



**CHARTER SCHOOLS PROGRAM PRE-APPLICATION
WEBINAR -
*GRANTS TO CHARTER MANAGEMENT
ORGANIZATIONS FOR THE REPLICATION AND
EXPANSION OF HIGH-QUALITY CHARTER SCHOOLS
(CMO)***



**FY 2017 Pre-Application Webinar
CMO Competition
CFDA 84.282M**

*United States Department of Education
Office of Innovation and Improvement*

**January 24, 2017
Washington, D.C.**

WELCOME

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MEETING LOGISTICS

WEBINAR

1. LISTEN ONLY
2. THE WEBINAR WILL BE RECORDED
3. USE CHAT FUNCTION FOR QUESTIONS OR COMMENTS;
Q&A TO FOLLOW PRESENTATION
 - a. WHEN SUBMITTING YOUR QUESTIONS, PLEASE REPLY TO ALL PRESENTERS
4. FOLLOW-UP WITH EMAIL – CHARTERSCHOOLS@ED.GOV



IMPORTANT NOTE

The Federal Register notice contains important information. We recommend all applicants read the entire notice in the Federal Register. Applicants must follow the Application Procedures as described in the Federal Register notice announcing the grant competition.



AGENDA

84.282M – CSP GRANTS TO CHARTER MANAGEMENT ORGANIZATIONS FOR THE REPLICATION AND EXPANSION OF HIGH-QUALITY CHARTER SCHOOLS (CMO)

- **OVERVIEW**

- PURPOSE
- ELIGIBILITY
- CHANGES FOR FY17
- COMPETITION BASICS

- **APPLICATION DETAIL**

- APPLICATION PACKAGE OVERVIEW
- PRIORITIES
- SELECTION CRITERIA
- REQUIREMENTS
- PERFORMANCE MEASURES

- **GRANTS.GOV (REGISTER AND SUBMIT EARLY!)**



APPLICATIONS ARE DUE BY:

**February 27, 2017, at 4:30:00 p.m.
(EST)**

Washington, DC time

Important Note: The Grants.gov helpdesk is not available on weekends. You are strongly encouraged to submit early! You can always resubmit your application (prior to the closing date at 4:30 p.m.) if you need to update your application.



PURPOSE OF THE CHARTER SCHOOLS PROGRAM (CSP)

The major purposes of the CSP are to:

1. expand opportunities for all students, particularly traditionally underserved students, to attend charter schools and meet challenging State academic standards;
2. provide financial assistance for the planning, program design, and initial implementation of public charter schools;
3. increase the number of high-quality charter schools available to students across the United States;
4. evaluate the impact of charter schools on student achievement, families, and communities;
5. share best practices between charter schools and other public schools;
6. encourage States to provide facilities support to charter schools;
7. and support efforts to strengthen the charter school authorizing process.



PURPOSE OF 84.282M COMPETITION

Through CSP Grants to Charter Management Organizations for the Replication and Expansion of High-Quality Charter Schools (CFDA number 84.282M) (also referred to as CMO grants), the Department provides funds to *charter management organizations (CMOs)* on a competitive basis to enable them to *replicate* or *expand* one or more *high-quality charter schools*. Grant funds may be used to expand the enrollment of one or more existing *high-quality charter schools*, or to *replicate* one or more new charter schools that are based on an existing, *high-quality charter school* model.

ELIGIBILITY

ELIGIBLE APPLICANTS

Charter management organizations. Eligible applicants may apply individually or as part of a group or consortium.

Charter management organization means a nonprofit organization that operates or manages a network of charter schools linked by centralized support, operations, and oversight. (Section 4310(3) of the ESEA, as amended by the ESSA)

COMPETITION BASICS

IMPORTANT DATES

Applications Available: **January 13, 2017**

Date of Pre-Application Meeting: **January 24, 2017**
1 pm – 2:30pm

Deadline for Transmittal of Applications: **February 27, 2017**
(4:30:00 p.m. Washington, D.C. time)

Application Review: **Spring/Summer 2017**

Grant Performance Period Begins: **October 1, 2017**



COMPETITION BASICS

AWARD INFORMATION

Type of Award: Discretionary grants

Estimated Available Funds: \$57,000,000

Estimated Range of Awards: \$600,000 to \$3,500,000/year

Estimated Average Size of Awards: \$2 million/year

Estimated Number of Awards: 10-20

Project Period: Up to 60 months



COMPETITION BASICS

ELECTRONIC SUBMISSION REQUIREMENT

Applications for grants under this program must be submitted electronically, unless you qualify for an exception to this requirement in accordance with the instructions in the Notice.

Applications are due no later than **February 27, 2017 by 4:30:00 PM, Washington, DC time.**



CHANGES FOR FY 2017

The FY 2017 CMO NIA is the first CMO NIA aligned to ESSA. There have been changes to the NIA in FY 2017, both compared to FY 2016 and to account for changes in ESSA. Some, but not all of the changes, are outlined below:

1. Removal of FY16 AP1, Experience Operating or Managing HQ Charter Schools –
 - a. High-quality is now reviewed by peer reviewers under selection criterion,
 - b. Experience managing more than one charter schools will be reviewed by ED staff as an application requirement.
2. Removal of FY16 CPPs for 1) Students from Indian Tribes; 2) Promise Zones
3. Removal of Selection Criterion for Quality of Project Design, although that focus has been incorporated into other selection criteria
4. Revised Competition Preference Priorities for clarity and practicality and to align with ESSA
5. Revised selection criteria to ensure robust selection process
6. Added Eligibility & Application Requirements:
 - i. Independent Audits: for CMO at application, and ongoing requirement for charter schools
 - ii. Application Requirements updates based on ESSA, to be discussed later in this presentation.



FY 2017 NIA

WEIGHTED LOTTERIES

Weighted lotteries to give slightly better chances for admission to all, or a subset of, educationally disadvantaged students are permitted if—

- (i) the use of weighted lotteries in favor of such students is not prohibited by State law, and such State law is consistent with laws described in section 4310(2)(G); and
- (ii) such weighted lotteries are not used for the purpose of creating schools exclusively to serve a particular subset of students.



