WITH FEDERAL AND STATE LAWS SINGLE-SEX SCHOOLS CONT.

A subgrantee proposing to operate a coeducational charter school that offers single-sex classes or extracurricular activities should be able to provide a detailed description of how it will comply with the Title IX regulations at 34 CFR 106.34(b). See "Questions and Answers on Title IX and Single-Sex Elementary and Secondary Classes and Extracurricular Activities," available at <u>http://www2.ed.gov/about/offices/list/ocr/docs/faqs-titleix-single-sex-201412.pdf</u>.



ALLOWABLE COSTS LOCAL USES OF FUNDS

4303(h) outlines the local uses of funds for subgrantees. We will go into more detail in the budget section of this presentation however it should be noted that planning costs and implementation costs are no longer delineated. Generally under these allowable costs the following must be noted:

- Planning and program design must still be tracked to ensure that it does not exceed 18 months (4303(d)(1)(B));
- all costs must be one-time costs that are not ongoing in nature for the purpose of opening, replicating, or expanding a charter school; and
- all costs must still be allowable, allocable, and reasonable.



COMPETITION BASICS

Date of Pre-Application Meeting:

IMPORTANT DATES

Applications Available:

January 3 and 7, 2019 2pm – 4pm

December 28, 2018

Date of Pre-Application Budget Meeting: January 8, 2019 2pm – 3:30pm

Deadline for Transmittal of Applications: **February 12, 2019** (4:30:00 p.m. Washington, D.C. time)

Onsite Review:

Grant Performance Period Begins:

October 1, 2019

April 1-5, 2019



COMPETITION BASICS

AWARD INFORMATION

Type of Award: Discretionary grants

Estimated Available Funds: \$108,000,000

Estimated Range of Awards: \$2 million to 25 million/year

Estimated Average Size of Awards: \$10 million/year

Estimated Number of Awards: 3 to 8

Project Period: Up to five years



COMPETITION BASICS

ELECTRONIC SUBMISSION REQUIREMENT

Applications for grants under this program must be submitted electronically, unless you qualify for an exception to this requirement in accordance with the instructions in the Notice.

Applications are due no later than February 12, 2019 by 4:30:00 P.M., Washington, DC time.





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APPLICATION DETAIL

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APPLICATION PACKAGE OVERVIEW

The application package can be found on Grants.gov <u>https://www.grants.gov/web/grants/view-</u> <u>opportunity.html?oppId=311602</u>. A word version of the application package instructions can be found on our website <u>https://innovation.ed.gov/fy-2019-state-entity-competition/</u>

A complete application consists of the following components:

- 1. Required Forms:
 - ED Standard Forms
 - Assurances and Certifications
- 2. Application Narrative:
 - Abstract Narrative Form
 - Budget Narrative Form
 - Project Narrative Form
- 3. Other Attachments (6 appendices)



APPLICATION PACKAGE OVERVIEW

REQUIRED FORMS

ED Standard Forms

- Application for Federal Assistance (SF 424)
- Department of Education Supplemental Information for SF 424
- Department of Education Budget Summary Form (ED 524)
- Disclosure of Lobbying Activities (SF-LLL)

Assurances and Certifications

- GEPA Section 427
- Assurances Non-Construction Programs (SF 424B)
- Grants.gov Lobby form (formerly ED 80-0013 form)

http://www2.ed.gov/fund/grant/apply/appforms/appforms.html



REQUIRED FORMS

SF 424

	Please fill out the following form. You can save data typed into this form.	light Fields							
 ● ● ● ● ● 	View Burden Statement OMB Number: 4040-0004 Expiration Date: 03/31/2012								
Application for Federal Assistance SF-424									
	* 1. Type of Submission: * 2. Type of Application: * If Revision, select appropriate letter(s): Preapplication New								
	* 3. Date Received: 4. Applicant Identifier: Completed by Grants.gov upon submission.								
	5a. Federal Entity Identifier: 5b. Federal Award Identifier:								
	State Use Only:								
	6. Date Received by State: 7. State Application Identifier:								
	8. APPLICANT INFORMATION:								
	* a. Legal Name:								
	* b. Employer/Taxpayer Identification Number (EIN/TIN): * c. Organizational DUNS:								
	d. Address:								
%	* Street1:								



REQUIRED FORMS

SF 424

8c – Organizational DUNS. This must be the same DUNS number used when you registered with Grants.gov

16a-b – Congressional District. Enter the district the applicant organization is located in, and the district in which activities will occur.

17a-b – Proposed Project Start and End Dates. The start date will be October 1, 2019. This grant can be for up to 5 years, so the end date should reflect how many years are requested.

18 – Estimated Funding. This should only reflect the first year of the project.

19 – EO 12372. This program is subject to the Executive Order.



ED 524



U.S. DEPARTMENT OF EDUCATION BUDGET INFORMATION NON-CONSTRUCTION PROGRAMS

OMB Control Number: 1894-0008 Expiration Date: 06/30/2017

Name of Institution/Organization: NAME OF DOE

Applicants requesting funding for only one year should complete the column under "Project Year 1." Applicants requesting funding for multi-year grants should complete all applicable columns. Please read all instructions before completing form.

