Office of Innovation and Improvement
i3 Applicant Information Sheet
FY 2016

Instructions: Eligible applicants must complete and submit this information sheet with each application submitted. Completing this sheet will assist ED staff in assessing the needs of the i3 competition and provide staff with a better sense of the applicant pool. Applicants are asked to fill out this form electronically. Once completed, save and upload the generated .PDF into Appendix H on Grants.gov.

PLEASE NOTE: Information included in this form may be made broadly and publicly available. Applicants should not include proprietary information.

Applicant Info

Lead Applicant Name: _____

Lead Applicant City: _____

Lead Applicant State: _____

Eligible Applicant Type: _____Select

Have you applied for more than one i3 grant? ☐ YES ☐ NO

Pre-Application PR Award Number: _____

Project Info

Project Title: _____

Type of Grant Requested:

_____Select

Length of Requested Grant Award:

_____Select

Select the ONE Absolute Priority (AP) that you are addressing in your application. Applicants applying under AP5 Serving Rural Communities must select an absolute priority from the dropdown in addition to indicating that they will address the rural priority.

_____Select

I intend to apply under AP5 Serving Rural Communities.

○ Yes

○ No

Select the Competitive Preference Priority (CPP) that you are addressing in your application. Note: The Department will not review or award points under any competitive preference priority that fails to clearly identify the competitive preference priority the applicant wishes the Department to consider for purposes of earning competitive preference priority points.

☐ **Competitive Preference Priority -- Supporting Novice i3 Applicants (0 or 3 points)**

Invitational Priority -- Designing and implementing intra-district, inter-district, community, or regional programs that improve student achievement by increasing socioeconomic diversity.

Total number of students to be served by the proposed project: _____

Student grade levels to be served by the proposed project:

☐ **Birth-Pre K**	☐ **Kindergarten**	☐ **1**	☐ **2**	☐ **3**
☐ **4**	☐ **5**	☐ **6**	☐ **7**	☐ **8**
☐ **9**	☐ **10**	☐ **11**	☐ **12**	☐ **Post-Secondary**

Project Description:

In 2000 characters or less, please provide a brief description of the project you wish to propose. Be sure to include a summary of project objectives and expected outcomes, along with any special project features.

School District/LEA Implementation Sites:

School District/LEA	Two letter state	Check if rural site
1.		
2.		
3.		
4.		
5.		
6.		
7.		
8.		
9.		
10.		

If you have more than 10 implementation sites, please list them here with a semicolon separating each location:

_____

Project Partners:

Please list all organizations partnering with this project and the amount of Federal funds requested for each as part of your overall budget.

Partner Name	Two letter state	Budget Amount (numeric only)
1.		$
2.		$
3.		$
4.		$
5.		$
6.		$
7.		$
8.		$
9.		$
10.		$

If you have more than 10 partners, please list them here with a semicolon separating each location:

Private Sector Matching Requirement:

Have you secured the private sector match? ☐ YES ☐ NO

If YES, list the organization(s) that are providing the matching funds.

   1.

   2.

   3.

   4.

   5.

   6.

   7.

   8.

   9.

   10.

If you have more than 10 entities helping to provide your match, please list them here with a semicolon separating each location:

Are you requesting a waiver for the private sector match? ☐ YES ☐ NO

If, YES, please attach the necessary justification under Appendix E at the time of submission.

Eligibility Requirements

To be eligible for an award under the Investing in Innovation Fund, an eligible entity shall—
(1)(A) have significantly closed the achievement gaps between groups of students described in section 1111(b)(2) of the ESEA (20 U.S.C. 6311(b)(2)); or
(B) have demonstrated success in significantly increasing student academic achievement for all groups of students described in such section;
(2) have made significant improvement in other areas, such as graduation rates or increased recruitment and placement of high-quality teachers and school leaders, as demonstrated with meaningful data; and
(3) demonstrate that it has established one or more partnerships with the private sector, which may include philanthropic organizations, and that the private sector will provide matching funds in order to help bring results to scale.
(c) Special Rule. In the case of an eligible entity that includes a nonprofit organization, the eligible entity shall be considered to have met the eligibility requirements of paragraphs (1)(A) or (1)(B) and (2) of subsection (b) if the nonprofit organization has a record of significantly improving student achievement, attainment, or retention and shall be considered to have met the requirements of subsection (b)(3) if it demonstrates that it will meet the requirement relating to private-sector matching.

☐ I understand that to be eligible for an i3 award I must meet the program and eligibility requirements noted above.

To be eligible for an award, an application for a Development grant must be supported by evidence of promise or strong theory as defined in the 2016 Notice Inviting Applications. Please use the options below to identify the evidence standard under which you will apply:

○ **Evidence of Promise**
○ **Strong Theory**