AGENDA

84.282M – CMO GRANTS

- OVERVIEW
 - PURPOSE
 - ELIGIBILITY
 - CHANGES FOR FY17
 - COMPETITION BASICS
- **APPLICATION DETAIL**
 - APPLICATION PACKAGE OVERVIEW
 - PRIORITIES
 - SELECTION CRITERIA
 - REQUIREMENTS
 - PERFORMANCE MEASURES
- GRANTS.GOV (REGISTER AND SUBMIT EARLY!)



APPLICATION PACKAGE OVERVIEW

The application package can be found on Grants.gov. A word version of the application package instructions can be found on our website:

<https://www2.ed.gov/programs/charter-rehqcs/applicant.html>.

A complete application consists of the following components:

1. Required Forms:

- ED Standard Forms
- Assurances and Certifications

2. Other Attachments (see application package)

3. Application Narrative:

- Abstract Narrative Form
- Budget Narrative Form
- Project Narrative Form



APPLICATION PACKAGE OVERVIEW

REQUIRED FORMS

ED Standard Forms

- Application for Federal Assistance (SF 424)
- Department of Education Supplemental Information for SF 424
- Department of Education Budget Summary Form (ED 524)
- Disclosure of Lobbying Activities (SF-LLL)

Assurances and Certifications

- GEPA Section 427
- Assurances – Non-Construction Programs (SF 424B)
- Grants.gov Lobby form (formerly ED 80-0013 form)



APPLICATION PACKAGE OVERVIEW

SF 424

Please fill out the following form. You can save data typed into this form. Highlight Fields

[View Burden Statement](#) OMB Number: 4040-0004
Expiration Date: 03/31/2012

Application for Federal Assistance SF-424

* 1. Type of Submission: <input type="checkbox"/> Preapplication <input type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
* 3. Date Received: <input type="text"/> Completed by Grants.gov upon submission.	4. Applicant Identifier: <input type="text"/>	
5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: <input type="text"/>	
State Use Only:		
6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>	
8. APPLICANT INFORMATION:		
* a. Legal Name: <input type="text"/>		
* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text"/>	* c. Organizational DUNS: <input type="text"/>	
d. Address:		
* Street 1:	<input type="text"/>	
Street 2:	<input type="text"/>	
* City:	<input type="text"/>	



APPLICATION PACKAGE OVERVIEW

SF 424

8c – Organizational DUNS. This must be the same DUNS number used when you registered with Grants.gov

16a-b – Congressional District. Enter the district the applicant organization is located in, and the district in which activities will occur.

17a-b – Proposed Project Start and End Dates. The start date will be October 1, 2017. This grant can be for up to 5 years, so the end date should reflect how many years are requested.

18 – Estimated Funding. This should only reflect the first year of the project.

19 – EO 12372. This program is subject to the Executive Order.





**U.S. DEPARTMENT OF EDUCATION
BUDGET INFORMATION
NON-CONSTRUCTION PROGRAMS**

OMB Control Number: 1894-0008
Expiration Date: 06/30/2017

Name of Institution/Organization: **NAME OF DOE**

Applicants requesting funding for only one year should complete the column under "Project Year 1." Applicants requesting funding for multi-year grants should complete all applicable columns. Please read all instructions before completing form.

**SECTION A - BUDGET SUMMARY
U.S. DEPARTMENT OF EDUCATION FUNDS**

Budget Categories	Project Year 1 (a)	Project Year 2 (b)	Project Year 3 (c)	Project Year 4 (d)	Project Year 5 (e)	Total (f)
1. Personnel	145,000	145,000	145,000			435,000
2. Fringe Benefits	36,250	36,250	36,250			108,750
3. Travel	1,000	1,000	1,000			3,000
4. Equipment	0	0	0			0
5. Supplies	7,000	7,000	7,000			21,000
6. Contractual	30,000	30,000	30,000			90,000
7. Construction	0	0	0			0
8. Other	1,200,000	1,200,000	1,200,000			3,600,000
9. Total Direct Costs (lines 1-8)	1,419,250	1,419,250	1,419,250			4,257,750
10. Indirect Costs*	141,925	141,925	141,925			425,775
11. Training Stipends	0	0	0			0
12. Total Costs (lines 9-11)	1,561,175	1,561,175	1,561,175			4,683,525

***Indirect Cost Information (To Be Completed by Your Business Office):**

If you are requesting reimbursement for indirect costs on line 10, please answer the following questions:

(1) Do you have an Indirect Cost Rate Agreement approved by the Federal government? X Yes ___ No

(2) If yes, please provide the following information:

Period Covered by the Indirect Cost Rate Agreement: From: 03 / 01 / 2015 To: 02 / 28 / 2016 (mm/dd/yyyy)

Approving Federal agency: X ED ___ Other (please specify): _____ The Indirect Cost Rate is 10 %

(3) For Restricted Rate Programs (check one) -- Are you using a restricted indirect cost rate that:

___ Is included in your approved Indirect Cost Rate Agreement? or ___ Complies with 34 CFR 76.564(c)(2)? The Restricted Indirect Cost Rate is _____ %



APPLICATION PACKAGE OVERVIEW

ED 524

- Section A is required; complete all years for which funds are requested.
- Funds requested should match the detailed budget narrative required in another segment of the application.

A couple things to note:

- If you have an approved indirect cost rate, provide the details in the budget narrative as well as in the bottom of this form.
- Construction is not an allowable cost.
- CMO costs are part of your 20% administrative expenses.
- Section B should only be completed if you are making a matching commitment. This program does not require a match.



APPLICATION PACKAGE OVERVIEW

GEPA STATEMENT

- Section 427 of GEPA requires an applicant for federal funds to include a description of the steps they will take to ensure equitable access to and participation in the grant project.
- To meet this requirement, applicants must include a statement that does two things:
 1. Identify at least one barrier that would prevent someone from participating in grant activities.
 2. Explain what will be done to overcome the barrier.