SECTION A - BUDGET SUMMARY U.S. DEPARTMENT OF EDUCATION FUNDS

Budget Categories	Project Year 1 (a)	Project Year 2 (b)	Project Year 3 (c)	Project Year 4 (d)	Project Year 5 (e)	Total (f)
1. Personnel	145,000	145,000	145,000			435,000
2. Fringe Benefits	36,250	36,250	36,250			108,750
3. Travel	1,000	1,000	1,000			3,000
4. Equipment	0	0	0			0
5. Supplies	7,000	7,000	7,000			21,000
6. Contractual	30,000	30,000	30,000			90,000
7. Construction	0	<mark>0</mark>	<mark>0</mark>			<mark>0</mark>
8. Other	1,200,000	1,200,000	1,200,000			3,600,000
9. Total Direct Costs (lines 1-8)	1,419,250	1,419,250	1,419,250			4,257,750
10. Indirect Costs*	141,925	141,925	141,925			425,775
11. Training Stipends	0	0	0			0
12. Total Costs (lines 9-11)	1,561,175	1,561,175	1,561,175			4,683,525

*Indirect Cost Information (To Be Completed by Your Business Office):

If you are requesting reimbursement for indirect costs on line 10, please answer the following questions:

(1) Do you have an Indirect Cost Rate Agreement approved by the Federal government? X. Yes _____No

(2) If yes, please provide the following information:

Period Covered by the Indirect Cost Rate Agreement:	From:	_03_/_01_	_/2015	To: 02/_2	8_/_	_2016	 (mm/	dd/yyyy)
							_	

Approving Federal agency: _X_ED ____Other (please specify): ______ The Indirect Cost Rate is __10____%

(3) For Restricted Rate Programs (check one) -- Are you using a restricted indirect cost rate that:

____ Is included in your approved Indirect Cost Rate Agreement? or ____ Complies with 34 CFR 76.564(c)(2)? The Restricted Indirect Cost Rate is ______%



REQUIRED FORMS

ED 524

- Section A of the 524 is required; complete all years for which funds are requested.
- Funds requested should match the detailed budget narrative required in another segment of the application.
- A couple things to note:
 - If you have an approved indirect cost rate, provide the details in the budget narrative as well as in the bottom of this form. Make sure to include your agreement. The indirect costs will be calculated as part of the administrative 3% maximum.
 - Construction is not an allowable cost.
 - Subgrants should be put in the "other" cost category.
 - All costs that are not subgrants will either be part of the 7% technical assistance or 3% administrative costs.
- Section B of the ED524 should only be completed if you are making a matching commitment. **Not required!**



ASSURANCES AND CERTIFICATIONS

GEPA STATEMENT

- Section 427 of GEPA requires an applicant for federal funds to include a description of the steps they will take to ensure equitable access to and participation in the grant project.
- To meet this requirement, applicants must include a statement that does two things:
 - 1. Identifies at least one barrier that would prevent someone from participating in grant activities.
 - 2. Explains what will be done to overcome the barrier.

TIP: It must be a barrier that you would encounter related to the State Entities grant proposal.



APPLICATION PACKAGE OVERVIEW

OTHER ATTACHMENTS Other Attachments

This is where you will attach the application appendices. Applicants should not include substantive, project-related information that they wish peer reviewers to consider anywhere in the application other than in the Project Narrative Form and Budget Narrative Form sections.

There are five (6) "Other Attachments" that should be included:

- Appendix A Charter School Program Assurances
- Appendix B Resumes or Curriculum Vitae
- Appendix C Letters of Support
- Appendix D Proof of Nonprofit Status
- Appendix E Proprietary Information
- Appendix F Additional Information



APPLICATION PACKAGE OVERVIEW

APPLICATION NARRATIVE

The Application Narrative consists of the following components:

- Abstract Narrative
- Budget Narrative
- Project Narrative
 - Competitive Preference Priorities (optional)
 - Selection Criteria
 - Application Requirements



APPLICATION PACKAGE OVERVIEW ABSTRACT NARRATIVE

ED Abstract

The abstract narrative must include the name and address of the organization and the name, phone number, and e-mail address of the contact person for this project.

The abstract narrative should not exceed one page and should use language that will be understood by a range of audiences. For all projects, include the project title (if applicable), goals, expected outcomes and contributions for research, policy, practice, etc.



BUDGET NARRATIVE

Budget Narrative

Provide an itemized budget breakdown narrative, by project year, for each budget category listed in Section A of the ED 524 form.

Budget Resources:

- 2 CFR Part 200 (previously OMB Circular A-87 (State) and A-122 (non-profit)) *Additional information on uniform guidance can be found at: <u>http://www2.ed.gov/policy/fund/guid/uniform-guidance/index.html</u>
- Funding Restrictions outlined in the NIA
- Uses of Subgrant Funds outlined in the NIA
- This is a non-construction grant.