TIP: It must be a barrier that you would encounter related to the CSP grant proposal.



APPLICATION PACKAGE OVERVIEW

OTHER ATTACHMENTS

The Other Attachments Form can support up to ten attachments, therefore please merge appendices into one document as necessary.

****Please note – we used colons in the application package instructions regarding the naming mechanisms. This could impact your ability to submit because grants.gov does not like special characters. Therefore please use the names on this slide with colons and backslashes removed**

Appendix A: CSP Assurances

Appendix B: Resumes or Curriculum Vitae

Appendix C: Letters of Support



APPLICATION PACKAGE OVERVIEW

OTHER ATTACHMENTS CONT.:

Appendix D: Proof of Non-Profit Status, or not for-profit status

According to EDGAR 75.51(b), an applicant may show that it is a nonprofit organization by any of the following means:

- a) Proof that the Internal Revenue Service currently recognizes the applicant as an organization to which contributions are tax deductible under section 501(c)(3) of the Internal Revenue Code;
- b) A statement from a State taxing body or the State attorney general certifying that:
 - a. The organization is a nonprofit organization operating within the State; and
 - b. No part of its net earnings may lawfully benefit any private shareholder or individual;
- c) A certified copy of the applicant's certificate of incorporation or similar document if it clearly establishes the nonprofit status of the applicant; or
- d) Any item described previously if that item applies to a State or national parent organization, together with a statement by the State or parent organization that the applicant is a local nonprofit affiliate.



APPLICATION PACKAGE OVERVIEW

OTHER ATTACHMENTS

Appendix E: Schools Operated by Applicant

Provide information to show that the applicant operates more than one charter school, therefore meeting (a) of the Application Requirements. Information should include school name, grade levels, location, whether the school holds a separate charter, and authorizer for each charter school operated by the applicant. If the applicant holds only one charter but operates multiple schools under the same charter, provide documentation demonstrating that they are separate and distinct schools, including, but not limited to:

- a) A copy of the charter agreement;
- b) Documentation of whether the charter schools were established and are recognized as separate schools under state law (i.e., the applicable state statute or unique school identification number issued by the state);
- c) A copy of the performance agreements with the authorized public chartering agency, if different from the charter agreement;
- d) Physical locations of the schools;
- e) Documentation of whether the schools have separate facilities, staffs, and student bodies;
- f) Documentation of whether day to day operations at the separate schools are carried out by different administrators, and of whether schools are run by separate principals.



APPLICATION PACKAGE OVERVIEW

OTHER ATTACHMENTS

Appendix F: Response to Absolute Priority (Low-Income Demographic)

Provide information to show that the at least 60 percent of the students across all of the charter schools the applicant currently operates or manages are individuals from low-income families, as defined in the Notice Inviting Applications. Information should include school name, location, number and percentage of students at each school who are individuals from low-income families, the total number and percentage of students across all the charter schools the applicant currently operates or manages that are individuals from low-income families and how that number and percentage were calculated, and the method or source used to classify students as individuals from low-income families.



APPLICATION PACKAGE OVERVIEW

OTHER ATTACHMENTS

Appendix G: Student Academic Achievement

Provide documentation on the student academic achievement for each charter school operated or managed by the applicant. Such information should contain performance data both school-wide and by subgroup, and should include comparisons to all students in the State at the same grade level, and, to the extent available, as compared with other schools serving similar demographics of students.

Appendix H: Supplemental Organizational Budgets and Financial Information

Appendix I: Additional Information: Provide any additional information needed and label Appendix I Additional Information when uploading.



APPLICATION PACKAGE OVERVIEW

APPLICATION NARRATIVE

The Application Narrative consists of the following components:

- Abstract Narrative
- Budget Narrative
- Project Narrative
 - Absolute Priority
 - Competitive Preference Priorities (optional)
 - Invitational Priority (optional)
 - Selection Criteria
 - Application Requirements



APPLICATION PACKAGE OVERVIEW

ABSTRACT NARRATIVE

ED Abstract

The abstract narrative must include the name and address of the organization and the name, phone number, and e-mail address of the contact person for this project.

The abstract narrative should not exceed one page and should use language that will be understood by a range of audiences. For all projects, include the project title (if applicable), goals, expected outcomes and contributions for research, policy, practice, etc.



APPLICATION PACKAGE OVERVIEW

BUDGET NARRATIVE

Budget Narrative

1. Applicants must ensure that all costs included in the proposed budget are reasonable and necessary in light of the goals and objectives of the proposed project. Any costs determined to be unreasonable or unnecessary will be removed from the final budget.
2. The budget should include only costs that are allowable, reasonable, and necessary. In the Budget Narrative Attachment, provide an itemized budget narrative, by project year, for each budget category, in addition to a justification for costs included.



APPLICATION PACKAGE OVERVIEW

BUDGET NARRATIVE

Budget Resources:

- 2 CFR Part 200 (previously OMB Circular A-87 (State) and A-122 (non-profit))
*Additional information on uniform guidance can be found at:
<http://www2.ed.gov/policy/fund/guid/uniform-guidance/index.html>
- CSP Nonregulatory Guidance, Sections D2-D5
- This is a non-construction grant. CSP funds may not be used for construction, or to add to the permanent value of a property or appreciably prolong its life.

***Please budget for the budget period of the grant, NOT your fiscal year budget period.** That's October 1, 2017 – September 30, 2018 as year 1 and so forth. You should take roll-out into consideration and consider your timeline of when you will first begin funding schools in your pipeline.



APPLICATION PACKAGE OVERVIEW

BUDGET NARRATIVE - FUNDING RESTRICTIONS AND BUDGETS

Grantees under this program must use the grant funds to *replicate* or *expand* the charter school model or models for which the applicant has presented evidence of success. Grant funds must be used to carry out allowable activities, as described in section 4303(h) of the ESEA, as amended by the ESSA, which include—



APPLICATION PACKAGE OVERVIEW

BUDGET NARRATIVE - FUNDING RESTRICTIONS AND BUDGETS

(a) Preparing teachers, school leaders, and specialized instructional support personnel, including through paying costs associated with—

(i) Providing professional development; and

(ii) Hiring and compensating, during the applicant's planning period specified in the application for funds, one or more of the following:

(A) Teachers,

(B) School leaders, and

(C) Specialized instructional support personnel.

(b) Acquiring supplies, training, equipment (including technology), and educational materials (including developing and acquiring instructional materials).



APPLICATION PACKAGE OVERVIEW

BUDGET NARRATIVE - FUNDING RESTRICTIONS AND BUDGETS

- (c) Carrying out necessary renovations to ensure that a new school building complies with applicable statutes and regulations, and minor facilities repairs (excluding construction).
- (d) Providing one-time, startup costs associated with providing transportation to students to and from the charter school.
- (e) Carrying out community engagement activities, which may include paying the cost of student and staff recruitment.
- (f) Providing for other appropriate, non-sustained costs related to the *replication or expansion of high-quality charter schools* when such costs cannot be met from other sources.



APPLICATION PACKAGE OVERVIEW

BUDGET NARRATIVE - FUNDING RESTRICTIONS AND BUDGETS

A grantee may use up to 20 percent of grant funds for initial operational costs associated with the expansion or improvement of the grantee's oversight or management of its charter schools, provided that (i) the specific charter schools being *replicated* or *expanded* under the grant are the intended beneficiaries of such expansion or improvement; (ii) such expansion or improvement is intended to improve the grantee's ability to manage or oversee the charter schools *replicated* or *expanded* under the grant; and (iii) the costs cannot be met from other sources.



APPLICATION PACKAGE OVERVIEW

BUDGET NARRATIVE - FUNDING RESTRICTIONS AND BUDGETS

Applicants must ensure that all costs included in the proposed budget are reasonable and necessary in light of the goals and objectives of the proposed project. Any costs determined to be unreasonable or unnecessary will be removed from the final budget.

The budget should include only costs that are allowable, reasonable, and necessary. In the Budget Narrative Attachment, provide an itemized budget narrative, by project year, for each budget category, in addition to a justification for costs included.



APPLICATION PACKAGE OVERVIEW

BUDGET NARRATIVE - FUNDING RESTRICTIONS AND BUDGETS

Other CSP Grants: A charter school that previously has received CSP funds for replication or expansion, or for planning or initial implementation of a charter school under CFDA number 84.282A or 84.282B (as administered under the ESEA, as amended by the NCLB), may not use funds under this grant for the same purpose. However, such charter school may be eligible to receive funds under this competition to *expand* the charter school beyond the existing grade levels or student count.

Likewise, a charter school that receives funds under this competition is ineligible to receive funds for the same purpose under section 4303(b)(1) of the ESEA, as amended by the ESSA, including opening and preparing for the operation of a new charter school, opening and preparing for the operation of a *replicated high-quality charter school*, or *expanding a high-quality charter school (i.e., CFDA number 84.282A, 84.282B, 84.282E)*.



APPLICATION PACKAGE OVERVIEW

BUDGET NARRATIVE - FUNDING RESTRICTIONS AND BUDGETS

For this competition, the maximum limit of grant funds that may be awarded per new school seat in a new charter school is \$3,400, including a maximum limit per *replicated* charter school of \$900,000. The maximum limit per new school seat in a charter school that is *expanding* its enrollment is \$1,700, including a maximum limit per *expanded* school of \$900,000.



APPLICATION PACKAGE OVERVIEW

BUDGET NARRATIVE - FUNDING RESTRICTIONS AND BUDGETS

Project Directors Meeting: Applicants approved for funding under this competition must attend a two-day meeting for project directors during each year of the project. Applicants are encouraged to include the cost of attending this meeting in their proposed budgets.

APPLICATION PACKAGE OVERVIEW

PROJECT NARRATIVE

Project Narrative: To facilitate the review of the application, please organize your Project Narrative in the following order and include a Table of Contents.

- 1. Absolute Priority**
- 2. Competitive Priorities (optional)**
- 3. Invitational Priority (optional)**
- 4. Selection Criteria**
- 5. Application Requirements**

Applicants should limit the project narrative to 60 pages. The Table of Contents does not count towards this limit.



PRIORITIES

1. **Absolute Priority**: This priority is an absolute priority. Under [34 CFR 75.105\(c\)\(3\)](#), we consider only applications that meet this priority.

Absolute Priority—Low-Income Demographic.

- **Competitive Priorities**: We will award additional points to an application, depending on how well the application meets one or more of these priorities. There are 2 competitive priorities.
- *Competitive Preference Priority 1—Promoting Diversity. (0 or 3 points).*
- *Competitive Preference Priority 2—School Improvement through Turnaround Efforts. (0 or 5 points).*
- *Competitive Preference Priority 3—Novice Applicant. (0 or 2 points).*
- **Invitational Priority**: We do not give an application that meets this invitational priority a competitive or absolute preference over other applications:
 - *Invitational Priority—Rigorous Evaluation of School Practices.*



ABSOLUTE PRIORITY

1. *Absolute Priority*: This priority is an absolute priority. Under [34 CFR 75.105\(c\)\(3\)](#), we consider only applications that meet this priority.

This priority is: *Absolute Priority—Low-Income Demographic*.

To meet this priority, an applicant must demonstrate that at least 60 percent of the students across all of the charter schools the applicant currently operates or manages are *individuals from low-income families*.

****Applicants who do not meet AP 1 will not be considered for funding.**



COMPETITIVE PREFERENCE PRIORITIES

Competitive Preference Priorities: These priorities are competitive preference priorities. Under [34 CFR 75.105\(c\)\(2\)\(i\)](#), we will award an additional three points to an application that meets Competitive Preference Priority 1, an additional five points to an application that meets Competitive Preference Priority 2, and an additional two points to an application that meets Competitive Preference Priority 3. The maximum total competitive preference priority points an application can receive for this competition is 10. These priorities are:

Competitive Preference Priority 1—Promoting Diversity. (0 or 3 points).