BUDGET NARRATIVE - FUNDING RESTRICTIONS AND BUDGETS

Grantees under this program:

- Must award subgrants to eligible applicants to enable eligible applicants to
 - a. Open and prepare for the operation of new charter schools;
 - b. Open and prepare for the operation of replicated high-quality charter schools; or
 - c. Expand high-quality charter schools; and
- 2. Provide technical assistance to eligible applicants and authorized public chartering agencies in carrying out the activities described in paragraph (1), and work with authorized public chartering agencies in the State to improve authorizing quality, including developing capacity for, and conducting, fiscal oversight and auditing of charter schools.



BUDGET NARRATIVE - FUNDING RESTRICTIONS AND BUDGETS

A State entity receiving a grant under this program shall use:

- **not less** than 90 percent of the grant funds to award subgrants to eligible applicants for activities related to opening and preparing for the operation of new charter school or to replicate or expand high-quality charter schools;
- reserve not less than seven percent of funds to provide technical assistance to eligible applicants and authorized public chartering agencies in:
 - opening and preparing for the operation of new charter schools or to replicate or expand high-quality charter schools and
 - in improving authorizing quality, including developing capacity for, and conducting, fiscal oversight and auditing of charter schools; and
- reserve **not more** than **three percent** of funds for administrative costs, which may include technical assistance.

A State entity may use a grant received under this program to carry out technical assistance activities authorized under this program directly or through grants, contracts, or cooperative agreements



BUDGET NARRATIVE - FUNDING RESTRICTIONS AND BUDGETS

Subgrants should support activities related to opening and preparing for the operation of new charter schools or replicating or expanding high-quality charter schools, this can include:

- a) Costs associated with preparing teachers, school leaders, and specialized instructional support personnel
 - i. professional development,
 - ii. Hiring and compensating during planning period teachers, school leaders, and/or specialized instructional support personnel.
- b) Acquiring supplies, training, equipment (including technology), and educational materials (including developing and acquiring instructional materials).
- c) Carrying out necessary renovations to ensure that a new school building complies with applicable statutes and regulations, and minor facilities repairs (excluding construction).
- d) Providing one-time, startup costs associated with providing transportation to students to and from the charter school.
- e) Carrying out community engagement activities, which may include paying the cost of student and staff recruitment.
- f) Providing for other appropriate, non-sustained costs related to the activities in opening and preparing for the operation of charter schools.

BUDGET NARRATIVE - FUNDING RESTRICTIONS AND BUDGETS

A few more things to note:

- 1) The subgrantee maximum is \$1,500,000.
- 2) A subgrant can be for no more than five years, of which an eligible applicant may use not more than 18 months for planning and program design.
- 3) In your narrative you should clearly delineate not only the costs under each cost category but whether they are related to administrative costs, technical assistance, or if they are subgrants.
 - Double check your numbers and percentage maximums you don't want to be reduced because of a calculation error.
 - The number of subgrants you are budgeting for should be clearly delineated. We recommend budgeting year by year with only the money needed for that year's subgrant award amount. Except for in year 5 in which we encourage the applicant to ensure they have enough funds to equally and fully support any subgrantees awarded. This ensures that there are not expiration of funds and that the grant funding can be responsive to pipeline changes.



BUDGET NARRATIVE - FUNDING RESTRICTIONS AND BUDGETS

An eligible applicant may not receive more than one subgrant under this program for each individual charter school for a five-year period, unless the eligible applicant demonstrates to the State entity that such individual charter school has at least three years of improved educational results for students enrolled in such charter school, with respect to the elements described in the definition of a High-Quality charter school.

A charter school that previously received CSP funds for planning or initial implementation under section 5202(c)(2) of the ESEA, as amended by the NCLB (CFDA number 84.282B), or for the replication or expansion of a high-quality charter school under one of the Department's Appropriations Acts (CFDA number 84.282M), is not eligible to receive funds from a state entity under this program for the same or a substantially similar purpose. However, a charter school may be eligible to receive funds is a high-quality charter school.

Likewise, a charter school that receives funds from a State entity is ineligible to receive funds for the same or a substantially similar purpose under the Developer or CMO grant programs.



BUDGET EXAMPLE

End goal: For my state, I would like to start 25 charter schools by the end of the 5 year project period. This would average to 5 school per year.

5 schools per year x \$1,500,000 max amount = \$7,500,000

7,500,000 per year x 5 years = 37,500,000

\$37,500,000 subgrant must be **not less** than 90% of grant

37,500,000/.9 = 41,666,666 total grant amount

Example		
Subgrant ≥	\$37,500,000	Not less than 90%
Admin/TA	\$4,166,666	10%
Admir	1 ≤ \$1,249,999	Not MORE than 3%
TA	≥ \$2,916,667	Not less than 7%
Grant Total	\$41,666,666	



BUDGET NARRATIVE - FUNDING RESTRICTIONS AND BUDGETS

Applicants must ensure that all costs included in the proposed budget are reasonable and necessary in light of the goals and objectives of the proposed project. Any costs determined to be unreasonable or unnecessary will be removed from the final budget.