Note: For information on permissible ways to meet this priority, please refer to the joint guidance issued by the Department's Office for Civil Rights and the U.S. Department of Justice entitled, “Guidance on the Voluntary Use of Race to Achieve Diversity and Avoid Racial Isolation in Elementary and Secondary Schools” (www2.ed.gov/about/offices/list/ocr/docs/guidance-ese-201111.pdf).



COMPETITIVE PREFERENCE PRIORITIES

Competitive Preference Priority 2—School Improvement through Turnaround Efforts. (0 or 5 points).

Note: For applicants proposing to use CMO grant funds to *replicate* a *high-quality charter school* by restarting as a charter school one or more academically poor-performing public schools, the CMO's proposed charter school must be newly created and operating under a separate charter and governance than the academically poor-performing public school.



COMPETITIVE PREFERENCE PRIORITIES

Competitive Preference Priority 3—Novice Applicant. (0 or 2 points).

This priority is for applications submitted by *novice applicants*.



INVITATIONAL PRIORITY

Invitational Priority: This priority is an invitational priority. Under [34 CFR 75.105\(c\)\(1\)](#), we do not give an application that meets this invitational priority any preference over other applications.

This priority is: Invitational Priority—Rigorous Evaluation of School Practices.

The Secretary is particularly interested in funding applications that demonstrate that the applicant is currently conducting, or will conduct, a rigorous independent evaluation of specific practices within the applicant's charter schools (e.g., positive behavioral interventions and supports or professional development practices, such as teacher coaching) through a *quasi-experimental design study* or *randomized controlled trial* that will, if well implemented, meet *WWC Evidence Standards*, and that other schools or school systems can adopt to improve outcomes for their students.



SELECTION CRITERIA

OVERVIEW

- (a) *Quality of the eligible applicant. (45 points)*
- (b) *Contribution in assisting educationally disadvantaged students. (25 points)*
- (c) *Quality of the evaluation plan for the proposed project. (10 points)*
- (d) *Quality of the management plan and personnel. (20 points)*

SELECTION CRITERIA

SCORING ALLOCATION CHART

Selection Criteria	Point Allocation
Quality of the eligible applicant	45 points
(1)	(15 points)
(2)	(15 points)
(3)	(15 points)
Contribution in assisting educationally disadvantaged students	25 points
(1)	(10 points)
(2)	(15 points)
Quality of the evaluation plan for the proposed project	10 points
Quality of the management plan and personnel	20 points
(1)	(5 points)
(2)	(10 points)
(3)	(5 points)
Selection Criteria Total	100 points



SUGGESTED POINT RANGES FOR RATING APPLICANT RESPONSES TO THE SELECTION CRITERIA

Shown below are *suggested* point ranges for an evaluation of fully developed, well developed, adequately developed, poorly developed, or not addressed, for each of the Selection Criteria.

Maximum Point Value	Quality of Applicant's Response				
	Not Addressed	Poorly Developed	Adequately Developed	Well-Developed	Fully Developed
10	0	1-5	6-7	8-9	10
20	0	1-10	11-15	16-19	20
25	0	1-12	13-19	20-24	25
45	0	1-22	23-35	36-44	45



SELECTION CRITERIA

(A) QUALITY OF THE ELIGIBLE APPLICANT. (45 POINTS)

1. The degree to which the applicant has demonstrated success in increasing academic achievement, including graduation rates where applicable, for all students and for each of the subgroups of students described in section 1111(c)(2) of the ESEA, as amended by the NCLB, attending the charter schools the applicant operates or manages (15 points).
2. The extent to which the academic achievement results (including annual student performance on statewide assessments and annual student attendance and retention rates, and where applicable and available, student academic growth, high school graduation rates, college attendance rates, and college persistence rates) for *educationally disadvantaged students* served by the charter schools operated or managed by the applicant have exceeded the average academic achievement results for such students in the State (15 points).
3. The extent to which charter schools operated or managed by the applicant have not been closed; have not had a charter revoked due to noncompliance with statutory or regulatory requirements; have not had their affiliation with the applicant revoked or terminated, including through voluntary disaffiliation; have not had any significant issues in the area of financial or operational management; have not experienced significant problems with statutory or regulatory compliance that could lead to revocation of the school's charter; and have not had any significant issues with respect to student safety



SELECTION CRITERIA

(B) CONTRIBUTION IN ASSISTING EDUCATIONALLY DISADVANTAGED STUDENTS. (25 POINTS)

The significance of the contribution the proposed project will make in expanding educational opportunities for *educationally disadvantaged students* and enabling those students to meet challenging State academic standards. In determining the significance of the contribution the proposed project will make, the Secretary considers:

1. The extent to which charter schools currently operated or managed by the applicant serve *educationally disadvantaged students*, including students with disabilities and English learners, at rates comparable to surrounding public schools (10 points); and
2. The quality of the plan to ensure that the charter schools the applicant proposes to *replicate* or *expand* will recruit and enroll *educationally disadvantaged students* (15 points).



SELECTION CRITERIA

(C) QUALITY OF THE EVALUATION PLAN FOR THE PROPOSED PROJECT. (10 POINTS)

In determining the quality of the evaluation plan for the proposed project, the Secretary considers the alignment of the evaluation plan to the *logic model* for the proposed grant project and the extent to which the methods of evaluation include the use of objective *performance measures* that are clearly related to the intended outcomes of the proposed grant project articulated in the applicant's response to application requirement (c) and will produce quantitative and qualitative data by the end of the performance period.

SELECTION CRITERIA

(D) QUALITY OF THE MANAGEMENT PLAN AND PERSONNEL. (20 POINTS)

In determining the quality of the management plan and personnel to *replicate* or *expand high-quality charter schools* under the proposed project, the Secretary considers—

1. The adequacy of the management plan to achieve the objectives of the proposed project on time and within budget, including clearly defined responsibilities, timelines, and milestones for accomplishing project tasks (5 points);
2. The qualifications, including relevant training and experience, of the project director, chief executive officer or organization leader, and key project personnel, especially in managing projects of the size and scope of the proposed project (10 points); and
3. The ability of the applicant to sustain the operation of the *replicated* or *expanded* charter schools after the grant has ended, as demonstrated by the multi-year financial and operating model included in the applicant's response to application requirement (g) (5 points).



APPLICATION REQUIREMENTS

Applications for CSP CMO grant funds must address the following application requirement:.

An applicant must respond to requirement (a) in a stand-alone section of the application or in an appendix. For all other application requirements, an applicant may choose to respond in the context of its responses to the selection criteria in section V.2 of this notice.



APPLICATION REQUIREMENTS

(a) Demonstrate that the applicant currently operates or manages more than one charter school. For purposes of this competition, multiple charter schools are considered to be separate schools if each school—

1. (i) meets the definition of “charter school” under section 4310(2) of the ESEA, as amended by the ESSA, and
2. (ii) is treated as a separate school by its authorized public chartering agency and the State, including for purposes of accountability and reporting under title I of the ESEA, as amended.



APPLICATION REQUIREMENTS

(b) For each charter school currently operated or managed by the applicant, provide—

1. Student assessment results for all students and for each subgroup of students described in section 1111(c)(2) of the ESEA, as amended by the NCLB; [\[6\]](#)
2. Attendance and student retention rates for the most recently completed school year and, if applicable, the most recent available four-year adjusted cohort graduation rates and extended year adjusted cohort graduation rates;
3. Suspension and expulsion rates for the past three years for each subgroup of students described in section 1111(c)(2) of the ESEA, as amended by the NCLB; and
4. Information on any significant compliance and management issues encountered within the last three school years by any school operated or managed by the eligible entity, including in the areas of student safety and finance.



APPLICATION REQUIREMENTS

(c) Provide information, including information regarding how any compliance issues were resolved, on any charter schools operated or managed by the applicant that have been closed; have had their charter(s) revoked due to problems with statutory or regulatory compliance, including compliance with sections 4310(2)(G) and (J) of the ESEA, as amended by the ESSA; have had their affiliation with the applicant revoked or terminated, including through voluntary disaffiliation; or have experienced significant problems with statutory or regulatory compliance, including compliance with sections 4310(2)(G) and (J) of the ESEA, as amended by the ESSA, that could lead to revocation of the school's charter(s).

APPLICATION REQUIREMENTS

(d) Provide a complete *logic model* for the grant project. The *logic model* must include the applicant's objectives for implementing a *high-quality charter school* program with funding under this competition, including the number of *high-quality charter schools* the applicant proposes to *replicate* or *expand*.

APPLICATION REQUIREMENTS

(e) Describe the educational program that the applicant will implement in each *replicated* or *expanded* charter school, including—

1. Information on how the program will enable all students to meet the State's challenging academic and performance standards;
2. The grade levels or ages of students who will be served; and
3. The instructional practices that will be used, including whether the applicant currently operates or is proposing to *replicate* or *expand* a single-sex charter school or coeducational charter school that provides a single-sex class or extracurricular activity (collectively referred to as a “single-sex educational program”).



APPLICATION REQUIREMENTS

Note: Prior to receiving an award, an applicant currently operating or proposing to *replicate* or *expand* a charter school that provides a single-sex educational program must demonstrate that the existing and proposed single-sex educational programs are in compliance with applicable nondiscrimination laws, including the Equal Protection Clause of the U.S. Constitution (as interpreted in *United States v. Virginia*, 518 U.S. 515 (1996), and other cases) and Title IX of the Education Amendments of 1972 ([20 U.S.C. 1681](#), *et seq.*) and implementing regulations, including [34 CFR 106.34](#). Such an applicant likely will be required to provide fact-specific information about the single-sex educational program(s) within specified timeframes. In addition, special conditions related to compliance with applicable nondiscrimination laws are likely to be placed on any grant awarded to an applicant that operates or proposes to *replicate* or *expand* a charter school that provides a single-sex educational program. Please see the application package for additional information related to the requirements for single-sex educational programs.



APPLICATION REQUIREMENTS

(f) Describe how the applicant currently operates or manages the charter schools for which it has presented evidence of success, and how the proposed *replicated* or *expanded* charter schools will be operated or managed. Include a description of central office functions, relationship with charter holder(s) if other than the applicant, governance, daily operations, financial management, human resources management, and instructional management. If applying as a group or consortium, describe the roles and responsibilities of each member of the group or consortium and how each member will contribute to this project.



APPLICATION REQUIREMENTS

(g) Describe how the operation of each *replicated* or *expanded* charter school will be sustained after the grant has ended, which shall include a multi-year financial and operating model for the applicant.

(h) Describe how the applicant will solicit, consider, and include in governance input from parents and other members of the community on the implementation and operation of each *replicated* or *expanded* charter school.

APPLICATION REQUIREMENTS

(i) Describe how the applicant will ensure that each *replicated* or *expanded* charter school will recruit and enroll students, including students with disabilities, English learners, and other *educationally disadvantaged students*, and describe the lottery and enrollment procedures that will be used for each *replicated* or *expanded* charter school if more students apply for admission than can be accommodated. For applicants that propose to use a weighted lottery, describe how the weighted lottery complies with section 4303(c)(3)(A) of the ESEA, as amended by the ESSA.

APPLICATION REQUIREMENTS

(j) Describe how the applicant will ensure that all eligible students with disabilities receive a free appropriate public education in accordance with Part B of the IDEA.

(k) Describe how the proposed project will assist *educationally disadvantaged students* in mastering State academic content standards and State student academic achievement standards.

(l) Describe the applicant's planned activities and expenditures of Federal grant funds.