The budget should include only costs that are allowable, reasonable, and necessary. In the Budget Narrative Attachment, provide an itemized budget narrative, by project year, for each budget category, in addition to a justification for costs included.



BUDGET NARRATIVE - FUNDING RESTRICTIONS AND BUDGETS

Project Directors Meeting: Applicants approved for funding under this competition must attend a two-day meeting for project directors during each year of the project. Applicants are encouraged to include the cost of attending this meeting in their proposed budgets as an administrative expense.



PROJECT NARRATIVE

Project Narrative: To facilitate the review of the application, please organize your Project Narrative in the following order and include a Table of Contents.

- 1. Competitive Priorities (optional)
- 2. Selection Criteria
- 3. Application Requirements

Applicants should limit the project narrative to 60 pages. The Table of Contents and any attachments (supporting documentation) do not count against the page limit.



PRIORITIES

- <u>Competitive Priorities</u>: We will award additional points to an application, depending on how well the application meets one or more of these priorities. There are 6 competitive priorities.
 - One Authorized Public Chartering Agency Other than an LEA, or an Appeals Process (0 or 2 points)
 - Equitable Financing (up to 3 points)
 - Charter School Facilities (up to 4 points)
 - Best Practices to Improve Struggling Schools and LEAs (up to 2 points)
 - Serving At-Risk Students (up to 3 points)
 - Best Practices for Charter School Authorizing (up to 4 points)



Competitive Preference Priority 1 --

One Authorized Public Chartering Agency Other than a Local Educational Agency (LEA), or an Appeals Process (O or 2 points). To meet this priority, an applicant must demonstrate that it is located in a State that—

- a) Allows at least one entity that is not a local educational agency to be an authorized public chartering agency for "developers" seeking to open a charter school in the State; or
- b) In the case of a State in which local educational agencies are the only authorized public chartering agencies, the State has an appeals process for the denial of an application for a charter school.

Note: In order to meet this priority under paragraph (b) above, the entity hearing the appeal must have the authority to approve the charter application over the objections of the LEA.



Competitive Preference Priority 2 --

Equitable Financing (up to 3 points): To receive points under this priority, an applicant must demonstrate the extent to which the State in which it is located ensures equitable financing, as compared to traditional public schools, for charter schools and students in a prompt manner.



Competitive Preference Priority 3 --

Charter School Facilities (up to 4 points): To receive points under this priority, an applicant must demonstrate the extent to which the State in which it is located provides charter schools one or more of the following:

- a) Funding for facilities;
- b) Assistance with facilities acquisition;
- c) Access to public facilities;
- d) The ability to share in bonds or mill levies;
- e) The right of first refusal to purchase public school buildings; or
- f) Low- or no-cost leasing privileges.



Competitive Preference Priority 4 --

Best Practices to Improve Struggling Schools and Local Educational Agencies (up to 2 points): To receive points under this priority, an applicant must demonstrate the extent to which the State in which it is located uses best practices from charter schools to help improve struggling schools and local educational agencies.



Competitive Preference Priority 5 --

Serving At-Risk Students (up to 3 points): To receive points under this priority, an applicant must demonstrate the extent to which it supports charter schools that serve at-risk students through activities such as dropout prevention, dropout recovery, or comprehensive career counseling services.



Competitive Preference Priority 6 --

Best Practices for Charter School Authorizing (up to 4 points): To receive points under this priority, an applicant must demonstrate the extent to which it has taken steps to ensure that all authorized public chartering agencies implement best practices for charter school authorizing.



OVERVIEW

- a) Quality of the Project Design (up to 15 points)
- b) Objectives (up to 20 points)
- c) Quality of Eligible Subgrant Applicants (up to 15 points)
- d) State Plan (up to 20 points)
- e) Quality of Management Plan (up to 15 points)
- f) Parent and Community Involvement (up to 10 points)
- g) Flexibility (up to 5 points)



(A) QUALITY OF THE PROJECT DESIGN (UP TO 15 POINTS)

The Secretary considers the quality of the design of the proposed project. In determining the quality of the design of the proposed project, the Secretary considers:

(1) The extent to which the proposed project demonstrates a rationale (up to 10 points); and

(2) The extent to which the goals, objectives, and outcomes to be achieved by the proposed project are clearly specified and measurable (up to 5 points).



DEFINITIONS RELATED TO CRITERION (A)

- 1. <u>Demonstrates a rationale</u> means a key <u>project component</u> included in the project's <u>logic model</u> is informed by research or evaluation findings that suggest the project component is likely to improve relevant outcomes. (34 CFR 77.1)
- 2. Logic model (also referred to as theory of action) means a framework that identifies key project components of the proposed project (i.e., the active "ingredients" that are hypothesized to be critical to achieving the relevant outcomes) and describes the theoretical and operational relationships among the key project components and relevant outcomes. (34 CFR 77.1)
- 3. <u>Project component means an activity, strategy, intervention, process,</u> product, practice, or policy included in a project. Evidence may pertain to an individual project component or to a combination of project components (e.g., training teachers on instructional practices for English learners and follow-on coaching for these teachers). (34 CFR 77.1)



RELS

For technical assistance in developing effective performance measures, applicants are encouraged to review information provided by the Department's Regional Educational Laboratories (RELs). The RELs seek to build the capacity of States and school districts to incorporate data and research into education decision-making. Each REL provides research support and technical assistance to its region but makes learning opportunities available to educators everywhere. For example, the REL Northeast and Islands has created the following resource on logic models: //relpacific.mcrel.org/resources/elm-app.



(B) OBJECTIVES (UP TO 20 POINTS)

The ambitiousness of the State entity's objectives for the quality charter school program carried out under the CSP State Entities program.

<u>Note</u>: In response to this criterion, an applicant may address (or cross reference) some or all of the components of application requirements (I)(A)-(G) in this notice, which require the applicant to provide a description of the State entity's objectives in running a quality charter school program and how the objectives of the program will be carried out.



(C) QUALITY OF ELIGIBLE SUBGRANT APPLICANTS. (UP TO 15 POINTS)

The likelihood that the eligible applicants receiving subgrants under the program will meet those objectives and improve educational results for students.



(D) STATE PLAN. (UP TO 20 POINTS)

The State entity's plan to--

- 1. Adequately monitor the eligible applicants receiving subgrants under the State entity's program (up to 5 points);
- 2. Work with the authorized public chartering agencies involved to avoid duplication of work for the charter schools and authorized public chartering agencies (up to 5 points); and
- 3. Provide technical assistance and support for-
 - i. The eligible applicants receiving subgrants under the State entity's program; and
 - ii. Quality authorizing efforts in the State (up to 10 points).



(E) QUALITY OF THE MANAGEMENT PLAN. (UP TO 15 POINTS)

The Secretary considers the quality of the management plan for the proposed project. In determining the quality of the management plan for the proposed project, the Secretary considers:

(1) The adequacy of the management plan to achieve the objectives of the proposed project on time and within budget, including clearly defined responsibilities, timelines, and milestones for accomplishing project tasks; and

(2) The extent to which the time commitments of the project director and principal investigator and other key project personnel are appropriate and adequate to meet the objectives of the proposed project.



(F) PARENT AND COMMUNITY INVOLVEMENT. (UP TO 10 POINTS)

The State entity's plan to solicit and consider input from parents and other members of the community on the implementation and operation of charter schools in the State.



(G) FLEXIBILITY. (UP TO 5 POINTS)

The degree of flexibility afforded by the State's charter school law and how the State entity will work to maximize the flexibility provided to charter schools under such law.



EXAMPLE POINT RANGE CHART

Shown below are **suggested** point ranges for an evaluation of fully developed, well developed, adequately developed, poorly developed, or not addressed, for each of the Selection Criteria.

	Quality of Applicant's Response					
Maximum Point Value	Not Addressed	Poorly Developed	Adequately Developed	Well- Developed	Fully Developed	
3	0	1	2	N/A	3	
5	0	1-2	3	4	5	
6	0	1-2	3-4	5	6	
10	0	1-4	5-7	8-9	10	
15	0	1-5	6-10	11-14	15	
20	0	1-6	7-13	14-19	20	



APPLICATION REQUIREMENTS

Application Requirements are from section 4303(f) of the ESEA.

- 1) Description of the Program
- 2) Assurances <u>https://innovation.ed.gov/what-we-do/charter-</u> <u>schools/state-entities/funding-and-legislation/</u>
- 3) Request for information about waivers

The Department will reject an application that does not meet the application requirements.

- ✓ An applicant may choose to respond the application requirements in the context of its responses to the priorities or selection criteria, when applicable.
- ✓ If an applicant addresses these in other sections of the application they should note the page where their response can be found in response to each application requirement.



APPLICATION REQUIREMENTS

DESCRIPTION OF THE PROGRAM

A description of the State entity's objectives in running a quality charter school program and how the objectives of the program will be carried out.

- This application requirement contains:
 - 7 elements (A-G) with supporting factors under each.
 - The first element has 13 factors.
- The application requirements outline everything that should be in your narrative.
- The checklist (found in the application package instructions) will be used by program staff to determine if all elements and factors are addressed.
- If an application requirement is addressed in response to a priority or criterion please make sure to note that explicitly and cite a page number.



DEFINITIONS OVERVIEW

- 1. Ambitious
- 2. Authorized Public Chartering Agency
- 3. Baseline
- 4. Charter School
- 5. Charter Management Organization
- 6. Charter School Support Organization
- 7. Child with a disability
- 8. Demonstrates a rationale
- 9. Developer
- 10. Early childhood education program
- 11. Eligible applicant (subgrants)
- 12. English learner

- 13. Expand
- 14. High-quality charter school
- 15. Logic model
- 16. Parent
- 17. Performance measure
- 18. Performance target
- 19. Project Component
- 20. Replicate
- 21. Relevant Outcome
- 22. State
- 23. State educational agency
- 24. State entity



CSP PERFORMANCE MEASURES

PROGRAM PERFORMANCE MEASURES (GPRA)

The primary goal of the CSP is to support the creation and development of a large number of high-quality charter schools that are free from State or local rules that inhibit flexible operation, are held accountable for enabling students to reach challenging State performance standards, and are open to all students. The Secretary has established two performance indicators to measure progress towards this goal:

- 1) The number of charter schools in operation around the Nation;
- The percentage of fourth-and-eighth grade charter school students who are achieving at or above the proficient level on State assessments in mathematics and reading/language arts.