(m) Include a request and justification for any waivers of Federal statutory or regulatory requirements that the applicant believes are necessary for the successful operation of its *replicated or expanded* charter schools.

DEFINITIONS

OVERVIEW OF SOME DEFINITIONS INCLUDED IN THE NIA:

- 1) Charter Management Organization
- 2) Educationally disadvantaged students
- 3) Expand
- 4) High-quality charter school
- 5) Individual from a low-income family
- 6) Novice applicant
- 7) Replicate

*Please see the NIA for a full list of definitions.



CSP PERFORMANCE MEASURES

GPRA

(a) The primary goal of the CSP is to support the creation and development of a large number of *high-quality charter schools* that are free from State or local rules that inhibit flexible operation, are held accountable for enabling students to reach challenging State performance standards, and are open to all students.

The Secretary has two performance indicators to measure progress towards this goal:

- (1) The number of charter schools in operation around the Nation, and
- (2) the percentage of fourth- and eighth-grade charter school students who are achieving at or above the proficient level on State assessments in mathematics and reading/language arts.

Additionally, the Secretary has established the following measure to examine the efficiency of the CSP: Federal cost per student in implementing a successful school (defined as a school in operation for three or more consecutive years).

CSP PERFORMANCE MEASURES

PROJECT-SPECIFIC PERFORMANCE MEASURES

(b) *Project-Specific Performance Measures*. Applicants must propose project-specific *performance measures* and *performance targets* consistent with the objectives of the proposed project. Applications must provide the following information as directed under [34 CFR 75.110](#)(b) and (c):

- (1) *Performance measures.*
- (2) *Baseline data.*
- (3) *Performance targets.*
- (4) *Data collection and reporting.*

All grantees must submit an annual performance report with information that is responsive to these *performance measures*.



APPLICABLE REGULATIONS AND STATUTE

- i. The Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 75, 76, 77, 79, 81, 82, 84, 86, 97, 98, and 99.
- ii. The OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485; and
- iii. The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended in 2 CFR part 3474.



AWARD NOTICES

If your application is successful, we will notify your U.S. Representative and U.S. Senators and send you a Grant Award Notification (GAN). We may also notify you informally, as well.

If your application is not evaluated or not selected for funding, we will notify you.



AGENDA

84.282M – CMO GRANTS

- OVERVIEW
 - PURPOSE
 - ELIGIBILITY
 - CHANGES FOR FY16
 - COMPETITION BASICS
- APPLICATION DETAIL
 - APPLICATION PACKAGE OVERVIEW
 - PRIORITIES
 - SELECTION CRITERIA
 - REQUIREMENTS
 - PERFORMANCE MEASURES
- **GRANTS.GOV (REGISTER AND SUBMIT EARLY!)**



APPLICATIONS ARE DUE BY:

February 27, 2017, 4:30:00 PM (EST)

Washington, DC time

Important Note: The Grants.gov helpdesk is not available on weekends. You are strongly encouraged to submit early! You can always resubmit your application (before the closing date and time) if you need to update your application.



FEDERAL REGISTER NOTICE – APPLICATION INFORMATION

- Due Date and Time
- Program Contact Information
- Page Limits and Formatting
- Allowable File Types
- Mandatory or Optional Electronic Submission
- Exemptions to mandatory electronic submission
- System for Submitting



WHAT IS GRANTS.GOV?

- An external application system used throughout the Federal government
- Available at www.grants.gov



IMPORTANT REMINDER

Please be sure to check the CFDA# (84.282M), Opportunity Number and title before you download the application package. While you can view the application on the CSP webpage or grants.gov, you **MUST** register in grants.gov to submit your application.

1 - 16 OF 16 MATCHING RESULTS:					
Opportunity Number	Opportunity Title	Agency	Opportunity Status	Posted Date ↓	Close Date
ED-GRANTS-011317-001	Office of Innovation and Improvement (OII): Expanding Opportunity Through Quality Charter Schools Program (CSP): Grants to Charter Management Organization for the Replication and expansion of High-Quality Charter Schools CFDA Number 84.282M	ED	Posted	01/13/2017	02/27/2017



GRANTS.GOV REGISTRATION PROCESS

The Grants.gov registration process involves five (5) basic steps:

1. Obtain a DUNS number
2. Register with SAM. You must register with SAM annually!
3. Set up your Authorized Organization Representative (AOR) profile
4. Get authorized as an AOR by your organization's e-Biz POC
5. Track your AOR status



GRANTS.GOV REGISTRATION PROCESS

1. The complete Grants.gov registration process takes **up to 4 weeks** to complete.
2. You do not have to register with Grants.gov if you only want to find grant opportunities or to download application packages – **but you MUST register to SUBMIT!**



GRANTS.GOV REGISTRATION PROCESS

Step 1: Register Your Organization

- To register, your organization will need to obtain a DUNS number. If your organization does not have a DUNS number, you can call **1-866-705-5711**. Check with your organization's grants office before obtaining a DUNS number. **Use the same DUNS number used on the SF 424 form.**

Step 2: SAM Registration

- Register both your DUNS number and TIN with the System for Award Management (SAM) (formerly the Central Contractor Registry (CCR)). Your organization must have a DUNS number to register with SAM. SAM registration takes approximately 7 business days, but may take up to several weeks, to complete. **Once your SAM registration is active, you will need to allow 24 to 48 hours for the information to be available in Grants.gov and before you can submit an application through Grants.gov. SAM requires an annual registration – you will be unable to submit if this has not been updated. This may take three or more business days.**



GRANTS.GOV REGISTRATION PROCESS

Step 3: AOR Registration

- Create your Authorized Organization Representative (AOR) registration to obtain your username and password. You will need your organization DUNS number to complete the profile.

Step 4: Confirm AOR Registration

- The E-Business Point of Contact at your organization will receive your registration from Grants.gov. The E-Biz POC will then authorize you as an AOR. The E-Biz POC is usually someone in your grants office. Only an AOR may submit an application.