Additionally, the Secretary has established the following measure to examine the efficiency of the CSP:

3) Federal cost per student in implementing a successful school (defined as a school in operation for three or more consecutive years).

All grantees will be expected to submit an annual performance report documenting their contribution in assisting the Department in meeting these performance measures.



CSP PERFORMANCE MEASURES

PROJECT-SPECIFIC PERFORMANCE MEASURES

Applicants must propose project-specific performance measures and performance targets consistent with the objectives of the proposed project. Applications must provide the following information as directed under 34 CFR 75.110(b) and (c):

- 1. <u>Performance measures</u>.
- 2. <u>Baseline data</u>.
- 3. <u>Performance targets</u>.
- 4. Data Collection.

<u>Note:</u> All grantees must submit an annual performance report with information that is responsive to these performance measures. *If you will be unable to report on a measure annually it should not be identified as a project-specific performance measure.*

<u>Note:</u> For technical assistance in developing effective performance measures, applicants are encouraged to review information provided by the Department's Regional Educational Laboratories (RELs).



PROJECT SPECIFIC PERFORMANCE MEASURE EXAMPLE

Example: I would like to create new charter schools

Revised: By the end of the project period, the state of "Ashley" will have created 25 new charter schools that received CSP funding.

Targets by Year			
Year 1	5 total		
Year 2	10 total		
Year 3	15 total		
Year 4	20 total		
Year 5	25 total		

SMART - specific, measurable, achievable, relevant, and time bound.



OPEN LICENSING

NEW DEPARTMENT OF EDUCATION REGULATION COVERING EIR GRANTEES

All Department of Education grantees awarded competitive grant funds, unless an exception applies, must openly license to the public all copyrightable grant deliverables that are created with Department grant funds, including such deliverable as educational software, curriculum materials, professional development training materials, assessment systems, etc. This requirement applies to CSP State entity grants and subgrants.

Purposes:

- Promotes efficient dissemination of grant-funded works.
- Promotes innovation through creative re-use of grant funded works.

The Rule:

https://www.federalregister.gov/documents/2017/01/19/2017-00910/open-licensing-requirement-for-competitive-grant-programs



WHAT DOES OPEN LICENSING MEAN?

Under an open license, the public is given permission...

- to access, reproduce, publicly perform, publicly display, and distribute the copyrightable work;
- to prepare derivative works, as defined in the Copyright Act, 17 U.S.C. 101, and to reproduce, publicly perform, publicly display and distribute those derivative works; and
- to otherwise use the copyrightable work, created in whole or in part with competitive grant funds provided by the Department, provided that in all such instances <u>attribution</u> is given to the copyright holder.

Note: Grantees may use any open licenses that comply with the rule (see additional conditions n the actual rule), including a license that limits use to noncommercial purposes.



WHAT IS A "DELIVERABLE" COVERED BY THE OPEN LICENSING RULE?

- Copyrightable grant deliverables, or deliverables, are <u>final</u> versions of a work developed to <u>carry out</u> <u>the purpose of the grant</u>, as specified in the grant announcement (i.e., notice inviting applications or application package).
- The [open licensing] <u>requirement will apply both to</u> <u>the deliverables themselves and to any final version</u> <u>of program support materials</u> necessary to the use of the deliverables.



MORE ABOUT THE OPEN LICENSING RULE

- The rule does not apply to pre-existing works,
- When pre-existing works are modified under grant funding, the rule only applies to the modifications
- A grantee or subgrantee that is awarded competitive grant funds <u>must have a plan to disseminate</u> the openly licensed copyrightable works.
- In some limited cases, exceptions to the rule may be granted by the Department. However, such exceptions will not be considered until after grant awards are made, and applicants must not assume that an exception would be granted.



APPLICABLE REGULATIONS AND STATUTE

- i. The Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 75, 76, 77, 79, 81, 82, 84, 86, 97, 98, and 99.
- ii. The OMB Guidelines to Agencies on Governmentwide
 Debarment and Suspension (Nonprocurement) in 2 CFR part
 180, as adopted and amended as regulations of the
 Department in 2 CFR part 3485; and
- iii. The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended in 2 CFR part 3474.
- iv. Title IV, Part C of the ESEA (20 U.S.C. 7221-7221j)



AWARD NOTICES

If your application is successful, we will notify your U.S. Representative and U.S. Senators and send you a Grant Award Notification (GAN). We may also notify you informally, as well.

If your application is not evaluated or not selected for funding, we will notify you.





84.282A – CSP GRANTS FOR STATE ENTITIES

• OVERVIEW

- PURPOSE
- ELIGIBILITY
- CHANGES FOR FY19
- SPECIFIC FEATURES FOR FY 2019
- KEY ESSA CHANGES
- COMPETITION BASICS
- APPLICATION DETAIL
 - APPLICATION PACKAGE OVERVIEW
 - PRIORITIES
 - SELECTION CRITERIA
 - REQUIREMENTS
 - PERFORMANCE MEASURES

• GRANTS.GOV (REGISTER AND SUBMIT EARLY!)



APPLICATIONS ARE DUE BY:

February 12, 2019, 4:30:00 P.M. (EST)

Washington, DC time

Important Note: The Grants.gov helpdesk is not available on weekends. You are strongly encouraged to submit early! You can always resubmit your application (before the closing date and time) if you need to update your application.



FEDERAL REGISTER NOTICE – APPLICATION INFORMATION

- Due Date and Time
- Program Contact Information
- Page Limits and Formatting
- Allowable File Types
- Mandatory or Optional Electronic Submission
- Exemptions to mandatory electronic submission
- System for Submitting



WHAT IS GRANTS.GOV?

- An external application system used throughout the Federal government
- Available at <u>www.grants.gov</u>





IMPORTANT REMINDER

Please be sure to check the CFDA# (84.282A), Competition ID and title before you download the application package.

SEARCH GRANTS

BASIC SEARCH CRITERIA: Kouwerd(a): Search Tips Export Detailed Data Manage Searches Save Search »									
Keyword(s): state entities charter					Search Tips E)	port Detailed Da	ata i Manage Se	earcnes Save	e Search »
Opportunity Number:)	SORT BY: Relevance (Descending)	Ŧ	Update Sort	DATE RANGE:	All Available		 Update 	Date Range
CFDA:		1 - 25 OF 705 MATCHING RESUL	TS:				« Previous	1 2 3 4 5 6	29 Next »
OPPORTUNITY STATUS:		Opportunity Number		Opport	tunity Title	Agency	Opportunity Status	Posted Date	Close Date
 Forecasted (79) Posted (626) Closed (1.670) 		ED-GRANTS-122818-001		ogram (CSP). G	provement (OII): Charter irants to State Entities CFDA	ED	Posted	12/28/2018	02/12/2019
Archived (28,913)		ED-GRANTS-113018-001	Opportunity (CSP): Grar	Through Qualit nts to Charter M	provement (OII): Expanding ty Charter Schools Program fanagement Organizations for sion of High-Quality Charter	ED	Posted	11/30/2018	01/10/2019
FUNDING INSTRUMENT TYPE:				DA Number 84.					
 All Funding Instruments Cooperative Agreement (339) 		F18AS00046	R8 (CA/NV) and Game /		Grant Program for State Fish	DOI-FWS	Posted	11/30/2017	08/30/2019
Grant (403) Other (65) Procurement Contract (39)	_	PA-17-326	Administrative Supplements for the U.SJapan Brain Research Cooperative Program (BRCP) - U.S. Entity (Admin Supplement)			HHS-NIH11	Posted	07/18/2017	09/18/2019



The Grants.gov registration process involves five (5) basic steps:

- 1. Obtain a DUNS number
- 2. Register with SAM
- 3. Set up your Authorized Organization Representative (AOR) profile
- 4. Get authorized as an AOR by your organization's e-Biz POC
- 5. Track your AOR status



- The complete Grants.gov registration process takes up to 4 weeks to complete.
- You do not have to register with Grants.gov if you only want to find grant opportunities or to download application packages – but you MUST register to SUBMIT!



<u>Step 1:</u> Register Your Organization

To register, your organization will need to obtain a DUNS number. If your organization does not have a DUNS number, you can call 1-866-705-5711. Check with your organization's grants office before obtaining a DUNS number. Use the same DUNS number used on the SF 424 form.

Step 2: SAM Registration

 Register both your DUNS number and TIN with the System for Award Management (SAM) (formerly the Central Contractor Registry (CCR)) .Your organization must have a DUNS number to register with SAM. SAM registration takes approximately 7 business days, but may take up to several weeks, to complete. Once your SAM registration is active, you will need to allow 24 to 48 hours for the information to be available in Grants.gov and before you can submit an application through Grants.gov. SAM requires an annual registration – you will be unable to submit if this has not been updated. This may take three or more business days.



Step 3: AOR Registration

 Create your Authorized Organization Representative (AOR) registration to obtain your username and password. You will need your organization DUNS number to complete the profile.

Step 4: Confirm AOR Registration

• The E-Business Point of Contact at your organization will receive your registration from Grants.gov. The E-Biz POC will then authorize you as an AOR. The E-Biz POC is usually someone in your grants office. Only an AOR may submit an application.