GRANTS.GOV REGISTRATION PROCESS

Step 5: Track your AOR status

- The length of time is contingent upon how long it takes your E-Biz POC to authorize you as an AOR. There may be more than one AOR at the organization.
- All 5 registration steps can be found on the Grants.gov website.

<http://www.grants.gov/web/grants/applicants/organization-registration.html>



GRANTS.GOV APPLICATION PACKAGE

- Review “Grants.gov Submission Procedures and Tips for Applicants” in application package.
- Applicant must download the correct version of Adobe in order to read any Grants.gov application packages.
- In Adobe, applicants must move all mandatory forms from left to right, in order to open each form.
- Once the form is on the right side, applicant can complete and **SAVE** each form; while in process, the application package is saved offline.
- Press the final **SAVE & SUBMIT** button before the final submission of the application.



GRANTS.GOV APPLICATION PACKAGE

- Once you download the application, multiple people can work on it, and you work offline.
- Save often.
- Includes both forms and attachments.
- Submit all documents as **PDF files**.
- Once the application is complete, the “save and submit” button becomes active.



GRANTS.GOV APPLICATION SUBMISSION

SUCCESSFUL SUBMISSION

1. Applicants should receive a confirmation email with a time and date stamp and an assigned tracking number from Grants.gov
2. Applicants should receive a validation email from Grants.gov. This means the application is ready for Department pickup
3. Applicant should receive an email with their assigned PR Award # (U282M17XXXX)

UNSUCCESSFUL SUBMISSION

1. Applicants should receive a confirmation email with a time and date stamp and an assigned tracking number from Grants.gov
2. If the application is received after 4:30:00 pm on February 27, 2017 or validation is not successful, applicant should receive an error email
3. Email may list the error, or applicant can use their tracking number to find the submission error



GRANTS.GOV

APPLICATION PACKAGE – UNSUCCESSFUL SUBMISSION

- Verify Submission is on time and validated successfully
- To check, login to Grants.gov and click on the Track My Application link
- Date/time received should be earlier than 4:30:00 p.m. on February 27, 2017.
- Application status should be “Validated”.
- Do not rely solely on email to confirm whether your application has been received on time and validated successfully.



The screenshot shows the Grants.gov homepage. The navigation bar includes links for HOME, ABOUT, SEARCH GRANTS, APPLICANTS, GRANTORS, SYSTEM-TO-SYSTEM, FORMS, and OUTREACH. The APPLICANTS dropdown menu is open, highlighting the 'Track My Application' link. A green arrow points to this link. Below the navigation bar, there is a section for 'Find Open Grant Opportunities' with tabs for 'NEWEST OPPORTUNITIES', 'BROWSE CATEGORIES', 'BROWSE AGENCIES', and 'BROWSE ELIGIBILITIES'. A table of opportunities is displayed below.

Funding Opportunity Number	Opportunity Title	Agency
SCAKAB-14-AW-001-SCA-05202014	Access to Justice Small Grants Program	U.S. Mission to Afghanistan
EPA-R4-WPD-2014-SFL	Special Studies- South Florida Geographic Initiative, Water Quality Protection Program for the Florida Keys National Marine Sanctuary, and the Southeast Florida Coral Reef Initiative/Land-Based Sources of Pollution	Environmental Protection Agency
R14SS00006	Grand Canyon Adaptive Management Program Tribal Participation	Bureau of Reclamation
F14AS00193	DOI Project #SA067; Hurricane Sandy; Beach Resiliency Studies; Fire Island;	Fish and Wildlife Service

GRANTS.GOV APPLICATION SUBMISSION

Do not rely solely on email to confirm whether your application has been received on time and validated successfully!



GRANTS.GOV SUBMISSION

- Save a copy of your application.
- We may request original signatures on forms at a later date.
- Applications cannot be “unsubmitted”.
- Users may resubmit their application at any point up until the closing date and time; we review the most recent submission before the due date and time.
- **Closing Date: February 27, 2017, 4:30:00 PM
Washington, DC time**



GRANTS.GOV AVAILABILITY

- If you are experiencing problems submitting your application through Grants.gov, please contact the Grants.gov Support Desk at 800-518-4726. You must obtain a Grants.gov Support Desk Case Number and must keep a record of it.
- If you are prevented from electronically submitting your application on the application deadline date **because of technical problems with the Grants.gov system**, we will grant you an extension until 4:30:00 p.m. (Washington, DC time), the following day to enable you to transmit your application electronically, by hand delivery, or through the mail **following the instructions in the Notice**.



GRANTS.GOV AVAILABILITY

- If you submit an application after 4:30:00 p.m. (Washington, DC time) on February 27, 2017, contact the person listed in the Notice and provide an explanation of the technical problem you experienced with Grants.gov, along with the Grants.gov Support Desk Case Number. We will accept your explanation if we can confirm that a technical problem occurred with the Grants.gov system and that a problem affected your ability to submit your application by the deadline.
- The Department will contact you after a determination is made on whether your application will be accepted.



GRANTS.GOV AVAILABILITY

These extensions apply only to **the unavailability of, or technical problems with,** the Grants.gov system. We will not grant you an extension if you failed to fully register to submit your application on Grants.gov before the application deadline date and time or if the technical problem you experienced is unrelated to the Grants.gov system.



FOR INFORMATION AND ASSISTANCE

CSP CMO STAFF

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<https://www2.ed.gov/programs/charter-rehqcs/applicant.html>

GRANTS.GOV

support@grants.gov, (800) 518-4726



QUESTIONS?

Closing Date:

February 27, 2017, at 4:30 p.m. (EST)

Washington, DC time

Important Note: The competition closes on a Wednesday and the Grants.gov helpdesk is not available the weekend prior to the closing date. You are strongly encouraged to submit early! You can always resubmit your application on the closing date by 4:30 p.m. if you need to update your application.



THANK YOU



U.S. DEPARTMENT
OF EDUCATION