Step 5: Track your AOR status

- The length of time is contingent upon how long it takes your E-Biz POC to authorize you as an AOR. There may be more than one AOR at the organization.
- All 5 registration steps can be found on the Grants.gov website.

http://www.grants.gov/web/grants/applicants/organization-registration.html



GRANTS.GOV APPLICATION PACKAGE

- Review "Grants.gov Submission Procedures and Tips for Applicants" in application package.
- Applicant must download the correct version of Adobe in order to read any Grants.gov application packages.
- In Adobe, applicants must move all mandatory forms from left to right, in order to open each form.
- Once the form is on the right side, applicant can complete and **SAVE** each form; while in process, the application package is saved offline.
- Press the final **SAVE & SUBMIT** button before the final submission of the application.



GRANTS.GOV APPLICATION PACKAGE

- Once you download the application, multiple people can work on it, and you work offline.
- Save often.
- Includes both forms and attachments.
- Submit all documents as **PDF files**.
- Once the application is complete, the "save and submit" button becomes active.



GRANTS.GOV APPLICATION SUBMISSION

SUCCESSFUL SUBMISSION

- Applicants should receive a confirmation email with a time and date stamp and an assigned tracking number from Grants.gov
- Applicants should receive a validation email from Grants.gov. This means the application is ready for Department pickup
- Applicant should receive an email with their assigned PR Award # (U282A19XXXX)

UNSUCCESSFUL SUBMISSION

- Applicants should receive a confirmation email with a time and date stamp and an assigned tracking number from Grants.gov
- If the application is received after 4:30:00 p.m. on February 12, 2019 or validation is not successful, applicant should receive an error email
- 3. Email may list the error, or applicant can use their tracking number to find the submission error



GRANTS.GOV

APPLICATION PACKAGE – UNSUCCESSFUL SUBMISSION

- Verify Submission is on time and validated successfully
- To check, login to Grants.gov and click on the Track My **Application link**
- Date/time received should be earlier than 4:30:00 p.m. on February 12, 2019.
- Application status should be "Validated".
- Do not rely solely on email to confirm whether your application has been received on time and validated successfully.



DOI Project #SA067; Hurricane Sandy; Beach Resiliency Studies; Fire Island;



Fish and Wildlife Service

GRANTS.GOV APPLICATION SUBMISSION

Do not rely solely on email to confirm whether your application has been received on time and validated successfully!



GRANTS.GOV SUBMISSION

- Save a copy of your application.
- We may request original signatures on forms at a later date.
- Applications cannot be "unsubmitted".
- Users may resubmit their application at any point up until the closing date and time; we review the most recent submission before the due date and time.
- Closing Date: February 12, 2019, 4:30:00 P.M. Washington, DC time



GRANTS.GOV AVAILABILITY

- If you are experiencing problems submitting your application through Grants.gov, please contact the Grants.gov Support Desk at 800-518-4726. You must obtain a Grants.gov Support Desk Case Number and must keep a record of it.
- If you are prevented from electronically submitting your application on the application deadline date because of technical problems with the Grants.gov system, we will grant you an extension until 4:30:00 p.m. (Washington, DC time), the following day to enable you to transmit your application electronically, by hand delivery, or through the mail following the instructions in the Notice.



GRANTS.GOV AVAILABILITY

- If you submit an application after 4:30:00 p.m. (Washington, DC time) on February 12, 2019, contact the person listed in the Notice and provide an explanation of the technical problem you experienced with Grants.gov, along with the Grants.gov Support Desk Case Number. We will accept your explanation if we can confirm that a technical problem occurred with the Grants.gov system and that a problem affected your ability to submit your application by the deadline.
- The Department will contact you after a determination is made on whether your application will be accepted.



GRANTS.GOV AVAILABILITY

These extensions apply only to **the unavailability of**, **or technical problems with**, the Grants.gov system. We will not grant you an extension if you failed to fully register to submit your application on Grants.gov before the application deadline date and time or if the technical problem you experienced is unrelated to the Grants.gov system.



FOR INFORMATION AND ASSISTANCE CSP SEA/SE STAFF

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GRANTS.GOV support@grants.gov, (800) 518-4726

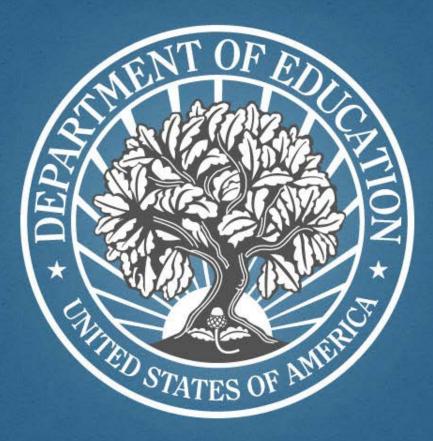


QUESTIONS?

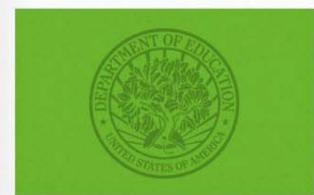
Closing Date: February 12, 2019, at 4:30:00 p.m. (EST) Washington, DC time

Important Note: The competition closes on a Friday and the Grants.gov helpdesk is not available the weekend prior to the closing date. You are strongly encouraged to submit early! You can always resubmit your application on the closing date by 4:30 p.m. if you need to update your application.





THANK YOU







U.S. DEPARTMENT OF EDUCATION